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Antarctic moss stress assessment based on chlorophyll content and leaf density retrieved from imaging spectroscopy data

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Abstract

The health of several East Antarctic moss-beds is declining as liquid water availability is reduced due to recent environmental changes. Consequently, a noninvasive and spatially explicit method is needed to assess the vigour of mosses spread throughout rocky Antarctic landscapes. Here, we explore the possibility of using near-distance imaging spectroscopy for spatial assessment of moss-bed health. Turf chlorophyll a and b, water content and leaf density were selected as quantitative stress indicators. Reflectance of three dominant Antarctic mosses *Bryum pseudotriquetrum*, *Ceratodon purpureus* and *Schistidium antarctici* was measured during a drought-stress and recovery laboratory experiment and also with an imaging spectrometer outdoors on water-deficient (stressed) and well-watered (unstressed) moss test sites. The stress-indicating moss traits were derived from visible and near infrared turf reflectance using a nonlinear support vector regression. Laboratory estimates of chlorophyll content and leaf density were achieved with the lowest systematic/unsystematic root mean square errors of 38.0/235.2 nmol g⁻¹ DW and 0.8/1.6 leaves mm⁻¹, respectively. Subsequent combination of these indicators retrieved from field hyperspectral images produced small-scale maps indicating relative moss vigour. Once applied and validated on remotely sensed airborne spectral images, this methodology could provide quantitative maps suitable for long-term monitoring of Antarctic moss-bed health.

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Methods

Antarctic moss stress assessment based on chlorophyll content and leaf density retrieved from imaging spectroscopy data

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31 Summary

- 32 • The health of several East Antarctic moss-beds is declining as liquid water
33 availability is reduced due to recent environmental changes. Consequently, a non-
34 invasive and spatially explicit method is needed to assess the vigour of mosses spread
35 throughout rocky Antarctic landscapes. Here, we explore the possibility of using near-
36 distance imaging spectroscopy for spatial assessment of moss-bed health.
- 37 • Turf chlorophyll *a+b*, water content and leaf density were selected as quantitative
38 stress indicators. Reflectance of three dominant Antarctic mosses *Bryum*
39 *pseudotriquetrum*, *Ceratodon purpureus* and *Schistidium antarctici* was measured
40 during a drought-stress and recovery laboratory experiment and also with an imaging
41 spectrometer outdoors on water-deficient (stressed) and well-watered (unstressed)
42 moss test sites. The stress-indicating moss traits were derived from visible and near
43 infrared turf reflectance using a non-linear support vector regression.
- 44 • Laboratory estimates of chlorophyll content and leaf density were achieved with
45 the lowest systematic/unsystematic root mean square errors of 38.0/235.2 nmol dwg⁻¹
46 and 0.8/1.6 leaves mm⁻¹, respectively. Subsequent combination of these indicators
47 retrieved from field hyperspectral images produced small-scale maps indicating
48 relative moss vigour.
- 49 • Once applied and validated on remotely sensed airborne spectral images, this
50 methodology could provide quantitative maps suitable for long-term monitoring of
51 Antarctic moss-bed health.

52

53 **Key words:** stress imaging spectroscopy, hyperspectral remote sensing, moss
54 chlorophyll content (Cab), turf water content (TWC), leaf density (LD), *Bryum*
55 *pseudotriquetrum*, *Ceratodon purpureus*, *Schistidium antarctici*.

56

57 **Introduction**

58 Arctic polar regions are experiencing rapid and severe climatic shifts with major
59 changes in the abundance, distribution, and phenology of plant species (MacDonald,
60 2010). Corresponding changes have already been documented in maritime Antarctica
61 and the sub-Antarctic islands, where temperature changes have been particularly
62 pronounced (Turner *et al.*, 2007). Less severe, but significant changes in air
63 temperature, wind speed, and long-term fluctuations in concentration of stratospheric
64 ozone have also been observed on the Antarctic continent (Turner *et al.*, 2005; Clarke
65 *et al.*, 2008; Son *et al.*, 2010; Robinson & Erickson, 2014; Williamson *et al.*, 2014).
66 Therefore, the Intergovernmental Panel on Climate Change recommended regular
67 acquisitions and analyses of long-term Antarctic datasets (IPCC, 2007) and the
68 Scientific Committee for Antarctic Research is proposing the establishment of an
69 Antarctic Near-shore and Terrestrial Observing System (ANTOS) to provide baseline
70 data for ecosystem health and to enable assessment of future changes.

71 Antarctic cryptogamic vegetation lacks vascular tissue and is poikilohydric, with
72 plants only metabolically and photosynthetically active when hydrated (Schlensog *et*
73 *al.*, 2013). Mosses and lichens that dominate the Antarctic vegetation are found in ice-
74 free areas where sufficient summer snowmelt occurs (Wasley *et al.*, 2006). Around
75 the coast, where ancient penguin colonies or recent nesting birds have provided
76 nutrients, well-established moss-beds have developed (Wasley *et al.*, 2012). The
77 Windmill Islands region of East Antarctica is one such area supporting some of the
78 best-developed and most extensive moss ecosystems on the continent. However, since
79 ice-free areas are also prime sites for polar stations and experience the largest visitor
80 pressure in addition to the existing environmental stress, there is an urgent need to

81 develop effective ways to measure and monitor the health of these ecosystems.
82 Because mosses are sensitive to mechanical damage (i.e. trampling) and their growing
83 season is short, the method needs to be non-invasive, rapid, and covering large moss-
84 bed areas (i.e. spatially explicit). Given the unfavourable cold weather, a technique
85 that allows data to be collected quickly and post processed later indoors is also
86 preferable. An ideal solution would be an imaging remote sensing method that would
87 allow fast spatial assessment of whole moss-beds, and thus enable repeated and
88 standardized observations conducted under different climatic conditions of successive
89 growing seasons.

90 A number of studies have demonstrated that remote sensing imaging spectroscopy,
91 also referred to as hyperspectral remote sensing, can provide a qualitative description
92 of vegetation (e.g. maps of plant functional types; Poulter *et al.*, 2011), but also
93 quantitative estimates of plant biochemical and structural physiological traits
94 (Malenovský *et al.*, 2009; Homolová *et al.*, 2013; Serbin *et al.*, 2014; Asner *et al.*,
95 2015). The special issue on imaging spectroscopy in Remote Sensing of Environment
96 (Ustin & Schaepman, 2009) has shown various state-of-the-art techniques for
97 bridging scaling gaps between foliar biochemical molecules and ecosystem canopies
98 (Kokaly *et al.*, 2009). It provides insights into empirical methods for retrieving
99 quantitative vegetation traits using optical vegetation indices and statistical functions
100 (Ustin *et al.*, 2009), as well as physical inversion approaches based on coupled leaf
101 and canopy radiative transfer models (Jacquemoud *et al.*, 2009; Schaepman *et al.*,
102 2009). This demonstrates an increasing capability of this scientific field towards
103 spatially explicit quantitative characterisation of vegetation. Remote sensing estimates
104 as leaf chlorophyll and water content or leaf area index (Hu *et al.*, 2004; Cheng *et al.*,
105 2006; Malenovský *et al.*, 2013) can parameterize and validate vegetation production

106 models (e.g. yield predicting crop models; Clevers, 1997), but also indicate plant
107 stress reactions (Zarco-Tejada *et al.*, 2002). Having standardised physical units, they
108 are site and method independent. Moreover, the accuracy assessment of the estimates
109 can be included in subsequent analyses (Demarty *et al.*, 2007).

110 The objective of this research is to test the hypothesis that the remotely sensed moss
111 reflectance of visible and near infrared (VNIR) wavelengths (400–900 nm) can
112 provide sufficiently accurate estimates of quantitative parameters indicating the
113 physiological stress response (relative vigour) of Antarctic moss-beds to highly
114 variable environmental changes. An acute stress load causes reorganisation of the
115 moss pigment-bed, resulting in decline of leaf chlorophyll (Robinson *et al.*, 2005).
116 Water deficiency (i.e. desiccation) triggers reduction of moss photosynthetic
117 production and induces shape changes and geometrical re-arrangement (i.e. ‘shrinking’
118 and ‘curling’) of moss leaves (Zotz & Kahler, 2007). We observed that long-term
119 stress slows moss growth, which results in shorter shoots with smaller leaves at higher
120 density, whereas optimal growing conditions produce less dense and larger moss
121 leaves. Therefore, the stress assessment approach proposed in this study has
122 foundations in estimates of moss chlorophyll *a* and *b* content (Cab), turf water content
123 (TWC) and leaf density (LD) retrieved from spectroscopy data of high spectral
124 sampling and resolution (i.e. hyperspectral data). The method is intentionally based on
125 quantitative bio-indicators, which ensures its transferability to other moss ecosystems
126 along the Antarctic coast and to polar and alpine regions. A machine-learning
127 algorithm, support vector regression, was parameterized and trained using laboratory-
128 measured VNIR reflectance of moss and also continuum removal normalized
129 reflectance to estimate Cab, TWC, and LD of three Antarctic moss species: *Bryum*
130 *pseudotriquetrum*, *Ceratodon purpureus* and *Schistidium antarctici*. The successfully

validated Cab and LD estimating algorithms were further applied to near-distance hyperspectral images of *S. antarctici* moss-beds acquired on the ground. Cab and LD maps were normalised and averaged to reveal the spatial pattern of actual relative moss vigour. This method can be applied to imaging spectroscopy data collected over Antarctic moss-beds with hyperspectral piloted or unmanned airborne systems and successively scaled up to satellite observations.

Materials and methods

Study area

The study was carried out in the vicinity of Australian Antarctic Casey station located in the Windmill Islands region, East Antarctica (66°17'S, 110°32'E; Fig. 1a). The ice-free habitats of this region support some of the most extensive and best-developed moss-beds on Continental Antarctica. The summer melt provides water sustaining populations of four bryophyte species including the endemic moss *Schistidium antarctici* (Cardot) L.I. Savicz & Smirnova, and two cosmopolitan species, *Bryum pseudotriquetrum* (Hedw.) Gaertn., Meyer & Scherb., and *Ceratodon purpureus* (Hedw.) Brid. The moss samples for laboratory experimental work were collected near Casey station, whilst the field hyperspectral data were acquired in natural moss ecosystems within Antarctic Specially Protected Area (ASPA) 135, located approximately 300 m southwest of the station (Fig. 1b).

Drought-stress rehydration experiment

Liquid water availability is a more important determinant of cryptogamic productivity in Antarctica than temperature (Kennedy, 1993; Schlensog *et al.*, 2013). Thus, a moss drought-stress rehydration experiment was designed and conducted in the Casey

laboratory during the 2012–2013 summer season to establish a link between reflectance and stress-indicating biochemical and physical traits of moss turf (Lovelock & Robinson, 2002). Sampling included the three Antarctic moss species (*B. pseudotriquetrum*, *C. purpureus* and *S. antarctici*) and was designed to capture the existing natural variability in composition and content of moss foliar pigments. Turf sections (approximately 50 cm²) of both visually red and green coloured moss turfs were collected from sites around the station on 26th December 2012 (n = 3 per species/colour except for green *C. purpureus* where n = 1). The 16 turf pieces were transferred to the laboratory and split in half (n = 32). Drought stress was applied to the first half, while the second half served as a stress-free control set. During clear days of the summer growth period the moss turf temperature reaches 20–30 °C (Bramley-Alves *et al.*, 2015). All samples were, therefore, kept in a plant growth cabinet with a constant temperature of 25 °C (the optimum for their photosynthetic activity), and 16 h light (photosynthetic flux density of 150 μmol photons m⁻² s⁻¹)/8 h dark. This low light intensity was applied to prevent any confounding high irradiation stress. To induce acute drought-stress, the first half of the samples was kept without water supply for six days after collection, whilst samples of the control half were soaked (completely saturated) with water every second day. From day 7, all samples were watered every second day until the end of the experiment on 25th January 2013 to observe drought stress recovery in the first half and growth under optimal conditions in the second half of samples (see examples in Fig. 2a). Every six days all samples were monitored for turf reflectance and total weight (a proxy of actual water content). Micro-photos were taken for assessment of shoot and leaf architectural changes and several shoot apices were collected from drought-stressed samples for

180 later determination of pigment quantities. At the end of the experiment, samples were
181 oven dried at 80°C to determine their dry mass.

182 A second independent dataset of 73 *S. antarctici* samples, which was collected and
183 measured at Casey station in 1999, was used to validate relationships established
184 during the 2013 drought-stress rehydration experiment. These samples were also used
185 to establish the link between moss leaf density measurements and reflectance
186 signatures. For detailed information of the 1999 sampling design see Robinson *et al.*
187 (2005).

188

189 Laboratory spectral measurements of moss reflectance

190 Spectral measurements of moss samples during the drought-stress rehydration
191 experiment were performed with an ASD HandHeld-2 (HH2) spectrometer (ASD Inc.
192 & PANalytical, USA): wavelength range 325 and 1075 nm, spectral resolution about
193 1 nm, and the spectral band full width at half maximum (FWHM) about 3 nm. Since
194 an optical integrating sphere was not available, the spectrometer was placed in a small
195 dark chamber pointing downward at a zenith angle of 45°. Samples were illuminated
196 with an external halogen Tungsten light source (power 50 W, field of view (FOV)
197 about 24°) placed in nadir direction 45 cm from the sample and their reflected
198 radiance was recorded from a distance of approximately 5 cm by the spectrometer
199 optical fibres (FOV of 25°) (Fig. 2b). Six spectral measurements across each moss
200 sample were recorded to account for turf spatial heterogeneity. Although
201 microstructure of moss turf represents a near-Lambertian surface producing diffuse
202 (i.e. hemispherically distributed) reflectance, samples for each measurement were
203 rotated 45° clock-wise in order to compensate for any potential reflectance directional

204 effect. The final sample reflectance was obtained as the mean of the six measured
205 reflectance factors (ρ) computed as:

206

207
$$\rho = \frac{R_{sample} - R_{DC}}{R_{REF} - R_{DC}}$$
 Eqn 1

208

209 where R_{sample} is the radiance of the sample, R_{DC} is Dark Current radiance measured
210 without any irradiation (sensor noise), and R_{REF} is radiance of a 99% reflective white
211 reference (barium sulphate Spectralon panel). This protocol guaranteed that final
212 reflectance is comparable with directional-hemispherical measurements obtained with
213 an optical integrating sphere (Schaeppman-Strub *et al.*, 2006).

214 The second validation dataset of moss reflectance between 200 and 900 nm was
215 acquired in 1999 in an integrating sphere fitted to a scanning spectrophotometer GBC
216 UV-Vis 918 (GBC, Dandenong, VIC, Australia) as described in Lovelock and
217 Robinson (2002). Because the spectral datasets from 1999 and 2013 were acquired
218 with two instruments of different spectral specifications, all measurements were
219 spectrally unified to be compatible with field hyperspectral scans. The laboratory
220 spectral datasets were resampled and convolved according to the actual spectral
221 sampling, resolution, and FWHM of the outdoor imaging spectrometer observations
222 (sensor technical specifications provided in field imaging spectroscopy section).

223

224 Determination of chlorophyll content

225 Several moss apices were cut from the top of drought-stressed samples after each
226 spectral measurement in 2013. Samples were frozen at -80°C and transported to
227 Australia for chlorophyll determination. There, they were freeze dried overnight

(Alpha 1-2 series freeze dryer, Fisher Bioblock Scientific, Illkirch, France) and homogenized for 2 minutes at 30 Hz in a tissue lyser (Retsch, Verder Group, Haan, Germany). Samples with a minimal dry weight of 8 mg were extracted in 600 μ l of ethyl acetate/acetone (60% ETOAC and 40% acetone) with further homogenisation (2 min at 30 Hz), followed by the addition of 500 μ l of milliQ water and centrifugation (5 min at 3600 g) (Dunn *et al.*, 2004; Förster *et al.*, 2011). Supernatant was diluted with 20% acetone and chlorophyll absorption peaks at 646.6, 663.6, and 750.0 nm were measured with a Shimadzu UV-visible spectrophotometer (Model 1601, Shimadzu, Kyoto, Japan). Chlorophyll *a* and chlorophyll *b* in nmol per gram of dry weight (Cab; nmol gdw⁻¹) were calculated using the equations published by Porra *et al.* (1989). Chlorophyll determination of 1999 samples followed a similar procedure (see Lovelock & Robinson, 2002).

240

241 Moss water content and leaf density

242 Moss turf water content (TWC; gH₂O gdw⁻¹), i.e. the difference between fresh and
243 dry sample weights relative to a gram of dry turf weight, for both datasets was
244 determined after oven drying (80°C) to a stable weight (see Robinson *et al.*, 2000).

245 Leaf density was only measured for *S. antarctici* samples in the 1999 validation
246 dataset. Five randomly selected gametophytes from each sample were carefully
247 dissected and the number of moss leaves in the top 3.5 mm was visually counted
248 using a binocular microscope Leica Wild (Leica Microsystems, Gladesville, NSW,
249 Australia). Mean leaf density per 1.0 mm of shoot length (LD; leaves mm⁻¹) was
250 calculated according to Robinson *et al.* (2005). This time-consuming measurement
251 was not repeated for samples from 2013. However, microscopic photos of each
252 sample in natural colours were taken with a Dino-Lite AM 2111 digital microscope

(AnMo Electronics Corp., Taipei, Taiwan) on each sampling day to visually inspect shoot and leaf architectural changes during the experiment.

Field imaging spectroscopy

Two research plots of *c.* 10–15 m², colonised dominantly by *S. antarctici*, were chosen at ASPA 135 to demonstrate transferability of the approach developed in the laboratory to field near-distance hyperspectral images. The first plot, evaluated as a *dry* (exposed, water limited, and considerably stressed) moss-bed of lower vigour, was located at the top of a hill above the ASPA 135 fresh water lake (Fig. 1c). The second plot, representing a *wet* (lengthily snow covered, well watered, and less stressed) moss-bed of higher vigour, was positioned in a local terrain depression with water supply originating from snowmelt and possibly from infiltration of lake water located above (see Supporting Information Fig. S1).

The imaging spectroradiometer used for field near-distance hyperspectral observations (Ač *et al.*, 2009) was the Headwall Photonics Micro-Hyperspec VNIR scanner (Headwall Inc., Fitchburg, USA) attached to a computer-controlled rotating/tilting platform (Fig. 1d). The sensor unit was placed approximately 2.5 m above the ground on a single pole mounted to a geodetic tripod (Fig. 1c). The Micro-Hyperspec is a push-broom scanner, which collects light passing through a lens objective with an aperture of f/2.8 (FOV of 49.8°) and through a slit entrance of 25 µm. The spectral wavelengths are split by an aberration-corrected convex holographic diffraction grating and projected onto a charge-coupled device (CCD) matrix with a digital dynamic range of 12-bits and size of 1004 by 1004 pixel units. Each column of the CCD matrix records the projected spatial information, whilst each row records separate wavelengths between 361 and 961 nm. To build a hyperspectral image, the

278 rotating/tilting platform moves the spectrometer anticlockwise in a horizontal
279 direction with the predefined speed and photon integration time preserving the
280 quadratic shape of image pixels. The CCD registers the captured light split into 324
281 (full spectral extent, FWHM of 4.12–4.67 nm) or 162 spectral bands (binning of two
282 neighbouring spectral pixels as a single recording unit, FWHM of 4.75–5.25 nm).
283 Every image row is placed next to the previous one, creating a hyperspectral image
284 with 1004 across-track columns and as many along-track rows as defined by the
285 operators (Fig. 1e).

286 To ensure a high signal-to-noise ratio and simultaneously prevent oversaturation of
287 the CCD dynamic range, we applied spectral binning (162 bands) combined with an
288 integration time of 40 milliseconds (ms) and collected oblique hyperspectral images
289 (azimuth viewing angles of 44° and 60°) of the test sites at solar noon on the 10th and
290 30th of January 2013. The image of the *dry* site was acquired under full overcast
291 conditions, while the *wet* site image was taken under a clear sky. A distance of about
292 3.5 m between the sensor and objects resulted in images of 3260 by 1004 pixels with
293 varying across-track spatial resolution of less than 10 mm. The 12-bit spectral images
294 were radiometrically calibrated into radiance ($\text{mW cm}^{-2} \text{sr}^{-1} \mu\text{m}^{-1}$) and transformed
295 into relative hemispherical reflectance by applying an empirical line atmospheric
296 correction as described in Lucieer *et al.* (2014). The short and long wavelengths with
297 unstable signals (i.e. 361–495 and 849–961 nm) were truncated and a local mean filter
298 with a moving window of 3 bands in the visible (VIS: 496–710 nm) and 7 bands in
299 the near infrared (NIR: 710–848 nm) wavelengths was applied to the reflectance
300 function of each pixel to remove residual random spectral noise. To assess image
301 spectral quality, reflectance of four spatially homogeneous targets was acquired
302 together with hyperspectral scans. Three reflectance signatures of three rocks with

303 varying brightness at the *dry* site and a green moss turf at the *wet* site were measured
 304 with ASD HH2 from a distance of about 150 mm (i.e. from a circular footprint of 66.5
 305 mm in diameter) and averaged as ground reference spectra. The targets were located
 306 on the hyperspectral images and their reflectance grids of 7x7 pixels (i.e. less than
 307 70x70 mm) were separated and averaged as remotely sensed spectra. The coefficient
 308 of determination for a linear relationship (r^2), the root mean square error (RMSE), and
 309 the index of agreement (d) (see description below) were computed between reference
 310 and remotely sensed spectra to evaluate their similarities.

311

312 Modified triangular vegetation index and reflectance continuum removal

313 Optical vegetation indices (VI) are mathematical transformations of spectral
 314 reflectance designed to maximize their sensitivity towards particular biochemical or
 315 physical plant characteristics and simultaneously to minimize the confounding effects
 316 of other nearby surfaces (e.g. a negative spectral influence of bare soil surrounding or
 317 underneath a vegetation canopy) (Myneni *et al.*, 1995). In this study, we applied the
 318 modified triangular vegetation index 2 (MTVI2; Haboudane *et al.*, 2004) to detect
 319 photosynthetically active moss captured in hyperspectral images of both study sites.
 320 MTVI2 is as a successor of the triangular vegetation index (TVI; Broge & Leblanc,
 321 2001) exploiting systematic changes in the area of the triangle drawn between the
 322 reflectance amplitudes at 550, 670, and 800 nm (i.e. ρ_{550} , ρ_{670} , and ρ_{800}):

$$323 \quad \text{MTVI2} = \frac{1.5 \left[1.2(\rho_{800} - \rho_{550}) - 2.5(\rho_{670} - \rho_{550}) \right]}{\sqrt{(2\rho_{800} + 1)^2 - (6\rho_{800} - 5\sqrt{\rho_{670}}) - 0.5}}. \quad \text{Eqn 2}$$

324 When stressed by insufficient water availability and elevated solar (including
 325 ultraviolet) irradiation, moss canopies change from a healthy fluffy, green turf to a
 326 stress-resisting dense yellow-brown pack, and ultimately a desiccated black mat

(Robinson *et al.*, 2005; Clarke & Robinson, 2008; Turnbull & Robinson, 2009; Wasley *et al.*, 2012). Since these stress reactions are followed by changes in the reflectance function causing a decrease of MTVI2, we could apply the threshold of $MTVI2 \geq 0.25$ to separate the photosynthetically active green moss from moss in this latest dormant stage together with lichens, bare soil, and rocks. The threshold of 0.25 was derived as a breakpoint of the MTVI2 frequency histograms of hyperspectral scans. It corresponds with our observation that high frequencies of low MTVI2 values indicate presence of rocks, bare soil, lichens, and desiccated black moss. The MTVI2 histograms of both hyperspectral scans are provided in Supporting Information Fig. S2.

The reflectance continuum removal (CR) transformation (Clark & Roush, 1984) has been applied in several studies to enhance and normalise the specific absorption features of certain vegetation foliar biochemical constituents (Broge & Leblanc, 2001; Curran *et al.*, 2001; Kokaly, 2001), including xanthophyll and chlorophyll pigments (Malenovský *et al.*, 2006; Kováč *et al.*, 2012; Kováč *et al.*, 2013). Similarly to Malenovský *et al.* (2013), we applied CR to reflectance of photosynthetically active moss between 650 and 720 nm to normalize and enhance modifications in the shape of the reflectance function that are induced by varying red light absorption due to changes in chlorophyll content. Secondly, we applied CR to the reflectance curve between 710 and 780 nm in order to capture and standardise systematic reflectance changes caused by differences in NIR photon scattering and absorbance among moss shoots and leaves (i.e. turf architectural modifications) emerging as a consequence of varying water content (Lovelock & Robinson, 2002). Principles of the CR transformation are depicted for examples of both a drought stressed and an unstressed

351 *B. pseudotriquetrum* spectrum in Fig. 3. The continuum removal is computed
352 according to the equation:

$$353 \quad CR_{j \in \langle \lambda_1, \lambda_2 \rangle} = \left| \left(\frac{\rho_j}{\rho_{ji}} \right) - 1 \right| \quad \text{Eqn 3}$$

354 where ρ_j is the measured reflectance of a band j and ρ_{ji} is the reflectance of the
355 same band linearly interpolated within the predefined wavelength interval of $\langle \lambda_1, \lambda_2 \rangle$
356 (i.e. 650–720 nm or 710–780 nm) (Fig. 3).

357

358 Support vector regression and retrieval error assessment

359 Support vector regression (SVR) is a widely used machine learning technique
360 belonging to the family of support vector machines (SVMs) and designed specifically
361 for a function estimation (Smola & Schölkopf, 2004). SVMs are linear or nonlinear
362 algorithms firmly grounded in the framework of statistical learning theory (Vapnik,
363 1998) that has been developed since the 1960s (Vapnik & Lerner, 1963). These
364 methods use a training optimisation technique to construct a hyperplane or set of
365 hyperplanes in a high- or infinite-dimensional space, which can separate feature-
366 classes for classifications, or quantitative estimates for regressions. The SVR models,
367 proposed originally by Drucker *et al.* (1997), were recently employed in numerous
368 quantitative predictions of biochemical and structural parameters of oceanic and
369 terrestrial vegetation ecosystems from spectral remote sensing observations (Camps-
370 Valls *et al.*, 2006; Camps-Valls *et al.*, 2009; Tuia *et al.*, 2011; Pasolli *et al.*, 2012). In
371 our study, we applied the epsilon-SVR learning machine based on the nonlinear
372 Gaussian radial basis function (RBF) kernel (Vapnik, 1998) to estimate moss
373 chlorophyll *a* and *b* content (Cab), turf water content (TWC) and leaf density (LD).

374 The RBF kernel for two samples x and x' , represented as feature vectors in an input
 375 space, is defined as:

$$376 \quad \text{RBF}(x, x') = \exp\left(-\frac{(x - x')^2}{2\sigma^2}\right), \quad \text{Eqn 4}$$

377 where $(x - x')^2$ is the squared Euclidean distance between the two feature vectors and
 378 σ is a free parameter related to the width parameter of the RBF kernel γ through:

$$379 \quad \gamma = -\frac{1}{2\sigma^2}. \quad \text{Eqn 5}$$

380 We used the epsilon-SVR algorithm available in the C++ Library for Support Vector
 381 Machines (LIBSVM; Chih-Chung & Chih-Jen, 2011). All training inputs were scaled
 382 between zero and one, by assigning the mean of each set to zero and its standard
 383 deviation to one. To find the optimal regression model, we presented each epsilon-
 384 SVR with a training dataset containing either moss reflectance or CR reflectance
 385 values and applied a dual optimisation grid-search combined with a 5-fold cross-
 386 validation to identify the best values for the cost parameter C (i.e. a penalty parameter
 387 of the error term) and for the width parameter γ of the RBF kernel. The cross-
 388 validation prevents overfitting of the regression model. In 5-fold cross-validation, the
 389 training set is divided into five subsets of equal size and only one selected subset is
 390 used to test regression models obtained during the C and γ optimization performed on
 391 the remaining four subsets. The optimal parameters C and γ are selected based on a
 392 minimal mean square error (MSE). The SVR is then trained again with the most
 393 optimal C and γ to generate the best performing prediction model. Our Cab estimating
 394 SVR models were trained on: i) the reflectance of wavelengths influenced by
 395 photosynthetically active foliar pigments (496–719 nm), ii) the reflectance of the
 396 strong absorption wavelengths (648–719 nm) of chlorophyll a and b , and iii) the CR

397 reflectance of spectral interval in ii) excluding the first and last bands that after the
 398 CR transformation give null values (i.e. 652–715 nm). For TWC and LD, the SVR
 399 machines were trained on: i) the reflectance of all available NIR wavelengths
 400 influenced by turf architecture and water content (708–848 nm), ii) the reflectance of
 401 a selected NIR interval (708–782 nm), which excludes redundant bands of a flat
 402 vegetation spectral response called the NIR plateau, and iii) the CR reflectance of the
 403 spectral interval in ii) without the first and last bands of null CR values (i.e. 711–778
 404 nm). The optimal C and γ values and related MSEs of all trained SVRs are available
 405 in Supporting Information Table S1.

406 Once successfully trained, the SVR models were applied in a prediction mode on
 407 independent testing datasets to validate their accuracy and to assess suitability of
 408 tested spectral inputs. For Cab and TWC we used data of 2013 as the training datasets
 409 and data of 1999 as the testing dataset. Since LD was measured only in 1999, the
 410 input dataset was split into training (two-thirds, $n = 49$) and validation subsets (one-
 411 third, $n = 24$), while preserving the Gaussian distribution of both datasets. RMSE,
 412 including its systematic (RMSE_S) and unsystematic (RMSE_U) components, r^2 , and d
 413 were calculated between measured and estimated values to assess the SVR prediction
 414 accuracy. Under the assumption of a one-to-one linear relationship between the
 415 number (N) of error-free observations (O) and predictions (P), Willmott (1981)
 416 postulated RMSE and its systematic and unsystematic components to be computed as:

$$417 \quad \text{RMSE} = \sqrt{\frac{\sum_{i=1}^N (P_i - O_i)^2}{N}}, \quad \text{Eqn 6}$$

$$418 \quad \text{RMSE}_S = \sqrt{\frac{\sum_{i=1}^N (\hat{P}_i - O_i)^2}{N}}, \text{ and} \quad \text{Eqn 7}$$

$$\text{RMSE}_U = \sqrt{\frac{\sum_{i=1}^N (P_i - \hat{P}_i)^2}{N}}, \quad \text{Eqn 8}$$

where $\hat{P}_i = a + bO_i$, and a and b are the coefficients of an ordinary least squares regression between O and P . The systematic and unsystematic components are related to the RMSE as follows:

$$\text{RMSE} = \sqrt{(\text{RMSE}_S^2 + \text{RMSE}_U^2)}. \quad \text{Eqn 9}$$

The RMSE components offer a deeper error assessment of SVR retrieval methods. If RMSE_S prevails over RMSE_U , it means that the retrieval errors originate from the predictive model and that this model will always yield systematically biased estimates. In the opposite situation, when the RMSE is composed mostly by the RMSE_U , the model is as good as it can be and the retrieval inaccuracy originates from random measurement errors caused by limited precision and noise of the applied methods and devices. Finally, the index of agreement (d) complements the RMSE assessment and the coefficient of determination (r^2). It is defined as:

$$d = 1 - \frac{\sum_{i=1}^N (P_i - \bar{O})^2}{\sum_{i=1}^N (|P_i| - |\bar{O}|)^2}, \quad \text{Eqn 10}$$

with ' $P_i = P_i - \bar{O}$ ' and ' $O_i = O_i - \bar{O}$ '. The index indicates the degree to which the observed deviations of the mean observations \bar{O} correspond in magnitude and sign to the predicted deviations of \bar{O} . It is a dimensionless indicator gaining values between 0.0 and 1.0, where $d = 1.0$ signals perfect agreement between estimates and corresponding observations, whereas $d = 0.0$ denotes their complete mismatch (Willmott, 1981).

440 Spatial assessment of relative moss vigour

441 The ultimate goal is to develop a method for assessing actual moss health spatially
 442 and quantitatively from remotely sensed near-distance VNIR hyperspectral data. To
 443 fulfil this objective, the best performing SVRs were applied per-pixel on the
 444 hyperspectral images of the *dry* and *wet* moss sites, resulting in quantitative maps of
 445 Cab and LD. Spatial estimation of TWC could not be accomplished, because the
 446 strength of the TWC signal in NIR moss reflectance was insufficient to train a
 447 satisfactory performing SVR. To provide a single moss health indicator we merged
 448 the Cab and LD maps into a synthetic map of a relative vigour indicator (RVI). RVI
 449 was computed as the mean of Cab and inverted LD, both scaled between zero and the
 450 largest value measured in laboratory, i.e. Cab = 1500 nmol gdw⁻¹ and LD = 15 leaves
 451 mm⁻¹. The final map represents relative vigour, where 100% indicates optimally
 452 growing healthy moss, and 0% indicates moss highly stressed by unfavourable
 453 environmental conditions. Ground-based imaging spectroscopy data and maps
 454 resulting from this study are publically available at the Australian Antarctic Data
 455 Centre (Malenovsky *et al.*, 2015).

456

457 **Results**

458 Moss reflectance changes induced by water stress

459 The crucial changes in moss reflectance during the drought-stress rehydration
 460 experiment are demonstrated by three examples in Figures 4 to 6. At the beginning of
 461 the experiment *C. purpureus* (Fig. 4) was rather dry (indicated by the lack of water
 462 absorption at 900–1000 nm) and contained predominantly red pigments (denoted by
 463 reflectance at 550 nm (ρ_{550}) < reflectance at 625 nm (ρ_{625})) in small undeveloped and
 464 densely packed leaves (MTVI2 = 0.36). After growing for 6 days under optimal

465 conditions with regular irrigation, this species produced new green leaves resulting in
 466 $\rho_{550} = \rho_{625}$, but without any significant change in the NIR region (besides increased
 467 water absorption at 900–1000 nm) (MTVI2 = 0.39). Over the next three weeks, it
 468 produced a canopy of larger leaves with higher Cab, reflecting more NIR light ($\rho_{550} >$
 469 ρ_{625}) (MTVI2 = 0.67). Similarly, *S. antarctici* (Fig. 5) was rather red coloured and dry
 470 at the start (MTVI2 = 0.26). Six days in the growth chamber without additional water
 471 supply (drought stress) did not trigger much change in foliar pigment quantity and
 472 composition, indicated by almost no change in the VIS wavelengths, but did induce
 473 architectural turf changes. As turf dried out, the leaves ceased photosynthesis (no
 474 chlorophyll fluorescence was detected) and curled up, which effectively enhanced leaf
 475 density per mm of shoot length. These changes allowed NIR photons to penetrate and
 476 to be absorbed deeper into the turf, which lowered turf NIR reflectance. The area of
 477 triangle delineated between ρ_{550} , ρ_{670} , and ρ_{800} became smaller (MTVI2 = 0.17) than
 478 the one measured after 21 days of regular watering (MTVI2 = 0.39), when fresh larger
 479 leaves full of chlorophyll stimulated a significant increase in ρ_{550} and ρ_{800} (Fig. 5).
 480 These spectral changes justify our use of the MTVI2 threshold as a separator of
 481 spectrally dark, desiccated, and photosynthetically inactive turf (together with soil and
 482 stones) from brighter, wet, and actively growing moss. Finally, *B. pseudotriquetrum*
 483 (Fig. 6) was moist and had green open leaves (i.e. $\rho_{550} > \rho_{625}$, high ρ_{NIR} , and MTVI2
 484 = 0.92) when collected. The drought-stress treatment applied for 6 days caused
 485 similar spectral changes as seen for *S. antarctici* above, with ρ_{NIR} becoming strongly
 486 diminished by shoot shrinking and leaf curling due to the low TWC (MTVI2 = 0.49).
 487 The *B. pseudotriquetrum* canopy flourished during the following three weeks in
 488 optimal growing conditions, as demonstrated by the substantial increase in area above
 489 the reflectance curve between 650 and 715 nm due to a higher Cab, and also by an

490 expanding area under the curve between 710 and 780 nm (MTVI2 = 1.46) caused by
491 larger and less dense leaves at the top of the canopy.

492

493 Spectral estimation of turf chlorophyll, water content and leaf density

494 Unfortunately, about 40% of the drought-stress rehydration experiment samples had
495 to be excluded either because the weight of collected apices was too low after drying
496 for reliable Cab determination (less than 8 mg), or because desiccated moss turfs
497 occasionally fell apart and their reflectance could not be properly measured. Despite
498 these losses, Table 1 shows a sufficiently high coefficient of dispersion and an
499 acceptable coefficient of variation (close to one) computed for 54 Cab training
500 samples of all species from 2013. Both coefficients computed for TWC of the pilot
501 species *S. antarctici* training dataset from 2013 indicate that the TWC variation and
502 dispersion originated mainly from samples under drought stress. Although TWC
503 variation was suboptimal, dispersion of TWC values appeared to be adequate, i.e.
504 close to one (Poisson distribution). The same is true for the LD training data from
505 1999 (Table 1).

506 Because absorption of chlorophyll molecules *a* and *b* is species independent, the
507 Cab estimating SVR was trained with inputs of all three species together. The SVR
508 trained with reflectance of 496–719 nm systematically underestimated the Cab
509 content (Fig. 7a). Estimates with RMSE_S two-times larger than RMSE_U suggest an
510 insufficient performance of the model. The performance was significantly improved
511 (RMSE_S < RMSE_U) after training the SVR with reflectance of specific chlorophyll
512 absorption wavelengths between 648 and 719 nm (Fig. 7b). The best results were,
513 however, obtained with the CR reflectance of the same spectral region (RMSE =
514 238.3 nmol dwg⁻¹, $r^2 = 0.54$, and $d = 0.85$) (Fig. 7c).

515 Unlike Cab, the estimation of TWC strongly depends on the turf (shoot) structural
 516 characteristics of each species (Stanton *et al.*, 2014) and must be performed per
 517 species. We attempted to train SVR for TWC estimation of *S. antarctici* using
 518 reflectance of two spectral intervals (708–848 and 708–782 nm) and CR reflectance
 519 of the latter interval. Validation results revealed that reflectance-based SVRs were
 520 unable to predict TWC, producing negative estimates in many cases. Although all
 521 TWC estimations of the CR-based SVR gained positive values, they were inaccurate
 522 and significantly different from the laboratory measurements (RMSE = 3.0 gH₂O
 523 gdw⁻¹, $r^2 = 0.01$, and $d = 0.43$). Finally, LD of *S. antarctici* was estimated with SVR
 524 models trained with the same spectral inputs as TWC. Fig. 7d,e,f shows that all three
 525 SVRs retrieved reasonable LD predictions (RMSE ≤ 2.3 leaves mm⁻¹, RMSE_S <
 526 RMSE_U, $r^2 \geq 0.35$, and $d \geq 0.78$), but the best results were achieved with SVR based
 527 on reflectance between 708 and 782 nm (RMSE = 1.8 leaves mm⁻¹, $r^2 = 0.55$, and $d =$
 528 0.86). These outcomes suggest that Cab and LD moss parameters are retrievable from
 529 VNIR reflectance with acceptable accuracy, whereas estimation of TWC is not
 530 feasible with the available VNIR wavelengths.

531

532 Maps of relative moss vigour

533 Before conducting the moss health assessment of *wet* and *dry* research sites, spectral
 534 quality of their field hyperspectral images was tested using four spatially
 535 homogeneous natural targets. Results provided in Supporting Information (Fig. S3)
 536 demonstrate that the reference and remotely sensed spectral signatures are in close
 537 agreement (RMSE ≤ 0.0156 , $r^2 \geq 0.89$, and $d \geq 0.90$), especially within the spectral
 538 range of 600–848 nm. This confirms that the radiometric and atmospheric corrections

539 applied were effective and that retrievals will not be affected by errors originating
540 from hyperspectral data processing.

541 Pixels of hyperspectral images with $MTVI2 < 0.25$ representing stones, soil, and/or
542 desiccated moss were masked out and the best performing SVRs (Fig. 7c,e) were
543 applied per pixel to estimate Cab and effective LD of photosynthetically active moss
544 pixels (Fig. 8a,b and Fig. 9a,b). Subsequently, the relative moss vigour indicator was
545 computed as the mean of Cab and LD maps scaled between zero and one (Fig. 8c and
546 Fig. 9c). Visual comparison of the maps confirms our expectation that moss turf of the
547 *wet* site has generally greater relative vigour than the *dry* site, caused by higher Cab
548 and lower LD. The relative distribution of *dry* site Cab is shifted towards lower values
549 when compared to the *wet* site (Fig. 10a,d), while the opposite trend is seen for LD
550 (Fig. 10b,e). Although these results clearly depend on the actual spatial extent of
551 photosynthetically active moss captured in each hyperspectral scan, the spatial
552 patterns and assessments of the relative moss vigour (Fig. 10c,f) correspond well with
553 our visual in-situ observations.

554

555 Discussion

556 Interpretation and validation of quantitative retrievals

557 The method described here allows spatial quantitative evaluation of moss vigour at
558 sub-centimetre resolution over an area of several square metres, which represents a
559 significant advance compared to the earlier laboratory-based sampling methods
560 (Lovelock & Robinson, 2002; Robinson *et al.*, 2005; Schlenso *et al.*, 2013).
561 However, traditional ground-sampling approaches are still crucial for calibration and
562 accuracy assessment of this novel indirect method. While the laboratory validation of
563 Cab and LD estimates confirmed that our SVR models with RMSE originating mainly

564 from measurement inaccuracies ($RMSE_S < RMSE_U$) are robust predictors (Fig. 7c,e),
565 TWC prediction appeared to be unfeasible. This was because the NIR spectral region
566 used for TWC retrieval did not encompass wavelengths with sufficient water
567 absorption (Curcio & Petty, 1951). The minor water absorption features centred
568 around 970 and 1200 nm are more suitable for estimating canopy water content
569 (Clevers *et al.*, 2010; Ollinger, 2011), but these wavelengths were either too noisy or
570 unavailable in our spectral data.

571 Our observations indicate that LD can, to some extent, be used as an indirect
572 measure of TWC. Physical and optical relationships between LD, TWC, and NIR
573 reflectance are illustrated in Fig. 11. The top of a sufficiently watered moss canopy
574 with expanded leaves reflects significantly more NIR light than a desiccated canopy.
575 The shrunk shoots and curled leaves of dry moss (Fig. 6) allow photons to travel
576 deeper inside the turf where they are absorbed (Fig. 11a,b). Zotz and Kahler (2007)
577 observed the same phenomenon for canopies of the moss *Tortula ruralis*. Their fibre
578 optic probe measuring photosynthetically active radiation revealed light penetration of
579 *c.* 0.8 cm in a dry moss canopy (TWC 27%), whereas in fully hydrated moss turf
580 (TWC 95%) light reached a depth of only 0.4 cm. Small leaves with high LD, typical
581 in stress-impacted mosses, increase NIR light scattering and consequently also photon
582 absorbance probability, which in turn decreases moss reflectance (Fig. 11c,d). Leaves
583 contracted and curled by actual water shortage effectively enhance LD, which further
584 amplifies these optical effects (Fig. 11e,f). Hence, physically and/or effectively high
585 LD retrieved from moss turf NIR reflectance can be considered as a synthetic
586 indicator of acute low TWC combined with a long-term environmental stress load. It
587 must be noted that changing shoot density also affects NIR reflectance independently
588 from LD and occurrence of curling. Thus, a dedicated experiment investigating

589 architectural canopy changes caused by desiccation of different moss species is
590 required to confirm this conclusion and to investigate species-specific relations.

591 Finally, despite the successful validation of hyperspectral images (Fig. S3), the Cab
592 and LD maps should be still compared with in-situ observations and laboratory
593 measurements. Although the SVR models proved to be robust, limited variation in our
594 training datasets contributes to high RMSE_U (Fig. 7) and potentially causes inaccurate
595 estimates of underrepresented Cab and LD values. Consequently, SVRs re-trained on
596 extended datasets of increased variation might provide more accurate results with
597 lower RMSE_U.

598

599 Method expansion and remote sensing application

600 Although only two stress indicators were combined in this study, more quantitative
601 predictors could be incorporated in future moss vigour assessments. For example,
602 green and red moss reflectance (i.e. wavelengths around 530 and 600 nm) can be used
603 to reveal the content of xanthophyll and anthocyanin pigments (Francis, 1982;
604 Gilmore & Yamamoto, 1991) indicating the level of photoprotection required by moss
605 in response to solar irradiation stress (Gamon & Surfus, 1999; Kováč *et al.*, 2013).
606 Since microhabitat light and moisture gradients also influence moss photosynthetic
607 traits (Waite & Sack, 2010; Bramley-Alves *et al.*, 2015), certain stress-indicative
608 photosynthetic parameters (e.g. non-photosynthetic quenching or light use efficiency)
609 measured remotely from a distance of several meters using laser-induced chlorophyll
610 fluorescence transient (LIFT) techniques (Kolber *et al.*, 2005; Pieruschka *et al.*, 2014)
611 could also be included to complement the monitoring approach presented here.

612 By scaling up moss sample-based laboratory spectroscopy measurements to field
613 hyperspectral scans, our study paves the way for future imaging spectroscopy of

entire moss-beds sensed from piloted or unmanned aircraft systems (Lucieer *et al.*, 2014; Turner *et al.*, 2014). Yet, to fully address scientific questions related to the impact of a changing Antarctic climate on the dominant cryptogamic vegetation, local ground and airborne surveys need to be scaled further to regional satellite observations of low spatial, but high temporal resolutions.

619

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631

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857

858 **Supporting Information**

859 **Fig. S1** Illustration of *dry* and *wet* research plots at the Antarctic Specially
860 Protected Area 135.

861 **Fig. S2** Establishment of the modified triangular vegetation index 2 (MTVI2)
862 threshold.

863 **Fig. S3** Spectral quality validation of ground-based hyperspectral images
864 acquired at both plots.

865 **Table S1** Optimised input parameters and mean square errors of support vector
866 regressions.

867

868 **Tables**

869

870 **Table 1** Statistical description of chlorophyll *a* and *b* (Cab) and turf water content
871 (TWC) for moss training samples (*C. purpureus*, *S. antarctici*, *B. pseudotriquetrum*)
872 from 2013 and leaf density (LD) of 1999 *S. antarctici* training input samples.

<i>Variable</i>	<i>Species</i>	<i>n</i>	<i>Trea- tment</i>	<i>Mean</i>	<i>Std. Dev.</i>	<i>Coef. of Var.¹</i>	<i>Coef. of Disp.²</i>
Cab – 2013 [nmol gdw ⁻¹]	<i>C. purpureus</i>	19	DRY ³	386.8	420.0	1.09	456.0
	<i>S. antarctici</i>	19	DRY	278.7	266.7	0.96	255.2
	<i>B. pseudotri- quetrum</i>	16	DRY	549.5	309.5	0.56	174.3
	<i>Total</i>	54	<i>All</i>	397.0	351.0	0.88	310.5
TWC – 2013 [gH ₂ O gdw ⁻¹]	<i>S. antarctici</i>	19	DRY	3.77	2.23	0.59	1.32
		19	WET ⁴	5.75	1.49	0.26	0.39
		38	<i>Both</i> ⁵	4.79	2.11	0.44	0.93
LD – 1999 [leaves mm ⁻¹]	<i>S. antarctici</i>	49	N/A ⁶	8.62	2.60	0.30	0.78

873 ¹ Coefficient of Variation ~ Standard Deviation-to-Mean ratio (<1 ~ low variation, ≥1 ~ high variation)

874 ² Coefficient of Dispersion ~ Variance-to-Mean ratio (0 ~ not dispersed, <1 ~ under-dispersed, ≥1 ~
875 well-dispersed)

876 ³ DRY treatment ~ sampled moss was kept for 6 days without water supply and then regularly irrigated.

877 ⁴ WET treatment ~ sampled moss was irrigated regularly during whole experiment.

878 ⁵ Both ~ DRY and WET treatments merged together.

879 ⁶ N/A ~ Not Applicable.

880

881

882 **Figure legends**

883

884 **Fig.1**

885 Geographical location of Antarctic Specially Protected Area (ASPA) 135 study sites
 886 close to the Australian Antarctic station Casey (a, b); the ground-based hyperspectral
 887 instrumentation in field (at the *dry* test site) (c); the Micro-Hyperspec imaging
 888 spectroradiometer (Headwall Inc., Fitchburg, USA) mounted on rotation and tilt
 889 platform (d); and a false-coloured near-distance hyperspectral image of a *Schistidium*
 890 *antarctici* moss bed at the *dry* test site (e). Red colour indicates photosynthetically
 891 active mosses, whereas grey and black colours represent rocks, bare soil, lichens, and
 892 desiccated black turf.

893

894 **Fig. 2**

895 Examples of laboratory drought-stress rehydration experiment samples of the three
 896 Antarctic moss species (*Ceratodon purpureus*, *Bryum pseudotriquetrum* and
 897 *Schistidium antarctici*) collected on 26 December 2012 (a). Half of the samples
 898 serving as controls were kept in optimal growing conditions and irrigated during the
 899 whole experiment (see example of red-coloured *C. purpureus*; blue tap icon
 900 symbolises regular irrigation every 2nd day), while the other half was kept without
 901 water until 1 December 2013 and then irrigated regularly until the end of the
 902 experiment on 25 January 2013 (see examples of green *B. pseudotriquetrum* and red-
 903 coloured *S. antarctici*; red crossed tap icon symbolises water-stress). Reflectance of
 904 all moss samples was measured every 6th day in a dark chamber with an ASD
 905 HandHeld-2 (HH2) spectrometer (ASD Inc. & PANalytical, Boulder, USA) coupled
 906 with a halogen tungsten irradiation light source (b).

907

908 **Fig. 3**

909 Mathematical explanation of the continuum removal (CR) transformation for spectral
 910 ranges of 650–715 and 710–780 nm, demonstrated on reflectance functions of a
 911 water-stressed and an unstressed *Bryum pseudotriquetrum* (a). Linearly interpolated
 912 values (ρ_i) are first computed for the given spectral intervals (dotted lines) and then
 913 applied per wavelength to normalize the original reflectance (ρ). The absolute value
 914 of this ratio subtracted from one results in the continuum removed (CR) reflectance of
 915 both spectral intervals: 650–715 nm (b) and 710–780 nm (c).

916

917 **Fig. 4**

918 Reflectance signatures and corresponding micro-photographs of *Ceratodon purpureus*
 919 collected and measured on 26 December 2012 (MTVI2 = 0.36), kept in optimal
 920 growing conditions (sufficiently irrigated) and re-measured on 1 January 2013
 921 (MTVI2 = 0.39) and 25 January 2013 (MTVI2 = 0.67). The green background
 922 highlights the spectral range influenced mainly by actual composition and amount of
 923 foliar pigments, whereas the blue background indicates the spectral range influenced
 924 mainly by effective turf foliar density and actual turf water content.

925

926 **Fig. 5**

927 Reflectance signatures and corresponding micro-photographs of *Schistidium*
 928 *antarctici* collected and measured on 26 December 2012 (MTVI2 = 0.26), kept in a
 929 growth chamber without water and re-measured on 1 January 2013 (MTVI2 = 0.17),
 930 then rehydrated (sufficiently irrigated) and measured again on 25 January 2013
 931 (MTVI2 = 0.39). Dashed lines delineating triangles between reflectance amplitudes at

932 550, 670 and 800 nm indicate increase in the area inside of the triangle with
933 decreasing stress load. Declining MTVI2, caused by shrinking and curling of leaves
934 indicates low water content on 1 January 2013. Green and blue backgrounds are
935 explained in Fig. 4.

936

937 **Fig. 6**

938 Reflectance signatures and corresponding microscopic photographs of *Bryum*
939 *pseudotriquetrum* collected and measured on 26 December 2012 (MTVI2 = 0.92),
940 kept in a growth chamber without water and re-measured on 1 January 2013 (MTVI2
941 = 0.49), and then rehydrated and measured again on 25 January 2013 (MTVI2 = 1.46).
942 Dotted lines denote spectral regions used for the continuum removal transformation.
943 They show that both areas under the curve at 650–715 nm and at 710–780 nm
944 increase as the stress load decreases and as the moss turf produces more chlorophyll
945 and leaves open up. Declining MTVI2, caused by shrinking and curling of leaves and
946 shoots, indicates low water content on 1 January 2013. Green and blue backgrounds
947 are explained in Fig. 4.

948

949 **Fig. 7**

950 Accuracy assessment of chlorophyll *a+b* content (Cab) and mean leaf density (LD)
951 estimates from *Schistidium antarctici* spectral measurements. Cab was estimated by
952 support vector regression from samples collected in 1999 (n = 80) using their
953 reflectance between 496 and 719 nm (SVR-R_{496–719}) (a), reflectance between 648 and
954 719 nm (SVR-R_{648–719}) (b), and continuum removed reflectance of the latter spectral
955 interval without the edging wavelengths gaining zero values (SVR-CR_{652–715}) (c). LD
956 was also estimated by support vector regression from samples of 1999 (n = 24) using

sample reflectance between 708 and 848 nm (SVR- $R_{708-848}$) (d), reflectance between 708 and 782 nm (SVR- $R_{708-782}$) (e), and continuum removed reflectance of the latter spectral interval without the edging wavelengths gaining zero values (SVR- $CR_{711-778}$) (f). Estimates are plotted against Cab content and LD measured in laboratory. Solid line indicates the expected one-to-one linear relationship and dashed line is the linear regression function computed between measured and estimated Cab ($r^2 \sim$ coefficient of determination, $d \sim$ index of agreement, RMSE \sim root mean square error, $RMSE_S \sim$ systematic component of RMSE, $RMSE_U \sim$ unsystematic component of RMSE).

965

966 **Fig. 8**

Maps of quantitative stress indicators: chlorophyll $a+b$ content (a), effective moss turf leaf density (b), and a synthetic map of relative moss vigour indicator (c) derived for the *dry* test site of the ASPA 135 *Schistidium antarctici* moss bed from the field hyperspectral image acquired on 10 January 2013 using the best performing support vector regression models trained with moss laboratory measurements. The maps were generalised with a median filter of 7 by 7 pixels for easier interpretation. Grey and black colours represent rocks, bare soil, lichens, and desiccated black moss turf.

974

975 **Fig. 9**

Maps of quantitative stress indicators: chlorophyll $a+b$ content (a), effective moss turf leaf density (b), and a synthetic map of relative moss vigour indicator (c) derived for the *wet* test site of the ASPA 135 *Schistidium antarctici* moss bed from the field hyperspectral image acquired on 30 January 2013 using the best performing support vector regression models trained with moss laboratory measurements. The maps were

981 generalised with a median filter of 7 by 7 pixels for easier interpretation. Grey and
982 black colours represent rocks, bare soil, lichens, and desiccated black moss turf.

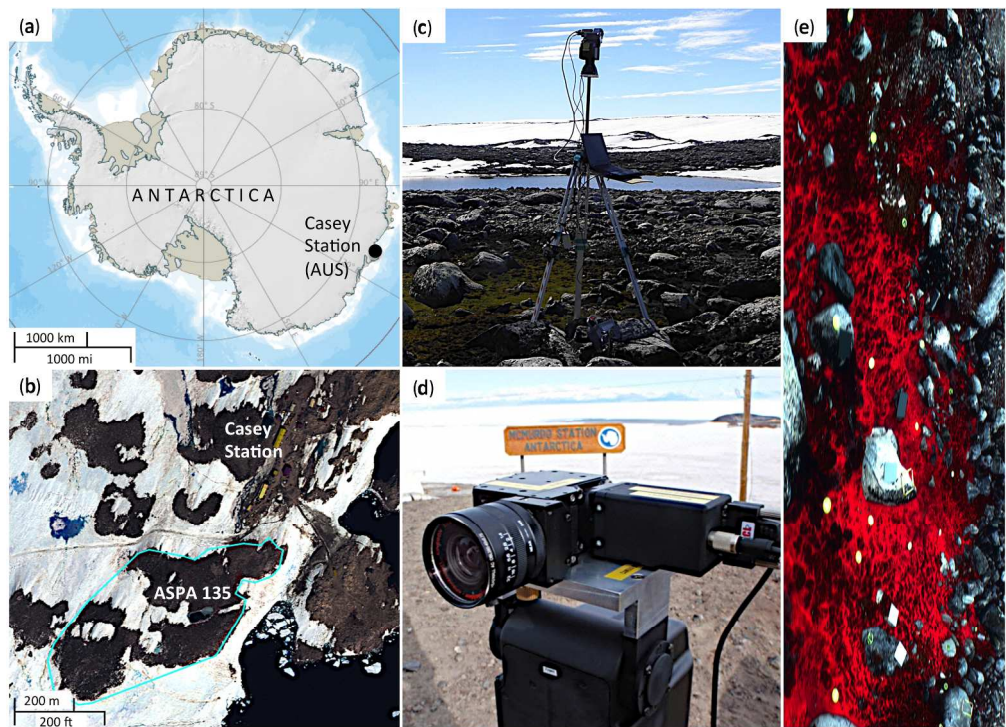
983
984 **Fig. 10**

985 Frequency histograms displaying relative abundance of chlorophyll *a+b* content (Cab)
986 (a, d), effective moss turf leaf density (LD) (b, e), and the relative vigour indicator
987 (RVI) (c, f) integrating both quantitative characteristics for all photosynthetically
988 active moss pixels captured in the hyperspectral scan of the ASPA 135 *dry* test site
989 (a–c, see Fig. 8) and *wet* test site (d–f, see Fig. 9).

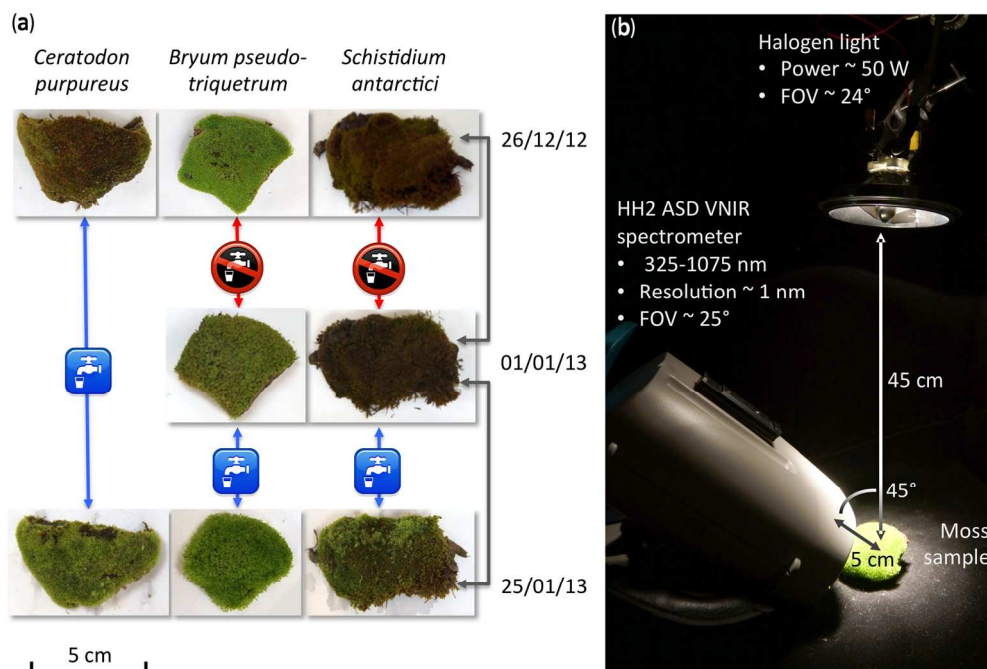
990
991 **Fig. 11**

992 Schematic interactions between shoot structure and photons of near infrared (NIR)
993 light demonstrating the link between turf water content (TWC), effective leaf density
994 (LD) and resulting NIR reflectance. Leaves of hydrated moss are fully expanded (a, c,
995 and d), which means that the upper canopy reflects a significant portion of incident
996 NIR light (up to 50%; e.g. Fig. 6, 25/01/2013). Contrary to this, shoots of desiccated
997 moss are shrunken with curled leaves (b, e, and f), allowing NIR photons to penetrate
998 and be absorbed deeper inside the canopy, which reduces NIR reflectance (sometimes
999 by more than half; e.g. Fig. 6, 01/01/2013). Smaller leaves of higher LD, typical for a
1000 moss impacted by a chronic stress (d and f), trigger more interactions between NIR
1001 photons and moss shoots (i.e. a higher multiple scattering), which increases the
1002 probability of NIR transmission and/or absorption by the canopy. This diminishes the
1003 NIR reflection, even when turf is wet and leaves are expanded (d). Upon desiccation,
1004 mosses shrink and their leaves curl, which simulates increased shoot LD and produces
1005 NIR photon-leaf interactions similar to those inside a moss turf with expanded leaves

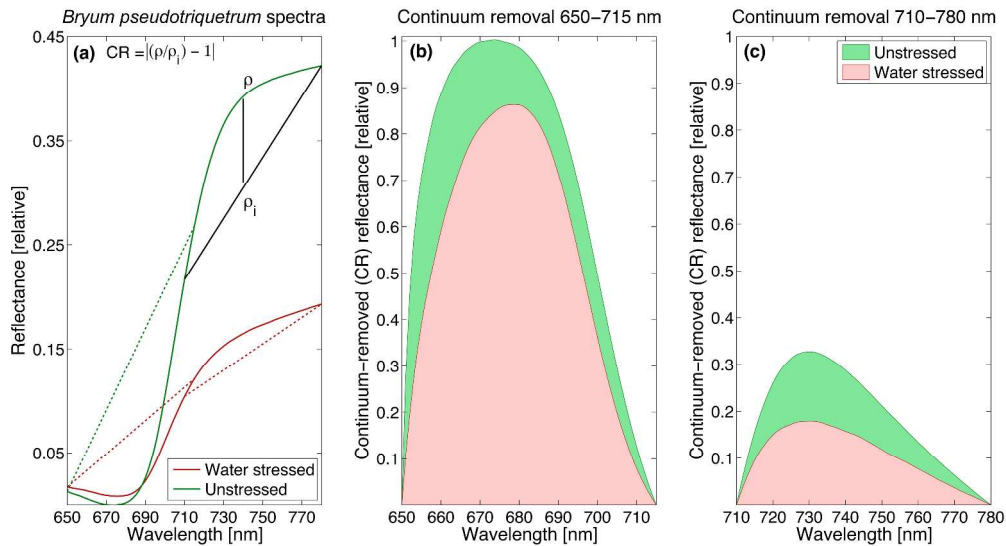
1006 of a higher density (c.f. c and d vs. e). The desiccation-induced structural changes
1007 enhance diffusion and absorbance of NIR light in lower turf layers, which further
1008 reduces amount of NIR photons reflected by dry moss gametophytes with small
1009 curled leaves of a high LD (f).



Geographical location of Antarctic Specially Protected Area (ASPA) 135 study sites close to the Australian Antarctic station Casey (a, b); the ground-based hyperspectral instrumentation in field (at the *dry* test site) (c); the Micro-Hyperspec imaging spectroradiometer (Headwall Inc., USA) mounted on rotation and tilt platform (d); and a false-coloured near-distance hyperspectral image of a *Schistidium antarctici* moss bed at the *dry* test site (e). Red colour indicates photosynthetically active mosses, whereas grey and black colours represent rocks, bare soil, lichens, and desiccated black turf.
320x231mm (300 x 300 DPI)

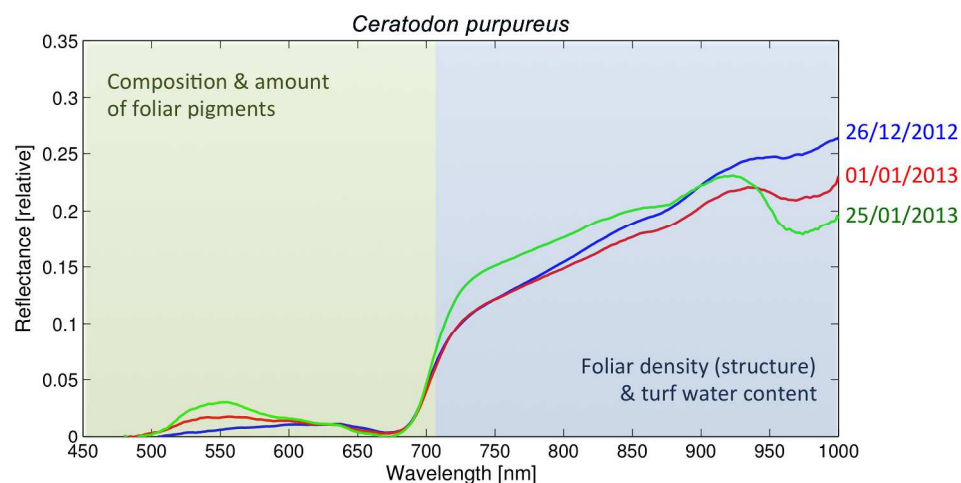


Examples of laboratory drought-stress rehydration experiment samples of the three Antarctic moss species (*Ceratodon purpureus*, *Bryum pseudotriquetrum* and *Schistidium antarctici*) collected on 26 December 2012 (a). Half of the samples serving as controls were kept in optimal growing conditions and irrigated during the whole experiment (see example of red-coloured *C. purpureus*; blue tap icon symbolises regular irrigation every 2nd day), while the other half was kept without water until 1 December 2013 and then irrigated regularly until the end of the experiment on 25 January 2013 (see examples of green *B. pseudotriquetrum* and red-coloured *S. antarctici*; red crossed tap icon symbolises water-stress). Reflectance of all moss samples was measured every 6th day in a dark chamber with an ASD HandHeld-2 (HH2) spectrometer (ASD Inc. & PANalytical, USA) coupled with a halogen tungsten irradiation light source (b).
160x106mm (300 x 300 DPI)



Mathematical explanation of the continuum removal (CR) transformation for spectral ranges of 650–715 and 710–780 nm, demonstrated on reflectance functions of a water-stressed and an unstressed *Bryum pseudotriquetrum* (a). Linearly interpolated values (ρ_i) are first computed for the given spectral intervals (dotted lines) and then applied per wavelength to normalize the original reflectance (ρ). The absolute value of this ratio subtracted from one results in the continuum removed (CR) reflectance of both spectral intervals: 650–715 nm (b) and 710–780 nm (c).

388x212mm (300 x 300 DPI)



26 December 2012



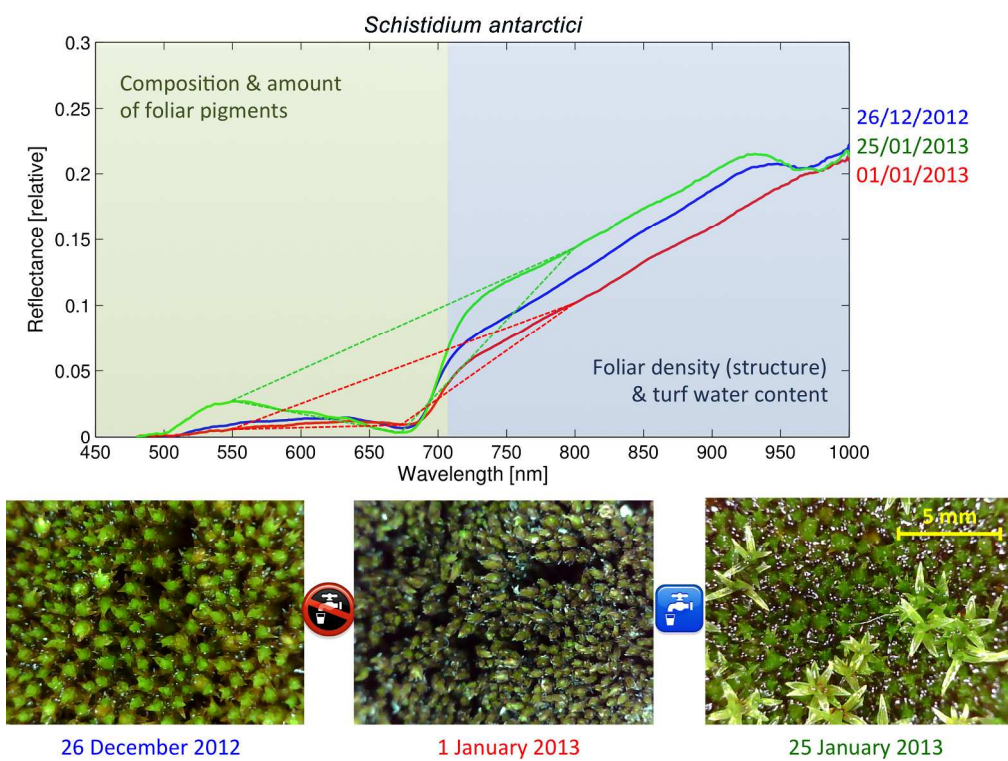
1 January 2013



25 January 2013

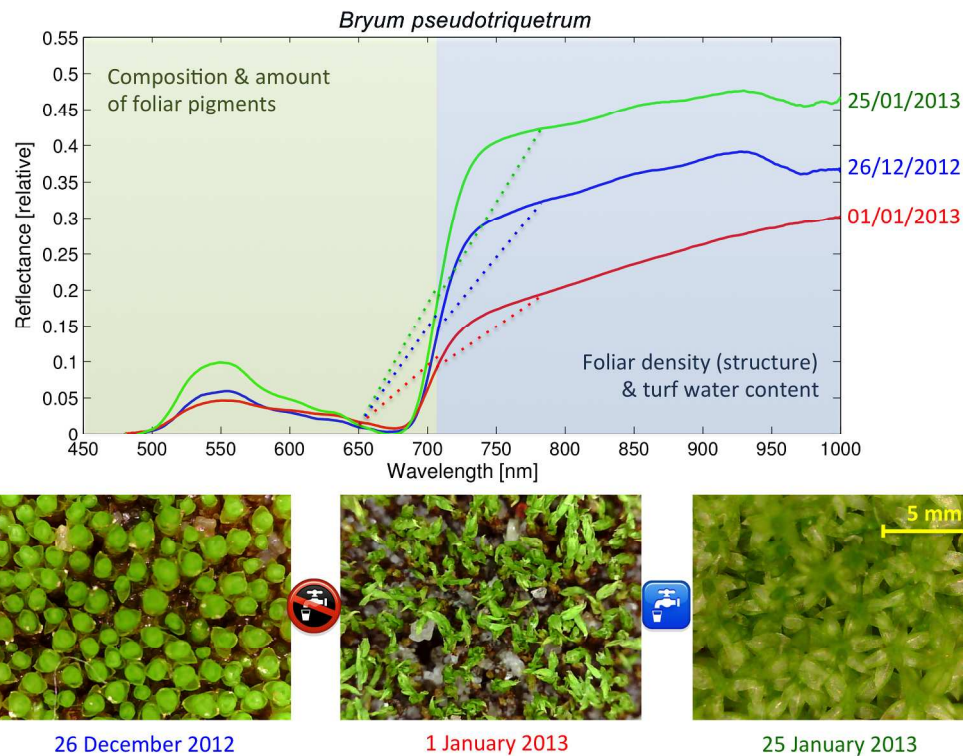
Reflectance signatures and corresponding micro-photographs of *Ceratodon purpureus* collected and measured on 26 December 2012 (MTVI2 = 0.36), kept in optimal growing conditions (sufficiently irrigated) and re-measured on 1 January 2013 (MTVI2 = 0.39) and 25 January 2013 (MTVI2 = 0.67). The green background highlights the spectral range influenced mainly by actual composition and amount of foliar pigments, whereas the blue background indicates the spectral range influenced mainly by effective turf foliar density and actual turf water content.

320x239mm (300 x 300 DPI)



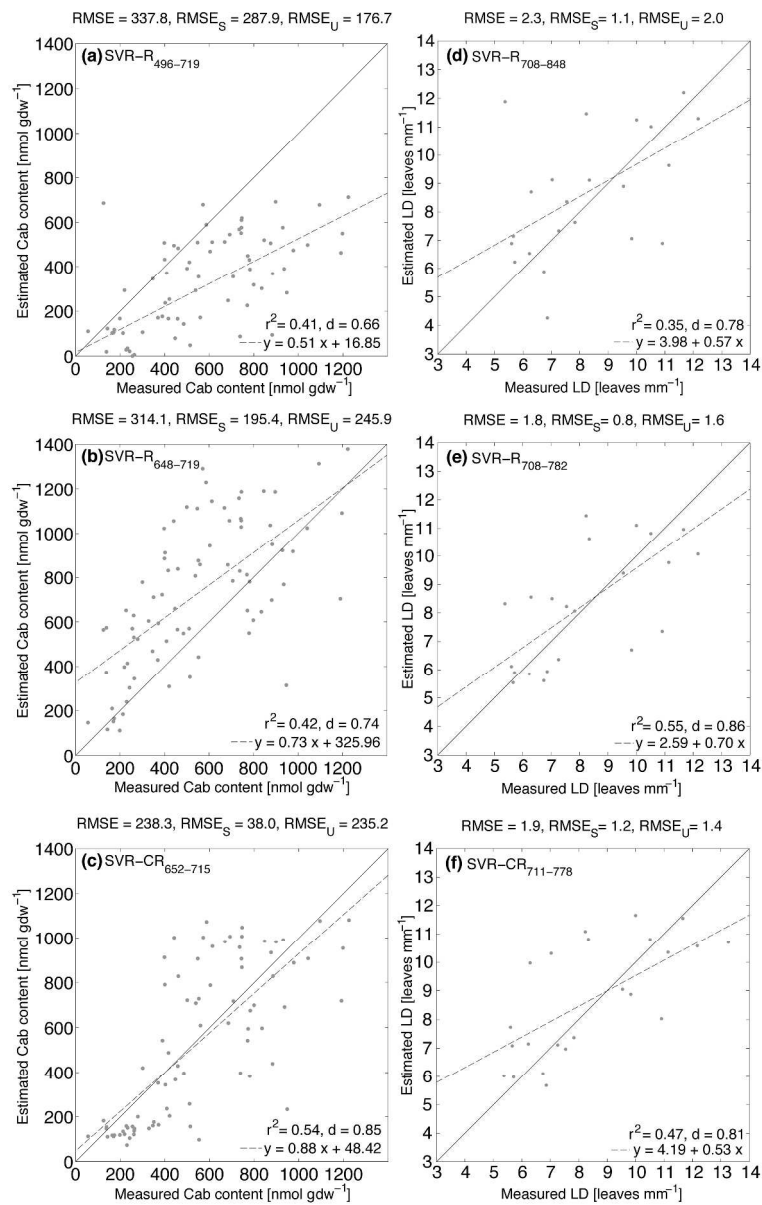
Reflectance signatures and corresponding micro-photographs of *Schistidium antarctici* collected and measured on 26 December 2012 (MTVI2 = 0.26), kept in a growth chamber without water and re-measured on 1 January 2013 (MTVI2 = 0.17), then rehydrated (sufficiently irrigated) and measured again on 25 January 2013 (MTVI2 = 0.39). Dashed lines delineating triangles between reflectance amplitudes at 550, 670 and 800 nm indicate increase in the area inside of the triangle with decreasing stress load. Declining MTVI2, caused by shrinking and curling of leaves indicates low water content on 1 January 2013. Green and blue backgrounds are explained in Fig. 4.

320x239mm (300 x 300 DPI)



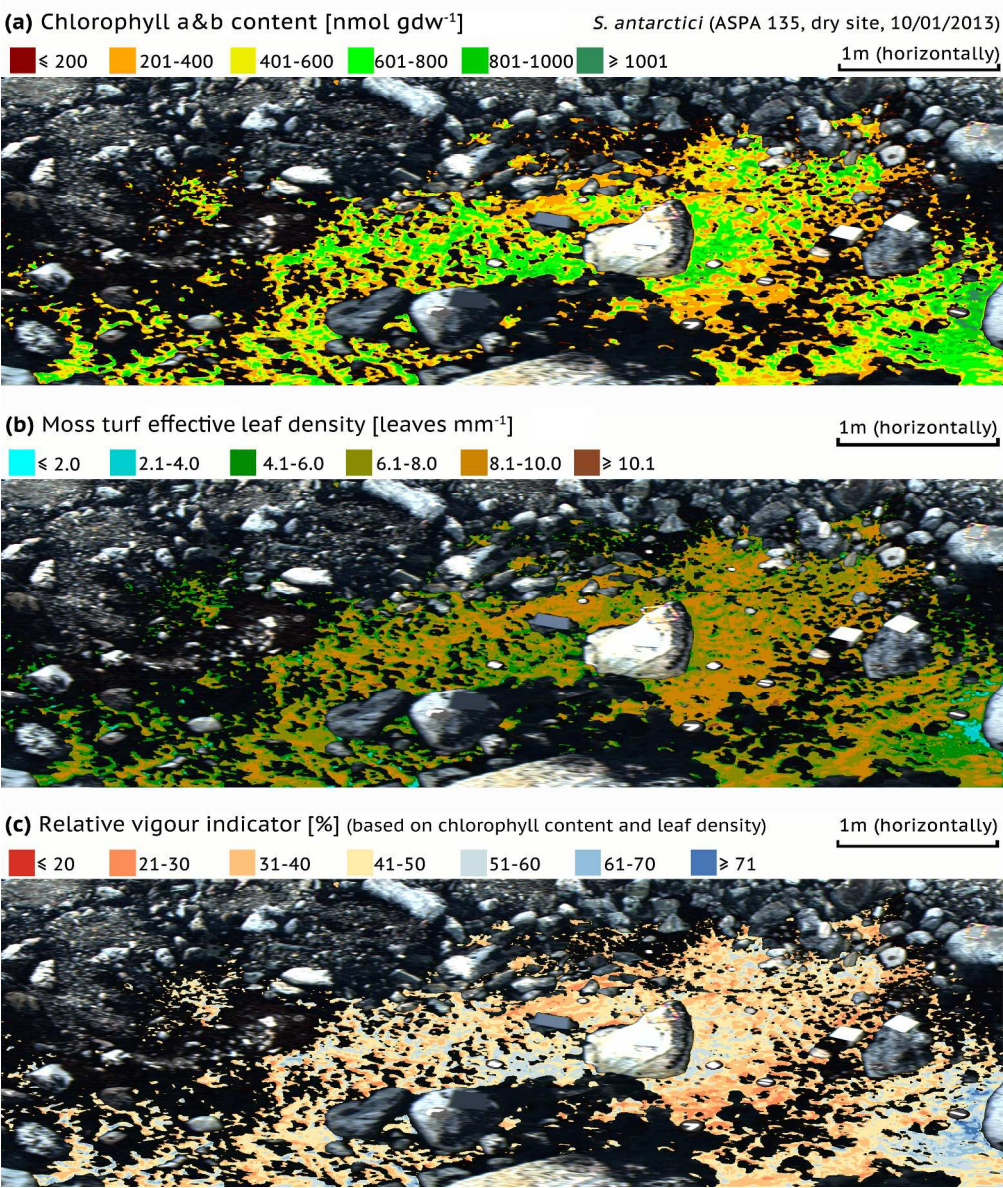
Reflectance signatures and corresponding microscopic photographs of *Bryum pseudotriquetrum* collected and measured on 26 December 2012 (MTVI2 = 0.92), kept in a growth chamber without water and re-measured on 1 January 2013 (MTVI2 = 0.49), and then rehydrated and measured again on 25 January 2013 (MTVI2 = 1.46). Dotted lines denote spectral regions used for the continuum removal transformation. They show that both areas under the curve at 650–715 nm and at 710–780 nm increase as the stress load decreases and as the moss turf produces more chlorophyll and leaves open up. Declining MTVI2, caused by shrinking and curling of leaves and shoots, indicates low water content on 1 January 2013. Green and blue backgrounds are explained in Fig. 4.

320x240mm (300 x 300 DPI)

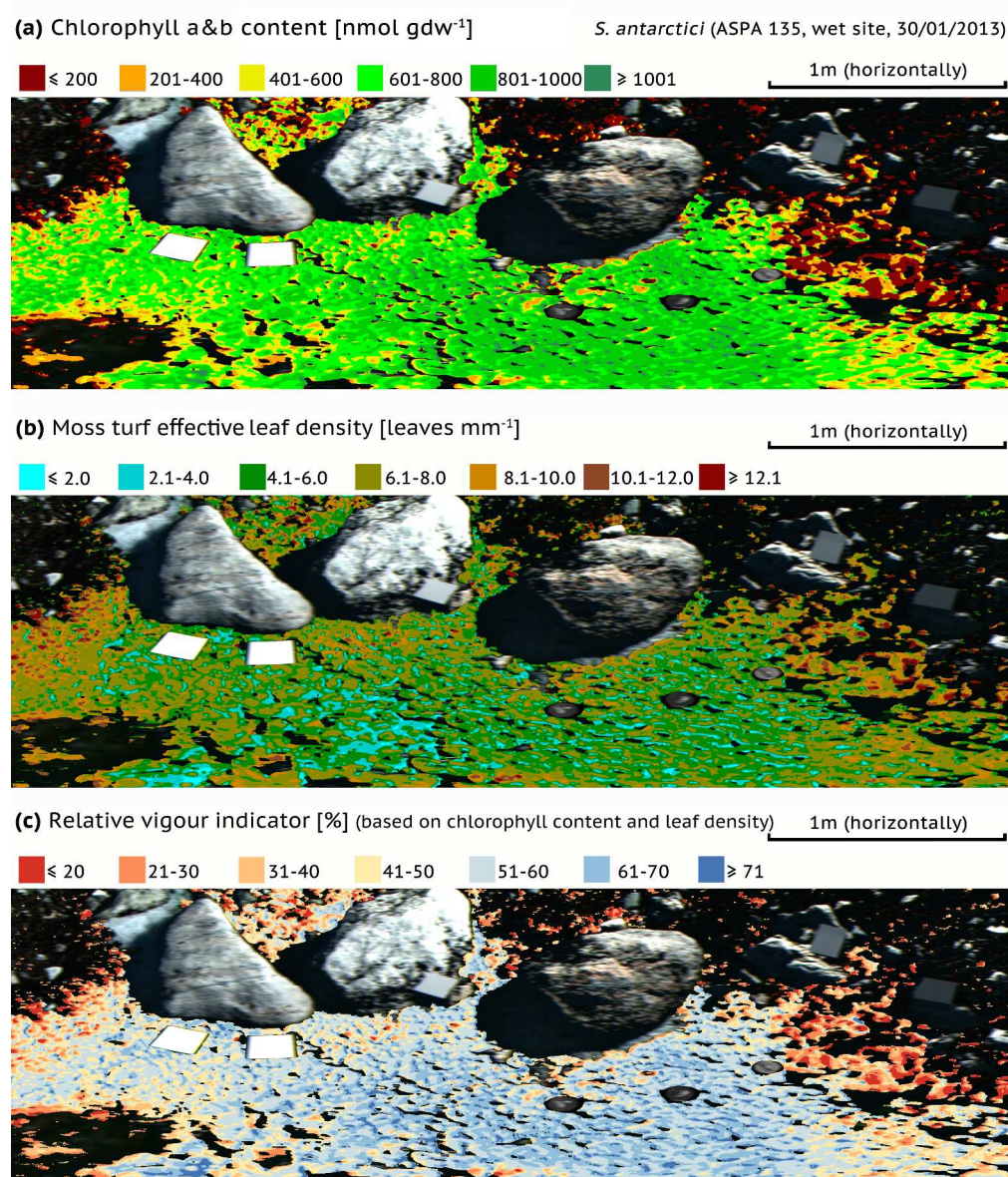


Accuracy assessment of chlorophyll *a+b* content (Cab) and mean leaf density (LD) estimates from *Schistidium antarctici* spectral measurements. Cab was estimated by support vector regression from samples collected in 1999 ($n = 80$) using their reflectance between 496 and 719 nm (SVR-R₄₉₆₋₇₁₉) (a), reflectance between 648 and 719 nm (SVR-R₆₄₈₋₇₁₉) (b), and continuum removed reflectance of the latter spectral interval without the edging wavelengths gaining zero values (SVR-CR₆₅₂₋₇₁₅) (c). LD was also estimated by support vector regression from samples of 1999 ($n = 24$) using sample reflectance between 708 and 848 nm (SVR-R₇₀₈₋₈₄₈) (d), reflectance between 708 and 782 nm (SVR-R₇₀₈₋₇₈₂) (e), and continuum removed reflectance of the latter spectral interval without the edging wavelengths gaining zero values (SVR-CR₇₁₁₋₇₇₈) (f). Estimates are plotted against Cab content and LD measured in laboratory. Solid line indicates the expected one-to-one linear relationship and dashed line is the linear regression function computed between measured and estimated Cab ($r^2 \sim$ coefficient of determination, $d \sim$ index of agreement, RMSE \sim root mean square error, RMSE_S \sim systematic component of RMSE, RMSE_U \sim unsystematic component of RMSE).

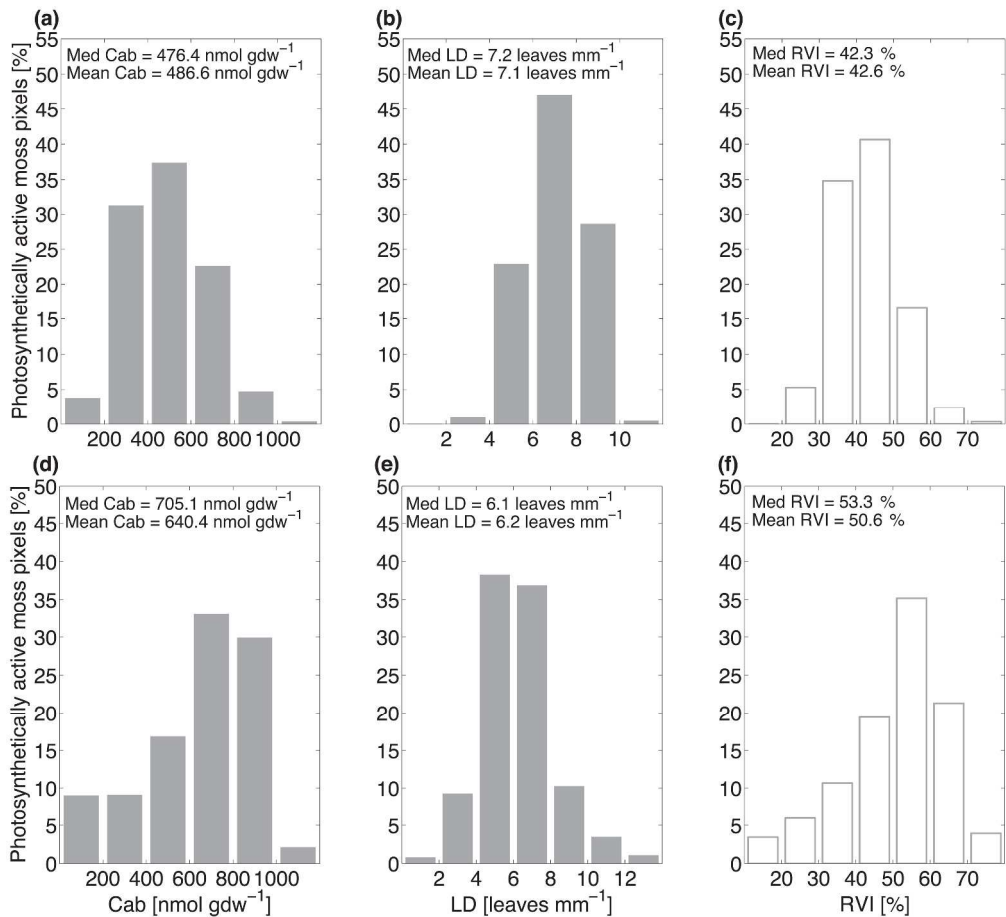
283x450mm (300 x 300 DPI)



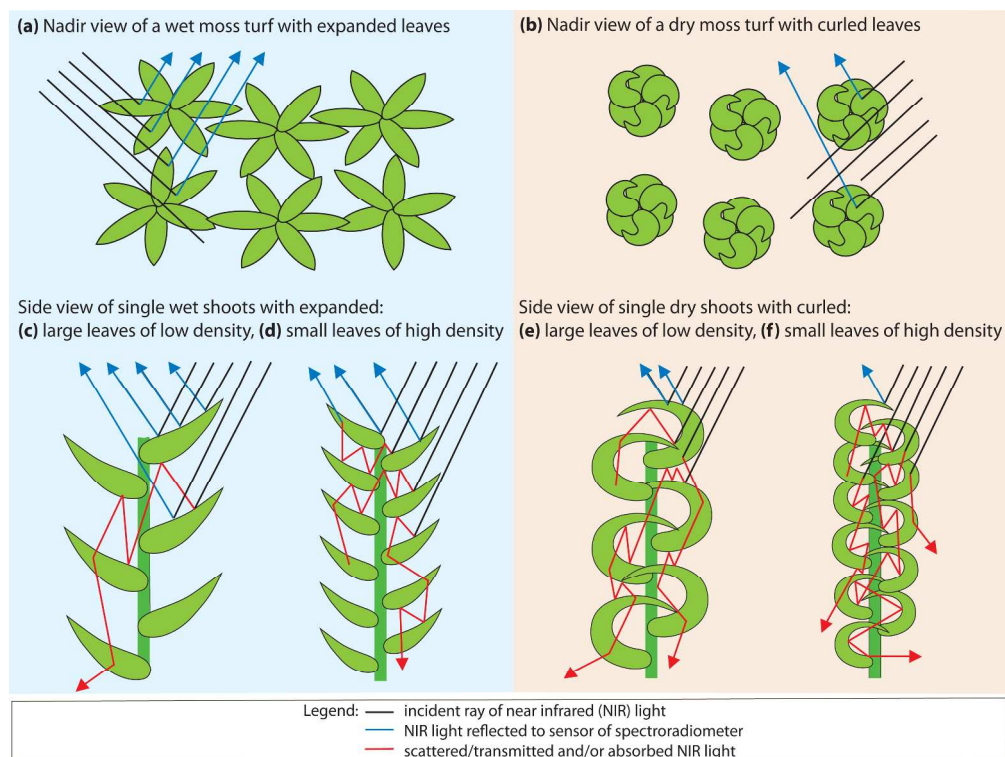
Maps of quantitative stress indicators: chlorophyll *a+b* content (a), effective moss turf leaf density (b), and a synthetic map of relative moss vigour indicator (c) derived for the *dry* test site of the ASPA 135 *Schistidium antarctici* moss bed from the field hyperspectral image acquired on 10 January 2013 using the best performing support vector regression models trained with moss laboratory measurements. The maps were generalised with a median filter of 7 by 7 pixels for easier interpretation. Grey and black colours represent rocks, bare soil, lichens, and desiccated black moss turf.
279x331mm (300 x 300 DPI)



Maps of quantitative stress indicators: chlorophyll *a+b* content (a), effective moss turf leaf density (b), and a synthetic map of relative moss vigour indicator (c) derived for the *wet* test site of the ASPA 135 *Schistidium antarctici* moss bed from the field hyperspectral image acquired on 30 January 2013 using the best performing support vector regression models trained with moss laboratory measurements. The maps were generalised with a median filter of 7 by 7 pixels for easier interpretation. Grey and black colours represent rocks, bare soil, lichens, and desiccated black moss turf.
279x330mm (300 x 300 DPI)



Frequency histograms displaying relative abundance of chlorophyll *a+b* content (Cab) (a, d), effective moss turf leaf density (LD) (b, e), and the relative vigour indicator (RVI) (c, f) integrating both quantitative characteristics for all photosynthetically active moss pixels captured in the hyperspectral scan of the ASPA 135 *dry* test site (a–c, see Fig. 8) and *wet* test site (d–f, see Fig. 9).
407x372mm (300 x 300 DPI)



Schematic interactions between shoot structure and photons of near infrared (NIR) light demonstrating the link between turf water content (TWC), effective leaf density (LD) and resulting NIR reflectance. Leaves of hydrated moss are fully expanded (a, c, and d), which means that the upper canopy reflects a significant portion of incident NIR light (up to 50%; e.g. Fig. 6, 25/01/2013). Contrary to this, shoots of desiccated moss are shrunken with curled leaves (b, e, and f), allowing NIR photons to penetrate and be absorbed deeper inside the canopy, which reduces NIR reflectance (sometimes by more than half; e.g. Fig. 6, 01/01/2013). Smaller leaves of higher LD, typical for a moss impacted by a chronic stress (d and f), trigger more interactions between NIR photons and moss shoots (i.e. a higher multiple scattering), which increases the probability of NIR transmission and/or absorption by the canopy. This diminishes the NIR reflection, even when turf is wet and leaves are expanded (d). Upon desiccation, mosses shrink and their leaves curl, which simulates increased shoot LD and produces NIR photon-leaf interactions similar to those inside a moss turf with expanded leaves of a higher density (c.f. c and d vs. e). The desiccation-induced structural changes enhance diffusion and absorbance of NIR light in lower turf layers, which further reduces amount of NIR photons reflected by dry moss gametophytes with small curled leaves of a high LD (f).

277x209mm (300 x 300 DPI)

***New Phytologist* Supporting Information**

Article title: **Antarctic moss stress assessment based on chlorophyll, water content, and leaf density retrieved from imaging spectroscopy data**

Authors: Zbyněk Malenovský, Johanna D. Turnbull, Arko Lucieer, Sharon A. Robinson

Article acceptance date: 17 May 2015

The following Supporting Information is available for this article:

Fig. S1 Illustration of *dry* and *wet* research plots at the Antarctic Specially Protected Area 135.

Fig. S2 Establishment of the modified triangular vegetation index 2 (MTVI2) threshold.

Fig. S3 Spectral quality validation of ground-based hyperspectral images acquired at both plots.

Table S1 Optimised input parameters and mean square errors of support vector regressions.

Fig. S1 Photographs of *dry* (whole season exposed, water limited, and considerably stressed) site (a) and *wet* (lengthily snow covered, well watered, and less stressed) research site (b) at the Antarctic Specially Protected Area 135 (ASP 135) colonized predominantly by moss *Schistidium antarctici*.

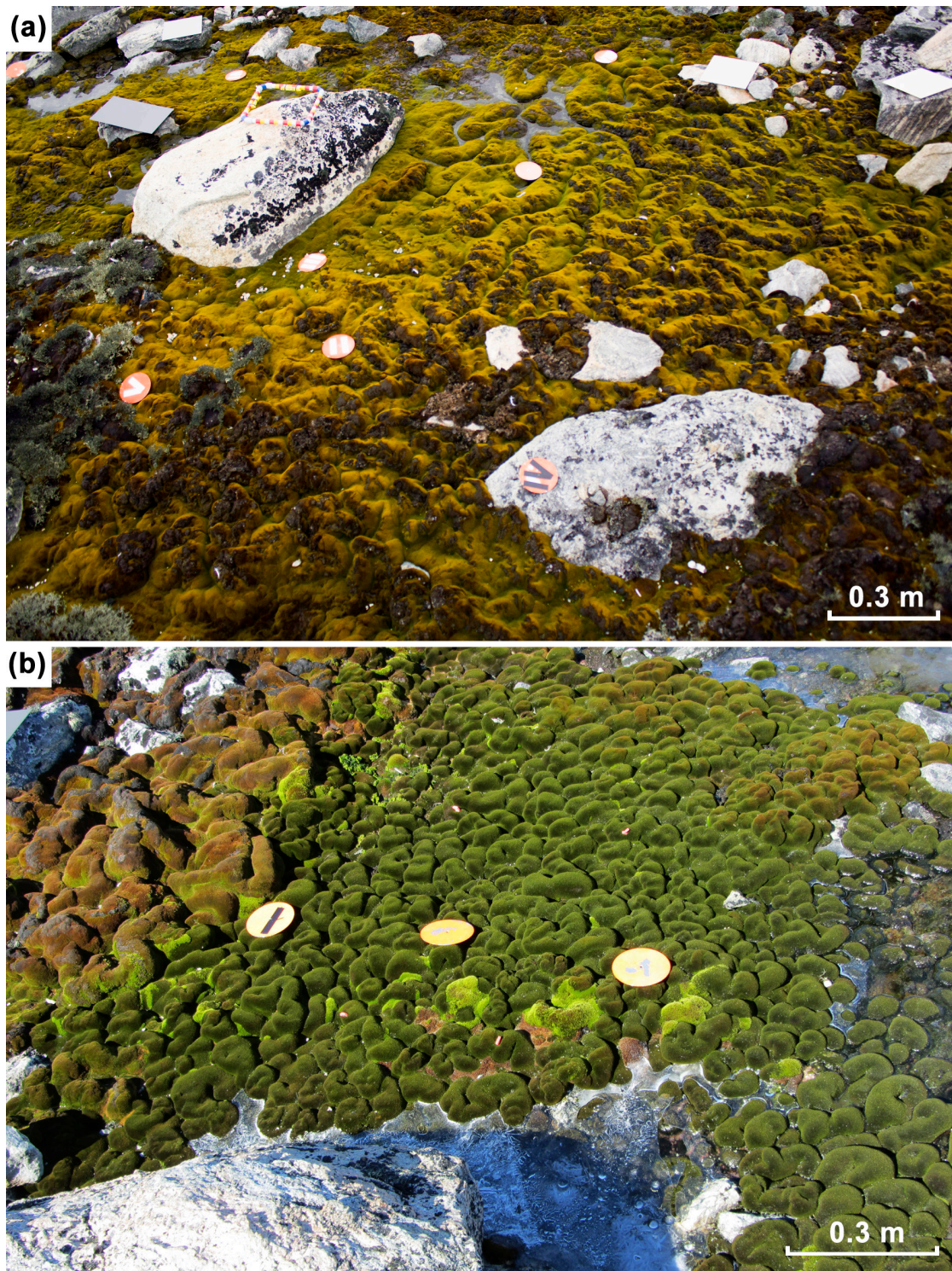


Fig. S2 Establishment of the modified triangular vegetation index 2 (MTVI2) threshold separating rocks, bare soil, and desiccated dormant moss from the photosynthetically active moss using frequency histograms of all pixels recorded in hyperspectral images of the ASPA 135 *dry* (a) and *wet* (b) test sites. Dashed lines indicate the actual MTVI2 threshold of 0.25.

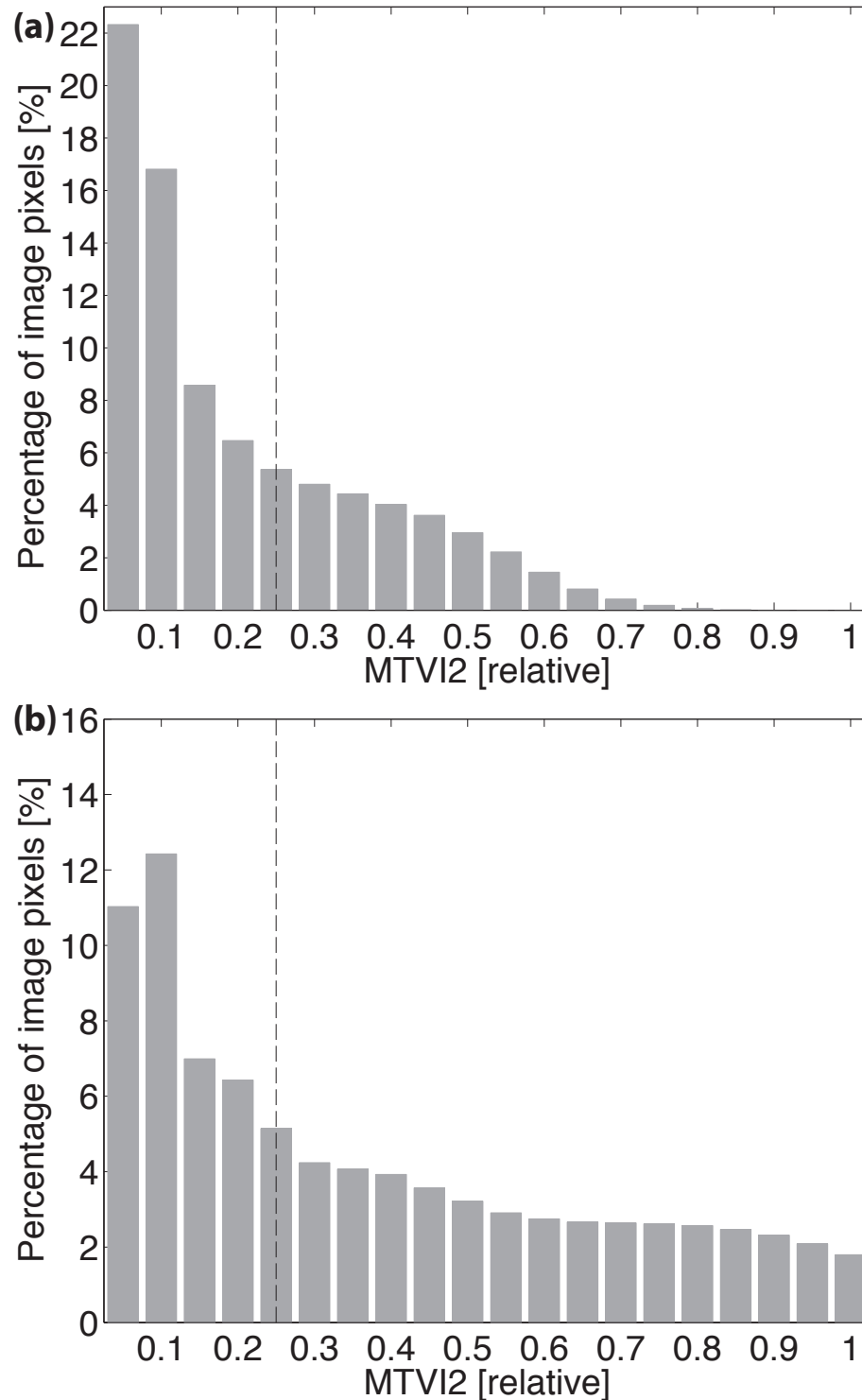


Fig. S3 Spectral quality validation of hyperspectral reflectance acquired with the ground-based Micro-Hyperspec imaging spectroradiometer (Headwall Inc., Fitchburg, USA) for two study plots in the vicinity of the Australian Antarctic station Casey during the summer 2012–2013. Mean ground-based reflectance signatures (\pm SD, $n = 3$, black lines) collected with the ASD HandHeld-2 spectrometer (ASD Inc. & PANalytical, Boulder, USA) for dark (a), medium bright (b), and bright stones (c), plus green moss (d) surfaces are plotted over the mean reflectance (\pm SD) computed from 49 image pixels of the same targets located in the hyperspectral images (white and grey lines) ($r^2 \sim$ coefficient of determination for established linear regression, RMSE \sim root mean square error, and $d \sim$ index of agreement between target reflectance of all wavelengths).

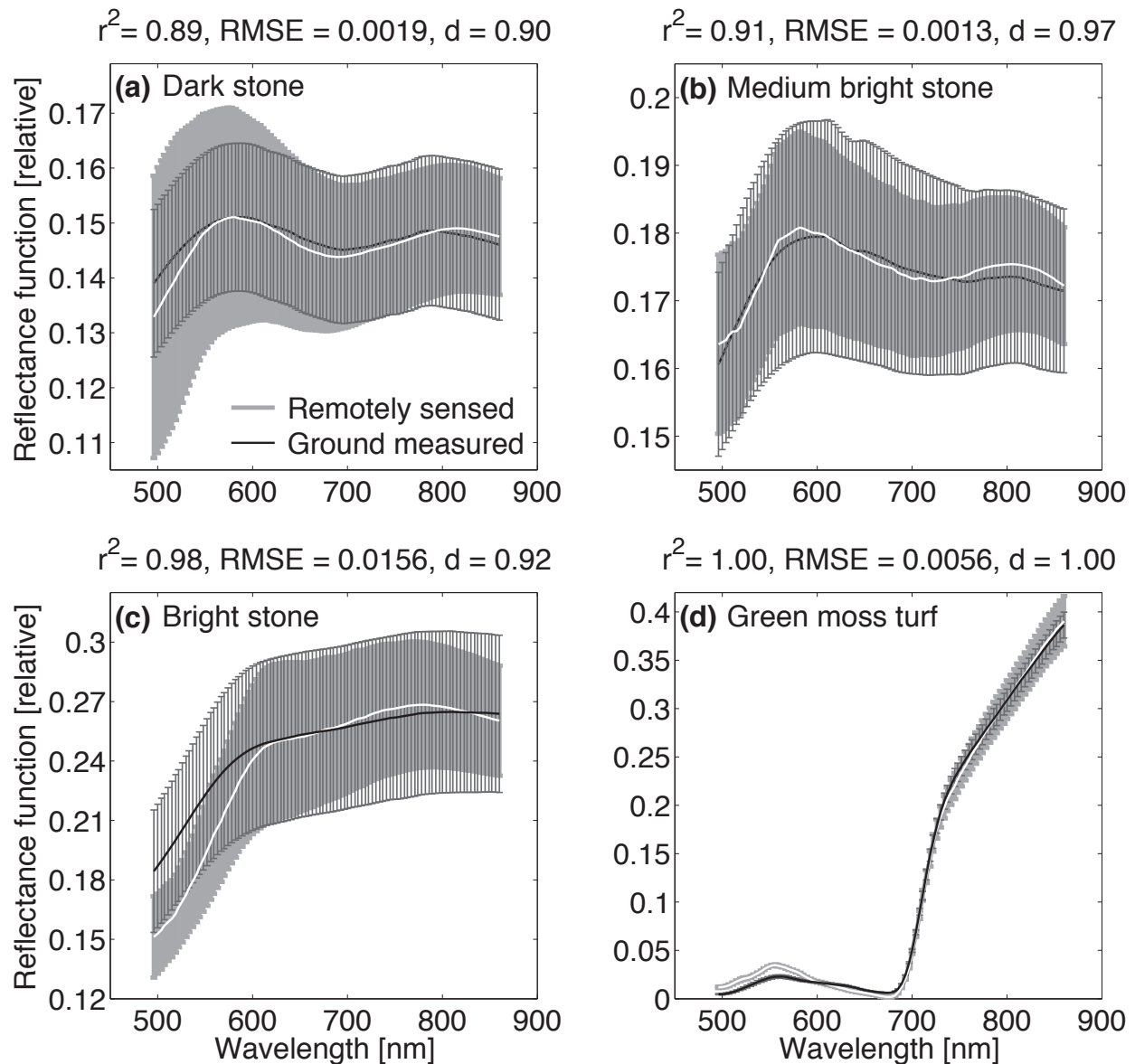


Table S1 Optimised cost and kernel width parameters, with affiliated mean square errors (MSE) of the epsilon support vector regression (SVR) models trained for estimation of chlorophyll a+b (Cab), turf water content (TWC), and leaf density (LD) using moss reflectance (R) and continuum removed reflectance (CR) of four investigated spectral regions: 498–719, 648–719, 708–848, and 708–782 nm.

<i>Model</i>	<i>Cost parameter C</i>	<i>Kernel width γ</i>	<i>Mean square error</i>
Cab			
SVR-R _{496–719 nm}	1048576	0.00003	4.2608
SVR-R _{648–719 nm}	32768	0.00780	4.3767
SVR-CR _{496–719 nm}	1024	0.01560	3.9337
TWC			
SVR-R _{708–848 nm}	8192	0.00390	3.2173
SVR-R _{708–782 nm}	512	0.00780	2.2979
SVR-CR _{708–782 nm}	4096	0.03130	3.7921
LD			
SVR-R _{708–848 nm}	32768	0.00200	3.3243
SVR-R _{708–782 nm}	16384	0.00390	3.5814
SVR-CR _{708–782 nm}	4	0.12500	3.0493