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Abstract

Railways offer an efficient and economic transport mode in many parts of the developed countries including Australia, China and USA. Ballast layer is designed as a load bearing layer for rail tracks and to be free draining, but when the ballast voids are wholly or partially impeded due to the intrusion of fine particles, the ballast can be considered to be fouled. Fouling causes a reduction in the drainage capacity of ballast, thereby reducing the track resiliency and triggering high maintenance costs. Geosynthetics are commonly used in railway construction for reinforcement and stabilization purposes. When railway ballast becomes fouled the beneficial effect of the geosynthetics could decrease significantly. This paper presents a study of how the interface behavior of geosynthetics-ballast copes with fouling using discrete element modelling (DEM) of large-scale direct shear tests. A series of large-scale direct shear tests for coal fouled ballast were carried out in the laboratory and were then simulated in the DEM. Shear stress-strain and volumetric dilation responses obtained from the DEM simulations were in reasonable agreement with those measured experimentally. The contact force distributions of fresh and fouled ballast were captured and shown that the fouled ballast exhibited higher number of contact forces compared to the fresh ballast assembly. This is due to coal fines accumulated in voids among large particles then partially carry and transmit contact forces across the assembly. Strains developed horizontally across the geogrid were also analyzed in this study.

Keywords

discrete, ballast, fouled, element, reinforced, method, geosynthetics, behavior, interface, study

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Study the Interface Behavior of Geosynthetics-reinforced Fouled Ballast using Discrete Element Method

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ABSTRACT: Railways offer an efficient and economic transport mode in many parts of the developed countries including Australia, China and USA. Ballast layer is designed as a load bearing layer for rail tracks and to be free draining, but when the ballast voids are wholly or partially impeded due to the intrusion of fine particles, the ballast can be considered to be fouled. Fouling causes a reduction in the drainage capacity of ballast, thereby reducing the track resiliency and triggering high maintenance costs. Geosynthetics are commonly used in railway construction for reinforcement and stabilization purposes. When railway ballast becomes fouled the beneficial effect of the geosynthetics could decrease significantly. This paper presents a study of how the interface behavior of geosynthetics-ballast copes with fouling using discrete element modelling (DEM) of large-scale direct shear tests. A series of large-scale direct shear tests for coal fouled ballast were carried out in the laboratory and were then simulated in the DEM. Shear stress-strain and volumetric dilation responses obtained from the DEM simulations were in reasonable agreement with those measured experimentally. The contact force distributions of fresh and fouled ballast were captured and shown that the fouled ballast exhibited higher number of contact forces compared to the fresh ballast assembly. This is due to coal fines accumulated in voids among large particles then partially carry and transmit contact forces across the assembly. Strains developed horizontally across the geogrid were also analyzed in this study.

INTRODUCTION

Ballast is an important component of railway tracks used as a load carrying layer and for maintaining track alignment (Selig and Waters 1994). The main purposes are to distribute the train load to the underlying layers at a reduced and acceptable stress

level and to provide track drainage conditions. Upon repeated train loading, ballast deteriorates and spreads laterally causing track instability (Indraratna *et al.* 2011; Ngo *et al.* 2014). In addition to this, due to progressive degradation and the infiltration of fine particles or mud-pumping from below layers, ballast gets fouled, which decreases the shear strength and increases the deformation of ballasted tracks (Indraratna *et al.* 2013; Tutumluer *et al.* 2008). Geogrids have been widely used in rail tracks to reinforce ballast and to increase the duration of track serviceability (Raymond 2002; Brown *et al.* 2007; Indraratna *et al.* 2014). It has been documented that due to the mechanical interlock with ballast grains, geogrids can reduce lateral displacements and degradation of ballast (Bathurst and Raymond 1987; Indraratna *et al.* 2013). Despite these benefits, current literature on the interface behavior of geogrid-ballast is still limited both in experimental study and numerical simulation, particularly when ballast becomes fouled (Ngo *et al.* 2014).

The large-scale direct shear apparatus used in this study consists of a 300mm x 300 mm² square steel box, 200 mm high. Ballast was collected from Bombo quarry, New South Wales, Australia, then cleaned and sieved according to Australia Standards (AS 2758.7, 1996). Coal fines were used as fouling contaminant and the Void Contamination Index (VCI) introduced earlier by (Indraratna *et al.* 2010) was applied to measure the degree of fouling, as shown:

$$VCI = \frac{1+e_f}{e_b} \times \frac{G_{sb}}{G_{sf}} \times \frac{M_f}{M_b} \times 100 \quad (1)$$

where e_f : the void ratio of fouling material; e_b : the void ratio of fresh ballast; G_{sb} : the specific gravity of ballast; G_{sf} : the specific gravity of fouling material; M_f : the dry mass of fouling material; M_b : the dry mass of fresh ballast.

Particle size distributions of materials tested in this study are presented in Figure 1. Large-scale direct shear tests for ballast reinforced by the 40 mm x 40 mm geogrid were carried out to shear to a displacement of $\Delta h=37\text{mm}$ and detailed results discussed elsewhere by Indraratna *et al.* (2011), while some of these data were used in this study to calibrate DEM models. Experimental data highlighted that the peak shear stress of ballast increases with an increase in normal stress and then decreases with an increase level of fouling. Strain softening and dilation response are obtained for all tests, where the higher normal stress σ_n , the greater shear strength, and smaller dilations are observed. Tutumluer *et al.* (2008) also presented similar shear stress-strain behaviour of railway ballast that they measured in the laboratory.

FIG. 1. Particle size distributions of materials tested

DISCRETE ELEMENT MODELLING FOR BALLAST

The discrete element method (DEM) introduced by Cundall and Strack (1979) has been often used to simulate granular materials (Ngo *et al.* 2014; Lu and McDowell 2008; Indraratna *et al.* 2015; Ngo *et al.* 2015). The DEM method is able to accurately model the discrete nature of ballast aggregates by providing an insight into micromechanical factors such as particle shape, contact force distributions developed among particles that are difficult to measure in the laboratory. A large-scale shear box (300mm long $\times$ 300mm wide $\times$ 200mm high) is modelled in DEM with rigid walls to simulate fresh and fouled ballast (VCI=40%), as shown in Figures 2b-c. The simulated ballast were then placed in the shear box at random orientations and compacted to a void ratio of 0.82 (i.e. porosity of 45%) to mimic laboratory conditions. Fouled ballast (VCI=40%) was simulated by placing 145,665 of 1.5mm diameter spherical balls into the pore spaces of fresh ballast (Figure 2c). A geogrid with 40mm $\times$ 40mm apertures were simulated by bonding small spherical balls together (i.e. balls having 2 mm radius at ribs and 4 mm-radius at junctions). Large-scale direct shear tests for ballast and tensile tests for geogrid were carried out to determine required input parameters for DEM modelling. By calibrating with experimental results reported by Indraratna *et al.* (2011) and Ngo *et al.* (2014), a set of micromechanical parameters adopted for DEM simulations of ballast, geogrid, and coal fines are presented in Table 1.

RESULTS AND DISCUSSION

Shear stress-displacement and volumetric change analysis

A series of large scale direct shear tests were carried out in the laboratory and DEM simulations to investigate shear stress-displacement response of fresh and coal-fouled

ballast stabilized by geogrids. Figure 3 shows the comparisons of shear stress-strain response and volumetric change obtained from DEM and those measured in the laboratory by Indraratna *et al.* (2011).

Table 1. Micromechanical Parameters of Geogrid, Ballast and Coal Fines

Parameter	Geogrid	Ballast	Coal fines
Particle density (kg/m^3)	800	2700	800
Coefficient of friction	0.5	0.8	0.2
Contact normal stiffness, k_n (N/m)	1.77×10^7	0.52×10^8	1.27×10^4
Contact shear stiffness, k_s (N/m)	0.88×10^7	0.52×10^8	1.27×10^4
Contact normal stiffness of wall-particle, $k_{n\text{-wall}}$ (N/m)	1×10^8	1×10^8	1×10^8
Shear stiffness of wall of wall-particle, $k_{s\text{-wall}}$ (N/m)	1×10^8	1×10^8	1×10^8
Parallel bond radius multiplier, r_p	0.5		
Parallel bond normal stiffness, k_{np} (kPa/m)	5.68×10^8		
Parallel bond shears stiffness, k_{sp} (kPa/m)	5.68×10^8		
Parallel bond normal strength, σ_{np} (MPa)	456		
Parallel bond shear strength, σ_{sp} (MPa)	456

FIG. 2. DEM models of direct shear test (a) ballast particle shapes; (b) DEM models for fresh ballast; (c) DEM models for fouled ballast (modified after Indraratna *et al.* 2014)

It is seen that results obtained from DEM simulation reasonably agree with those measured experimentally. Compared to the fresh ballast (VCI=0%) the fouled ballast (VCI=40%) show a lesser peak shear stress at a given normal stress. For all simulations, the ballast exhibits compression initially, followed by significant dilation. Coal particles act as a lubricant thus would facilitate particles to displace and to rearrange, which in turns increase dilation. It is noted that there are some discrepancies in volumetric change response obtained from the numerical simulations and those measured in the laboratory. This can be attributed to particle angularity as well as particle breakage that did not accurately capture in the current DEM analysis. The results also indicate a sudden decrease in shear stress at 5-7% shear strain, before picking up the load again, which further supports the initiation of particle degradation at this level of shear strain (Figure 3). Despite this disparity, the DEM model proposed in this study is able to capture the shear stress-strain and volumetric dilation of fresh and coal-fouled ballast under any given normal stress.

FIG. 3. Comparisons between the DEM simulation and experimental data of ballast reinforced by geogrid: (a) fresh ballast, (b) 40%VCI fouled ballast (modified after Ngo et al. 2014)

Contact Force Distribution and Contours of Strain Developed in the Geogrids

Figure 4 shows the contact force distributions of fresh and fouled ballast (VCI=40%) with and without geogrid reinforcement subjected to a normal stress of 51 kPa and a shear displacement of 18 mm. For the purpose of clarification, only those contact forces with a magnitude exceeding the average value in the assembly are presented. It is seen that the fouled ballast shows denser contact chains and lesser maximum contact forces compared to those in the fresh ballast assembly. This is attributed to the presence of small particles accumulated in voids among the large particles and then partially carried and transmitted contact forces across the assembly. Compared to the unreinforced ballast, the geogrid-reinforced ballast experiences a considerable increase in the number and magnitude of contact forces at the interface where it is believed due potentially to the interlocking effect occurring between the geogrids and ballast.

FIG. 4. Distribution of contact forces: (a) unreinforced-fresh ballast; (b) unreinforced fouled ballast; (c) reinforced fresh ballast; (d) reinforced fouled ballast (modified after Ngo et al. 2014)

Strains that developed in geogrids did not measure in the laboratory due to the complexity of attaching strain gauges to the geogrids and difficulty of preventing the

strain gauge from being damaged caused by the sharp edges of ballast and induced vibration. Taking advantage of the DEM simulation, strains in the horizontal shearing direction that develop across the geogrid are captured and presented in this study.

Figures 5a-b present contours of strains developed across the geogrid at the end of shear test for fresh ballast and fouled ballast, respectively. It can be seen that strains develop non-uniformly across the geogrid and the magnitude of strain may depend on the interlock occurring between the geogrid and ballast particles. The geogrid in 40% VCI fouled ballast assembly experience a slightly lower maximum strain than those in the fresh ballast (i.e. 1% strain for fouled ballast compared to 1.4% strain in fresh ballast). This would be attributed to the decreased interlocking effect of the geogrid and ballast due to the presence of coal fines clogging at their interfaces.

Fig. 5. Contour strain (in horizontal shearing direction) developed across the geogrid in at the end of the test (units of strains in percent): (a) Fresh ballast; (b) 40% VCI fouled ballast (modified after Ngo et al. 2014)

CONCLUSIONS

This paper presents results of large-scale direct shear tests and discrete element method (DEM) to study the performance of geogrids reinforced fouled ballast. The results showed that geogrids increases the peak shear stress and decreases ballast dilation at a given VCI. This was justified by the interlocking effect between the geogrids and ballast grains at the interface. Conversely, coal fines acting as a lubricant coated the surfaces of ballast grains, which subsequently reduced the inter-particle friction and the shearing resistance of fouled ballast assembly. DEM simulations were conducted to study shear stress-strain response and corresponding volumetric change of fresh and fouled ballast (VCI=40%). For a given normal stress and degree of fouling, the DEM simulation captured the shear stress-strain behavior of the fresh and fouled ballast as measured experimentally. The contact force distributions were also examined and indicated that the fouled ballast sample exhibited much denser contact force distribution and lesser maximum contact forces

than those for the fresh ballast. Strains developed across the geogrid were also captured in the DEM simulations.

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