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**School of Mechanical, Materials and Mechatronic Engineering**

**Microstructure, Crystallography and Mechanical  
Properties of Low-Si TRIP Steels**

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of the Degree of the Doctor of Philosophy**

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## LIST OF PUBLICATIONS

1. "A correlative approach to segmenting phases and ferrite morphologies in transformation-induced plasticity steel using electron back-scattering diffraction and energy dispersive X-ray spectroscopy", Azdiar A. Gazder, Fayez Alharbi, Hendrik Th. Spanke, David R.G. Mitchell, Elena V. Pereloma. Ultramicroscopy, 2014;147:114-132.
2. "The crystallography of carbide-free bainites in thermomechanically processed low Si transformation induced plasticity steels", Elena V. Pereloma, Fayez Al-Harbi, Azdiar A. Gazder, Journal of Alloys and Compounds, 2014; 615: 96-110.
3. "The effect of processing parameters on the microstructure and mechanical properties of low-Si transformation-induced plasticity steels", Alharbi F., Gazder A. A., Kostyryzhev A., de Cooman B. C., Pereloma E. V. J., Mater. Sci. 2014; 49:2960-2974.
4. "Effect of thermomechanical processing parameters on the microstructure development in low Si TRIP steel", Fayez Alharbi, Elena V. Pereloma. Materials Science Forum. 2010; 654:254-257







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Figure C.6: EBSD maps of bainitic ferrite laths (BF) and GB in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain represented by black squares. Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.....249



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# 1 INTRODUCTION

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Steels produced for the automotive industry should possess high strength and high formability to satisfy the need for passenger safety and downstream forming processes [1]. To-date, refining the ferrite grain size and precipitation hardening are the only practices used to control the mechanical properties of conventional high strength steels. Since the automotive industry need for high strength and ductility cannot be attained by grain refinement or precipitation hardening alone, this has led to extensive research in improving the properties of automotive steel using different techniques. Controlling the volume fraction of microstructural constituents by varying the composition and thermo-mechanical processing (TMP) schedule has resulted in the development of four different types of multiphase steels such as dual phase steel, bainitic steel, tri-phase steel, and transformation induced plasticity (TRIP) steel, all of which contain different amounts of polygonal ferrite, bainite, retained austenite (RA), and martensite.

Dual phase steel provides a combination of adequate strength and ductility generated mainly by the produced microstructure which satisfies most of the automotive sector's requirements. The microstructure of dual phase steel consists of a large amount of polygonal ferrite and 10-15% martensite, which allows it to achieve the possible highest elongation [2]. The carbon content in dual phase steel is usually less than 0.1wt.% to avoid the formation of twinned martensite that would negatively affect its toughness [2, 3]. The mechanical properties of this type of microstructure takes concurrent advantage of the soft ferritic and hard martensitic phases, and research to further improve its mechanical properties has shown that this can be attained by introducing bainite into dual phase steel. On this basis, tri-phase steels consisting of polygonal ferrite, bainite, and martensite, offer even higher ductility compared to dual phase steels due to the presence of bainite in the microstructure.

Any improvement in the ductility of tri-phase steel is proportionally related to the amount of retained austenite, so the transformation of metastable retained austenite at room temperature to martensite during deformation was responsible for the increase in ductility. This transformation effect was initially discovered by Zackay *et al.* [4] in austenitic stainless steel, and was known as the transformation induced plasticity effect. Despite the importance of the overall chemical composition of TRIP steel, the processing











## 1.3 Thesis Outline

This thesis is divided into seven chapters.

- **Chapter 1** provides a brief introduction to TRIP steels and their processing routes, and states the aims of the thesis. It finishes with a brief description of the thesis content.
- **Chapter 2** is a Literature Review which analyses the effects of the chemical composition and processing parameters that influence the mechanical properties and microstructure of TRIP steels. One of the main experimental techniques, EBSD, is introduced with a particular emphasis on its application to study multi-phase steels and their crystallography.
- **Chapter 3** describes the material and experimental techniques used. This chapter describes the various simulation schedules used, such as the TMP schedules, galvanising heat treatment, and intercritical annealing cycles. The sample preparation for different characterisation techniques such as scanning electron microscopy (SEM), EBSD, transmission electron microscopy (TEM), optical microscopy (using colour etching) and X-ray diffraction (XRD) are also discussed. Finally, tensile tests conducted on the sub-sized samples are also addressed in this chapter.
- **Chapter 4** compares the effect of coiling time and galvanising simulation on the microstructure and mechanical properties of two low-Si high-Al TRIP steels with and without the addition of Nb-Ti. This chapter focuses on the effect of TMP parameters on the fraction of RA and its carbon content. Attention has been directed towards the work hardening behaviour after both processing routes; TMP and IA. The microstructure-property relationships achieved after different processing simulations are also compared and discussed.
- **Chapter 5** introduces a newly developed method for phase segmentation based on a multi-criteria algorithm, and then compares this method with pre-existing methods for phase separation. Here the bcc phase in the multiphase steel is separated into polygonal ferrite, bainite, and martensite phases. Moreover, the effect of the tensile deformation on each phase is discussed on the basis of the local





















































In most research devoted to steels, the Kurdjumov–Sachs and the Nishiyama–Wassermann relationships were used to study the orientation relationships between the FCC and BCC phases. Although the Bain OR has also been used in literature as a reference or approximation in austenite transformation studies, it is never observed in steels [78]. Due to the symmetry in cubic systems, there are 24 equivalent crystallographic variants in the case of KS OR divided into four crystallographic packets (CPs) and three Bain groups (BGs) [81] (Table 2.1 and Fig. 2.18) that can evolve from a single parent grain (austenite) whereas NW OR has only 12 variants divided into four CPs and three BGs [82] (Table 2.2). In each CP, six KS variants share the same parallel relationship of close-packed planes (CPPs) whereas 8 KS variants in each BG have a common  $\alpha$  plane [88]. Furthermore, the blocks are defined as the regions containing variants that belong to the same CP and Bain group [17] whereas a sub-block is defined as block comprising variants with a low angle boundary misorientation between them [19]. The characteristics of the packet and block in the bainite and martensite are crucial and they are strongly related to the strength and toughness of the steel [19].

Theoretically, all these variants possess the same probability of growth, but in reality some variants are restricted due to an external influence. The latter observation is considered as variant selection which is discussed in the following section.

































misorientation (GIMM or grain orientation spread, GOS), grain size and grain shape to characterise the phases in complex microstructures.

From the above, it appears that different microstructures respond differently to the selected separation criteria [112, 121], and due to the complexity of the microstructure in this study, the procedures developed in the literature were not entirely applicable. The microstructure in this study contains a mixture of different bainite morphologies such as granular bainite and bainitic ferrite (lath-like shape) formed as several bands along the rolling direction interleaved between the ferrite phase, so this complex microstructure made it difficult to apply any of the literature based separation procedures.

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### **3 EXPERIMENTAL AND ANALYTICAL PROCEDURES**

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This chapter reviews the materials investigated and the experimental procedures used in this study. A Gleeble 3500 (physical simulator) was utilised in this study to simulate the TMP schedule followed by microstructure characterisation via optical microscopy (OM), X-ray diffraction (XRD), scanning electron microscope (SEM), electron backscattering diffraction (EBSD) and transmission electron microscopy (TEM). These techniques are addressed briefly in this chapter, while the mechanical properties were evaluated by tensile testing on sub-sized samples.























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## **4 THE EFFECT OF PROCESSING PARAMETERS ON THE MICROSTRUCTURE AND MECHANICAL PROPERTIES OF LOW-SI TRIP STEELS**

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A base low Si, high Al Transformation Induced Plasticity steel, and one with 0.03Nb and 0.02Ti (wt.%) additions were subjected to thermo-mechanical processing and galvanizing simulations. The microstructure and mechanical properties were analysed using a combination of optical and electron microscopy, and X-ray diffraction and tensile testing, and then the results were compared with those from intercritically annealed – galvanised steels. Since information on the evolving volume fraction of each phase at different strains was not available during tensile testing, the present study applied the modified C-J equations to characterise the work hardening behaviour.

This chapter is divided into 3 sections; (1) the effect of composition on the microstructure and mechanical properties after short and long coiling times, and (2) the effect of galvanising after a long coiling time (TMP-L) on the microstructure and mechanical properties. The last section discusses the difference between the effect of intercritical annealing and TMP on the microstructure and mechanical properties.

















returned a smooth work hardening curve and instantaneous n-values with a maximum of 0.2 at 0.05 strain followed by a gradual decrease to 0.15 at ~0.15 strain. On the other hand, the TMP-L Nb-Ti steel showed slight discontinuous yielding such that the work hardening curve declined steeply up to 0.01 strains with a local maxima at 0.02 strain. The n-value reached a maximum of 0.24 between strains of 0.05-0.08 followed by a gradual decrease to  $n \sim 0.18$  at 0.17 strains.

Table 4.2: A summary of the mechanical properties of the base and Nb-Ti steel.

Steel	Schedule	Mechanical properties			
		YS	UTS	UE	TE
		MPa	MPa	%	%
Base	TMP-S	491±35	745±6	20±3	29±2
	TMP-L	445±44	722±34	15±0	25±4
	TMP-LG	476±6	699±9	12±2	24±1
	IA-G	360	787	19	26
Nb-Ti	TMP-S	474±23	782±38	20±2	29±3
	TMP-L	476±7	749±20	18±0	29±0
	TMP-LG	499±6	685±32	13±2	26±2
	IA-G	438	710	20	30

**Legend:** YS = 0.2% proof stress; UTS = ultimate tensile strength; UE = uniform elongation; TE = total elongation.

In the short (TMP-S) and long (TMP-L) coiling time schedules, the parameters for austenisation and the formation of PF were kept constant, which resulted in the formation of approximately similar (50%) volume fractions of PF. The isothermal bainite transformation temperature (= the coiling temperature,  $T_c$ ) during simulated coiling was

also kept similar (465-470 °C) such that only the coiling time ( $t_c$ ) was varied between 125 s and 1200 s in the case of TMP-S and TMP-L, respectively.

These variations in the coiling time had a pronounced effect on the microstructure and mechanical properties of the two steels (Tables 4.1 and 4.2); for instance the YS of the base steel decreased with longer coiling time while the UTS values decreased with an increasing coiling time in both steels. With respect to ductility, both steels recorded a decrease in their UE and TE values with higher coiling times. In this case, the base steel was affected more severely by longer coiling times which resulted in even smaller ductility values. It is clear from this that longer coiling times led to an overall deterioration of the strength-ductility balance in both steels.

Although the PF grain size in the base steel after TMP-S could be regarded as only slightly coarser ( $12 \pm 8 \mu\text{m}$ ) than the Nb-Ti steel ( $10 \pm 6 \mu\text{m}$ ), the YS of the base steel after TMP-S was higher compared to the Nb-Ti steel. This disparity could be related to the complexity of the microstructure in our multiphase steel and the dominant effect of other microstructural parameters such as the morphology of the bainite. The base steel consisted predominantly of fine  $0.35 \mu\text{m}$  sized plates of granular bainite (GB), whereas the Nb-Ti steel mostly consisted of coarse  $0.81 \mu\text{m}$  sized bainitic ferrite (BF) laths. It is therefore entirely plausible that this variation in the dislocation mean free path between GB and BF was primarily responsible for the differences in the macroscopic mechanical properties of the two steels after TMP-S treatment. Generally speaking, the combination of strength and elongation after the TMP-S and TMP-L schedules was slightly better in the Nb-Ti steel than the base steel. This was ascribed to the finer microstructure of the Nb-Ti steel and the additional solid solution and/or precipitation strengthening via alloying with Nb and Ti. While the above is in agreement with previous reports for high Si, Nb-containing steels [140], other variations between the two steels are detailed below.

During the longer coiling times, the formation of bainite was accompanied by a carbon enrichment of the RA located in-between the bainitic ferrite laths or plates. If the transformation of bainite is completed with the steel remaining at a high temperature, the next stage of phase transformation can be initiated wherein: (i) the carbon-enriched austenite begins to decompose with the formation of the ferrite phase and carbides, and, (ii) in the remaining BF which is supersaturated with carbon, the segregation of carbon to dislocations and/or the formation of fine carbides takes place. These latter observations were noted previously during the bake hardening of high-Si TRIP steels [141].

It is clear that the transformation of bainite was completed sometime between 125 s and 1200 s in the base steel, whereas this amount of transformation time was insufficient for

the Nb-Ti steel. This was ascertained by the fact that the volume fraction of the RA decreased between the TMP-S and TMP-L treatments in the base steel whereas it continued to increase in the Nb-Ti steel (Table 4.1). Specifically to Nb-Ti steel, the significance of the interaction between the other phases in dictating the overall mechanical response is also highlighted here. The increase in the volume fraction of RA helped the Nb-Ti steel to maintain its YS and TE levels while reducing the rate of decrease in the UTS and UE values after the TMP-S and TMP-L schedules.

However, the effect of a nearly doubled volume fraction of the RA phase content after the TMP-L schedules in the Nb-Ti steel underlines the more crucial effect of the alloying elements on the kinetics of the bainitic transformation. In the presence of Nb, the bainitic transformation was more sluggish as the prior austenite grain size was reduced [142] which resulted in a low volume fraction of stable austenite; *i.e.*- a higher volume fraction of untransformed austenite with lower chemical stability such that it readily transforms to martensite upon tensile deformation.

## 4.2 The Effect of Galvanising after the Long Coiling Time on the Microstructure and Mechanical Properties

### 4.2.1 Microstructure

Figures 4.1e, 4.1f, 4.2e and 4.2f show the microstructure of the samples after the galvanising TMP-LG schedule. In the base and Nb-Ti steels, colour etching returned more martensite (black areas) and a small number of white grains of the RA phase. These results were confirmed via XRD which also showed that the volume fraction of RA had decreased from  $4\pm1\%$  and  $13\pm0\%$  after TMP-L to  $2\pm1\%$  and  $6\pm4\%$  after TMP-LG in the base and Nb-Ti steels, respectively (Table 4.1). In addition to (Ti,Nb)(C,N) and Nb(C,N) particles observed in the TMP-L Nb-Ti steel, the formation of  $\text{Fe}_3\text{C}$  particles (Figs. 4.4h, 4.4i) was detected in this steel after galvanising (TMP-LG).

### 4.2.2 Mechanical properties

The tensile test results (Figs. 4.5a and b) showed that the base steel underwent continuous yielding whereas the Nb-Ti steel depicted Lüders banding. Compared to the TMP-L samples, the TMP-LG samples recorded an increase in the YS of both steels (from  $445\pm44$  to  $476\pm6$  MPa for the base steel and from  $476\pm7$  to  $499\pm6$  MPa for Nb-Ti steel) while the UTS had reduced from  $722\pm34$  to  $699\pm9$  MPa in the base steel and from  $749\pm20$  to  $685\pm32$

MPa in the Nb-Ti steel. Correspondingly, the uniform and total elongations in both steels had also reduced from 15-18% and 25-29% after TMP-L to 12-13% and 24-26% after TMP-G treatment, respectively.

The work hardening and instantaneous  $n$ -value curves (Figs. 4.5c-4.5f) show marked differences between the TMP-L and the TMP-LG schedules. In the TMP-LG base steel, the  $n$ -value declined from a strain of  $\sim 0.06$  at a faster rate and reached its lowest value of 0.11 at  $\sim 0.11$  strain. In the TMP-LG Nb-Ti steel, the  $n$ -value fluctuated around the maximum  $n$ -value of  $\sim 0.195$  between strains of 0.05-0.12. Overall, the  $n$ -curve for the Nb-Ti steel after TMP-LG recorded its lowest values compared to all the other schedules.

During the TMP-LG industrial galvanising schedule, a further decomposition of the RA takes place; as evidenced by the low volume fractions of RA in both steels (Table 4.1). While both steels recorded increases in their yield strength between the TMP-L and TMP-LG conditions, the UTS, UE, and TE values were reduced. Since a larger volume fraction of RA pre-existed in the TMP-L Nb-Ti steel, the degradation in its mechanical properties after the TMP-LG schedule was more severe than in the base steel. In addition, while carbon segregates to dislocations and grain boundaries, it also formed carbides in the PF and BF phases after TMP-L and TMP-LG treatment (Figs. 4.4b-4.4h). In particular, carbon segregation could take place in the areas of high dislocation density surrounding the martensite crystals that are newly formed upon quenching from the coiling temperature [141]. The small amount of martensite formed on cooling will also undergo a typical tempering process; with the formation of intermediate carbides or cementite during the TMP-LG schedule [143, 144]. Thus, all these changes will contribute to the interaction of dislocations with carbon atoms and with precipitates during tensile testing such that they will result in an increase in YS and a reduction in ductility values.

An important difference in the stress-strain curves between the base and Nb-Ti steels is that the former showed continuous yielding up to the TMP-LG schedule (Fig. 4.5a) whereas the latter experienced discontinuous yielding after the TMP-L and TMP-LG treatments (Fig. 4.5b). Similar strain hardening behaviour occurred for dual-phase and IA TRIP steels after pre-straining and bake hardening [140, 145]. Discontinuous yielding via Lüders banding is typical evidence of dislocations locking either by Cottrell atmospheres and/or by fine precipitates and their subsequent unlocking, or the formation of new mobile dislocations [130]. However, after TMP-LG treatment the yield point phenomena became more pronounced because carbon atoms had more time to segregate to dislocations and form Cottrell atmospheres.

As reported previously, new dislocations were generated in the ferrite phase either: (i) upon cooling after isothermal holding at 470 °C due to the volume change associated with the phase transformation of the unstable austenite to martensite or, (ii) during tensile testing when there were soft ferrite regions adjacent to hard martensite or austenite crystals [141, 145]. Since the Nb-Ti steel consistently returned higher volume fractions of RA than the base steel for corresponding conditions (Table 4.1), it could be expected that a higher number of mobile dislocations was generated in this steel as a result of the transformation from austenite to martensite during straining. This could be one of the contributing factors to the pronounced yield point phenomena observed in the Nb-Ti steel after TMP-L and TMP-LG processing.

In addition, the difference in the yielding behaviors between the base and Nb-Ti steel can be further explained by considering the interaction of carbon with dislocations and the ability of the Nb, Ti and Fe atoms to form carbides. In the base steel, the interaction of carbon atoms with dislocations would be preferred mechanism as the binding energy between dislocations and carbon was 0.75 eV; which in turn was higher than the 0.5 eV binding energy between the Fe and C atoms to form Fe<sub>3</sub>C [146]. On the other hand, and to serve as an example, the binding energy between the Nb and C atoms to form NbC was 2.3 eV [140], so it could be speculated that the amount of C available in the base steel was enough to form saturated Cottrell atmospheres at dislocations. Contrarily, some of the carbon in the Nb-Ti steel would be used up to form Nb-Ti carbides which would lead to much weaker Cottrell atmospheres forming that are more easily unlocked on loading.

The appearance of Lüders strain in IA TRIP steels was previously attributed to the localisation of deformation and the static strain ageing in the ferrite phase [11, 147]. Thus, in the case of Nb-Ti steel, it can also be said that the discontinuous yielding behavior is the combined effect of the finer ferrite grain size (leading to a localisation of the deformation within this phase) [148] and the ageing that occurs during the TMP-LG schedule.

To this end the work hardening behavior of the steels was analysed using the modified C-J analysis [149, 150]. The representative  $\ln(d\sigma/d\varepsilon)$  versus  $\ln(\sigma)$  plots are shown in Fig. 4.6 with the results from fitting presented in Table 4.3. Since the modified C-J analysis was unable to fit the flow curve over the entire uniform strain range region, the work hardening curves were divided into a maximum of three stages and different m-values were determined for each work hardening stage (Fig. 4.6).

If Figure 4.6 is used as a representative example, the behaviour of the Nb-Ti steel during each of the three stages is seen to vary rather significantly after the TMP-S (Fig. 4.6a) and TMP-L (Fig. 4.6b) schedules. This can be ascribed to the amount and the morphology of

phase constituents; which in turn, control the behaviour during the various stages of work hardening. During Stage 1, the deformation of the soft ferrite phase leads to an accumulation of mobile dislocations in the regions near the interface between the ferrite and the harder phases such as RA and martensite. In Stage 2, the RA transforms to martensite due to the accumulation of strain energy in the RA phase. During Stage 3, the deformation of ferrite and martensite continues while the work hardening rate continuously decreases.

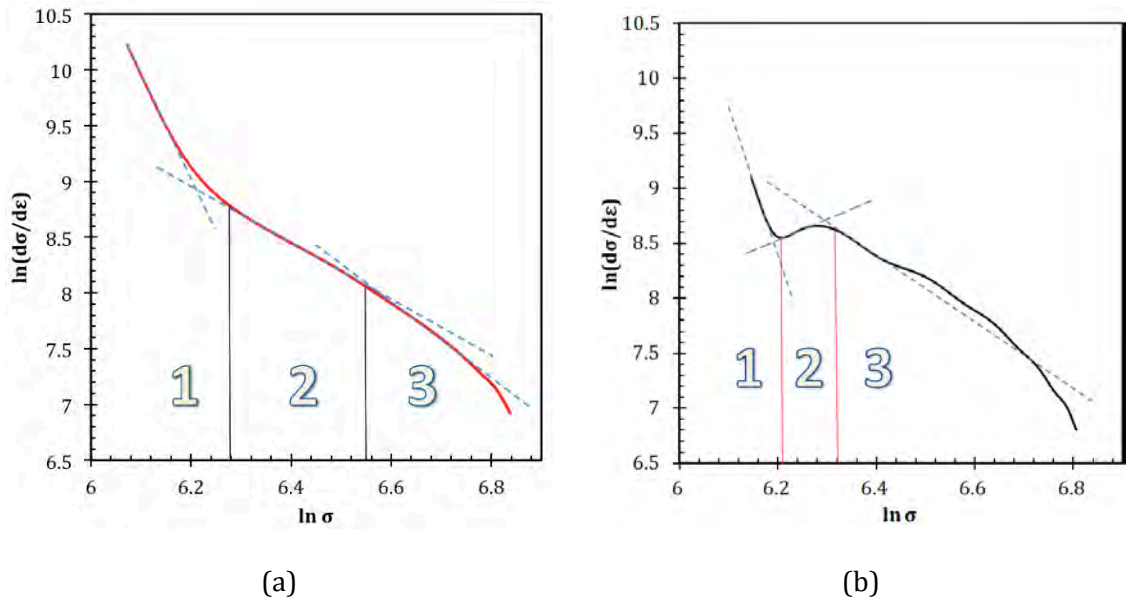


Figure 4.6: Representative work hardening behaviours shown using examples of Nb-Ti steel after (a) TMP-S and (b) TMP-L schedules. Based on the modified C-J analysis, three distinct stages of work hardening can be seen.

Table 4.3: Comparison of the modified Crussard–Jaoul (C-J) analysis with the experimental results at the different stages of work hardening in the base and Nb-Ti steels.

Steel	Schedule	Modified C-J			Experimental
		Stage 1 $m_1^*$	Stage 2 $m_2$	Stage 3 $m_3$	
Base	TMP-S	7.66	4.05	4.91	6.18
	TMP-L	12.96	2.87	4.18	7.05
	TMP-LG	7.25	3.89	6.47	10.03
	IA-G	1.99	1.41	5.02	6.06
Nb-Ti	TMP-S	10.20	3.53	4.11	5.46
	TMP-L	13.69	-0.98	4.03	5.97
	TMP-LG	21.25	0.66	4.95	7.91
	IA-G	8.48	2.05	5.42	6.09

\*1- $m_{1-3}$  = slopes at Stages 1 to 3

$^{\dagger}m = 1/(\epsilon_u - \epsilon_y)$ ; where  $\epsilon_u$  = maximum uniform true strain,  $\epsilon_y$  = strain at 0.2% offset proof stress or the strain where Lüders banding is completed.

In Stage 1 the rate of dislocation accumulation was strongly linked to the ferrite grain size where the influence of the finer ferrite grain size led to the smallest  $m_1$  -values being recorded after the IA-G schedule in both steels. Previous reports have also claimed that high  $m_1$  -values were related to the larger volume fraction of martensite present in the initial microstructure [150]. However, the influence of this factor is not clear from the present study because the highest  $m_1$  -values were returned after the TMP-L and TMP-LG schedules for the base and Nb-Ti steels, respectively (Table 4.3).

The behaviour in Stage 2 was similar to dual phase steels [137] that were returned after the TMP-S, TMP-L and TMP-LG schedules in the base steel and after the TMP-S schedule in the Nb-Ti steel (Fig. 4.6a). Alternatively, and as depicted in Fig. 4.6b, the behaviour in Stage 2 that was typically associated with the TRIP effect was noted after the TMP-L and TMP-LG treatments in the Nb-Ti steel. During Stage 2 an accumulation of strain energy in the RA phase occurred several times over and resulted in a gradual transformation of the RA to martensite, and in turn, the phase transformation increased the work hardening rate (leading to a slope change) by inhibiting the dislocation glide process. Consequently, the differences in the behaviour and slope of Stage 2 hardening in the studied steels could be explained by the rate the RA transformed during uniform straining. This above phenomenon has previously been proven by observing areas of high dislocation density surrounding the newly formed martensite crystals [141].

The differences in the shape of the strain hardening exponent curves over the period of uniform elongation allude to variations in the rate of strain-induced austenite transformation (Figs. 4.5e, 4.5f). In general, more gradual increases and sustained  $n$ -values are correlated with a slower transformation of RA to martensite over longer strain ranges such that the TRIP effect then tends to contribute more significantly towards extending the overall ductility of the steel. The stability of RA during uniaxial tensile testing of the base and Nb-Ti steels after TMP-L processing was investigated in detail in the Chapter 5, and some results were published in the Ref. [64]. In that study, Electron Back-Scattering Diffraction was used to track the changes in the area fraction of the RA up to the UTS value, and while the base steel recorded a more gradual change in the area fraction of RA from 5% (initial,  $\varepsilon = 0\%$ ) to 1.2% (at UTS,  $\varepsilon = 15\%$ ), the Nb-Ti steel exhibited a more rapid transformation of the RA fraction from 9.4% (initial,  $\varepsilon = 0\%$ ) to 1.4% (at UTS,  $\varepsilon = 18\%$ ).

To this end the following examples highlight the importance of the volume fraction and the carbon content of the RA phase in dictating the overall shape of the strain hardening exponent curves of both steels. In the base steel, the gradual transformation of the highest

amount of RA with the highest carbon content after the TMP-S schedule was responsible for the behaviour of the  $n$ -value and a good combination of strength and ductility. With the Nb-Ti steel, the most gradual transformation of RA during tensile straining was obtained after the TMP-S schedule. This could be due to the carbon content of the RA after TMP-S being slightly higher than that obtained after the TMP-L schedule when the highest volume fraction of the RA was returned. Since the volume fraction and carbon content (chemical stability) of the RA were the lowest after the TMP-LG treatment, both steels presented sharp rises in the strain hardening exponent which in turn was correlated to the rapid transformation of RA to martensite during the early stages of straining. It should be noted that while the chemical stability was used to explain the overall stability of RA, the difference in the carbon content after TMP-S and TMP-L was insignificant. Thus, the overall stability of RA could also be affected by other parameters such as the mechanical stability of RA.

## 4.3 The Effect of Intercritical Annealing on the Microstructure and Mechanical Properties

### 4.3.1 *Microstructure*

The secondary electron images of the microstructures after TMP-S and IA-G are shown in Figs. 4.7a and 4.7c for the base steels and in Figs. 4.7b and 4.7d for the Nb-Ti steels, respectively. It is obvious that the two processing techniques resulted in completely different microstructures. While the images recorded similar volume fractions of PF for both steels, the PF grain size after TMP-S was approximately twice that after the IA-G schedule (Table 4.1). After TMP-S, austenite, bainite, and martensite phases existed within banded areas, whereas after the IA-G treatment the same phases were equiaxed and interspersed between the PF grains. Compared to the TMP-S condition, both steels after IA-G possessed slightly larger volume fractions of RA with a lower carbon content.

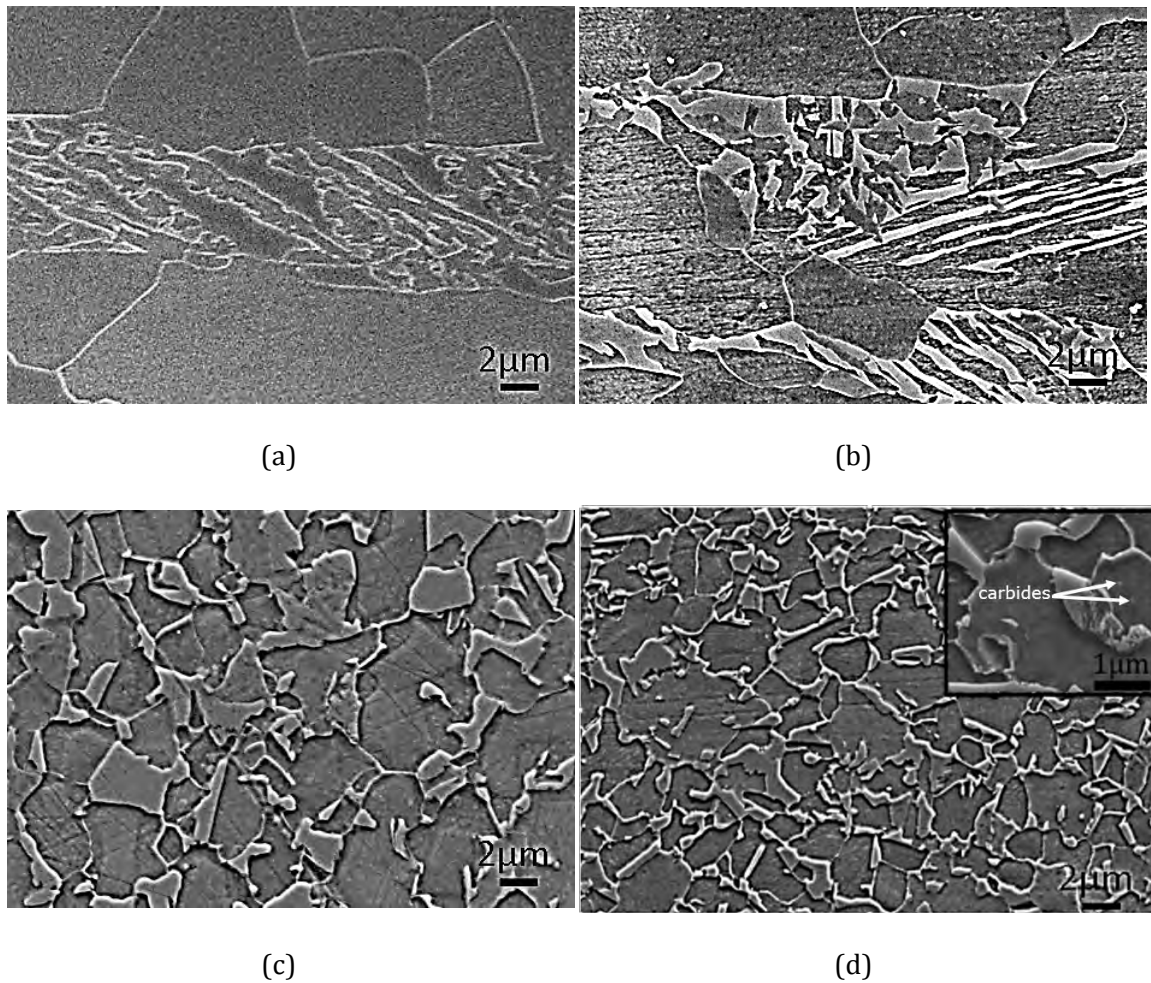


Figure 4.7: Representative secondary electron micrographs after (a, b) TMP-S and (c, d) IA-G processing of the (a, c) base and (b, d) Nb-Ti steels. In (d), the inset shows the initial decomposition of the RA phase. Figures c and d are courtesy of Prof. B.C. de Cooman, POSTECH.

Although both steels in the TMP-S and IA-G conditions contained  $\sim 50\%$  PF in the microstructure, the morphology and distribution of the phases varied significantly. For instance, during TMP-S treatment the formation of the PF grains takes place predominantly at the boundaries of the elongated prior austenite grains upon cooling at  $1 \text{ K s}^{-1}$  such that the process was interrupted when cooling was further accelerated to  $20 \text{ K s}^{-1}$ . As a direct result of the accelerated cooling, the bainite transformation then occurs in-between the rows of the PF grains during the isothermal holding step ( $T_c = 465 \text{ }^\circ\text{C}$  for  $t_c = 125 \text{ s}$  and/or  $1200 \text{ s}$ ). Consequently, the majority of the RA and martensite crystals are located within the bainite regions. Due to such clearly developed directionality in the

microstructure, significant anisotropy in the mechanical properties could be expected between the longitudinal and transverse directions of the TMP samples.

Alternatively, the microstructure in the IA-G steels first developed as a reverse transformation of the cold rolled microstructure into a mixture of austenite and ferrite, such that the subsequent formation of bainite occurred during isothermal holding. This resulted in a more homogeneous distribution of equiaxed PF grains and bainitic areas.

### *4.3.2 Mechanical properties*

Due to the rather significant variation in the tensile sample geometries of the TMP and IA-G samples, a comparison of their mechanical properties is limited to only discussing their overall trends in terms of yielding (continuous versus discontinuous) and work hardening behaviour.

The tensile curves after the IA-G schedules recorded discontinuous yielding in both steels (Figs. 4.5a and 4.5b), whereas compared to the TMP-S condition, the YS after IA-G was  $\sim 131$  and  $\sim 36$  MPa lower in the base and Nb-Ti steels, respectively (Table 4.1). In contrast, if the UTS values after the two schedules are compared, the values are  $\sim 42$  MPa higher in the base steel and  $\sim 72$  MPa lower in the Nb-Ti steel. In the IA-G samples, a visible change in the gradient of the work hardening curves occurred at  $\sim 0.016$  strain for the base steel and  $\sim 0.03$  strain for the Nb-Ti steel (Figs. 4.5c, 4.5d). Unlike all the other conditions, a further change in the work hardening rate occurred at strains of  $\sim 0.14$  and  $\sim 0.153$  in the Nb-Ti IA-G steel. In both steels, the shape of the instantaneous  $n$ -value curve was very different after the IA-G schedules (Figs. 4.5e, 4.5f). A rather narrow peak with maximum  $n$ -values of  $\sim 0.39$  and  $\sim 0.34$  at  $0.03$  and  $0.035$  strains was followed by sharp declines to  $\sim 0.175$  and  $\sim 0.19$  at  $0.175$  and  $0.13$  strains for the base and Nb-Ti steels, respectively.

The main distinctive feature of the IA-G stress-strain curves of both steels is the rather pronounced yield point elongation and a continuous reduction in the  $n$ -value with greater tensile strain. These results are similar to the behaviour of tempered dual-phase steels [106] and of C-Mn-Si steels subjected to quenching and partitioning treatment [151]. The yield point phenomenon indicated that during IA-G treatment, some dislocation pinning by carbon atoms occurred in the ferrite phase and based on SEM observations, small carbide particles were also present in the areas of decomposed austenite (Fig. 4.7d inset). As discussed previously, the finer ferrite grain size combined with the ageing processes that occurred during the IA-G schedule [11, 148] could have caused the pronounced Lüders strain and increase in Lüders elongation.

In both IA-G steels, the work hardening behaviour during Stage 2 was similar to the TRIP steels (Fig. 4.6b), whereas in the TMP-S steels it was similar to one of the dual-phase steels (Fig. 4.6a). On the other hand, the presence of a single maximum  $n$ -value early in the tensile test in both IA-G steels indicated that a large amount of the RA transformed to martensite upon initial straining. This can be correlated to the fact that the RA was less stable in the IA-G steels compared to the TMP-S steels. This lower stability could be due to several factors among which a slightly lower carbon content of RA in IA-G steels and also the presence of refined and rigid bainite plates which could assist in an earlier load transfer and the transformation to martensite of adjacent RA layers [152].

## 4.4 Conclusions

The microstructure-property relationships in a base low Si, high Al TRIP steel and one with 0.03Nb and 0.02Ti (wt.%) additions were analysed after different thermo-mechanical processing schedules such that:

1. Thermo-mechanical processing of the base steel with a short coiling time of 125 s at 470°C resulted in the highest amount of the retained austenite phase and the best combination of mechanical strength and elongation. All thermo-mechanically processed base steel samples recorded continuous yielding behaviour.
2. The addition of Nb and Ti slightly refined the final microstructure of the steel after thermo-mechanical processing but led to an increase in the time required for the development of a high volume fraction of the stable retained austenite phase. Compared to the base steel, the strength-ductility balance in the Nb-Ti steel improved slightly due to a combination of microstructure refinement and a higher volume fraction of RA and precipitation strengthening. The Nb-Ti steel exhibited discontinuous yielding behaviour after thermo-mechanical processing when a long coiling time of 1200 s was undertaken.
3. The intercritically annealed samples of the base and Nb-Ti steels showed discontinuous yielding with long Lüders elongation. A steep reduction in the instantaneous n-value from its maximum at a small value of tensile strain was noted. This was explained by the refinement of the polygonal ferrite grains and the ageing processes that occurred during galvanising.
4. The modified C-J analysis showed three stages of work hardening in the studied steels. However, the Stage 2 behaviour was found to differ with the adopted processing schedule and was associated with the different rates of RA transformation.

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## 5 EBSD CHARACTERISATION OF MICROSTRUCTURE IN TRIP STEELS

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In this chapter a microanalysis was applied to the same samples that were investigated in Chapter 4, utilising the power of electron back-scattering diffraction (EBSD). This analysis provides more important information about the investigated materials. The EBSD can easily detect the retained austenite (RA) phase in the microstructure using its unique crystallographic structure information and can therefore distinguish between the retained austenite grains and the other phases. However, other phases such as ferrite, bainite, and martensite were indexed with the same crystal structure and were not automatically separated out during the EBSD map acquisition, therefore further analysis of such multiphase microstructures containing various ferrite morphologies must begin with a method that accurately distinguishes between all the constituent phases. The techniques available in the literature were unable to separate between the phases in the samples of the current study, therefore a new method was developed and successfully applied in both thermo-mechanically processed TRIP steels (base and Nb-Ti steels). This newly developed technique consistently distinguished between polygonal ferrite (PF), bainitic ferrite (BF), granular bainite (GB), and martensite (M) based on multi-condition criteria, and only using EBSD data.

In the second section of this chapter, the orientation data of separated phases was used to investigate the effect of the tensile strain on each of them after tensile deformation. It is well known that the transformation of retained austenite to martensite during loading leads to better combinations of strength and ductility. In this regard, moderately stable retained austenite is desirable for an effective TRIP effect whereas highly stable or unstable retained austenite does not contribute to an improvement in the mechanical properties. Therefore, it is important to understand all the factors influencing the stability of retained austenite, such as its grain size, morphology, and area fraction. Moreover, other phases adjacent to the retained austenite are also important in terms of defining the overall stability of retained austenite.

In this study the effect of strain on the stability of retained austenite was investigated using EBSD and uniaxial tensile testing. The EBSD analysis followed the changes in the

microstructure (with attention to the transformation of retained austenite in particular), as a function of applied strain and linked this behaviour with the mechanical properties presented in the previous sections of Chapter 4. This chapter is divided into two main sections: (i) phase/ferrite morphology segmentation, and (ii) the effect of strain on the stability of retained austenite. The analysis of EBSD data was based on the phase/ferrite morphology segmentation methodology developed in Section 5.1. The effect of the area fraction, grain size and morphology of each phase/ferrite morphology on the retained austenite stability is discussed thereafter in Section 5.2.

## 5.1 Phase/Ferrite Morphology Segmentation

As with Chapter 4, the base and Nb-Ti steels (after TMP-L) were used to obtain EBSD data. These steels were examined after three strain states, after TMP (zero tensile strain), at UTS, and at fracture. The raw EBSD data recorded only two phases in the microstructure, fcc austenite and bcc ferrite, and some non-indexed areas. In this study, four phases were distinguished via the developed procedure, which is mainly based on the internal average misorientation (GOS) and the grain size criterion. The threshold value used in this study was based on evaluating the slope of the normalised cumulative distribution with respect to the origin [153], so the threshold value was selected when the change in the slope with respect to the origin tended to 1. This thresholding technique was applied on both the internal average misorientation (GOS) and grain size criteria.

Figs. 5.1a and b show the map of the two chemistries before segmentation while Figs. 5.1c and d show all four phases for the base and Nb-Ti steels after segmentation in their TMP state. Although the segmentation procedure was applied successfully in the analysis of six EBSD maps at TMP (zero tensile strain), UTS and fracture for the base and Nb-Ti steels, the following section only details the segmentation procedure on the Nb-Ti steel in its TMP state before tensile testing (zero tensile strain) as a representative example.

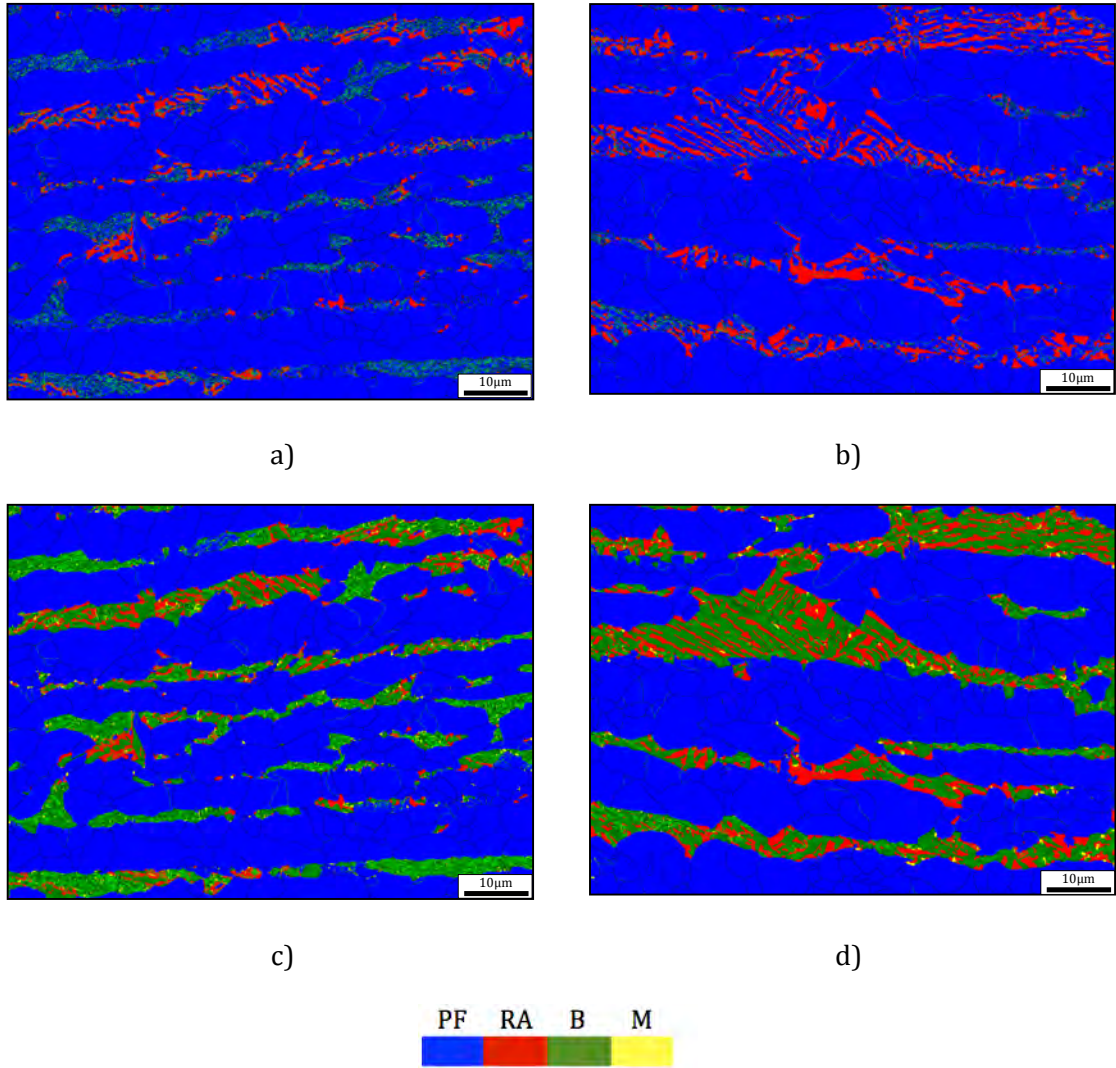


Figure 5.1: EBSD maps before and after segmentation. a) base TRIP steel before segmentation, b) Nb-Ti TRIP steel before segmentation, c) base TRIP steel after segmentation, d) Nb-Ti TRIP steel after segmentation.

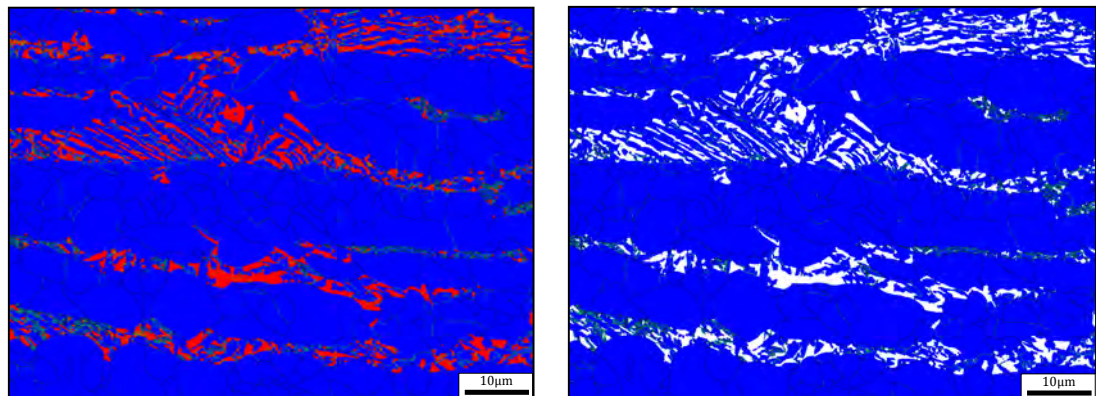
Preliminary processing of the map involved cleaning the map by removing wild orientation spikes followed by extrapolating up to 6 neighbours to fill-in non-indexed areas that tended to concentrate at the grain boundaries. Based on the grain shape criterion, the negative slopes of the major axis of the fitted equivalent circle ellipsoid were removed. Throughout this cleaning procedure, the minimum misorientation for grain reconstruction was  $2^\circ$  and the grain boundary completion angle was  $2^\circ$ .

The original map acquired from EBSD only consisted of the fcc and bcc phases (Fig. 5.2 a), so the procedure developed for segmenting the map began by creating a subset for the bcc ferrite phase (Fig. 5.2 b). In the following paragraphs, only pixels belonging to this bcc ferrite subset were used for phase/morphology segmentation.

The procedure consists of two main steps; step one is to separate the martensite from other phases by applying the internal average misorientation criterion (GOS criterion) to the bcc subset. Based on the threshold value on the normalised cumulative distribution of the internal average misorientation, which was estimated to be  $1.00^\circ$  (Fig. 5.2c), the main bcc subset was divided into two subsets:

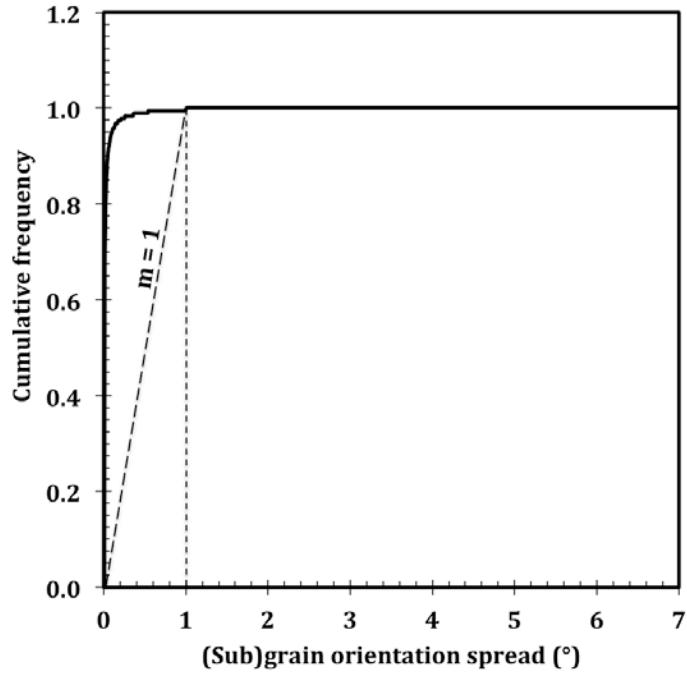
- The first subset consisted of a combination of martensite and polygonal ferrite (Fig. 5.2d) and
- The second subset consisted of ferrite in bainite (hereafter referred to as bainite) and polygonal ferrite (Fig. 5.2e).

At this stage, both subsets contained pixels belonging to polygonal ferrite (Figs. 5.2d and e), so the following steps were undertaken to subtract the polygonal ferrite from both subsets.

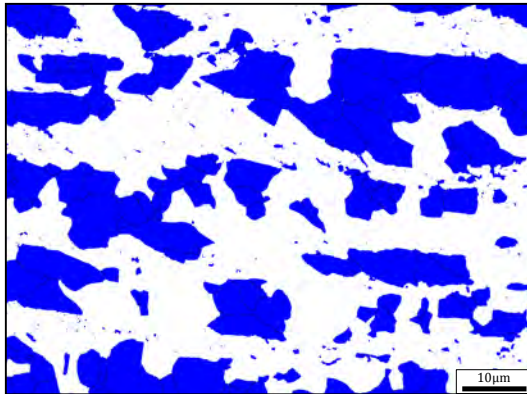


a)

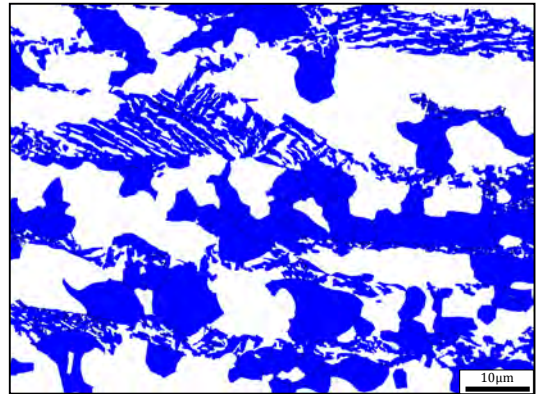
b)



c)



d)



e)

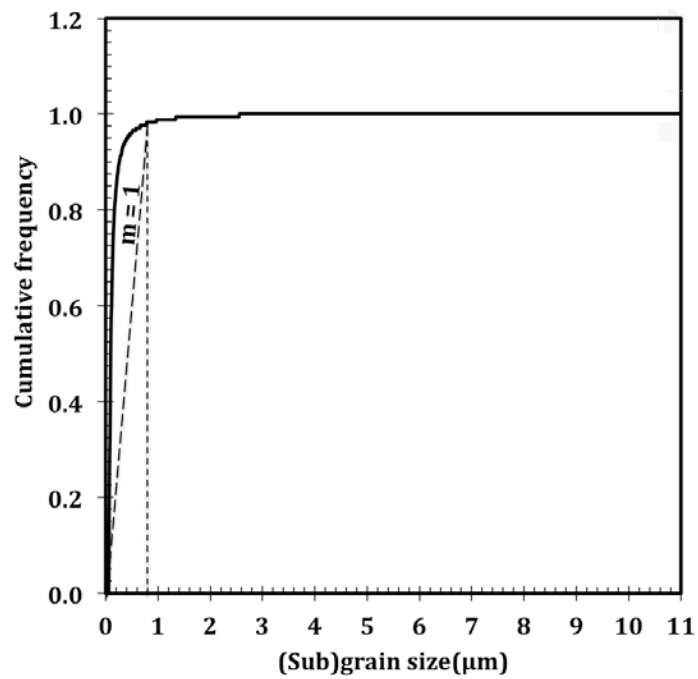
Figure 5.2: EBSD map of Nb-Ti steel a) fcc and bcc phases, b) only bcc phase, c) the normalised cumulative distribution of the internal average misorientation indicating the threshold value used for segmentation, d) a map comprising only martensite and polygonal ferrite and e) a map comprising only ferrite in bainite and polygonal ferrite as a result of the first segmentation stage.

In stage two of the segmentation procedure, a grain size criterion was applied on the first subset (Fig. 5.2d) to separate the polygonal ferrite from martensite. Figure 5.3a shows that the normalised cumulative distribution of the grain size of the subset only contained martensite and polygonal ferrite where a thresholding value of  $0.8 \mu\text{m}$  was used (based on evaluating the slope of the normalised cumulative distribution of the grain size) to

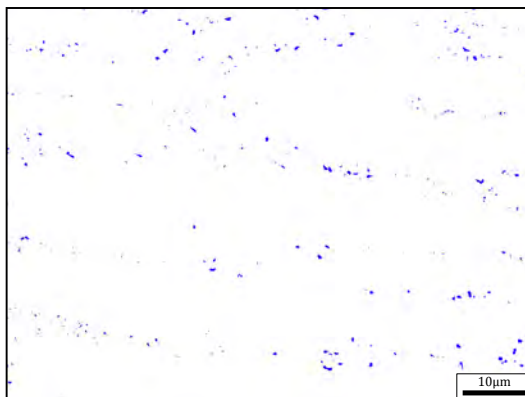
distinguish between martensite and polygonal ferrite. Accordingly, the martensite was identified with a grain size of less than  $0.8\ \mu\text{m}$ , while the polygonal ferrite was identified based on a grain size of more than  $0.8\ \mu\text{m}$ . This step resulted in two subsets:

- The first subset only contained martensite (Fig. 5.3b)
- The second subset contained the first fraction of polygonal ferrite (Fig. 5.3c).

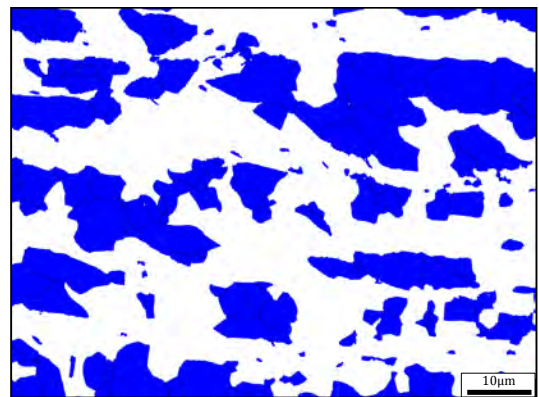
Following this, the second fraction of polygonal ferrite was separated from ferrite in bainite (Fig. 5.2e).



a)



b)



c)

Figure 5.3: a) Grain size frequency curve, b) martensite results from grain size less than  $0.8\ \mu\text{m}$  and c) polygonal ferrite results from grain size above 0.8 microns.

The subset containing a combination of polygonal ferrite and ferrite in bainite (Fig. 5.2e) was separated based on the fact that the fraction of the low angle boundaries in the bainite were higher than polygonal ferrite with most of these boundaries comprising misorientation angles of less than  $2^\circ$ . Therefore, the grain size criterion with a  $2^\circ$  critical boundary misorientation was unable to distinguish between the two phases, as shown in Figs. 5.4a. For the purpose of separating bainite from polygonal ferrite, the critical misorientation angle of the subgrain boundaries were reduced to  $0.5^\circ$  and the critical subgrain boundary completion angles were reduced to  $0.1^\circ$ . Figure 5.4b shows how ferrite in bainite becomes automatically distinguishable (blue colour) after reducing the critical misorientation to identify subgrain boundaries to  $0.5^\circ$ .

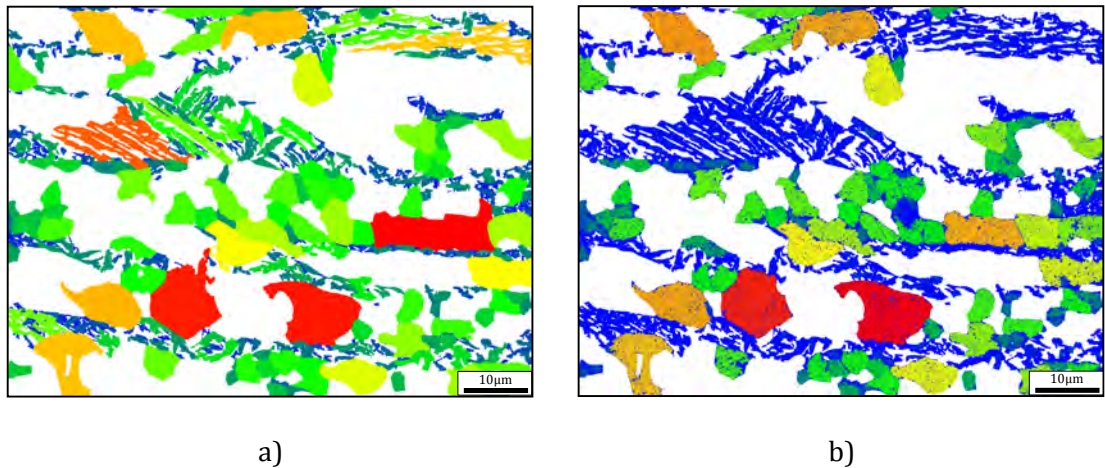
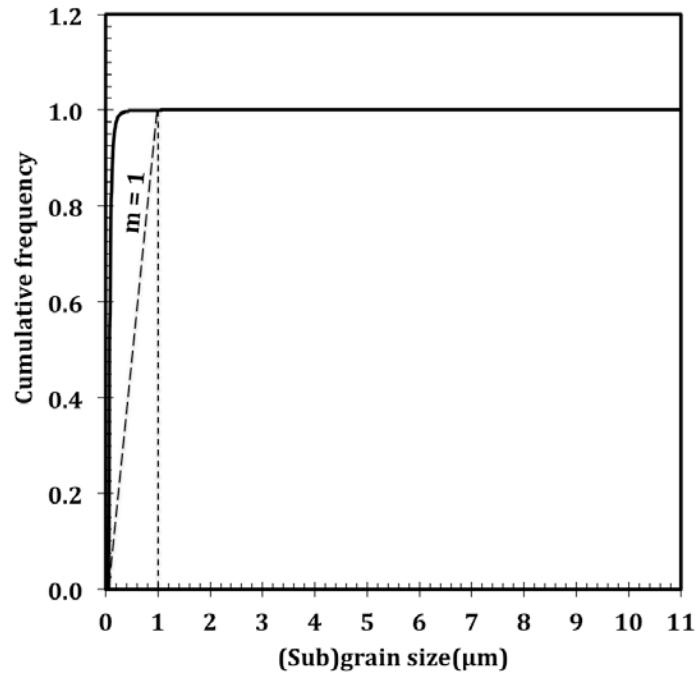
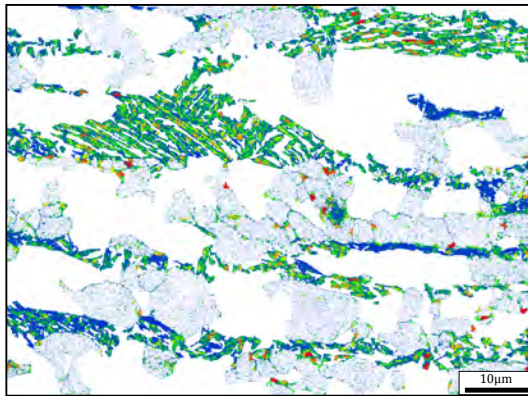


Figure 5.4: a) Grain size map with  $2^\circ$  critical misorientation, b) Grain size map with  $0.5^\circ$  critical misorientation. In both maps the rainbow colour indicates the grain size from small grain (blue) to large grain (red).

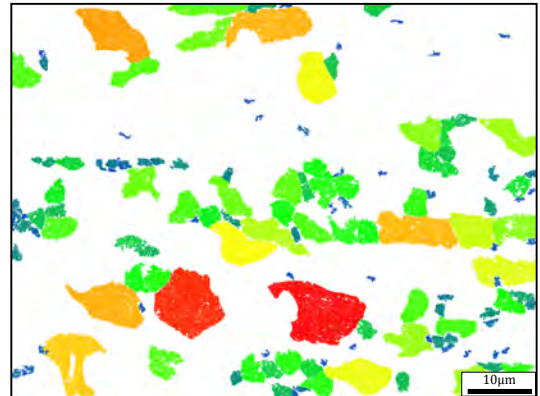
The subgrain size threshold value was estimated to be  $1.07 \mu\text{m}$  from the normalised cumulative distribution of the grain size shown in Fig. 5.5a. Based on that, a subset of ferrite in bainite and polygonal ferrite was separated into two subsets, one for bainite (Figs. 5.5b) and one for polygonal ferrite (Fig. 5.5c). Although the grain size criterion successfully separated ferrite in bainite from polygonal ferrite, many pixels from polygonal ferrite still remain in the bainite subset, as shown in Fig. 5.5b.



a)



b)



c)

Figure 5.5: a) Grain size frequency curve to separate ferrite in bainite b) Grain size below threshold value, 1.07  $\mu\text{m}$  (rainbow colour), c) Grain size above threshold value, 1.07  $\mu\text{m}$  (rainbow colour, the grain size from small grain (blue) to large grain (red)).

In the following procedure these pixelations in the bainite subset (Fig. 5.5b) were removed by dilating the ferrite subset (Fig. 5.5c) by one pixel (Fig. 5.6a) to capture the pixelations in the bainite subset. This was followed by subtracting the dilated subset (Fig. 5.6a) from the original subset (Fig. 5.5c). This step led to the creation of a subset that only contained polygonal ferrite pixels (Fig. 5.6b).

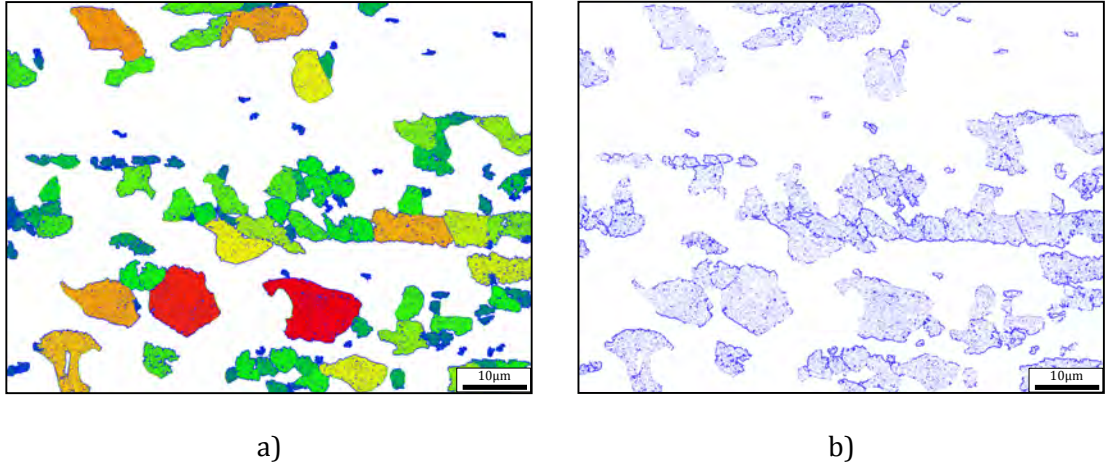


Figure 5.6: Polygonal ferrite subset a) after dilation by one pixel (rainbow colour), b) pixelations belonging to polygonal ferrite.

The pixelations subset (Fig. 5.6b) was subtracted from the bainite subset (Fig. 5.5b). The result of this step is the final ferrite in bainite subset (Fig. 5.7a). This final bainite subset (Fig. 5.7a) was subtracted from the subset that contained bainite and polygonal ferrite (Fig. 5.2e) in order to obtain the second fraction of polygonal ferrite (Fig. 5.7b). Following this, the first (Fig. 5.3c) and second (Fig. 5.7b) polygonal ferrite fractions were combined together to form the final polygonal ferrite subset (Fig. 5.8a).

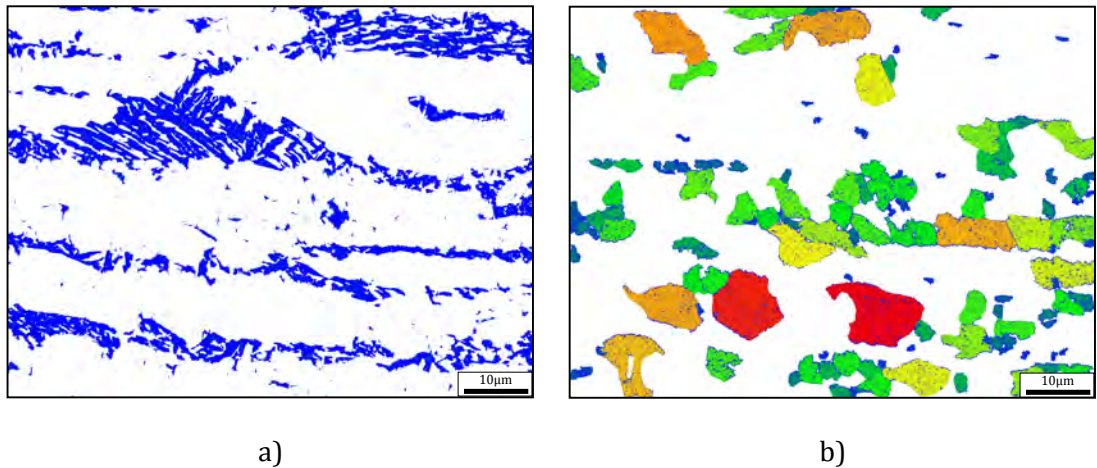


Figure 5.7: a) Bainite after correcting to remove the pixelations, b) the second polygonal ferrite subset (rainbow colour of grain sizes from small (blue) to large grain (red)).

At this point, individual phases were assigned to each of the three bcc subsets with different colours; polygonal ferrite in blue, retained austenite in red, ferrite in bainite in green and martensite in yellow (Fig. 5.8b).

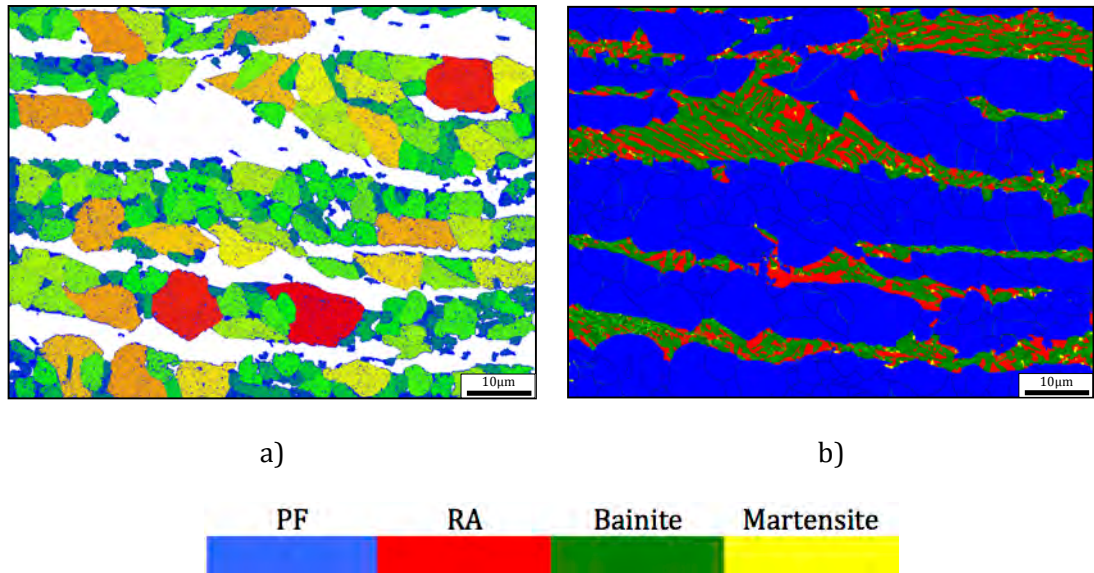


Figure 5.8: a) Polygonal ferrite after combining two maps (rainbow colour) and b) final segmentation map.

### 5.1.1 Further segmentation of the bainite morphologies

As it is clear from Fig. 5.8b that the bainite consists of two distinct morphologies; namely granular bainite and bainitic ferrite. Granular bainite is characterised by a higher density of low-angle boundaries and a relatively small area fraction of blocky retained austenite. On the other hand, bainitic ferrite is characterised by high aspect ratio arrangements of bainitic ferrite laths with a relatively larger area fraction of interlath retained austenite. The method of segmentation of ferrite in these two morphologies of carbide-free bainite (granular bainite and bainitic ferrite) is illustrated in the following section while the crystallography of these two morphologies is discussed in Chapter 6.

Although, these two morphologies are visually distinguishable, the above segmentation procedure does not individually separate them. This raises the need for another segmentation procedure that is able to separate the different bainite morphologies. To this end, while it was not possible to segment granular bainite and bainitic ferrite in the Nb-Ti steel, it was possible to segment them in the base steel because both morphologies were present in almost equal amounts in the microstructure of the latter steel. In general, the segmentation between the two morphologies was based on their grain sizes and was similar to the method used earlier to separate between bainite and polygonal ferrite, as shown in Fig. 5.4.

The first attempt to separate between the two morphologies in the base steel using a grain size criterion with boundary angles of  $2^\circ$  was unsuccessful until the boundary identification angle was reduced to  $0.5^\circ$  and the completion angle was reduced to  $0.1^\circ$ . The influence of these two definitions of the grain boundary on the grain size is shown in Fig. 5.9a and b. Based on the value of the subgrain size threshold (in this case,  $1\mu\text{m}$ ) from the normalised cumulative distribution of the grain size, the bainite subset in the base steel was separated into two subsets; bainitic ferrite laths (Fig. 5.9 c) and ferrite in granular bainite (Fig. 5.9 d). Although the grain size criterion was separated the two morphologies successfully, there were still a lot of tiny pixels from bainitic ferrite laths within the granular bainite subset, as shown in Fig. 5.9d.

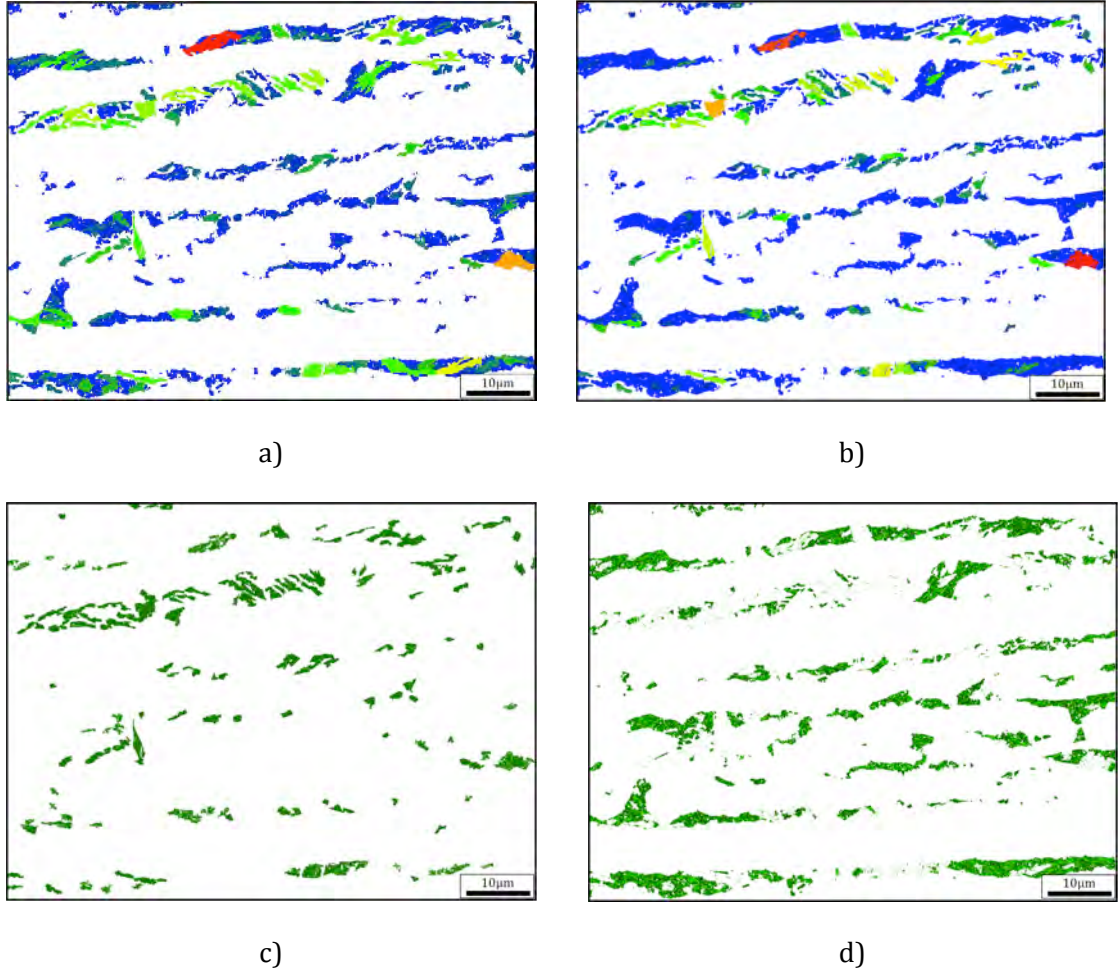
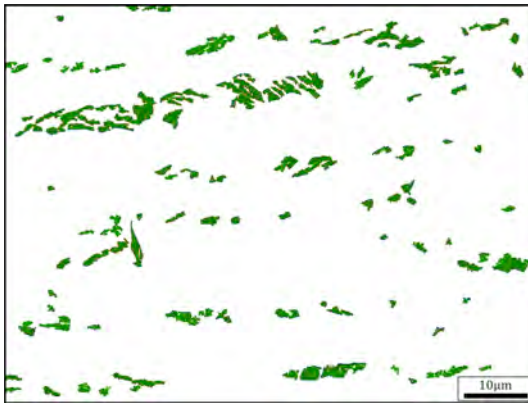


Figure 5.9: Grain size map of ferrite in bainite in base steel a) with 2° critical misorientation and b) with 0.5° critical misorientation (rainbow colour). c) bainitic ferrite laths subset and d) ferrite in granular bainite subset after thresholding the normalised cumulative distribution of the grain size.

These pixelations in the ferrite in granular bainite subset (Fig. 5.9d) were removed by expanding the bainitic ferrite laths subset (Fig. 5.9c) by one pixel, as shown in Fig. 5.10a, to cover the missing pixels, followed by subtracting the dilated subset (Fig. 5.10a) from the original subset (Fig. 5.9c). This step resulted in the creation of a subset that only contained pixelations (Fig. 5.10b), which was then subtracted from the granular bainite subset (Fig. 5.9d), resulting in the final ferrite in granular bainite subset (Fig. 5.10d). This final subset (Fig. 5.10d) was then subtracted from the original subset that contained both bainites (Fig. 5.9b) to obtain the final bainitic ferrite laths subset shown in Fig. 5.10c. The outcome of this procedure is presented in Fig. 5.10e where the polygonal ferrite is blue, the retained austenite is red, the martensite is yellow, the ferrite in granular bainite is dark green and bainitic ferrite laths are light green. It must be re-emphasised that while this procedure to

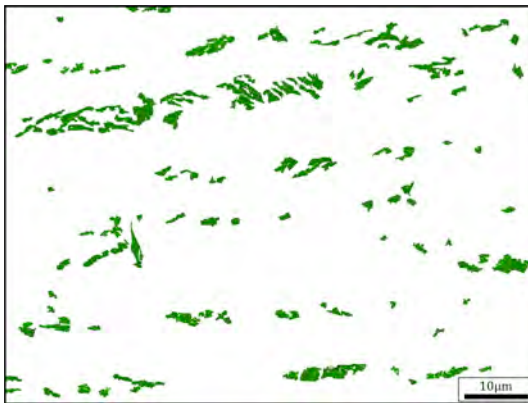
segment the ferrite in bainitic morphologies was generally successful in the base steel, care should be exercised during this procedure because there is potential for error when some of the ferrite in granular bainite areas are separated as bainitic ferrite due to the relatively lower fraction of low-angle boundary in the former areas.



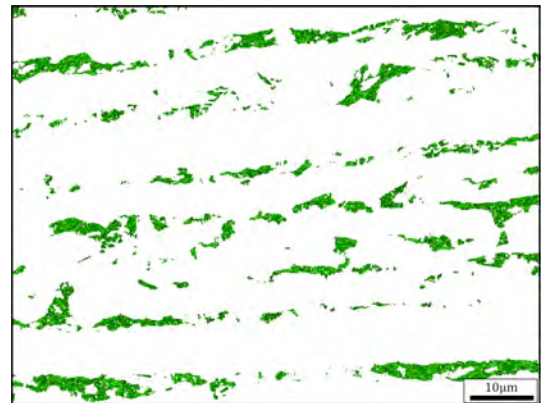
a)



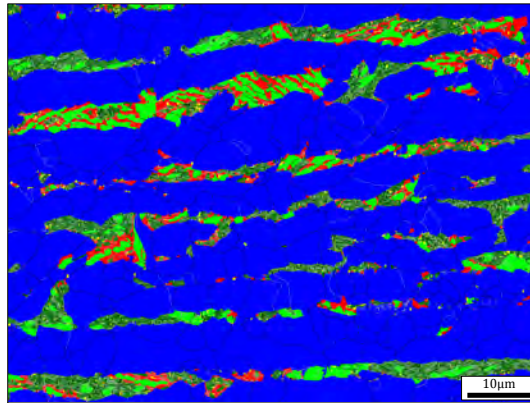
b)



c)



d)



e)



Figure 5.10: The bainitic ferrite laths subset a) after dilation by one pixel and b) pixelation belonging to bainitic ferrite laths. c) Final bainitic ferrite laths subset (BF) and d) final ferrite in granular bainite subset (GB). e) The outcome of segmentation of the ferrite in bainite in base steel into two morphologies (ferrite in granular bainite and bainitic ferrite laths).

### 5.1.2 Analysis of the phase/ferrite morphology segmentation procedure

The developed procedure was applied on the base and Nb-Ti TRIP steels. The resultant area fractions of the phases at different strains are summarised in Table 5.1. Some areas at fracture condition remain non-indexed due to the high strain and high amount of martensite. The area fraction of martensite was less than 1% in both steels in their TMP state, which increased during uniaxial tensile strain due to the transformation of retained austenite.

Table 5.1: A summary of the area fraction of phases in the base steel and Nb-Ti steel at different strains.

		<b>Polygonal Ferrite</b>	<b>Retained Austenite</b>	<b>Bainite</b>	<b>Martensite</b>	<b>Non- indexed</b>
Base	Zero ( $\varepsilon = 0$ )	77.6	5.00	16.5	0.9	0.0
	UTS ( $\varepsilon = 15\%$ )	74.3	1.20	17.7	6.8	0.0
	Fracture ( $\varepsilon = 25\%$ )	65.0	0.27	26.0	4.6	4.3
Nb-Ti	Zero ( $\varepsilon = 0$ )	71.3	9.40	18.8	0.5	0.0
	UTS ( $\varepsilon = 18\%$ )	64.3	1.40	25.5	8.8	0.0
	Fracture ( $\varepsilon = 29\%$ )	60.0	0.06	28.0	6.0	6.0

The amount of polygonal ferrite and bainite varied at each strain because different strain conditions were represented by different samples. Therefore, the area fraction of retained austenite, martensite, and non-indexed areas were normalised with respect to the sum of polygonal ferrite and bainite fractions (non-transforming phases) at different tensile strains, as shown in Table 5.2. The influence of the tensile strain on the fraction and microstructural characteristics of each constituent phase/ferrite morphology will be discussed in detail in Section 5.2.

Table 5.2: A summary of the area fraction of phases in the base steel and Nb-Ti steel normalised to the polygonal ferrite and bainite fractions at different tensile strains.

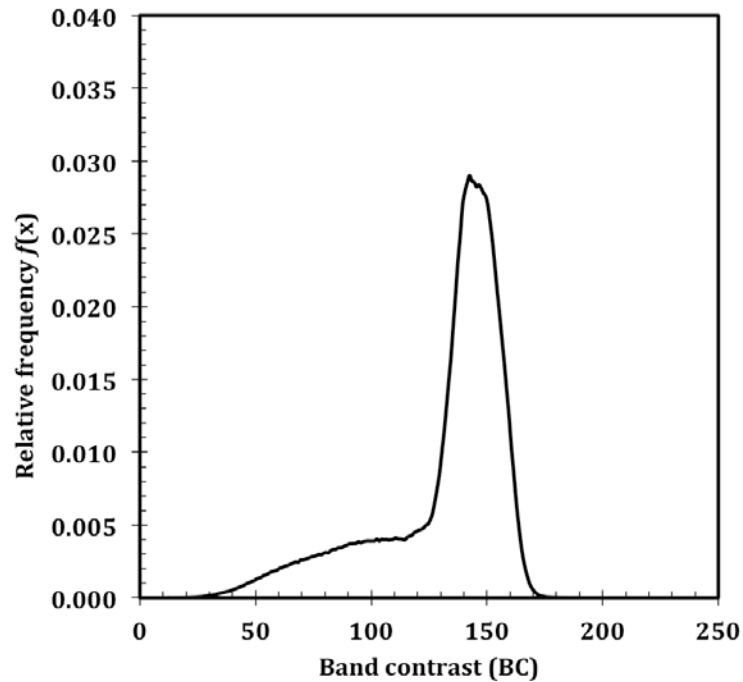
		<b>Polygonal Ferrite</b>	<b>Retained Austenite</b>	<b>Bainite</b>	<b>Martensite</b>	<b>Non- indexed</b>
Base	Zero ( $\varepsilon = 0$ )	--	0.053	--	0.010	0.000
	UTS ( $\varepsilon = 15\%$ )	--	0.013	--	0.074	0.000
	Fracture ( $\varepsilon = 25\%$ )	--	0.003	--	0.051	0.047
Nb-Ti	Zero ( $\varepsilon = 0$ )	--	0.104	--	0.006	0.000
	UTS ( $\varepsilon = 18\%$ )	--	0.016	--	0.098	0.000
	Fracture ( $\varepsilon = 29\%$ )	--	0.001	--	0.068	0.068

Since the microstructure of TRIP steel is complex, identifying the phase/ferrite morphology was more challenging than with other microstructures. Several studies have suggested different segmentation methods; but each of them suffers from limitations. These methods are based on different criteria such as local misorientation [12], band contrast (BC) [13, 65, 111], band slope (BS) [112], grain size (GS) [112], and grain-averaged function based on the band contrast and internal misorientation [13]. In this study every attempt to perform phase/ferrite morphology segmentation utilising the techniques described in literature failed because TMP multi-phase microstructures responded differently to the single step segmentation criteria [112, 121].

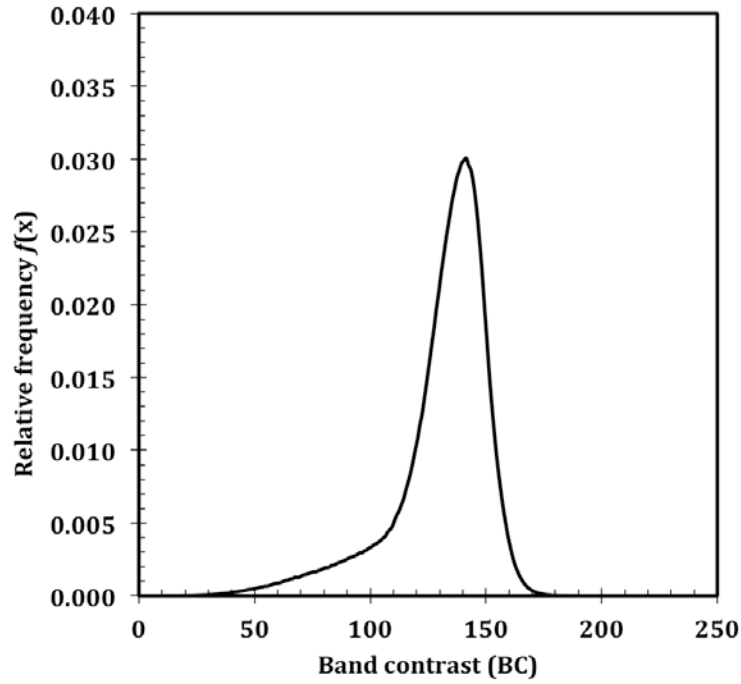
The band contrast (BC), which is similar to the image quality (IQ) or pattern quality (PQ) criteria, was one of the earliest methods used for phase/ferrite morphology segmentation. The variation in BC was related to the amount of lattice defects in each grain, and it is known that the higher the lattice defect, the lower the BC value. Therefore, bainite and martensite are characterised by low BC values as the amount of the lattice defects are larger compared to polygonal ferrite. However, one of the main disadvantages of using the BC method for segmentation is that the band contrast ranges vary from sample to sample because the band contrast of an EBSD map is influenced by the focusing conditions of the microscope and EBSD hardware settings that are optimised for each sample [120].

A successful segmentation procedure was developed by Wilson and his co-workers [115] to distinguish ferrite from martensite in Fe-0.12C-3.28Ni (wt.%) based on the pattern quality (or IQ). The BC histogram of their study clearly showed two distinct peaks for each phase. This method used the bimodal band contrast profile to separate the phases with minimum overlap but it was not successful in the present study because the BC distribution of the current TRIP steel (Fig. 5.11) only showed a single peak. This same limitation was also observed by Wilson *et al.* [115] in HSLA-100 steel. Although the dilatometric analysis showed that there was 30% of ferrite and 70% of martensite in the HSLA steel, the PQ distribution only showed a single peak without a bimodal distribution.

Kang *et al.* [13] averaged the band contrast to overcome the issue of overlapping in the distribution between martensite and ferrite. This method makes the segmentation based on grains not pixels to provide a smooth and clear discrimination. They successfully implemented this method based on band contrast (BC) on dual phase steel (ferrite and martensite). It was stated that the definition of the grain boundary angle was important because it affects the martensite fraction. However, it was found that the BC needs to be supplemented with average misorientation in order to do phase/ferrite morphology segmentation in TRIP steel [13], but once again, this procedure is only successful if the band contrast of the bcc phase returns a bimodal distribution.



a)



b)

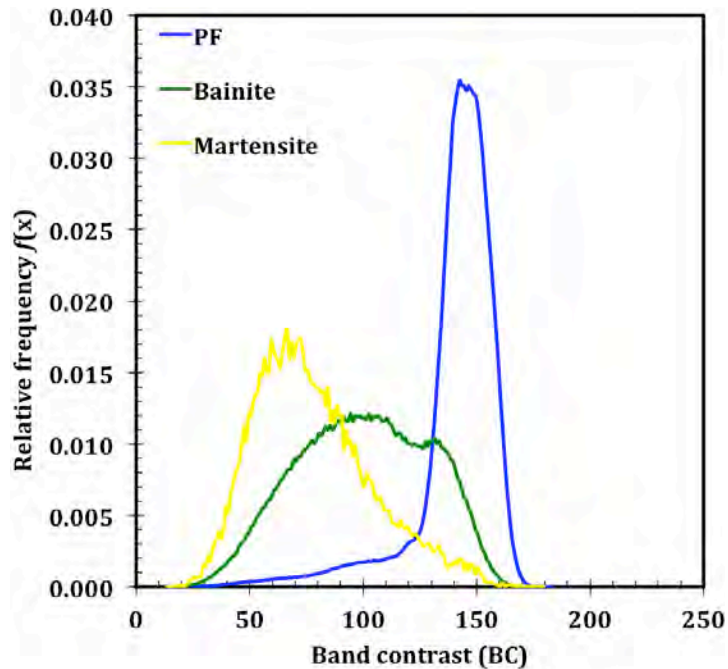
Figure 5.11: Band contrast histogram of the bcc phase for a) base and b) Nb-Ti steel.

When the BC method was used in this study, only the grain boundary regions were selected as part of a “second phase” because the band contrast usually shows low values near any type of grain boundary that are within the same range as the bainite and martensite. Thus the mix between the grain boundary and other phases using BC criterion was difficult to avoid and led to an incorrect result. However, identifying the (sub)grain boundary regions by means of a critical grain boundary misorientation angle can be one of the methods used to resolve the effect of the grain boundary [111]. However, other studies have shown that the BC method alone is not enough to separate complex microstructure such as TRIP steel [13] and it cannot be applied on deformed materials because the quality of ferrite becomes very low after deformation [65].

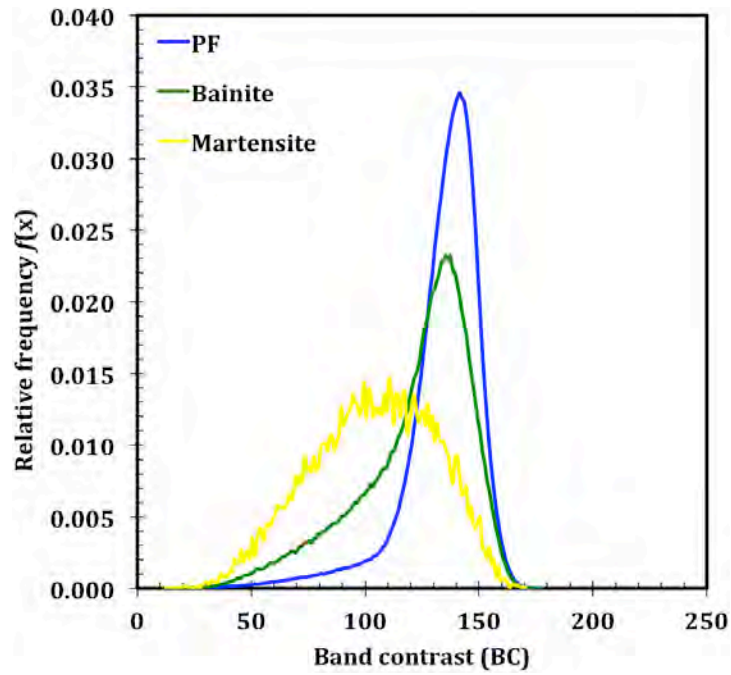
Wu *et al.* [111] introduced an improvement to the image quality method by eliminating the influence of the grain boundary region on the IQ value and normalising the IQ curve, followed by applying a multi-peak model. In their study it was assumed that a single-phase microstructure should return a single symmetric peak of the IQ distribution. Therefore, IQ distribution of the multiphase microstructure should be in an asymmetric shape and the segmentation should yield symmetric peaks whose numbers are equal to the number of phases or constituents in the microstructure. The area fraction of each phase/ferrite

morphology was estimated from the area under each peak. This method was applied on a TRIP steel [65] to separate ferrite and bainite.

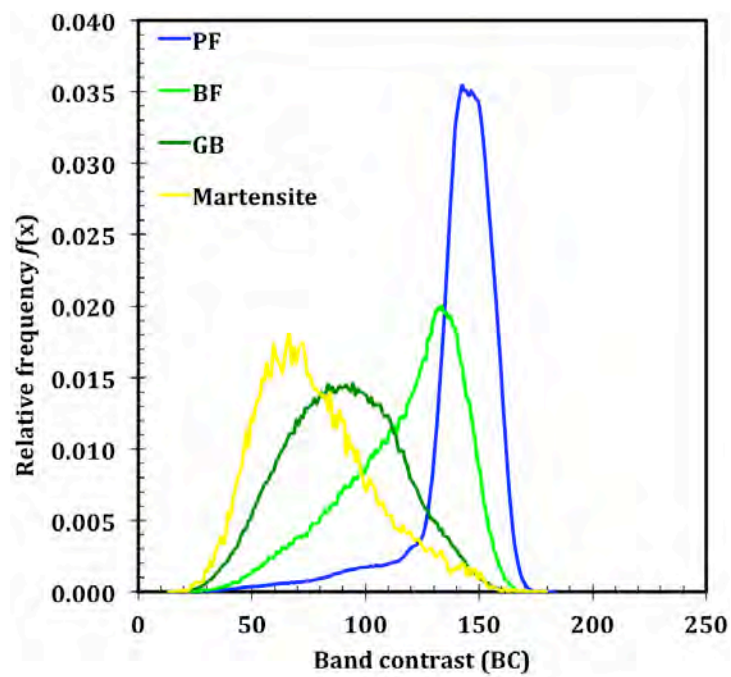
On the other hand, the results of the band contrast distribution on the current microstructure clearly showed an overlap between martensite and bainite peaks in the base steel (Fig. 5.12a) while the overlap with polygonal ferrite was less. Moreover, the results of the band contrast distribution in the Nb-Ti steel (Fig. 5.12b) showed a greater degree of overlap between peaks. The difference in the band contrast distribution between the base steel and Nb-Ti steel could be attributed to the difference in the amount of granular bainite and bainitic ferrite. Thus after segmenting the bainite of the base steel into granular bainite and bainitic ferrite, as shown in Fig. 5.12c, the bainitic ferrite distribution showed more overlap with polygonal ferrite than granular bainite. On the other hand, the absence (or small fraction) of granular bainite in the Nb-Ti steel, as shown in Fig. 5.12b, led to a large overlap between bainite and polygonal ferrite in the Nb-Ti steel. Therefore, it can be concluded that the image quality criteria could not separate between phases, particularly the bainitic ferrite and polygonal ferrite, because the variation in band contrast between them is very small, as shown in Fig. 5.12b and c.



a)



b)



c)

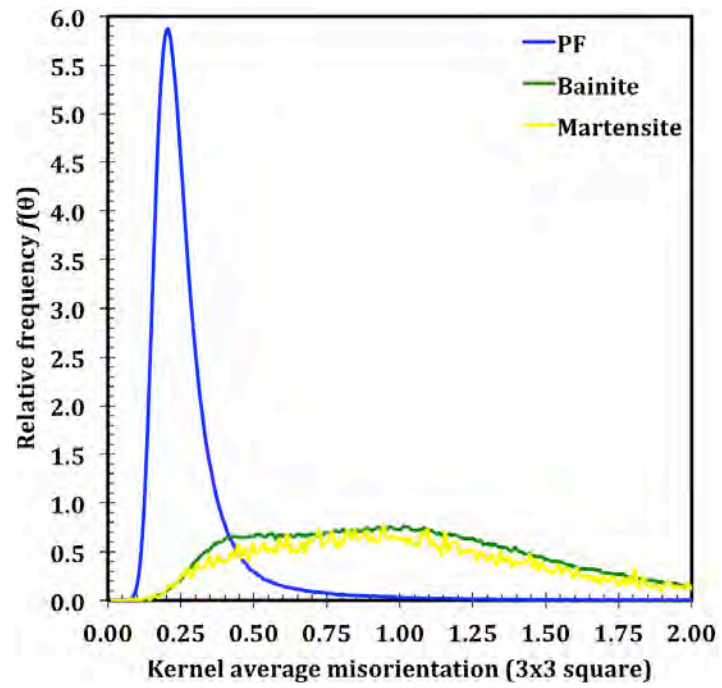
Figure 5.12: Band contrast histogram of each phase/ferrite morphology in (a and c) base steel and b) Nb-Ti steel. c) band contrast histogram of each phase/ferrite morphology after separating between ferrite in granular bainite and bainitic ferrite laths.

The stored energy (geometrical necessary dislocation, GND) formed due to the transformation of bainite affected the orientation gradient such that the latter fact can only be used as criteria for segmentation. The kernel average misorientation (KAM) where the misorientation between pixels was calculated and mapped is one way of evaluating the orientation gradient in EBSD map, but this method is characterised by orientation noise [109]. In the KAM criterion, the misorientation variation was evaluated at a pixel level, unlike the grain orientation spread criterion (GOS criterion) where the variation in misorientation was evaluated at a substructure level. Zaefferer *et al.* [12] chose the kernel average misorientation, KAM as a method to separate ferrite and bainite in Al-containing TRIP steel. Based on an analysis of the boundaries between the phases, the cumulative KAM curve was thresholded into two areas representing the two phases. The procedure was successfully applied in another TRIP steel and confirmed via the orientation relationship between bainite and austenite [120]. However, it was suggested that other parameters need to be added to this procedure when different types of bainite are formed in the microstructure [12]. However, the current results showed a clear overlap between the KAM values of each phase with overlap between the bainitic ferrite laths and polygonal ferrite was higher than between the ferrite in granular bainite and polygonal ferrite in the base steel (Fig 5.13c). The absence of a significant fraction of granular bainite in the Nb-Ti steel would not allow for segmentation between the ferrite morphologies based on the KAM criterion.

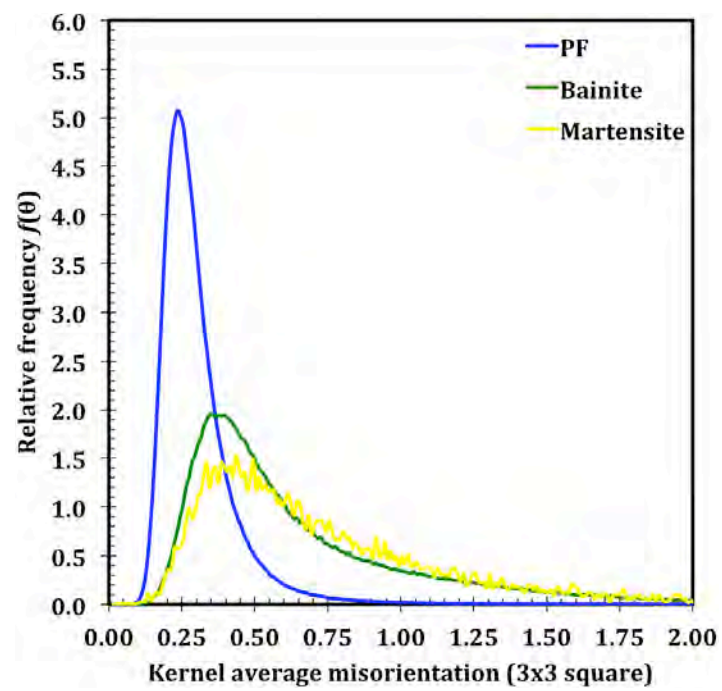
Accordingly, the procedures developed in the literature were not applicable to this study, which is mainly due to the complexity of the microstructure and the presence of many phases in the microstructure. The microstructure in this study contained mixtures of different bainite morphologies such as granular bainite and bainitic ferrite formed as several bands along the rolling direction, as seen in the optical micrographs (Chapter 5) and EBSD maps. This complexity in the microstructure made it difficult to apply any of the segmentation procedures used previously in the literature, and also led to the need for more than one-step segmentation criteria to be applied on the same microstructure. Zhu *et al.* [121] utilised a combination of several functions such as BC, BS, average granular misorientation, grain size, and grain shape to characterise their complex microstructure. In this study, the developed procedure was based on two components; the grain orientation spread (GOS) and subgrain size.

The long range grain orientation spread criterion (GOS) was used successfully in this study to do the first stage of phase/ferrite morphology segmentation which resulted in the segmentation between martensite and the first portion of polygonal ferrite (Fig. 5.2d), and

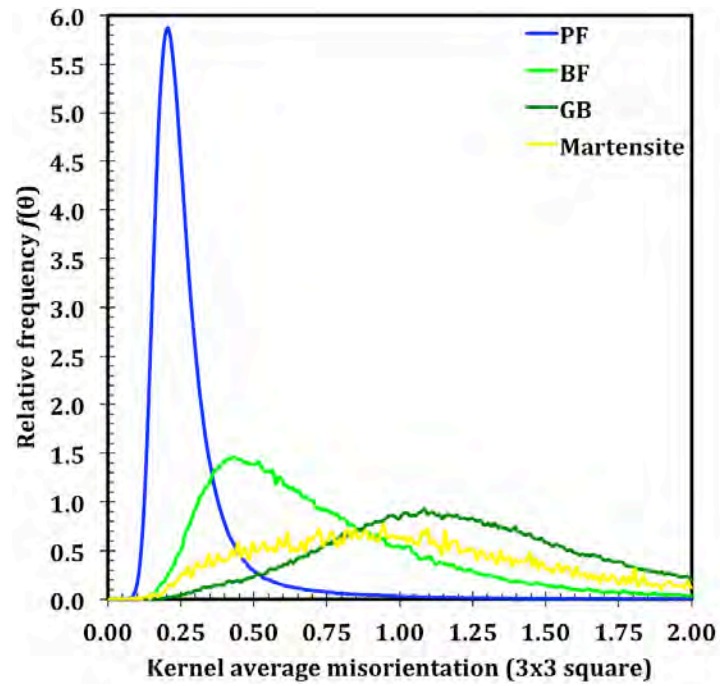
ferrite in bainite and the second part of polygonal ferrite (Fig. 5.2e). In this study the grain size was found the best criteria for separating ferrite in bainite from polygonal ferrite (Fig. 5.3) and separating martensite from the second part of polygonal ferrite (Fig. 5.5). In this method the grain size is defined by a specified critical misorientation, which is usually  $2^\circ$ . However, the critical misorientation used to define the grain boundary must be selected carefully because it has a profound influence on the result of the segmentation. The difference in grain size between the bainite and ferrite was found very useful if the critical misorientation reduced to a relatively low value (i.e.  $0.5^\circ$ ). The critical misorientation effect in the segmentation procedure has also been reported by other researchers while they used different criteria for segmentation [13]. It has been reported that a grain boundary definition angle of less than  $0.5^\circ$  led to better results, but a reduction of the critical misorientation from  $2^\circ$  to  $0.5^\circ$ , leads to scattered pixels from ferrite around bainite, and from bainitic ferrite around granular bainite, after segmentation. This issue was also been raised when different criteria were used [12]. In this study this issue was resolved by applying the steps described in Section 5.1.



a)



b)



c)

Figure 5.13 KAM histogram of each phase in the base steel (a and c) and Nb-Ti steel (b). Note that only ferrite is considered in bainites. In c) the KAM histogram is shown for ferrite in granular bainite and bainitic ferrite laths in the base steel.

## 5.2 The Effect of Strain on the Stability of Retained Austenite

Based on the segmentation methodology developed in Section 5.1, the effects of the area fraction, grain size and morphology of each phase on the retained austenite stability are analysed in this section.

### 5.2.1 *The Change of the Retained Austenite Amount with Tensile Deformation*

The newly developed segmentation procedure was used to analyse the base and Nb-Ti steel at different strains during uniaxial tensile testing: after TMP (Figs. 5.14 a and b, zero tensile strain), UTS (Figs. 5.14 c and d) and at fracture (Figs. 5.14 e and f). The high amount of martensite and high strain at UTS and fracture led to an increase in the non-indexed area (especially in regions near grain boundaries and at interfaces between phases/ferrite morphologies) such that phase identification was not possible in these areas (white colour in Fig. 5.14 e and f).

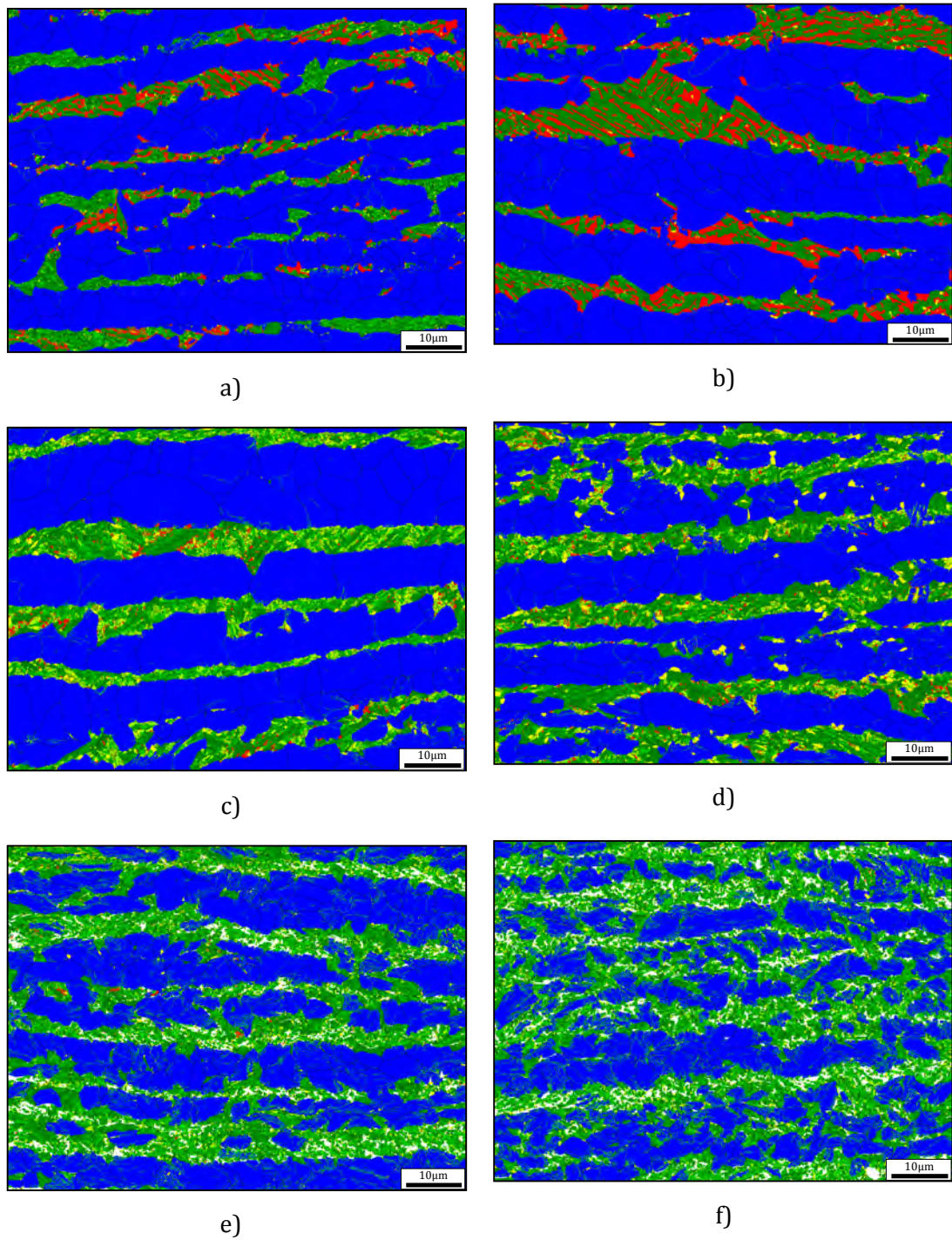
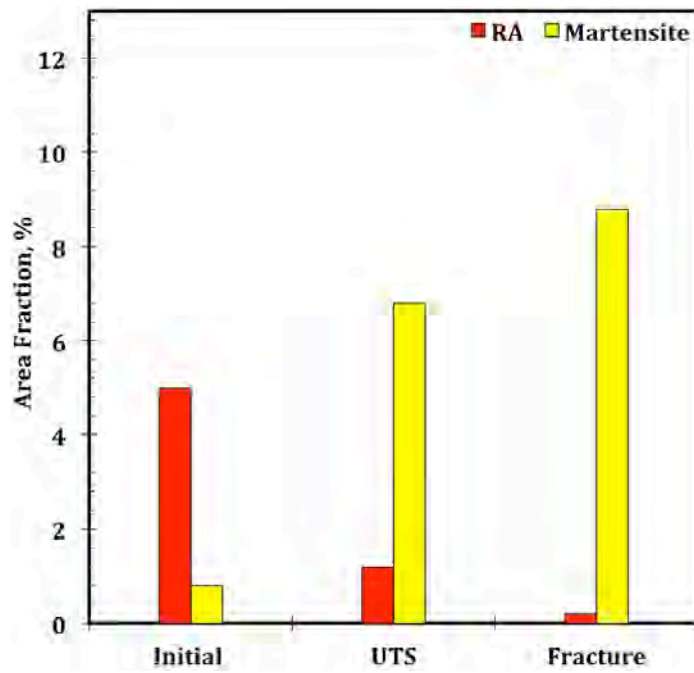
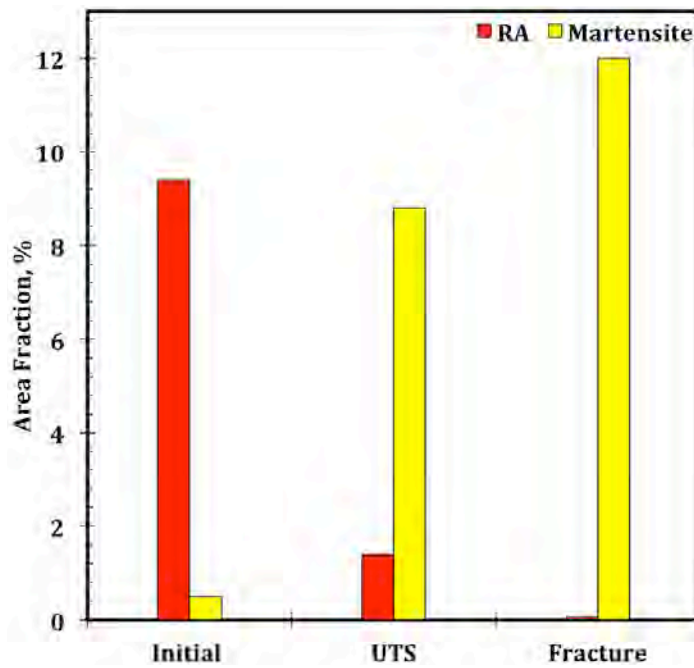


Figure 5.14: EBSD phase maps for the base steel (a, c and e) and Nb-Ti steel (b, d and f) at three different tensile deformation conditions; after TMP (zero tensile strain, a and b), at UTS (c and d) and at fracture (e and f). HAGBs= black colour, LAGBs= light green colour. The polygonal ferrite (PF) = blue, retained austenite (RA) = red, ferrite in bainite = green and martensite = yellow; white are non-indexed areas.

Prior to the tensile test (after TMP, zero tensile strain), the EBSD maps indicated the presence of ~70% polygonal ferrite and ~20% of bainite in the microstructures of the steels (Table 5.1). The area fraction of retained austenite and martensite were normalised with respect to the sum of polygonal ferrite and bainite fractions in Table 5.2. The normalised area fraction of retained austenite in the base and Nb-Ti steel was determined as 0.05 and 0.104, respectively. A small normalised area fraction of martensite was also identified: 0.01 and 0.006 in the base and Nb-Ti steel, respectively. It was assumed that this martensite formed on quenching from the isothermal hold temperature from unstable retained austenite. It was necessary to highlight that two morphologies of bainite were clearly seen in EBSD maps: granular bainite with high internal misorientation and very small fractions of retained austenite or retained austenite/martensite constituent and bainitic ferrite containing a majority of the retained austenite in the form of relatively coarse interlayers (~0.2-1  $\mu\text{m}$  thick). Since the base steel contained a higher fraction of granular bainite and the Nb-Ti steel contained a higher fraction of bainitic ferrite (Chapter 4), these differences could also be correlated to the lower and higher amounts of retained austenite in these steels, respectively. At UTS, the normalised retained austenite fraction decreased drastically to 0.013 in the base steel after straining to  $\varepsilon = 15\%$  and to 0.016 in the Nb-Ti steel after straining to  $\varepsilon = 18\%$ . Upon fracture, the retained austenite fraction decreased even further, reaching 0.003 ( $\varepsilon = 25\%$ ) and 0.001 ( $\varepsilon = 29\%$ ) in the base and in Nb-Ti steel, respectively. This reduction in the amount of retained austenite after straining was associated with an increase in the amount of martensite due to strain-induced transformation. Figure 5.15 shows the area fraction of martensite and retained austenite at different strain levels for both steels. The normalised martensite fraction at UTS was 0.074 and 0.098 in the base and Nb-Ti steel, respectively. At fracture the normalised area fraction of martensite fraction reduced to 0.051 in the base steel and to 0.068 in the Nb-Ti steel. Here, it should be noted that the area fraction of martensite at fracture should be approximately equal to the sum of the area fraction of retained austenite and martensite at zero strain. Therefore, the area fraction of martensite at the fracture condition is shown higher in Fig. 5.15 because the non-indexed areas were included in the calculation of the martensite fraction. However, the calculation of martensite area fraction at fracture might involve some errors because the non-indexed area can be part of other phases such as retained austenite or regions of high stress concentration, such as near the interfaces.



a)



b)

Figure 5.15: The variation in the retained austenite and martensite amounts during uniaxial tensile testing for a) base steel and b) Nb-Ti steel.

The EBSD analyses a small area compared with other techniques such as x-ray diffraction. In the current study, the EBSD map size was  $\sim 105 \times 79 \mu\text{m}^2$  while the analysed area by XRD was  $\sim 3 \times 15 \text{mm}^2$ , but it still returned comparable results. A comparison of the results for the retained austenite detected by EBSD with XRD (Table 5.3) showed that the EBSD gave smaller absolute values of the retained austenite area fraction for Nb-Ti steel but still correctly identified the trend of a larger area fraction of retained austenite in the Nb-Ti steel compared to the base steel. That trend was similar to the one reported in the literature [65, 112]. The difference between these two techniques could be attributed to:

- The local area analysis via EBSD compared to the bulk (volume –based) analysis via XRD and
- Large analysis depth of XRD compared to EBSD (11 $\mu\text{m}$  vs. 50nm)

The higher difference between two techniques for the Nb-Ti steel could be attributed to the finer microstructure of Nb-Ti steel compared to the coarser microstructure in the base steel.

Table 5.3: The amount of retained austenite, % before the uniaxial tensile test calculated from EBSD and XRD data.

	<b>EBSD</b>	<b>XRD</b>
Base	5	4 $\pm$ 1
Nb-Ti	9.4	13 $\pm$ 0

The small initial area fraction of martensite was due to the transformation of a small fraction of unstable or low stability retained austenite (most probably due to its relatively very low carbon content) during quenching from isothermal holding.

The high amount of retained austenite in the Nb-Ti steel might be responsible for the higher elongation compared to the base steel (Fig. 4.5). However, the TRIP effect which contributed to the ductility was controlled more by the characteristics of retained austenite than the area fraction. It has been stated here before that the resistance of retained austenite to transformation during deformation could be affected by many factors, such as its grain size and morphology [10, 57], but it should be noted that the morphology and properties of other phases/ferrite morphologies close to the retained austenite also have a substantial influence on the overall stability of retained austenite.

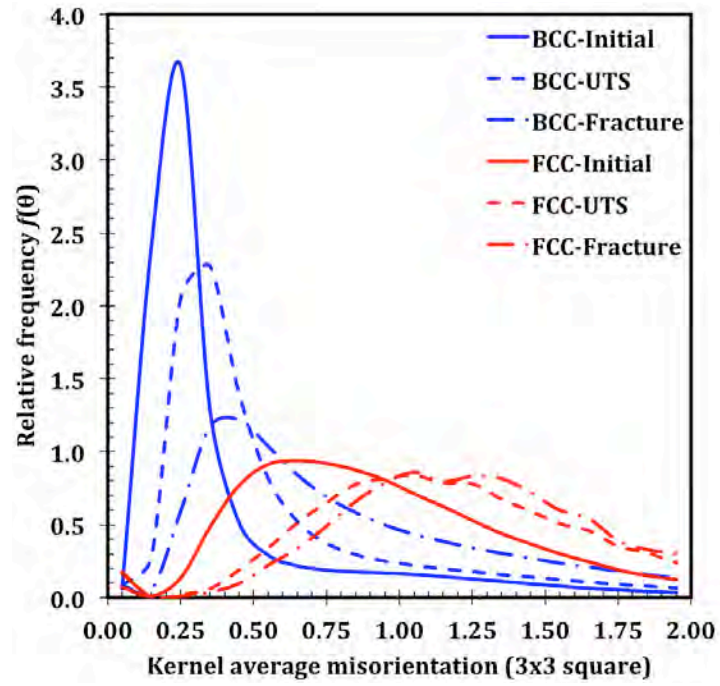
The rate at which the retained fraction of austenite was transforming during the tensile test (i.e. change of RA amount with strain) was a good indication of its overall stability. For instance, the transformation rate of retained austenite in the Nb-Ti steel from 9.4 to ~1.4% was faster than in the base steel (from 5% to ~1.2%) which suggests that the stability of retained austenite was higher in the base steel than in the Nb-Ti steel. This high transformation rate of the RA in the Nb-added high Si TRIP steel has been reported previously in the literature [10]. On the other hand, the high stability of retained austenite in the base steel can be attributed to its mechanical or chemical stability. An XRD analysis (as mentioned in the previous chapter) showed that the average carbon content in the retained austenite in the base steel (1.2 wt.%) was only slightly higher than (1.1 wt.%) in the Nb-Ti steel, and since the variation in carbon content was very small and within the error of measurement, it cannot explain why the retained austenite was stable in the base steel. Thus, the mechanical stability of the retained austenite will be discussed in the following sections.

### 5.2.2 *Internal Misorientation Analysis via the Kernel Average Misorientation (KAM)*

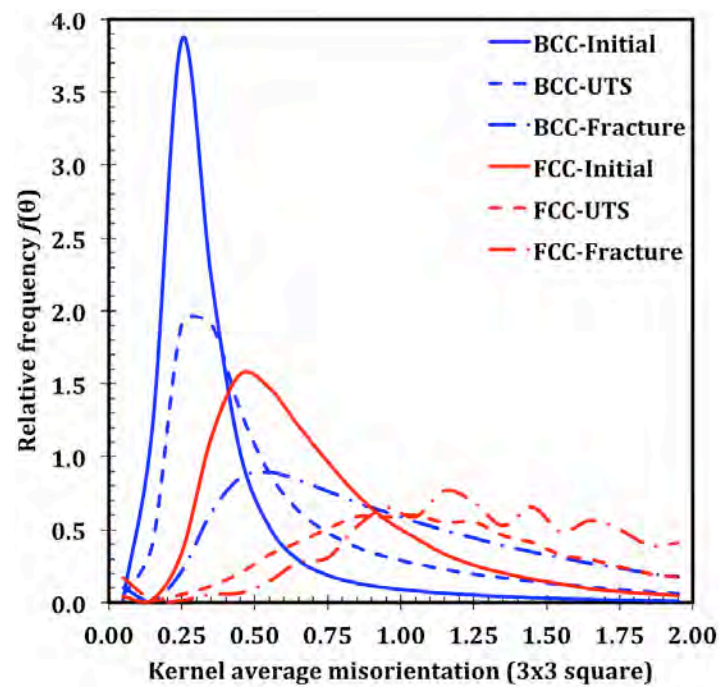
One of the advantages of EBSD analysis is the ability to study the effect of the deformation on each phase by evaluating the kernel average misorientation (KAM) [65, 109, 113, 118]. It is believed that an increase in KAM is always associated with an increase in the dislocation density of geometrically necessary dislocations (GNDs) [119]. The relative frequency of KAM at different stages of tensile test (zero tensile strain, at UTS and at fracture) for all bcc phases/morphologies (polygonal ferrite, bainite and martensite) and for the fcc phase (retained austenite) are shown in Figs. 5.16 a and b. As the tensile strain increases the peaks shift to the right towards higher average KAM angles along with an overall broadening of the distribution. This indicates an increase in the accumulated strain in these phases.

Consequently, for each phase in both steels, the variation of mean local misorientation with strain is presented in Figs. 5.16 c and d. The standard deviation of the average KAM values in each phase were large, which makes reaching definitive conclusions about each phase/ferrite morphology difficult. Nevertheless, KAM analysis gives some general idea about the strain distribution, especially between the bcc and fcc phases. The standard deviation of KAM in polygonal ferrite was small due to the low orientation gradient within the polygonal ferrite grains.

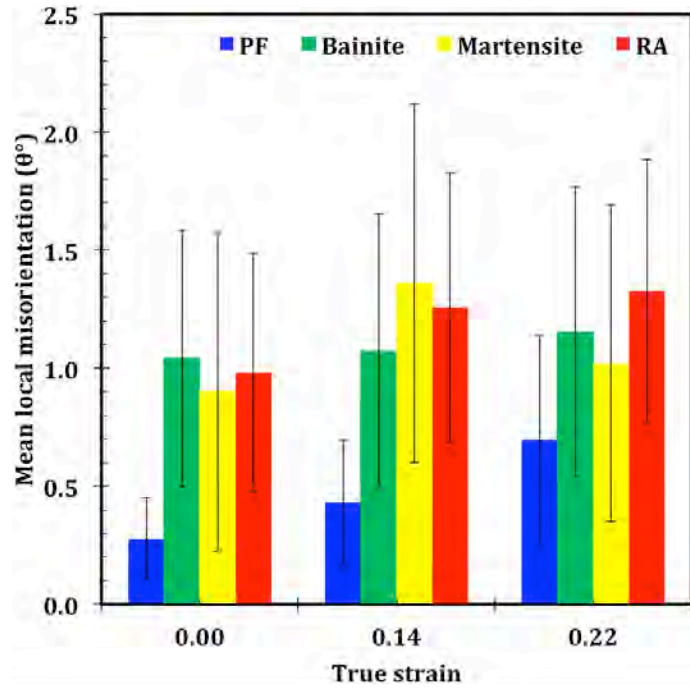
As expected, the results showed that polygonal ferrite had low average KAM values compared to the harder phases (bainite, martensite). Accordingly, the plastic strain during deformation will not be uniformly distributed in the multiphase steel, where the polygonal ferrite yields first, and then after a certain amount of work hardening the stress is transferred to harder phases such as bainite, austenite, and martensite [147, 154]. However, it has been reported that strain partitioning varies according to the microstructure and chemical composition [155].



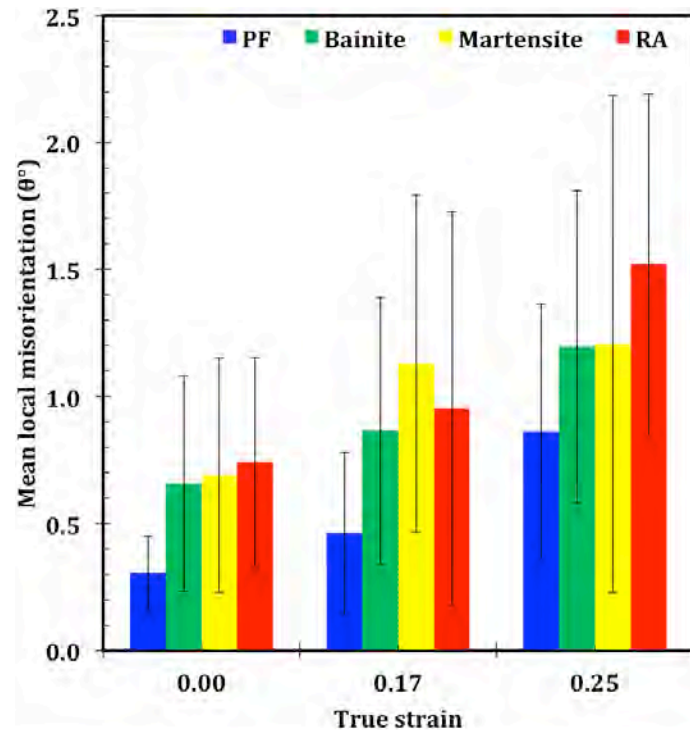
a)



b)



c)



d)

Figure 5.16: Kernel average misorientation distribution of the bcc (bainite, polygonal ferrite (PF) and martensite) and retained austenite (RA) phases at different tensile strains (a and b) and the mean and standard deviation of the KAM value for each phase/morphology (c and d) for base (a and c) and Nb-Ti (b and d) steels.

The KAM (Figs. 5.16c and d) of the retained austenite before deformation was higher than the polygonal ferrite and bainite due to the deformation applied in the non-recrystallisation region during TMP. However, the retained austenite shows faster rates of increase in the average KAM value compared to the bainite and martensite, while the rate of increase in the KAM value of the soft polygonal ferrite was the highest among all phases/ferrite morphologies [156]. This was associated with the rapid work hardening of polygonal ferrite.

The higher rate of increase in the average KAM value of retained austenite during deformation in the Nb-Ti steel than in the base steel was also associated with a higher rate of increase in the KAM of bainite. This finding was predicted because most of the retained austenite is present within the bainite in both steels. Other studies [65] conducted on TRIP steel showed that an increase in KAM with deformation was significant in the bcc phase where the KAM of the retained austenite increased by 10% while the KAM in the ferrite increased by almost 100%; the latter can be explained by high strain accommodated by bcc compared to the fcc phase. However, that study did not distinguish between the hard and soft phases within the bcc phase.

In contrast, the bainite phase had a higher KAM in the base steel than the Nb-Ti steel, whereas the increasing rate of KAM with deformation was higher in the Nb-Ti steel. The dominant granular bainite in the base steel as opposed to the bainitic ferrite in the Nb-Ti steel is suggested as the reason for these differences in the KAM, because the ferrite in granular bainite initially showed a higher KAM than the bainitic ferrite.

It should be noted here that as the tensile strain increases, the non-indexed areas of the map also increase, and therefore the KAM calculations for all phases/morphologies suffered from errors related to the non-inclusion of the absent KAM values in non-indexed regions.

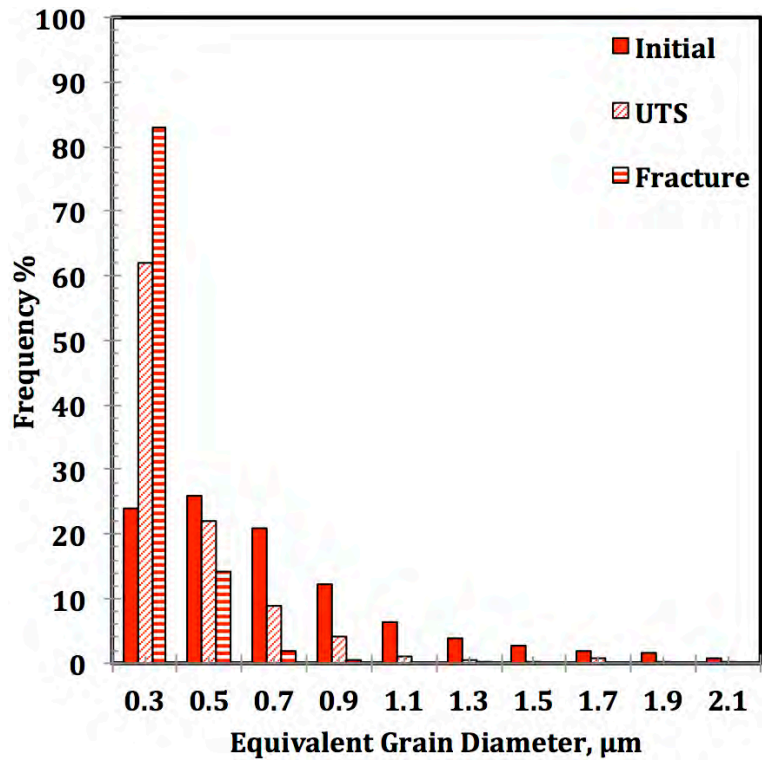
The maximum KAM values were predominantly returned at/near the grain boundaries due to the accumulation of dislocation tangles at the grain boundary [157]. The effect of volume expansion while the austenite was transforming to martensite on the local misorientation gradient in ferrite was also observed in DP steels containing 24% - 38% martensite [119].

### 5.2.3 *The Relationship between Retained Austenite Subgrain Size and its Stability*

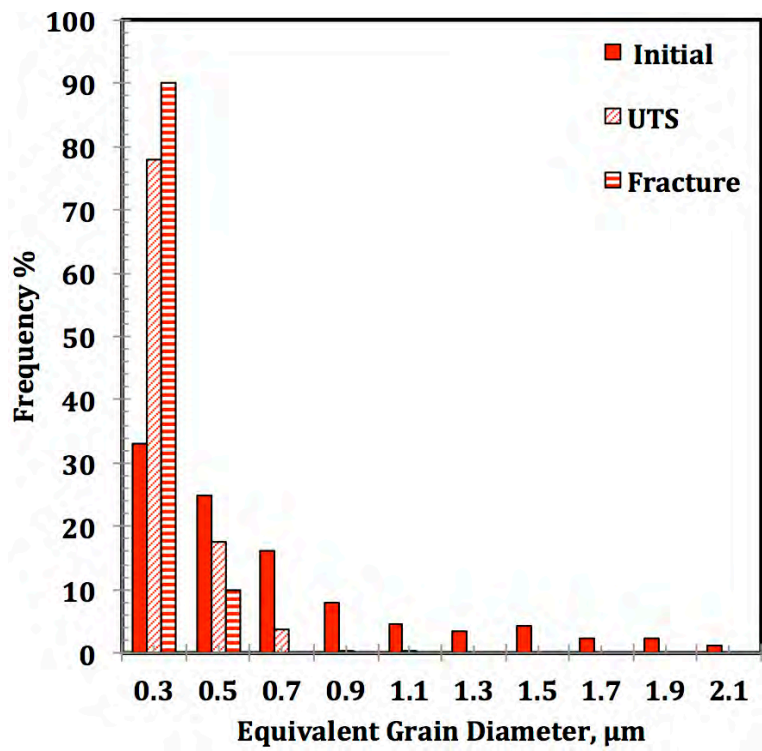
It was important to track the change in the grain size of the retained austenite during deformation in order to understand the relationship between its stability and its size. Figure 5.17 shows the equivalent grain diameter distribution for both chemistries at different strain conditions: at zero tensile strain, at UTS, and at fracture. The minimum grain size considered in the grain size evaluation was 0.15  $\mu\text{m}$ , which was three times the step size of the EBSD map scanning.

The average grain size of retained austenite before tensile deformation in the base steel was slightly coarser than the Nb-Ti steel. At UTS, the grain size of the retained austenite was less than 1  $\mu\text{m}$  in the base steel and less than 0.8  $\mu\text{m}$  in the Nb-Ti steel, whereas at the fracture, the remaining retained austenite had a grain size of less than 0.7  $\mu\text{m}$  in both chemistries.

The retained austenite grain size in the Nb-Ti steel was relatively finer than in the base steel because the addition of Nb and Ti affected the prior austenite grain size and consequently the coarseness of the final microstructure. In general, a small retained austenite grain size is more stable because a decrease in the number of martensite nucleation sites delays the start of transformation [98-100]. It is also commonly stated that smaller retained austenite grains typically have a higher carbon content, which reduces the martensite start transformation temperature [101]. The low stability of retained austenite in the Nb-Ti steel, despite the fine retained austenite size, could indicate that for deformation levels up to UTS the size effect of the retained austenite in the Nb-Ti steel was less dominant than the chemical stability.



a)



b)

Figure 5.17: The variation in the distribution of equivalent subgrain diameter of RA during tensile test for a) base steel and b) Nb-Ti steel.

#### 5.2.4 The Effect of Retained Austenite Morphology on its Stability

The retained austenite was located at different locations and formed in various morphologies due to the TMP processing used in this study. Based on the grain aspect ratio, the retained austenite was categorised into two morphologies, film and blocky shape, and an aspect ratio of 1.5 was used to make this differentiation. The results showed that ~65% - 73% of the retained austenite had a film-like morphology and ~ 27%-35% had a blocky shape in both steels. This was strongly related to the presence of two bainite morphologies: granular bainite and bainitic ferrite. The blocky retained austenite was predominantly located in the granular bainite whereas lath-like retained austenite was in the bainitic ferrite. In addition, the amount of retained austenite was much higher in the bainitic ferrite than in the granular bainite (Fig. 5.14). Table 5.3 shows the exact amount of retained austenite in each phase and retained austenite morphology in bainite. For this analysis, any retained austenite located at the polygonal ferrite/bainite interface in an undeformed condition was allocated to bainite.

Table 5.4: The effect of straining on the retained austenite morphology.

Steels		Retained austenite (%)		Retained austenite aspect ratio in bainite ( $AR \leq 1.5$ = blocky and $> 1.5$ = Films)	
		In PF %	In Bainite %	Blocky %	Film %
Base	Initial	1.3	98.7	27	73
	UTS	~0	100	33.2	66.8
	Fracture	0	100	33.8	66.2
Nb-Ti	Initial	0.5	99.5	34.5	65.5
	UTS	~0	100	34.7	65.3
	Fracture	0	100	34.4	65.6

The shape of retained austenite (blocky or film) is another important factor for mechanical stability as well as the size of retained austenite [152]. In this study, both steels have almost the same ratio of these morphologies (~35% blocky/65% film). Although the low stability of the blocky retained austenite was reported in the literature [102], we observed that the blocky shape had a similar stability to the film morphology during the tensile test. However, most of the retained austenite with film-like morphology existed in a parallel orientation, which is another factor that reduces the stability of retained austenite compared to randomly oriented retained austenite [158].

To confirm the effect of the shape of retained austenite, a morphology analysis was also applied a second time, but only on large grain size retained austenite to avoid the effect of the subgrain size on the stability. Nevertheless, the ratio of the blocky type to the film shape remained the same as in Table 5.4. This suggested that the shape of retained austenite had a minor effect on the stability of retained austenite in this study because both transformed at approximately the same rate.

The presence of blocky retained austenite after tensile deformation could also be attributed to the subdivision of the retained austenite films into small blocky grains of retained austenite, as shown in Fig. 5.18. A misleading conclusion on the stability of blocky retained austenite due to partial transformation was also reported by Lomholt *et al.* [101]. Moreover, the size of the retained austenite can play a major role in the stability of the blocky retained austenite because these very small grains are very stable and thus may never transform to martensite.

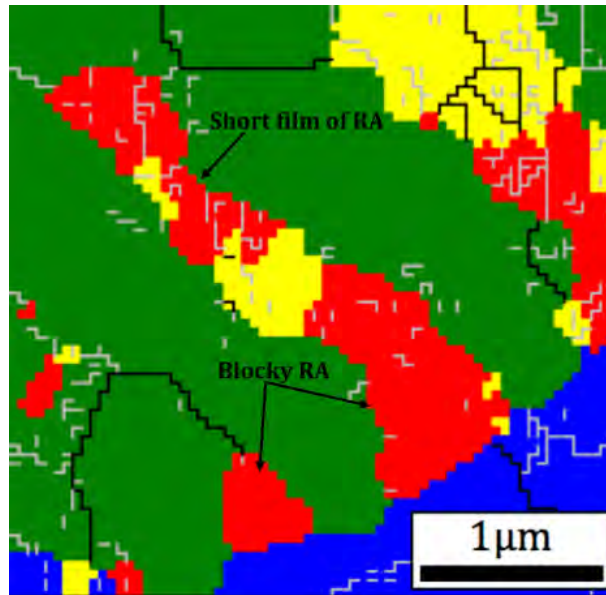


Figure 5.18: The partial transformation of the retained austenite in the Nb-Ti steel at UTS, which divided the retained austenite films into blocky retained austenite or short films.

### 5.2.5 The Stability of Retained Austenite in Polygonal Ferrite

The retained austenite was formed at three main locations: between the polygonal ferrite grains, at the polygonal ferrite/bainite interface and within the bainite grains. However, most of retained austenite was found within the bainitic ferrite laths with some minor fraction at the polygonal ferrite/bainite interface. The amount of retained austenite between the polygonal ferrite grains was very small which is 1.3% and 0.5% of the total amount of retained austenite in the base and Nb-Ti steel, respectively (Table 5.4). Following tensile deformation, almost no retained austenite was observed in the polygonal ferrite grains in both steels.

The low stability of retained austenite in the polygonal ferrite occurred because the polygonal ferrite is the softest phase among all phases present and work hardens first at the beginning of deformation. Then the load transfers to the retained austenite grains within the polygonal ferrite grains as the yield strength of the retained austenite is higher than the polygonal ferrite [152] due to the high carbon content in the retained austenite. Therefore, the mechanical stability of retained austenite in the polygonal ferrite was lower compared to the retained austenite formed within other harder phases, such as bainite. Similar results were reported in Ref. [10] where only 2% and 5% of retained austenite out of a total of 13% and 11% were detected between polygonal ferrite grains in the base and Nb high Si TRIP steels, respectively. However, all the retained austenite located between polygonal ferrite grains transformed to martensite after 0.1 strain.

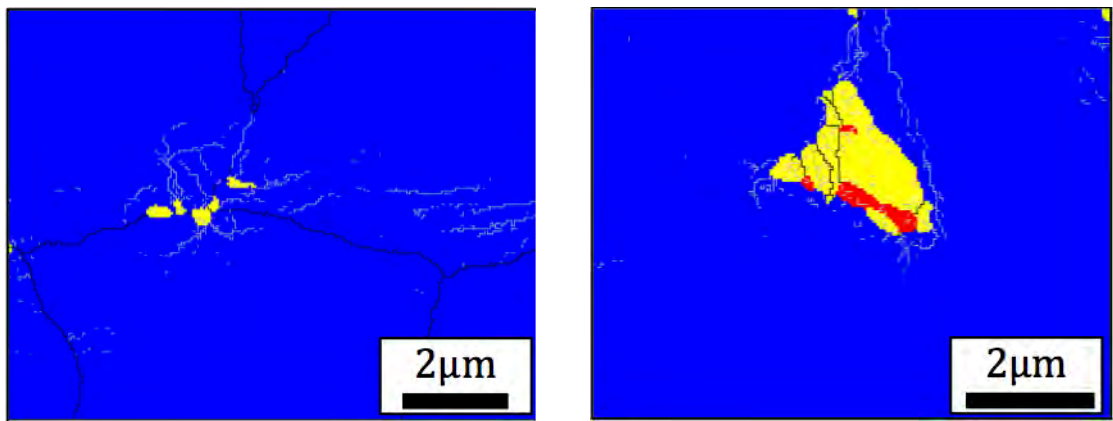
The stability of the retained austenite in the polygonal ferrite was also influenced by the number of neighbouring polygonal ferrite grains. The influence of the number of polygonal ferrite grains neighbouring retained austenite on the stability of retained austenite was investigated by Lomholt *et al.* [101]. An in situ EBSD analysis on 0.24C-2Mn-1.6Al-0.6Cr+Mo-0.2Nb+Ti (wt%) TRIP steel tracked the transformation of retained austenite with tensile deformation up to 7.9% elongation. It has been found that most of the retained austenite grains bounded by more than two ferrite grains were fully transformed to martensite. Moreover, most of the retained austenite grains bounded by two ferrite grains were partially transformed [101] whereas the retained austenite embedded in the polygonal ferrite grain had a higher stability [159]. Moreover, the retained austenite grains were influenced by the ferrite grain boundary. That part of the retained austenite close to the grain boundary transformed whereas the part that was far from the grain boundary was more stable. It was stated that the partial transformation that started at the grain boundary of ferrite was due to the local strain gradient in the vicinity of the grain

boundary [101]. The shear stress was highest at the grain boundary, which in turn encouraged the transformation of retained austenite to martensite.

In the current study, Fig. 5.19a shows the full transformation of a small retained austenite grain and Fig. 5.19b shows the partial transformation of a large retained austenite grain after tensile testing. It was speculated that the martensite grains shown in Fig. 5.19 were formed during tensile testing because only very small fractions of martensite were observed before deformation. The location of tiny retained austenite grains between polygonal ferrite grains and at the grain boundary, Fig. 5.19a, forced the retained austenite grains to transform. On the other hand, although the retained austenite grain shown in Fig. 5.19b was large, it was very stable and only partially transformed because it had not been affected by the grain boundary and was located inside the polygonal ferrite grain. Similarly, small retained austenite grains in the polygonal ferrite showed higher stability because they were located far from the grain boundary of polygonal ferrite (Fig. 5.19 c). This behaviour agrees with Ref. [26] where the ferrite grain boundary and the number of surrounding polygonal ferrite grains influenced the stability of the retained austenite within the polygonal ferrite microstructure.

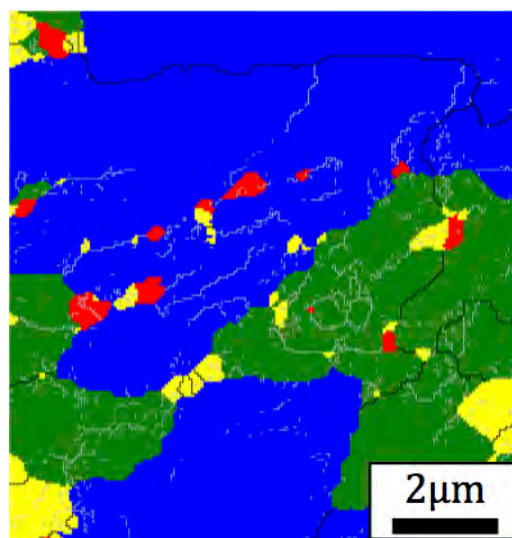
The amount of low angle boundaries around the transformed and untransformed retained austenite grains increased, as shown in Fig. 5.19, due to an increase in the density of GNDs and their rearrangement into low energy configurations; this was also evidence of the strain fields in these areas. This increase of GNDs was required to accommodate: (i) the inhomogeneity of plastic deformation around hard austenite/martensite crystals in polygonal ferrite and, (ii) the volume expansion accompanying the martensite transformation.

The presence of a deformation zone around the martensite or austenite grains (Fig. 5.19) can also affect the stress partitioning between the phases and also increase the strength of the polygonal ferrite [10, 160]. Moreover, other factors such as size, blocky shape, and carbon content could explain the low stability of the retained austenite in polygonal ferrite. Of these, probably chemical stability will be a dominant factor because the retained austenite in polygonal ferrite is expected to have a lower carbon content (due to the intake of carbon only from austenite to polygonal ferrite transformation) than the retained austenite in bainite. On another hand, the retained austenite in bainite has the benefit of additional carbon enrichment which diffuses out of bainitic ferrite during its growth [161, 162].



a)

b)



c)

Figure 5.19: Examples of the transformation behaviour of the retained austenite grains located in polygonal ferrite: a) large martensite/retained austenite grain within one polygonal ferrite grain, b) small martensite/retained austenite grains at the boundary of polygonal ferrite grains and c) non-transformed small retained austenite grains within the polygonal ferrite grain.

#### 5.2.6 The Stability of the Retained Austenite at the Polygonal Ferrite/ Bainite Interface

Prior to tensile deformation, there was a considerable amount of retained austenite at the interface of bainite and polygonal ferrite, but a larger fraction of these grains had transformed to martensite than those located within the bainite after tension; which is clear from the increased number of martensite grains at the interphase boundary at UTS (Fig. 5.20). Both steels showed a similar low stability of retained austenite at the polygonal ferrite/bainite interface. In this study it was difficult to estimate the amount of retained austenite at the interface before deformation because most of the retained austenite films grew from the interphase boundary into the interior of the bainite. This made it difficult to distinguish between the retained austenite grains at the interface and retained austenite grains within the bainite. In contrast, after tension, the retained austenite grains at the interphase boundary were more distinguishable. Accordingly, in both steels the amount of retained austenite grains at the interface after deformation was estimated to be ~30% of the total retained austenite whereas ~70% remained within the bainite.

Prior to tensile deformation, the amount of retained austenite formed at the interface of polygonal ferrite and bainite in the high Si TRIP steel investigated by Timokhina *et al.* [10] was ~18-25% of the total amount of retained austenite present. It was stated that after deformation, the retained austenite formed at the interface of polygonal ferrite and bainite had a higher stability than the retained austenite formed within polygonal ferrite grains but a lower stability than the retained austenite formed within bainite grains. Moreover, the amount of retained austenite at the polygonal ferrite/bainite interface had reduced to ~5% of the total retained austenite fraction present at UTS. The low carbon content in the retained austenite grains was suggested as the reason for the low stability of retained austenite at the polygonal ferrite/bainite interface. Surprisingly, the amount of retained austenite at the interphase boundary at UTS in this and a previous study [10] was ~0.4%, but this fraction is equivalent to 30% and 5% of the total amount of retained austenite remaining in low Si and high Si TRIP steels, respectively. Although both steels (high and low Si TRIP steels) had different carbon contents in the retained austenite (~1.2 vs. ~1.6-1.8 wt.%), the transformation of retained austenite at the interface was similar. It can therefore be speculated that the stability of retained austenite at the polygonal ferrite/bainite interphase boundary is always low and was not influenced by the average carbon content in the retained austenite.

However, it was reported previously [10, 98, 102, 152] that the non-uniform distribution of carbon between and within different retained austenite grains, and the formation of martensite in some parts of the retained austenite grains could be responsible for an

overall reduction in the stability of retained austenite. Consequently, the partial transformation that was mostly observed in that part of the retained austenite close to the polygonal ferrite in this study (Fig. 5.20) could be explained by the influence of the low chemical stability of the retained austenite as well as the higher stresses near the interface regions.

The variation in carbon in the retained austenite grains at the polygonal ferrite/bainite interface was influenced by the diffusion distance during the formation of bainite. So when the diffusion distance is very small, the variation of carbon in the retained austenite grain was expected to be high, particularly in the large retained austenite grains. Therefore, it is important to estimate the diffusion distance during bainite transformation to understand the chemical stability of the retained austenite.

The carbon diffusion distance in the retained austenite grains depends mainly on temperature and time, and therefore it was expected that the variation in carbon in a large retained austenite grain would be more than in a small grain. Additionally, the centre of a large retained austenite grain will have less carbon if there was not enough time for the carbon to reach the centre during the bainitic transformation. The diffusion distance of the carbon in austenite can be calculated based on the diffusivity equation [163, 164]:

$$D = D_0 \exp \left[ \frac{-Q}{RT} \right] \quad (5.2)$$

Where  $D$  is diffusivity in  $\text{m}^2/\text{s}$ ,  $D_0$  is the proportionality constant  $= 1.9 \times 10^{-5} \text{ m}^2/\text{s}$ ,  $Q$  is the activation energy of the diffusion  $= 157000 \text{ J/mol}$ ,  $R$  is the molar gas constant  $= 8.3 \text{ J/mol.K}$  and  $T$  is the temperature in K. Based on the diffusivity equation, the diffusion distance of carbon in the retained austenite during the bainitic transformation can be calculated as follows:

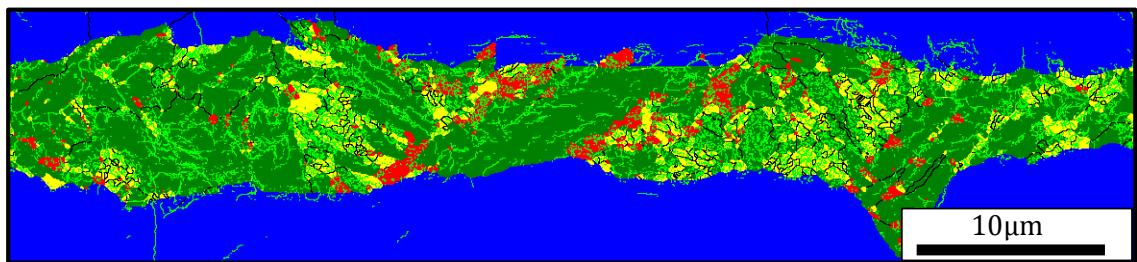
$$x = \sqrt{Dt} \quad (5.3)$$

Where  $x$  is the diffusion distance,  $D$  is the diffusivity, and  $t$  is the time in seconds. According to above equations the diffusivity of carbon in the retained austenite during the bainitic transformation at  $470^\circ\text{C}$  for 1200 s was estimated as  $1.71 \times 10^{-16} \text{ m}^2/\text{s}$  and the diffusion distance as  $0.5 \text{ }\mu\text{m}$ . From this it can be concluded that the time and temperature applied in this study during bainitic transformation was enough for carbon to reach the centre of the  $1\text{ }\mu\text{m}$  retained austenite grains. However, other factors may influence the diffusion distance, such as effect of other alloying elements on the diffusivity of carbon, but they were not considered in the above equations. Thus, this distance may be even less than the estimated value.

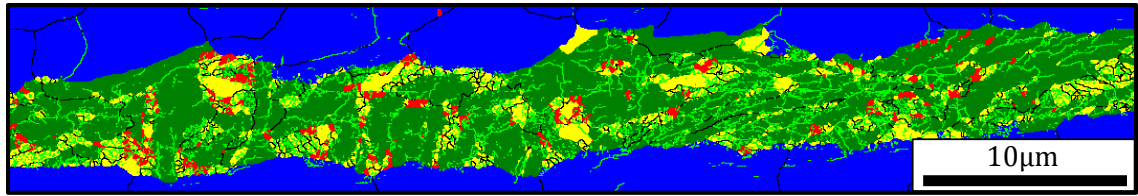
The small diffusion distance can also explain the partial transformation of retained austenite at the polygonal ferrite/bainite interface. Figure 5.21 shows that the part of the retained austenite far from the bainite interphase ( $>0.5\ \mu\text{m}$ ) was fully transformed while that part of the retained austenite grain close to the bainite was untransformed. This result indicated how important chemical stability is on the transformation of retained austenite at the polygonal ferrite/bainite interface.

Furthermore, the strain heterogeneity in TRIP steel can also influence the stability of retained austenite [152, 155]. Polygonal ferrite work hardens faster in the regions close to polygonal ferrite/bainite interface because bainite is harder than polygonal ferrite, thus more strain accumulates at the polygonal ferrite/bainite interface. To accommodate the inhomogeneity of plastic deformation more dislocations are formed which then rearrange themselves to lower energy configurations. This was clear from the increase in the fraction of low angle boundaries at the polygonal ferrite/bainite interface (Fig. 5.20). Therefore, not only does the stress concentration increase near polygonal ferrite/bainite interface but a load transfer from polygonal ferrite to bainite also takes place at the interphase boundary. Both these factors increase the transformation of retained austenite at the interface, which in turn can explain the high amount of martensite at the interphase.

The influence of the partial transformation of retained austenite on the rate of transformation is debatable because on one hand, the mechanical stability of retained austenite is reduced as the external stress is propagated directly to the retained austenite through the hard martensite phase; which then conversely increases the total driving force for the retained austenite to transform to martensite [29]. But on the other hand, it has been suggested that the newly formed martensite delays the transformation of remaining retained austenite by shielding the retained austenite from plastic deformation [101].



a)



b)

Figure 5.20: EBSD phase maps showing the partial transformation of retained austenite at UTS: a) base steel and b) Nb-Ti steel. HAGBs= black lines, LAGBs= light green lines. The polygonal ferrite (PF) = blue, retained austenite (RA) = red, bainite = green and martensite = yellow.

It should be pointed out that the orientation and shape of the retained austenite grains with respect to the polygonal ferrite/bainite interface also affects their stability. The retained austenite grains located at the interface of polygonal ferrite/bainite after tensile deformation are either parallel to the interface boundary (Fig. 5.21) or protruding in the polygonal ferrite grains (Fig. 5.22). It has been observed that the stability of the protruding retained austenite grains is higher than the retained austenite grains that are oriented parallel to the polygonal ferrite/bainite interface. At UTS most of the retained austenite grains oriented parallel to the polygonal ferrite/bainite interface were transformed to martensite. In most cases, that part of the retained austenite which was in contact with polygonal ferrite was fully transformed, whereas the part of the retained austenite in contact with bainite remained untransformed. However, the grain size of the untransformed retained austenite at the interface was very small which may be why these grains have a high mechanical stability, as shown in Fig. 5.21.

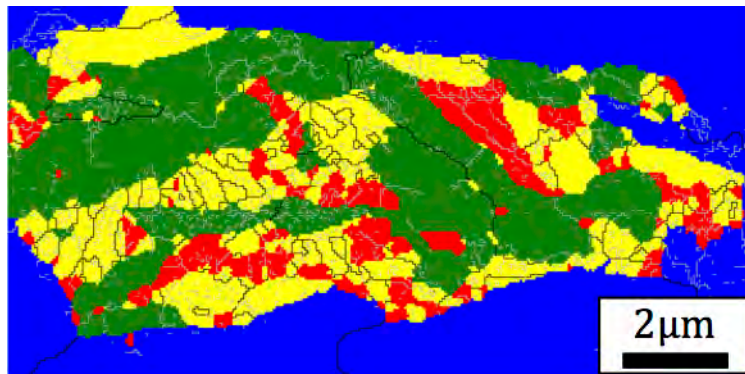
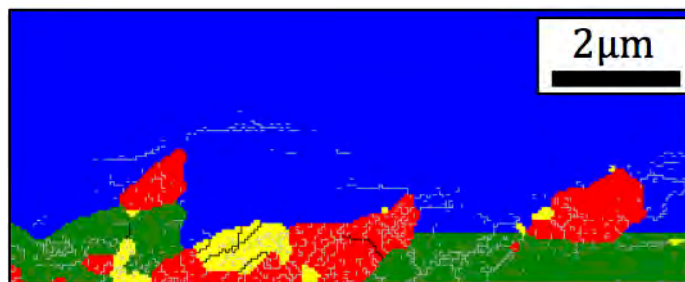


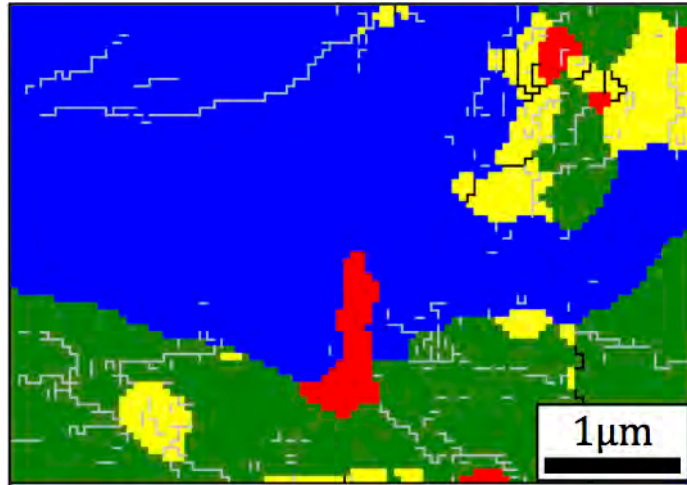
Figure 5.21: Partial transformation behaviour of retained austenite at the polygonal ferrite/bainite interface in the Nb-Ti steel at UTS. Minor amount of small retained austenite grains are observed at the interphase boundary. HAGBs= Black colour, LAGBs=

Light green colour. The polygonal ferrite (PF) = blue, retained austenite (RA) = red, ferrite in bainite = green and martensite = yellow.

In contrast, the retained austenite grains protruding into the polygonal ferrite could be large or small, as shown in Figs. 5.22 and 5.23, and while these large retained austenite grains were unstable [50], they did not transform. However, more than one side of these retained austenite grains were in contact with polygonal ferrite which should enhance their transformation and reduce their overall stability. It has been found in the literature [101], that most retained austenite grains bounded by more than two ferrite grains were fully transformed to martensite whereas most of the retained austenite grains bounded by two ferrite grains were partially transformed. The protruding retained austenite grains were also in contact with bainite as well as polygonal ferrite from more than one side. Moreover, these retained austenite grains show a higher density of low angle boundaries, as shown in Fig. 5.22a. The sub-division of the large retained austenite grains into subgrains can also be suggested as a factor that enhances the stability of retained austenite because it reduced the effective volume for martensite nucleation. In the current study, the number of retained austenite grains that experience enhanced stability by sub-division were not statistically enough to draw a definitive conclusion, but it is a factor that may need further investigation.



a)



b)

Figure 5.22: An example of protruding retained austenite grains in the polygonal ferrite grains at the interface of polygonal ferrite/bainite in base steel. HAGBs= Black colour, LAGBs= Light green colour. The polygonal ferrite (PF) = blue, retained austenite (RA) = red, ferrite in bainite = green and martensite = yellow.

On the other hand, some larger retained austenite grains protruding inside the polygonal ferrite grains transformed differently (Fig. 5.23), in that the large retained austenite grains transformed fully whereas the smaller grains only partially transformed. Such behaviour can be attributed to the low mechanical stability of the large retained austenite grains, especially if they were not subdivided into sub-grains. However, it was very surprising that the foremost tip of the retained austenite grain that protruded into polygonal ferrite remained untransformed. This means that other factors that can influence the stability of retained austenite could be of important; such as the Mn variation in the retained austenite grains. Tirumalasetty *et al.* [165] investigated the influence of the chemical composition on the transformation of retained austenite grains in Si-added TRIP steel and Al-added TRIP steel by means of EBSD and electron probe microanalysis (EPMA). They found that the stability of the retained austenite reduced with an increasing content of Mn in the austenite grains. This behaviour was attributed to the internal stresses formed in the retained austenite lattice due to the variation in concentration of Mn, such that those areas with a higher stability in the large retained austenite grains might have lower contents of Mn. The effect of Mn on the stability of retained austenite was not conducted in this study and is therefore outside the scope of this work.

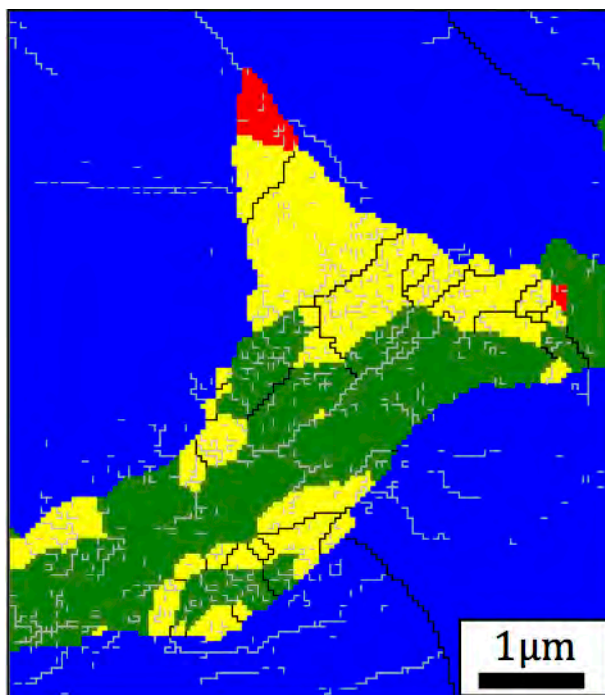


Figure 5.23: An example of protruding retained austenite grains into polygonal ferrite grain at the interface of polygonal ferrite/bainite in base steel. HAGBs= black colour, LAGBs= silver colour. The polygonal ferrite (PF) = blue, retained austenite (RA) = red, ferrite in bainite = green and martensite = yellow.

### 5.2.7 *The Effect of Bainite Morphology on the Retained Austenite Stability*

Most of the retained austenite that remained in the microstructure was found within the bainitic ferrite (Fig. 5.19) because bainitic ferrite laths act like a shield that protects the retained austenite from external loads and adds more stability to the retained austenite [137]. As discussed in Section 5.1.4, bainite was present in the bainitic ferrite (lath shape) and granular bainite morphologies, and most of the bainite laths had a parallel orientation in both chemistries. Here ferrite in granular bainite was characterised by a high amount of substructure compared to bainitic ferrite. It is also observed that the lath-shaped bainitic ferrite was the dominant phase in the Nb-Ti steel while the granular bainite was the dominant phase in the base steel. Similar observations regarding the effect that the addition of alloying elements has on the type of bainite were also found in high Si TRIP steel [10].

The difference in the dominant bainite phase morphology explains the high average KAM of the bainite phase in the base steel compared to the Nb-Ti steel, as shown in the Fig. 5.16. The average KAM of the bainite was  $1.04^\circ$  and  $0.66^\circ$  in the base steel and Nb-Ti steel, respectively. The average KAM increased by  $\sim 3\%$  after deformation in the base steel whereas the increase in the KAM in the Nb-Ti steel was  $\sim 30\%$ . The exact effect of the bainite morphology on the stability of retained austenite was not clear, however the high transformation rate in Nb-Ti steel where bainitic ferrite was the dominant phase could indicate that the stability of retained austenite was lower in the bainitic ferrite compared to granular bainite, which contradicts previous studies [10]. A similar low stability of film-like retained austenite compared to the blocky retained austenite was reported in Ref. [152] and it was linked to the load transfer when bainitic ferrite has a parallel lath-like structure. Based on the rate of increase in KAM values during tension, it could be suggested that bainitic ferrite accommodated higher strains than granular bainite, thus, more strain transferred to the retained austenite formed within the bainitic ferrite.

Another factor that can influence the stability of the retained austenite located between the bainitic ferrite laths is the carbon distribution. As Fig. 5.24 shows, the area that transformed to martensite was more likely to have less carbon and was therefore less stable than those more stable areas with high carbon content. The transformation of retained austenite mainly occurred at the centre of the retained austenite grains and far from the bainite boundary (Fig. 5.24). This partial transformation behaviour can be linked to the diffusion distance of carbon, which was estimated to be  $0.5\text{ }\mu\text{m}$  or even less (in section 5.1.6), and the distance from the interface to the centre of this retained austenite well that exceeds it. This leads to less carbon content at the centre of the retained

austenite grain, so the centre of the retained austenite grain was fully transformed. However, some part of the outer surface of the retained austenite grain was also transformed, possibly due to the inhomogeneous strain distribution evident from the high density of low angle boundaries in some areas around the retained austenite grain, as shown in Fig. 5.24.

It is clear that both chemical and mechanical stability play a role in the deformation-induced transformation of retained austenite to martensite. However, it should be noted that all of the above discussions regarding mechanical stability (size and shape) are based on two-dimensional analysis and do not take into account other factors such as grain rotation and orientation with respect to the deformation axis. These will require further investigation in future.

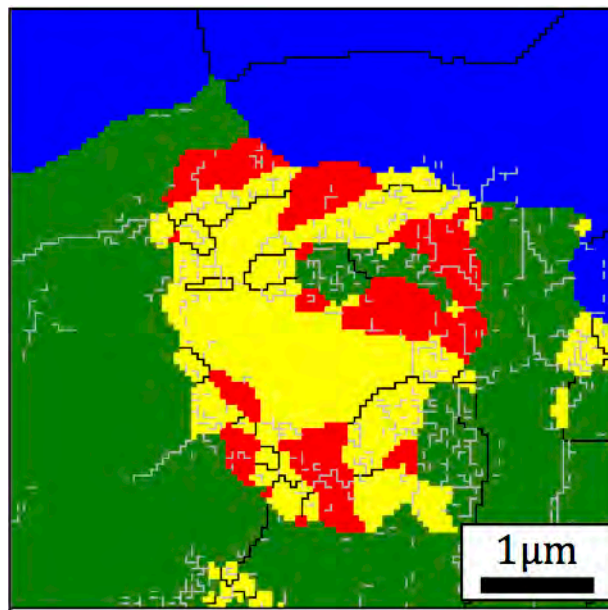


Figure 5.24: The partial transformation of the retained austenite grain in bainite in the Nb-Ti steel at UTS. HAGBs= black colour, LAGBs= Light green colour. The polygonal ferrite (PF) = blue, retained austenite (RA) = red, ferrite in bainite = green and martensite = yellow.

### 5.3 Conclusions:

1. A newly developed procedure for phase segmentation was successfully applied on two thermo-mechanically processed TRIP steels using EBSD data. Based on grain orientation spread (GOS) and subgrain size criterion, this method was able to distinguish between three phases; polygonal ferrite, bainite and martensite.
2. The variations in the amount of retained austenite at different tensile strains were measured by EBSD. The transformation rate of retained austenite in the Nb-Ti steel was higher than in the base steel, which is an indication of the higher stability of retained austenite in the base steel.
3. The higher stability of retained austenite in the base steel was related to the dominance of granular bainite in the base steel compared to the dominance of bainitic ferrite in the Nb-Ti steel. However, a higher initial amount of retained austenite was found in the bainitic ferrite morphology.
4. A local misorientation analysis was conducted on each phase in both steels. The rate of increase in the average KAM values of the bainite phase with tension in the Nb-Ti steel was higher than in the base steel. This behaviour was related to the dominance of bainitic ferrite morphology in the Nb-Ti steel and deemed to be responsible for the lower stability of retained austenite in bainitic ferrite compared to granular bainite.
5. The stability of large and small retained austenite grains was investigated in this study. It was found that a partial transformation of retained austenite grains could lead to a misleading conclusion regarding the high stability of small retained austenite grains because the partial transformation of large retained austenite grains in turn introduced small retained austenite grains.
6. The stability of the retained austenite at different locations was discussed in this study. Most of the retained austenite was between the bainitic ferrite laths and a small amount of retained austenite was at the polygonal ferrite/bainite interface. The stability of retained austenite at the interface was much less than the stability of retained austenite in the bainite, but higher than the stability of retained austenite in the polygonal ferrite.
7. The partial transformation of retained austenite grains at the interphase was related to the carbon enrichment of retained austenite. Therefore, the part of the retained austenite close to the polygonal ferrite was less stable than the part of the retained austenite close to the bainite. However, it is believed that the

transformation of retained austenite is more complex and is influenced by many factors such as the Mn segregation and the grain orientation which led to non-anticipated transformation behaviour in some of the retained austenite grains.

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## 6 ANALYSIS OF THE CRYSTALLOGRAPHY OF BAINITE

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In the previous chapter (Chapter 5), it was shown that the amount of RA in the bainitic ferrite was higher than the RA in the granular bainite. It was also suggested that the dominance of the granular bainite in the base steel was the main source of the high stability of RA in the base steel. Therefore, the crystallography analysis of the ferrite in different bainite morphologies observed in the current microstructure should increase our understanding of the transformation mechanism of these morphologies. Such analysis was conducted for the first time using EBSD.

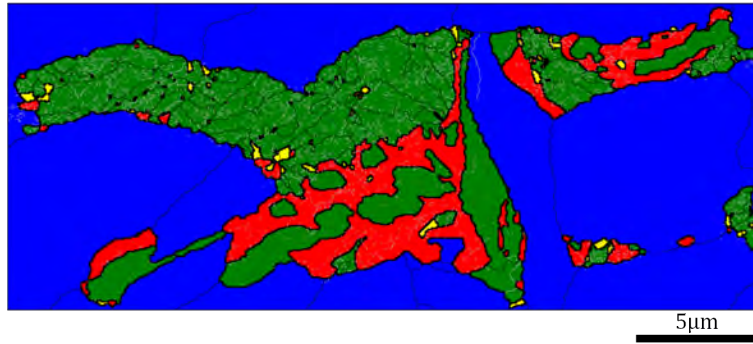
This analysis began by finding the closest orientation relationship between the parent austenite and ferrite in bainite that formed during cooling and isothermal holding, and then examined the variants realisation and their arrangement. Furthermore, a special Matlab code was written to calculate the ORs between the parent and product phases and to assign a specific variant to each grain based on the minimum misorientation criteria.

This chapter will cover in the first three sections the methodology of selecting the prior austenite grain, the orientation relationship, and the Matlab code. The output of Matlab code was divided into four sections: the CP arrangement, the Bain arrangement, variant pairs, and variants selection, and in each section the crystallography of ferrite in GB and ferrite laths in BF was differentiated and discussed.

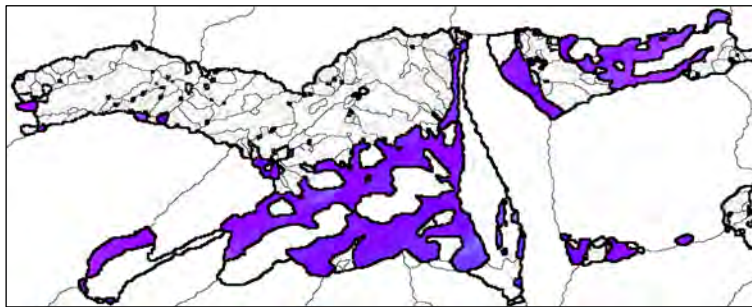
## 6.1 Selection of a Single Prior Austenite Grain

Reconstructing a prior austenite grain was a necessary step to study the orientation relationship (OR) between austenite and bainite, if no retained austenite is present in the final microstructure. This reconstruction will give the orientation of the parent austenite that can be used for a crystallographic analysis of the product phase such as bainitic ferrite in each individual grain of austenite. An accurate determination of the prior austenite orientation is critical for studying crystallography. Therefore, several models have been developed to reconstruct the prior austenite microstructure [83, 84, 166, 167] that would give an approximate orientation of the parent phase. However, the accuracy of these models are affected by several parameters such as possible variant selection and/or deformation of the product phase [168], as well as by the type of orientation relationships (KS, NW, Bain or GT) selected and used in the model.

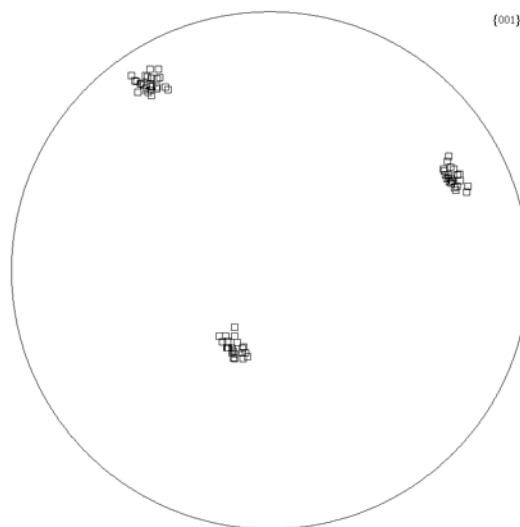
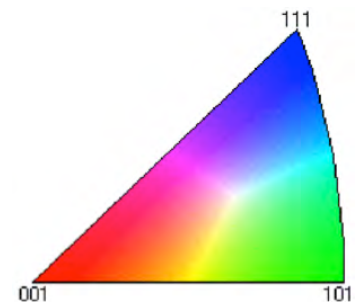
Fortunately, in this study the amount of RA was high enough for crystallography analysis without reconstructing the grains [20, 169]. Figure 6.1a shows an example of a cut from an EBSD map that represents a single prior-austenite grain and contains high amount of RA. This area was considered to be a single prior-austenite grain based on the inverse-pole figure (IPF) (Fig. 6.1b) and the pole figure (PF) (Fig. 6.1c) [92]. The IPF shows that the RA had almost the same orientation (approximately one colour) used to indicate a single prior austenite grain; this was also confirmed by the concentration of {001} poles in the pole figure (Fig. 6.1c). All the areas for analysis were selected based on this approach for identifying a single prior austenite grain. A typical spread in prior austenite grain orientation was  $\sim 7^\circ \pm 3^\circ$  and it was associated with austenite being subjected to hot rolling.



a)



b)



c)

Figure 6.1: An example of a single prior-austenite grain in base steel; a) phase map, b) inverse-pole figure map of the RA and c)  $\{001\}$  pole figure (PF) of the RA. RA = red, PF = blue, ferrite in bainite = green, and martensite = yellow). In (a) thick black line represents phase boundaries, thin black line = HAGBs and sliver lines = LAGBs.

## 6.2 The Orientation Relationships

There are several theoretical orientation relationships that define the crystallographic relationship between the parent face-centred cubic (fcc) lattice (austenite) and the product body-centred cubic (bcc) lattice or body-centred tetragonal (bct) (martensite or bainitic ferrite), which are widely accepted and used. These relationships are summarised in Table 6.1 and were discussed in the Literature Review in Chapter 2. The most common ORs are Kurdjumov-Sachs (KS) ORs, which were originally determined based on the investigations done on low carbon martensitic steel [74] and Nishiyama-Wasserman (NW) ORs, which were experimentally determined based on Fe-Ni alloys [75, 76]. These ORs are empirical relationships which for simplicity is expressed rationally [168].

Table 6.1: The most common fcc-bcc orientation relationships

	Plane	Direction
Kurdjumov-Sachs (KS) [74]	$\{111\}_{\gamma} // \{110\}_{\alpha}$	$\langle 110 \rangle_{\gamma} // \langle 111 \rangle_{\alpha}$
Nishiyama- Wassermann (NW) [75, 76]	$\{111\}_{\gamma} // \{110\}_{\alpha}$	$\langle 112 \rangle_{\gamma} // \langle 110 \rangle_{\alpha}$ or $\langle 011 \rangle_{\gamma} // \langle 001 \rangle_{\alpha}$
Greninger-Troiano (GT) [77]	$\{111\}_{\gamma} \sim 1^{\circ} // \{110\}_{\alpha}$	$\langle 12,17,5 \rangle_{\gamma} // \langle 17,17,7 \rangle_{\alpha}$
Bain [73]	$\{010\}_{\gamma} // \{010\}_{\alpha}$	$\langle 001 \rangle_{\gamma} // \langle 101 \rangle_{\alpha}$

An analysis of the data indicated that although the deviation from the exact theoretical KS and NW ORs were very close, the KS ORs had a smaller deviation angle. The average deviation angle of Nb-Ti steel from KS OR was  $4.8^{\circ}$  while the average deviation angle in the base steel from KS OR was  $4.6^{\circ}$ . Moreover, the comparison between the theoretical and experimental pole figures (PF) for KS ORs showed a very close resemblance (Fig. 6.2). It was also reported in the literature that the KS OR was the closest OR in TRIP steels [17, 20, 21, 92] and other types of steels [78, 84, 170-173]. Abbasi *et al.* [86] found it difficult to distinguish between a KS OR and other orientation relationships, so the use of KS OR was

expedient based on the highest number of possible variants compared to other ORs. It was also stated by Bhadeshia [87] that there must be 24 possible variants in each prior austenite grain due to the irrational relationship between austenite and bainitic ferrite laths, and although Beladi *et al.* [174] used NW OR, it was stated that the laths had ORs close to the KS ones.

Based on all the above, it was decided to apply the KS ORs in this study to define the orientation relationship between the retained austenite as parent phase and ferrite in GB and BF as a product phase. Although the martensite phase is another product phase present in the current microstructure (Fig. 6.1a), it was not considered in the analysis because it was present in very small amounts and was outside the scope of this study.

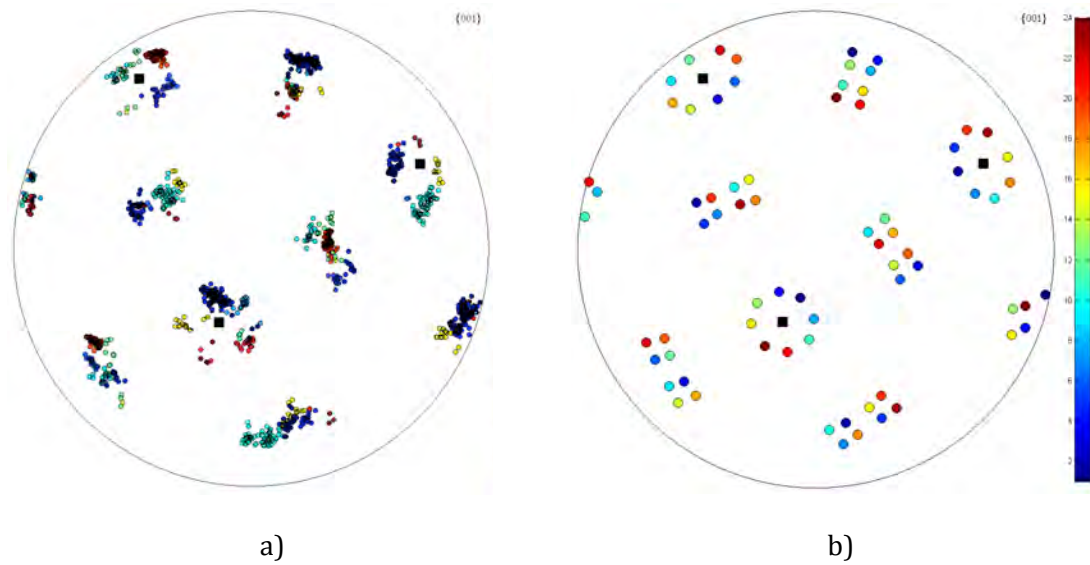


Figure 6.2: An example of the {001} pole figure for the orientation relationships between the ferrite in bainite and the RA in base steel, which corresponds to Figure 6.1: a) experimental PF, b) theoretical PF. The average orientation of the RA grain is represented by black squares in a) and b).

In the preliminary analysis in this study, 24 KS variants were assigned based on the minimum misorientation, without setting a constraint on the misorientation angle; this step was used to investigate how large the deviation was from the KS ORs, and in most maps the deviation from KS ORs in was between 0-10°. This observation was also similar to the deviation from the KS orientation at the interface of the RA and ferrite in bainite

(via built-in OR model in HKL software), which showed that the deviation was in the same interval of (0-10°) in all the maps. Verbeken *et al.* [78] used 5° as the maximum deviation in their analysis. Their choice was related to the misorientation between KS and NW ORs, which is 5.26°. However, in their study the percentage of the grains with a misorientation of less than 5° was 47% in one steel and 61% on the other steel, whereas in this current study more than 89% of the map had a misorientation of less than 5°, and therefore the average deviation from the KS OR in all maps was less than 5° even with the 0-10° interval used in this study.

## 6.3 The Procedure for Crystallographic Analysis

An in-house Matlab code was written for the variant determination and then the results of this program were used as input for the Oxford Channel 5 HKL software to give a visual representation.

The initial step in the program was to convert the 24 KS variants and the experimental data to matrix algebra. However, the 24 KS variants are presented as planes and directions while the experimental data were presented as three Euler angles, so different equations were used for the conversion to matrices followed by calculating the orientation transformation matrices of all KS variants and experimental data, as discussed in the following sections. Finally, a specific KS variant was assigned to each data point in the EBSD map.

### *6.3.1 Calculation of the theoretical transformation matrices*

In a single austenite grain, 24 KS variants (bainite or martensite) can be formed due to the symmetry in the cubic system. These variants were categorised into four packets (CPs, CP1-CP4) based on four different austenite planes, as shown in Table 6.2. Each variant was designated as “V” followed by a variant number from 1 to 24, as shown in the Table 6.2. In each CP there are three Bain groups (BGs, B1-B3) as depicted in Fig. 2.18.



$$g = \begin{pmatrix} \frac{u}{n} & \frac{kw - lv}{mn} & \frac{h}{m} \\ \frac{v}{n} & \frac{lu - hw}{mn} & \frac{k}{m} \\ \frac{w}{n} & \frac{hv - ku}{mn} & \frac{l}{m} \end{pmatrix} \quad (6.2)$$

Where  $m = \sqrt{h^2 + k^2 + l^2}$ ,  $n = \sqrt{u^2 + v^2 + w^2}$  and  $(hkl)$  and  $[uvw]$  are the plane and direction, respectively.

For example, the plane and direction of the bcc and fcc for V1 in Table 6.2 can be converted to a rotation matrices ( $g$ ) to calculate the transformation matrix ( $T_{KS}$ ), as follows:

$$T_{KS} = g_{bcc} g_{fcc}^{-1} \Rightarrow \begin{pmatrix} 0.742 & -0.667 & -0.075 \\ 0.650 & 0.742 & -0.167 \\ 0.167 & 0.075 & 0.983 \end{pmatrix} = \begin{pmatrix} -0.577 & 0.816 & 0.000 \\ -0.577 & -0.408 & 0.707 \\ 0.577 & 0.402 & 0.707 \end{pmatrix} \begin{pmatrix} -0.707 & 0.408 & 0.577 \\ 0.000 & -0.816 & 0.577 \\ 0.707 & 0.408 & 0.577 \end{pmatrix}^{-1} \quad (6.3)$$

These calculations lead 24 orientation matrices for fcc and bcc corresponding to 24 variants in addition to 24 transformation matrices ( $T_{KS}$ ) for each variant, as shown in Table 6.3. Moreover, multiplying the 24  $T_{KS}$  by the orientation of any prior austenite grain led to 24 variants of bcc being transformed from that austenite grain. For example, the orientations of the 24 variants that were transformed from a single austenite grain (001) are plotted in different colours in the PF, as shown in Fig. 6.3.



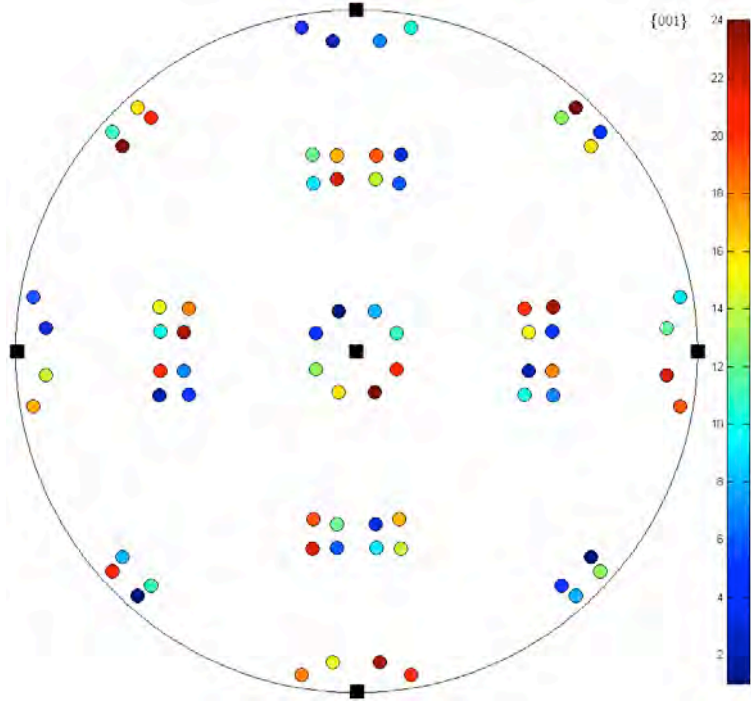


Figure 6.3: The {001} pole figure where circles represent the 24 KS variants transformed from (001) austenite, which is shown as black squares.

The misorientation angle and the axis between the KS variants can be obtained by multiplying the  $(T_{KS})$  of each variant (V1 - V24) by the inverse of the reference variant  $(T_{KS})^{-1}$  such as V1, by using Eq. 6.4. . However, the symmetry in the cubic system means that each operation in Eq. 6.4 leads to 24 matrices when it is multiplied by the symmetrical matrices shown in Table 6.4. The minimum misorientation angle and the orientation axis between the two KS variants can be obtained by applying Eqs. 6.5 and 6.6 onto the  $\Delta g$  matrix.

$$\Delta g = T_{2-24} T_1^{-1} \quad (6.4)$$

$$\theta = \cos^{-1} \frac{g_{11} + g_{22} + g_{33} - 1}{2} \quad (6.5)$$

$$\mathbf{r} = [(g_{23} - g_{32})/2\sin(\theta) \quad (g_{31} - g_{13})/2\sin(\theta) \quad (g_{12} - g_{21})/2\sin(\theta)] \quad (6.6)$$

Based on Eq. 6.4, for example, the relationship of all the variants relative to V1 can be obtained by calculating the minimum angle and axis, as shown in Table 6.5, which

compares well with the literature [81], and by following a similar procedure, the misorientation angles between all the variants relative to each other can be calculated as shown in Table 6.6.

Table 6.4: The 24 symmetric matrices for cubic system.

---

$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & \bar{1} \\ 0 & \bar{1} & 0 \\ \bar{1} & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & \bar{1} \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} \bar{1} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & \bar{1} \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ \bar{1} & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & \bar{1} \\ 0 & 1 & 0 \end{pmatrix}$
$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \bar{1} & 0 \\ 0 & 0 & \bar{1} \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & \bar{1} & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & \bar{1} & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} \bar{1} & 0 & 0 \\ 0 & \bar{1} & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 \\ \bar{1} & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$
$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & \bar{1} \\ \bar{1} & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & \bar{1} & 0 \\ 0 & 0 & 1 \\ \bar{1} & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & \bar{1} \\ \bar{1} & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & \bar{1} \\ 1 & 0 & 0 \\ 0 & \bar{1} & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 1 \\ \bar{1} & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$
$\begin{pmatrix} 0 & \bar{1} & 0 \\ 0 & 0 & \bar{1} \\ 1 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & \bar{1} \end{pmatrix}$	$\begin{pmatrix} \bar{1} & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & 0 & 1 \\ 0 & \bar{1} & 0 \\ 1 & 0 & 0 \end{pmatrix}$	$\begin{pmatrix} 0 & \bar{1} & 0 \\ \bar{1} & 0 & 0 \\ 0 & 0 & \bar{1} \end{pmatrix}$	$\begin{pmatrix} \bar{1} & 0 & 0 \\ 0 & 0 & \bar{1} \\ 0 & 1 & 0 \end{pmatrix}$

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Table 6.5: Misorientation axis and angles of all KS OR variants relative to V1. Variants belong to each CP (CP1, CP2, CP3 and CP4) are grouped [17].

Variant number	Rotation axis (r)	Misorientation From V1 ( $\theta$ )	CP
V1--			CP1
V2	[-0.58, -0.58, 0.58]	60°	
V3	[0, 0.71, 0.71]	60°	
V4	[0, -0.71, -0.71]	10.5°	
V5	[0, -0.71, -0.71]	60°	
V6	[0, 0.71, 0.71]	49.5°	
V7	[-0.58, -0.58, 0.58]	49.5°	CP2
V8	[0.58, 0.58, -0.58]	10.5°	
V9	[-0.61, 0.19, -0.77]	50.5°	
V10	[-0.74, -0.46, 0.49]	50.5°	
V11	[0.93, 0.35, 0.07]	14.9°	
V12	[-0.36, 0.6, 0.71]	57.2°	
V13	[0.35, -0.93, -0.07]	14.9°	CP3
V14	[-0.49, 0.46, -0.74]	50.5°	
V15	[-0.74, -0.25, 0.63]	57.2°	
V16	[0.66, -0.66, -0.36]	20.6°	
V17	[-0.66, 0.36, -0.66]	51.7°	
V18	[-0.72, -0.3, 0.63]	47.1°	
V19	[-0.19, 0.77, 0.61]	50.5°	CP4
V20	[0.36, 0.71, -0.6]	57.2°	
V21	[0.96, 0, -0.3]	20.6°	
V22	[-0.3, 0.63, 0.72]	47.1°	
V23	[-0.25, -0.63, -0.74]	57.2°	
V24	[0.91, -0.41, 0]	21.1°	



### 6.3.2 Calculation of the experimental transformation matrices

The three Euler angles of the average orientation of the RA grain and each pixel of the product bcc phase (bainite or martensite) were converted into an orientation matrix using Eq. 6.7 [175]:

$$g = \begin{pmatrix} g_{11} & g_{12} & g_{13} \\ g_{21} & g_{22} & g_{23} \\ g_{31} & g_{32} & g_{33} \end{pmatrix} \quad (6.7)$$

Where;

$$\begin{aligned} g_{11} &= \cos\phi_1 \cos\phi_2 - \sin\phi_1 \sin\phi_2 \cos\Phi, & g_{12} &= \sin\phi_1 \cos\phi_2 + \cos\phi_1 \sin\phi_2 \cos\Phi, & g_{13} &= \sin\phi_2 \sin\Phi \\ g_{21} &= -\cos\phi_1 \sin\phi_2 - \sin\phi_1 \cos\phi_2 \cos\Phi, & g_{22} &= -\sin\phi_1 \sin\phi_2 + \cos\phi_1 \cos\phi_2 \cos\Phi, & g_{23} &= \cos\phi_2 \sin\Phi \\ g_{31} &= \sin\phi_1 \sin\Phi, & g_{32} &= -\cos\phi_1 \sin\Phi, & g_{33} &= \cos\Phi \end{aligned}$$

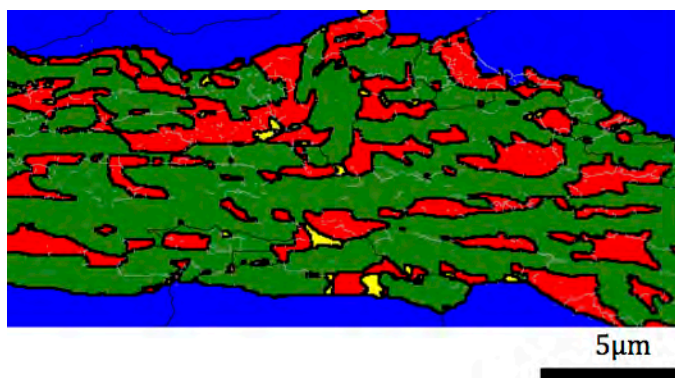
As with the matrices operation performed on the KS variant, the rotation matrices of the product bcc phase were multiplied by the inverse of the rotation matrices of the RA grain, which yields the experimental transformation matrices ( $T_{Exp}$ ), as shown in Eq. 6.8. Due to the cubic crystal symmetry, a single orientation can be described using 24 equivalent notations, as shown in Table 6.4, and therefore each experimental transformation matrix was multiplied by the 24 symmetric matrices, which yielded to 24 ( $T_{Exp}$ ) for each data point.

$$T_{Exp} = g_{bcc} g_{fcc}^{-1} \quad (6.8)$$

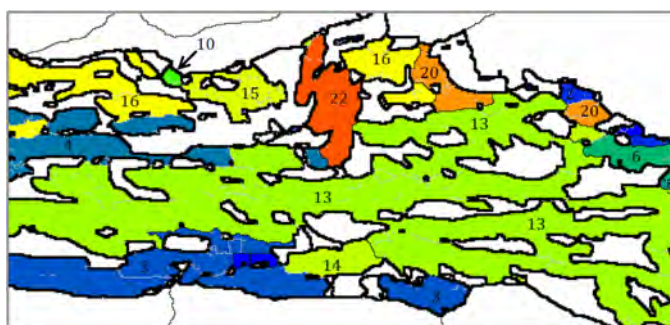
### 6.3.3 Calculation of the misorientation angle between theoretical and experimental data

The 24 theoretical transformation matrices ( $T_{KS}$ ) were multiplied by the inverse of each experimental transformation matrix ( $T_{Exp}$ ). The output matrix ( $T$ ) is used to calculate the misorientation angle ( $\theta$ ) and the rotation axis ( $\mathbf{r}$ ) between each variant and the product phase using Eqs. 6.5 and 6.6 [82]. The output 24 angles that corresponded to 24 KS variants were compared and a specific variant was assigned to each pixel based on the minimum misorientation angle.

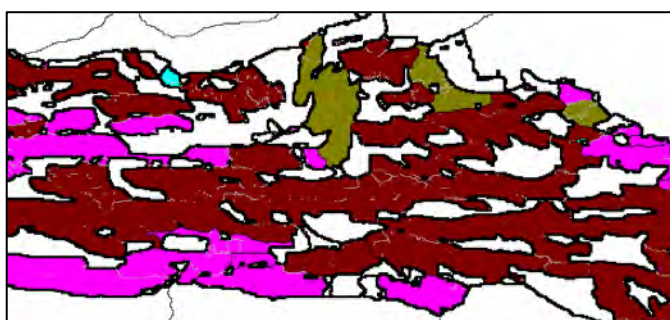
Based on this procedure, the variant number of each pixel was distinguished for each prior austenite, as shown in Fig. 6.4b, while crystallographic packets and Bain groups were obtained for each cut, as shown in Figs. 6.4c and d, respectively. For each cut, the experimental and theoretical  $\{001\}$  pole figures of the realised variants and retained austenite were plotted (Figs. 6.4e and f), and then the normalised frequency was calculated and presented for all variants realised in each prior austenite grain, as shown in Fig. 6.4g. The black lines represent the HAGBs ( $>15^\circ$ ) and silver colour lines represent LAGBs ( $2-15^\circ$ ), while the interphase boundaries were drawn on all maps as a thick black line.



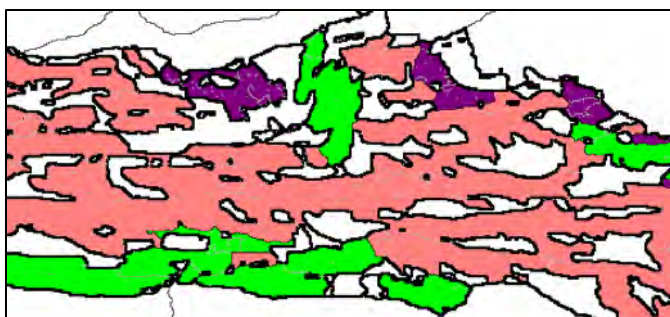
a)



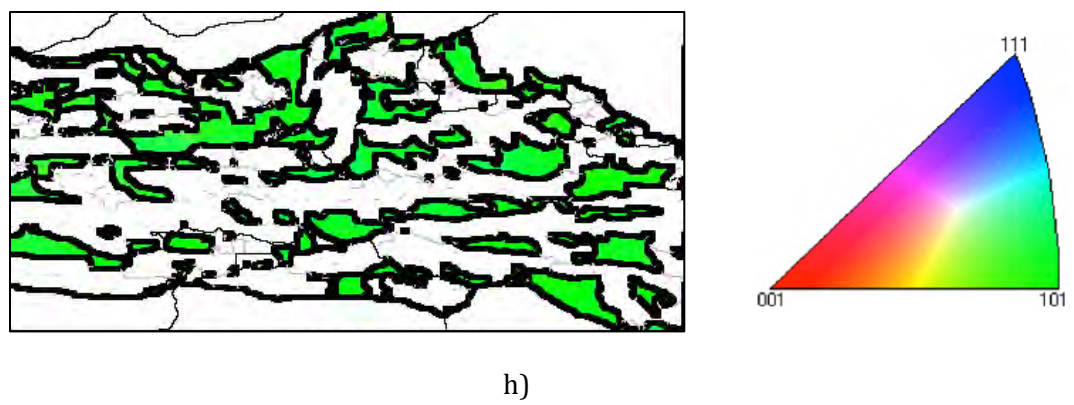
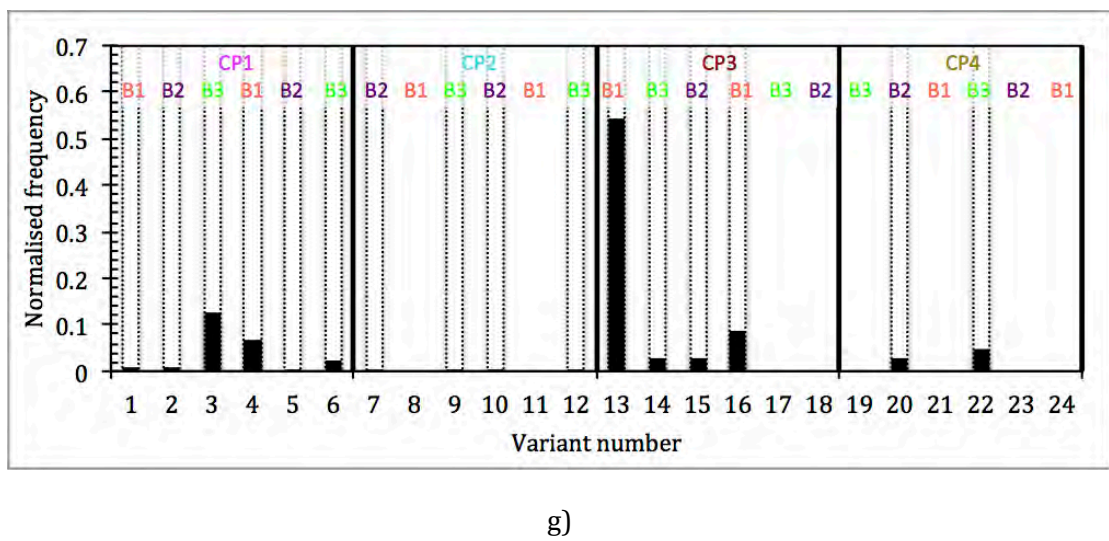
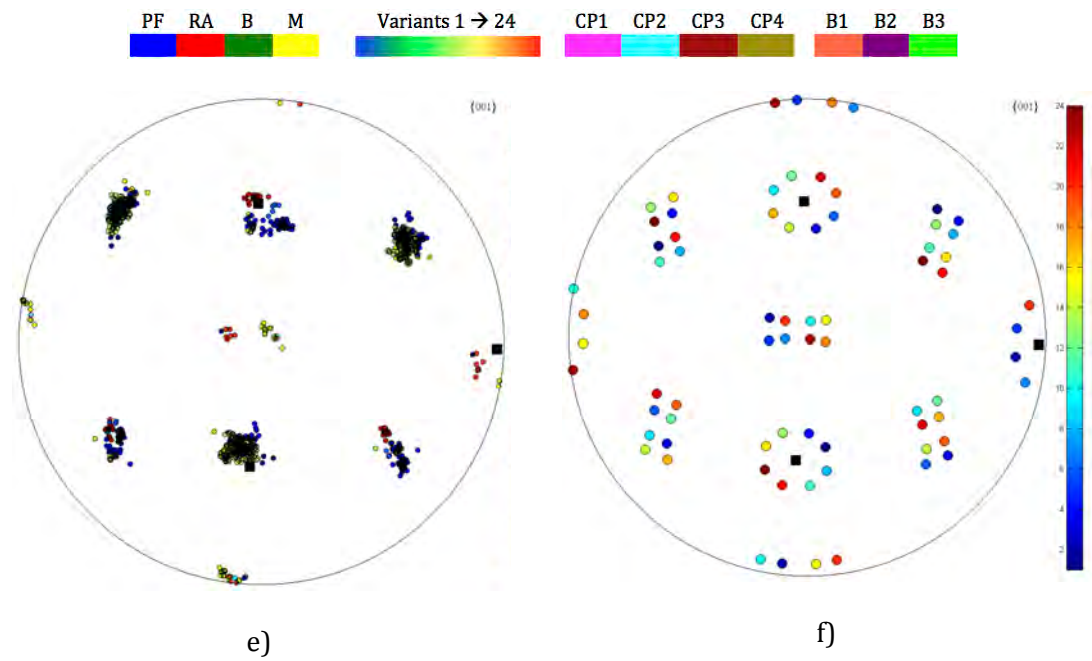
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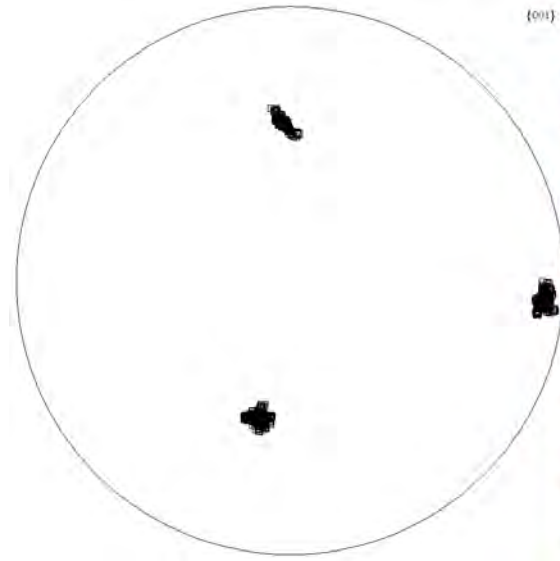


c)



d)





i)

Figure 6.4: EBSD maps of bainitic ferrite laths (BF) in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants and the average orientation of the RA grain is represented by black squares in e) and f). g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.

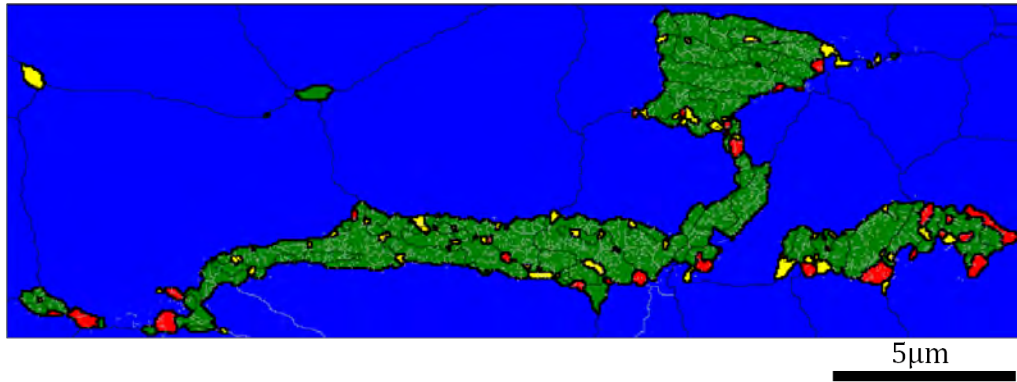
## 6.4 The Crystallography of Bainite

In the current study the large EBSD maps ( $105 \times 79 \mu\text{m}$ ) obtained for both steels (base and Nb-Ti steel) made it possible to analyse the formation of bainite in 18 prior austenite grains. Over the years, variant analysis has been conducted using techniques such as TEM [174], high-temperature Laser Scanning Confocal Microscopy (LSCM) [20, 176], and EBSD [14, 17, 92]. Most of these investigations were a qualitative study, with only a few being quantitative ones, and show the exact area fraction of each variant in a single austenite grain [14, 92]. This study is another one that determines the area fraction of the variants in each prior austenite grain.

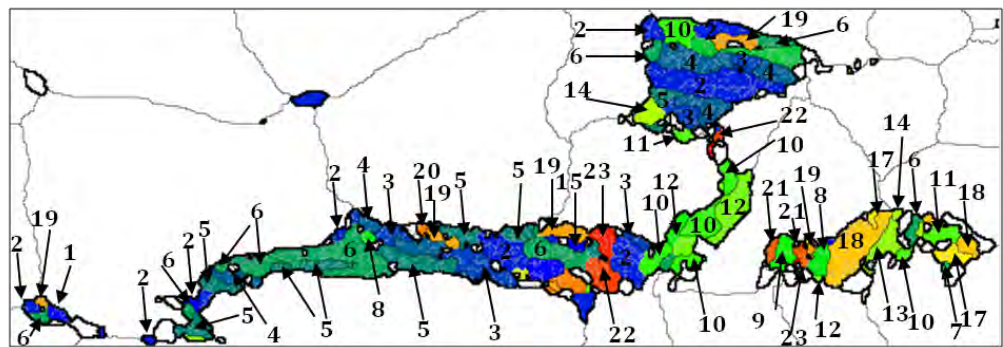
The microstructure investigated in both steels had two different bainite morphologies, granular bainite (GB) and bainitic ferrite (BF); with GB being the dominant morphology in base steel and BF being dominant in Nb-Ti steel. As Fig. 6.5a shows, GB was predominantly characterised by plate-like or irregular-shaped ferrite with a small fraction of blocky RA, a morphology that also contained a high density of low angle boundaries. All the variants that were realised in this morphology are shown in the variant map (Fig. 6.5b) and variant histograms (Figs. 6.5g); the bainitic ferrite (BF) microstructures shown in Fig. 6.6a are characterised by lath-like ferrite and high fraction of coarse layers of RA between them, whereas in BF there was significantly less fraction of low angle boundaries compared to ferrite in GB. Many of variants were not realised in BF, as the variant maps in Fig. 6.6b and variant histograms in Fig. 6.6g show, whereas the microstructures in Fig. 6.7 consist of a mixture of the two types of bainite. It is clear that, the crystallography of ferrite in these two bainites differs and will be discussed in the subsequent sections.

Figure 6.8 shows a unique morphology of bainitic ferrite where each crystallographic packet has a different orientation. Moreover, the bainitic ferrite microstructure is finer in the Fig. 6.8 compared with other bainitic ferrite microstructures. The influence of the morphology on the crystallography of bainitic ferrite laths is discussed in the following sections. However, this BF morphology with multi-oriented packets was only found in one prior austenite grain, whereas all the other BF morphologies showed a typical parallel laths structure. This morphology is similar to the acicular ferrite formed in the heat affected weld zones; where the many inclusions serve as heterogeneous nucleation sites [161].

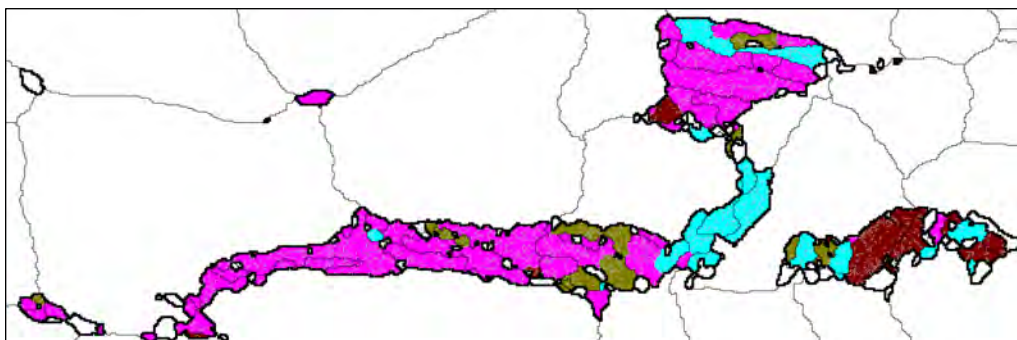
Acicular bainite can be developed at intermediate cooling rates ( $\sim 20\text{ }^{\circ}\text{C}\cdot\text{s}^{-1}$ ) in carbide-free bainite as reported in Ref. [114], but the slower cooling rate ( $1\text{-}10\text{ }^{\circ}\text{C}\cdot\text{s}^{-1}$ ) led to the formation of granular bainite while a faster cooling rate ( $30\text{-}50\text{ }^{\circ}\text{C}\cdot\text{s}^{-1}$ ) led to the formation of bainitic ferrite. The size of the austenite grain available for bainite transformation could be another reason why multi-oriented BF (so-called interwoven acicular bainite [177]) formed in this microstructure. This was because the large austenite grain assisted the intragranular formation of acicular ferrite whereas smaller grain sizes favour the formation of bainitic ferrite at the boundaries [161]. By way of contrast, the large austenite grain shown in Fig. 6.4 did not lead to similar multi-oriented morphology, indeed the formation of BF with parallel ferrite laths morphology in a large austenite grain, as shown in Fig. 6.4, could be attributed to the influence of cooling rate because the fast cooling rate ( $30\text{-}50\text{ }^{\circ}\text{C}\cdot\text{s}^{-1}$ ) assisted in the formation of parallel lath morphology. The morphological difference between all these morphologies will be discussed in detail in the following sections. This discussion was divided into four sub-sections: CP arrangement, Bain arrangement, Variant pairs, and Variant selection. Each subsection discusses the crystallography of ferrite in granular bainite, bainitic ferrite laths, and a mixture of both morphologies in a single prior austenite grain.



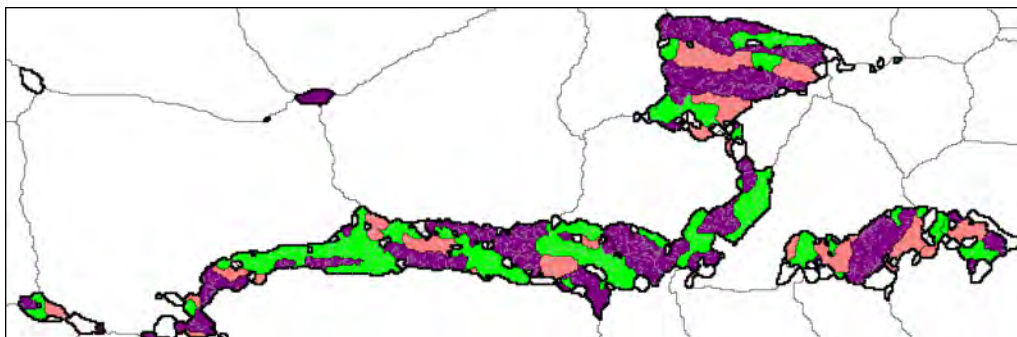
a)



b)

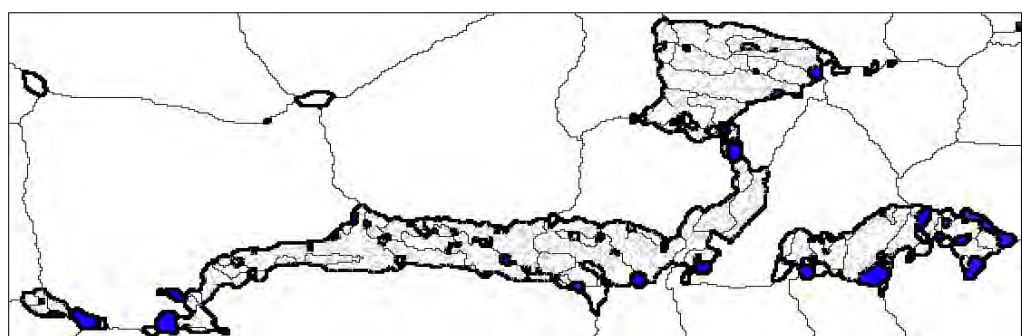
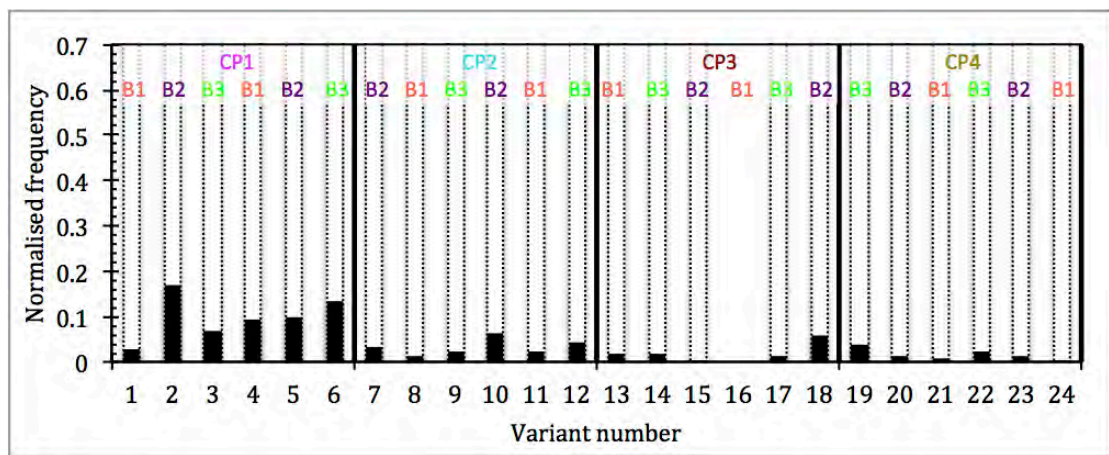
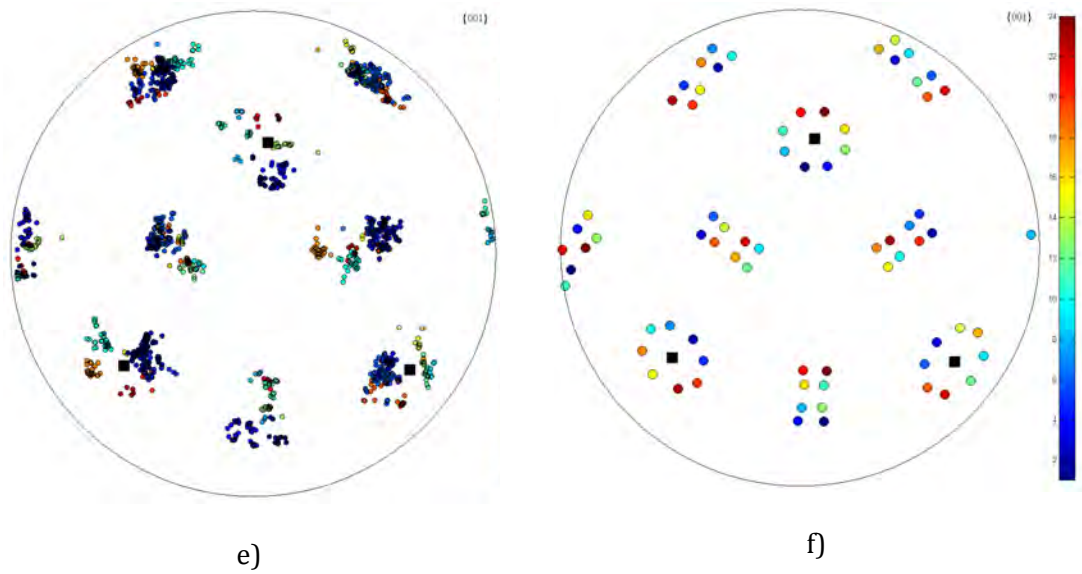


c)



d)





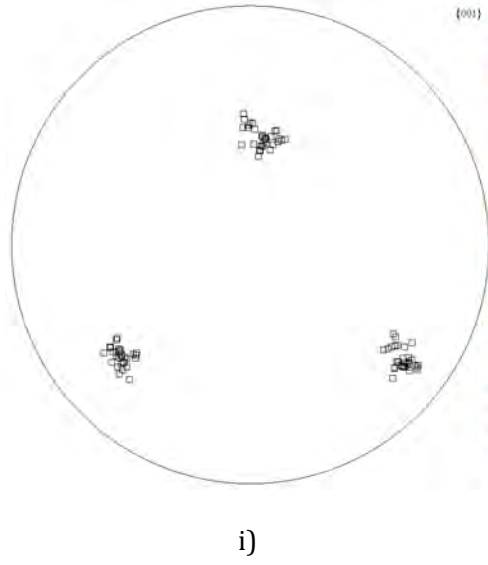
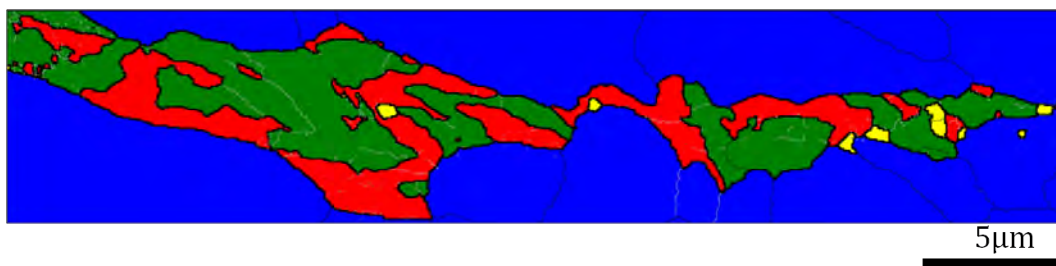
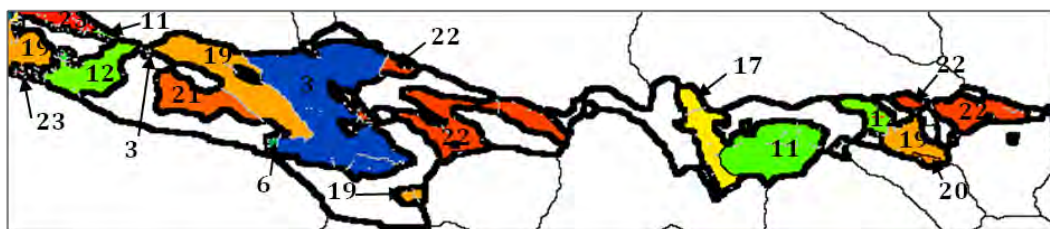


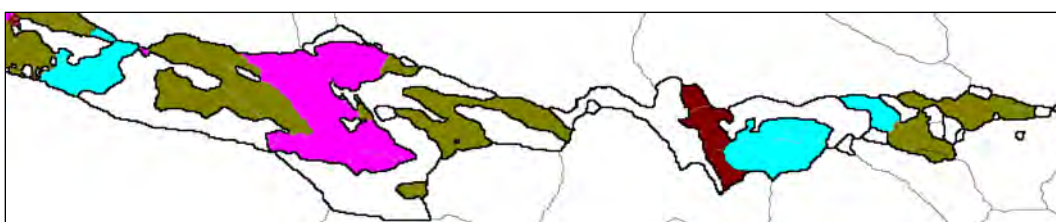
Figure 6.5: EBSD maps of granular bainite (GB) in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants and the average orientation of the RA grain is represented by black squares in e) and f). g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^{\circ}$  to  $15^{\circ}$ ; silver colour and HAGBs  $>15^{\circ}$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.



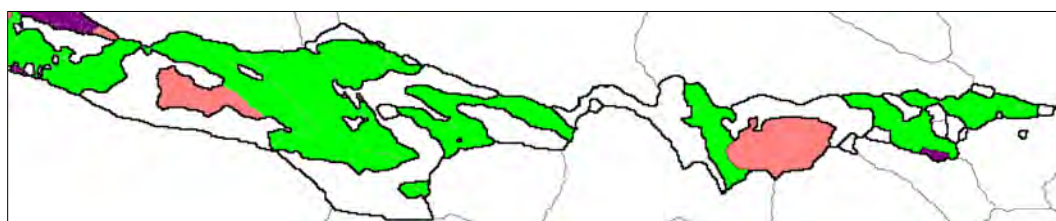
a)



b)

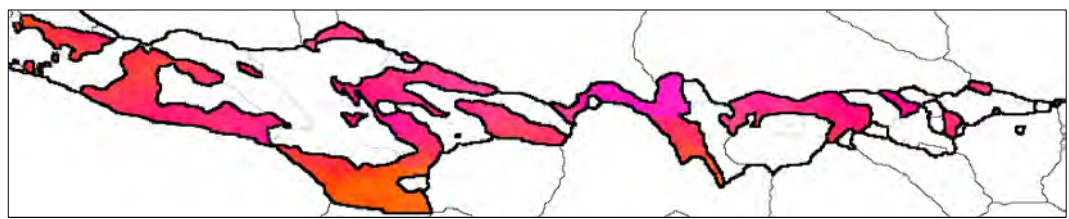
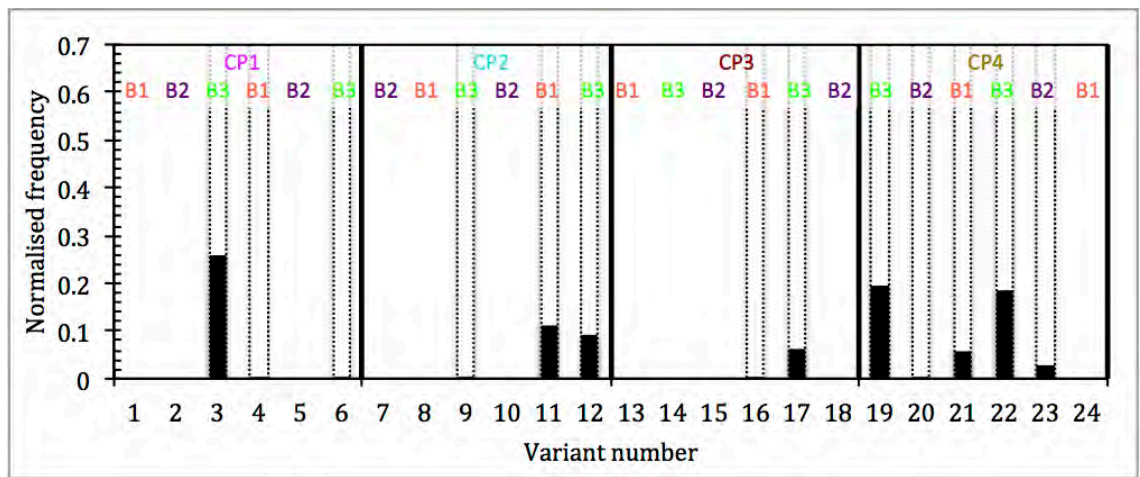
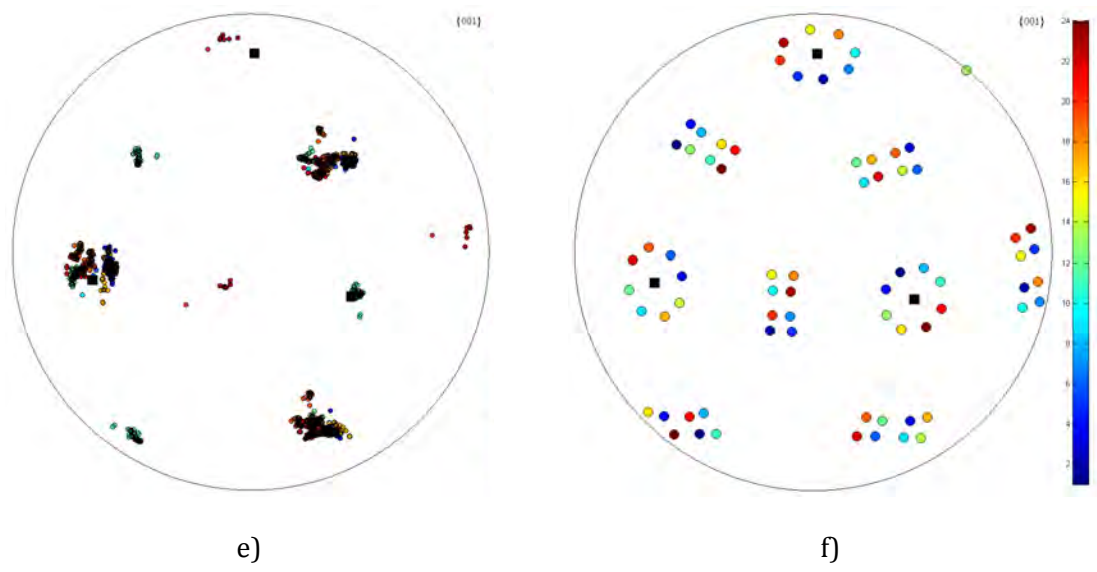


c)



d)





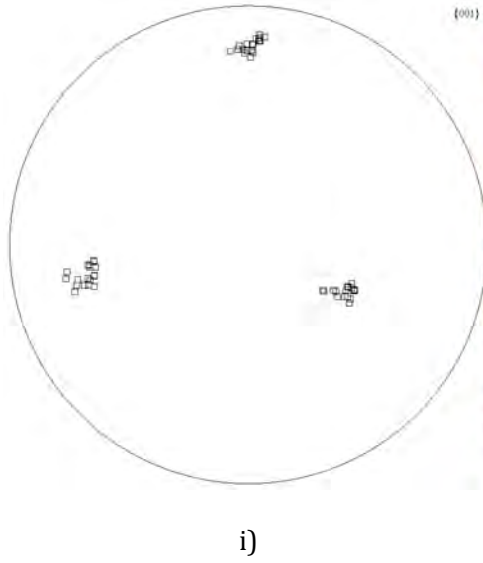
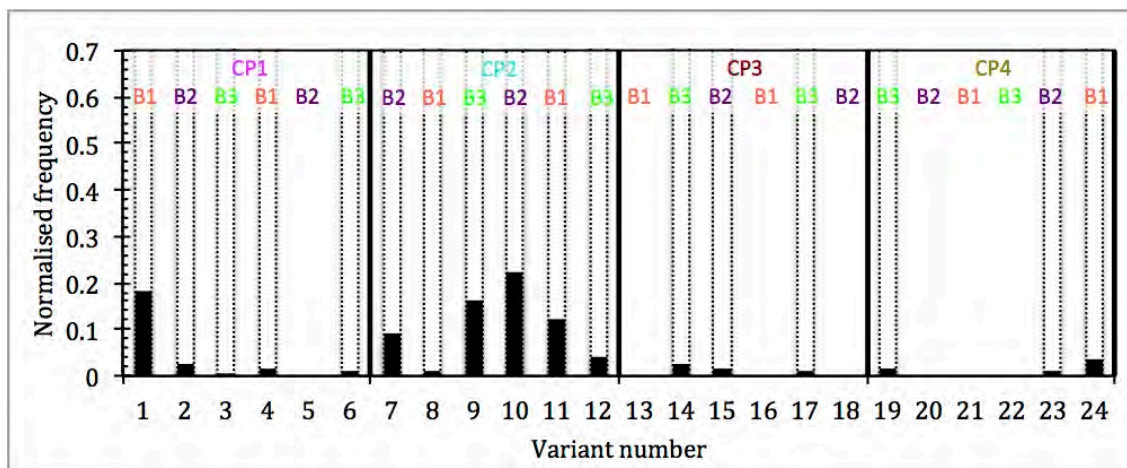
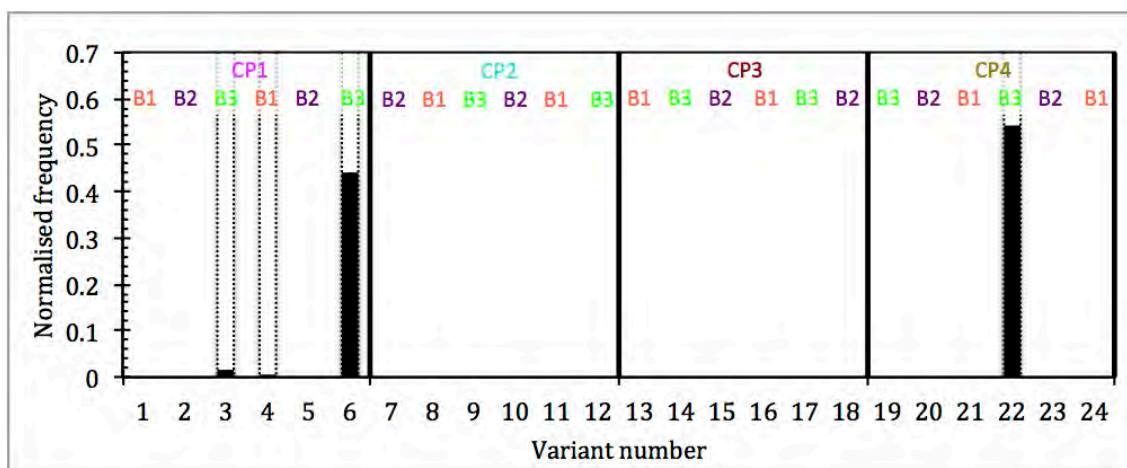


Figure 6.6: EBSD maps of bainitic ferrite laths (BF) in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants and the average orientation of the RA grain is represented by black squares in e) and f). g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^{\circ}$  to  $15^{\circ}$ ; silver colour and HAGBs  $>15^{\circ}$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.

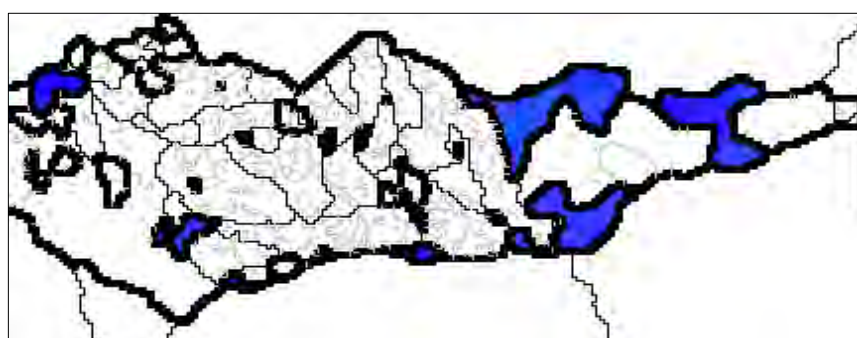




g)



h)



i)

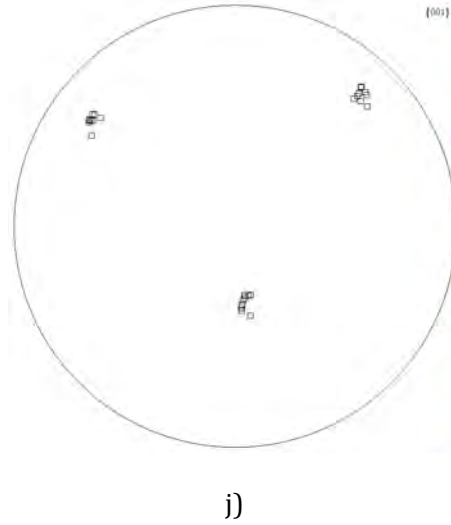
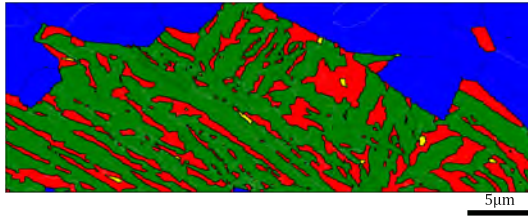
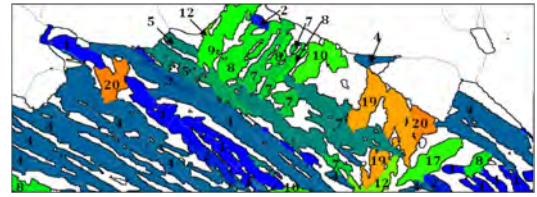


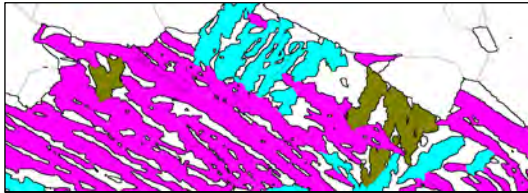
Figure 6.7: EBSD maps of bainitic ferrite laths (BF) and granular bainite in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants and the average orientation of the RA grain is represented by black squares in e) and f). Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black lines on all the maps represent the interphase boundary and do not denote boundary misorientation.



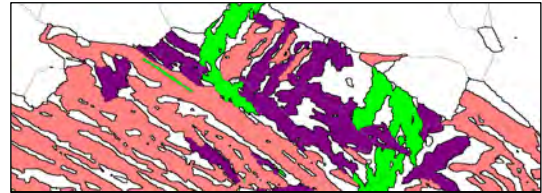
a)



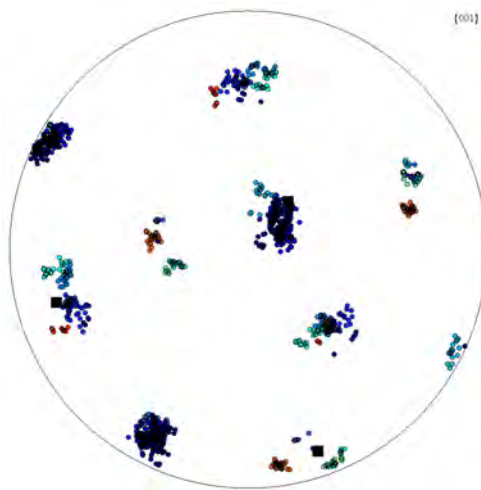
b)



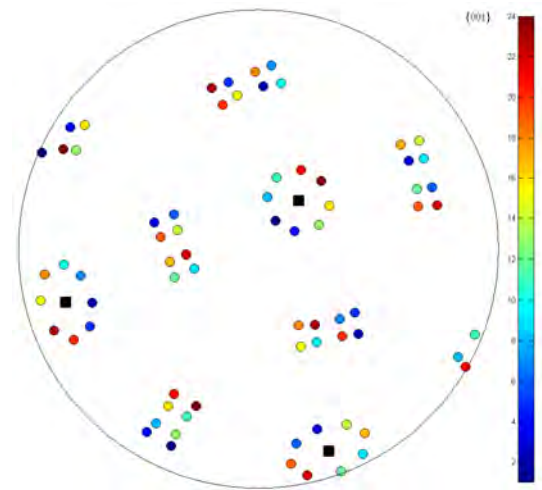
c)



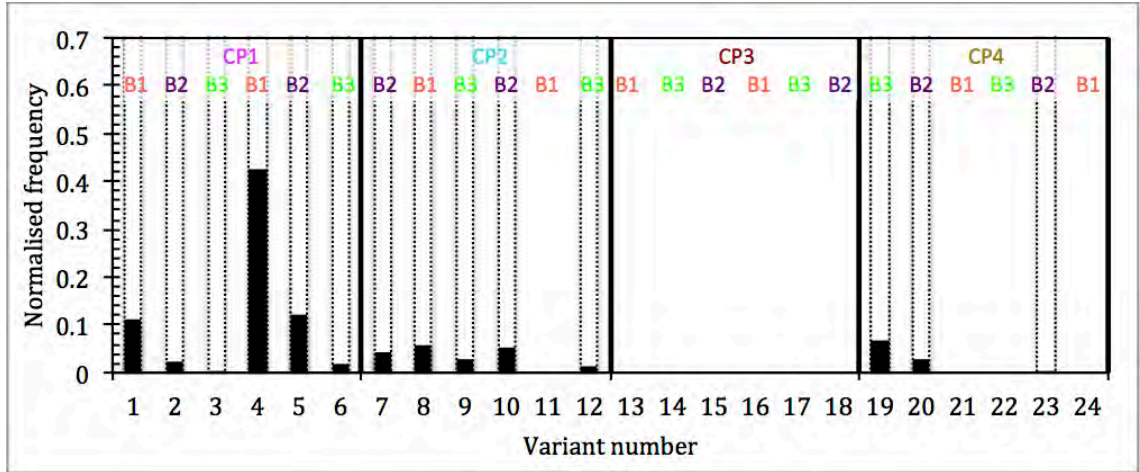
d)



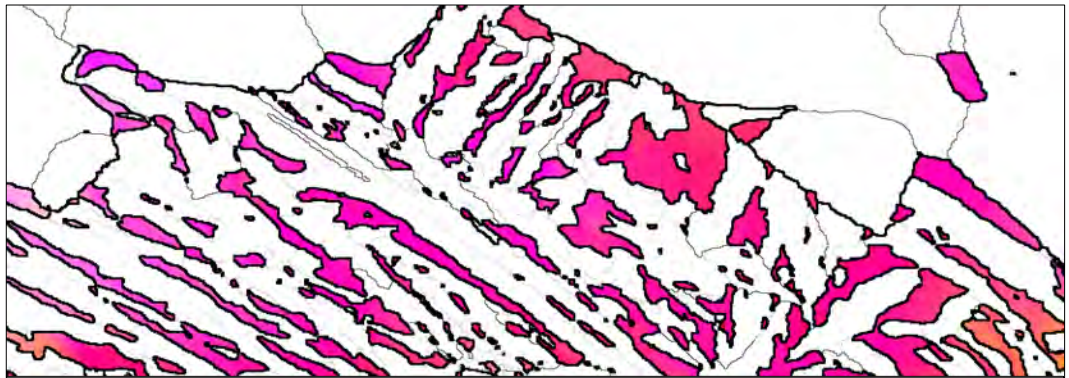
e)



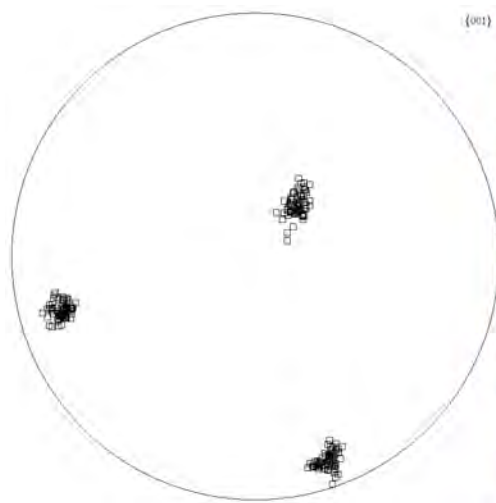
f)



g)



h)



i)

Figure 6.8: EBSD maps of bainitic ferrite laths (BF) in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The

experimental and f) theoretical {001} pole figures of the variants and the average orientation of the RA grain is represented by black squares in e) and f). g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i) {001} pole figure of the experimental orientation of the RA grains. LAGBs=2° to 15°; silver colour and HAGBs >15° black colour. The thick black lines on all the maps represent the interphase boundary and do not denote boundary misorientation.

## 6.5 Crystallographic Packets Arrangement

The CPs arrangement of ferrite in the GB was different from the BF laths in the BF. In the microstructures that were investigated, irregular-shaped ferrite in GB was characterised by the presence of all CPs, as shown in Fig. 6.5 (see appendix-A for more examples) and clearly show that the CP1 was the dominant CP in all these maps, and moreover, the variants belonging to the same CP formed adjacent to each other and almost all variants were formed in each CP.

In contrast, CP1 was only dominant in some of the BFs (Figs. 6.4, 6.6, 6.8) (see appendix-B for more examples) and not all CPs were formed in the BF. Some of the CPs disappeared in BF as a result of the variant selection (refer to Figs. B.2 and B.4c in appendix-B), which will be discussed in Section 6.8. However, in most cases only two variants were present in each CP such as V19/V21 in CP4 as seen in Figs. 6.6b,c and g (for more examples refer to Figs. B.3 and B.4 in appendix-B). It should be noted that in some cases, although two variants have realised from the same type of CP in one prior austenite grain, but only one of these variants was found in each particular CP, as these CPs were separated spatially; such as V12/V11 in CP2 as seen in Fig. 6.6 (for more examples refer to Fig. B.1 in appendix-B) shows that variants belonging to the same CP were separated by another CP.

When mixed BF and GB microstructure formed from the same prior austenite grain as seen in Fig. 6.7 (see appendix-C for more examples), the CP arrangements remained the same as if GB or BF had formed separately in different austenite grains. However, CP1 was not the dominant CP in the ferrite of GB when these two morphologies were present in one prior austenite grain. In most cases, each CP in the BF in the mixed grains contained a single variant (refer to Figs. C.2h and C.6h in appendix-C) or two variants as shown in Fig. 6.7h (for more examples refer to Figs. C.4 and C.5h in appendix-C)

According to Takayama *et al.* [17], the alignment of all the variants belonging to one CP in one area is a characteristic of martensite and upper bainite (0.15C-2Mn-0.3Si wt.%) transformed at 450°C and 500°C, whereas the CPs did not cluster in the bainite transformed at 580°C. Furuhashi *et al.* [21] showed a similar observation in the upper bainite of Fe-9Ni wt.% alloy transformed at 400°C and 350°C, where the upper bainite formed at 450°C did not show the formation of variants from the same CP in one area. This clearly shows the effect of a low transformation temperature on the adjacent formation of variants from one CP, but do not explain the absence of a specific CP.

The current results are in agreement with Refs. [17, 21] with respect to the predominant clustering of the variants from the same CP, especially in relation to ferrite in GB. However, in Refs. [17, 21] this was observed for the case of low temperature carbide-containing bainite formation, whereas in our case we dealt with an incomplete transformation of bainite that resulted in the formation of carbide-free bainite at a higher temperature (470°C).

Moreover, GB was also expected to form after cooling from 680°C to an isothermal holding temperature of 470°C. However, the compositions of the steels studied in the current work differed from those studied in Refs. [17, 21], so the bainite transformation temperatures were different. It could therefore be suggested that the driving force for the transformation of bainite in current steels could be compared to the driving force at 450-500°C for 0.15C-2Mn-0.3Si wt.% steel [17] and at 350-400°C for Fe-9Ni wt.% alloy [21].

Malet *et al.* [92] investigated the growth of CPs in hot rolled TRIP steel (0.4C-1.5Mn-1.5Si wt.%) transformed at 400°C. It was stated that the growth of bainite by CP was contrary to previous conclusions about growth based on Bain groups [20]. Additionally, it was found that the amount of prior deformation of austenite affected the number of CPs realised, e.g. the number of CP was reduced when deformation increased. In the current study both steels underwent the same deformation in austenite, so the absence of some CPs in BF could not be explained by the effect of deformation.

## 6.6 Bain Groups Arrangement

The Bain maps in this study showed that all the BGs realised in nearly equal amount in ferrite of GB as seen in Fig. 6.5d (see appendix-A for more examples), whereas only one or two Bain groups were realised in the BF laths as seen in Figs. 6.6d and 6.8d (see appendix-B for more examples). On the other hand, two types of Bain arrangement were realised in the current microstructure, and each bainite morphology was characterised by a specific Bain arrangement.

In the first arrangement, all the variants belonging to the same Bain group did not cluster in one area, as they were separated by the blocks of variants belonging to other Bain groups. In addition, all three Bain groups were present in each CP. This arrangement is typical for ferrite in GB, as Fig. 6.5 show (see appendix-A for more examples). Similar arrangements of Bain groups were also observed by Takayama *et al.* [17] and Furuhashi *et al.* [21] in upper bainite that was transformed at low temperatures of 450°C and 350°C, respectively.

It was suggested in the literature that an arrangement of Bain groups gives an insight into the sequence of variants formation, indeed Zhang *et al.* [20] suggested that the variants from the same Bain group are formed at an early stage of bainite transformation and that all variants belonging to the same Bain group have similar transformation strain accommodation mechanisms. Although several blocks of the same Bain group were transformed at an early stage of bainite transformation, they were located in different areas [20]. Similarly, Terasaki *et al.* [176] used LSCM to show a simultaneous formation of two separated variants belonging to the same Bain group during the transformation of bainite in the Fe-0.1C-1Ni-0.8Mn-0.4Mo (mass %).

The second Bain arrangement, mainly observed in BF, showed that all the variants belonging to the same Bain groups were grouped together, as Figs. 6.4, 6.6 and 6.8d show (see appendix-B for more examples). This Bain arrangement was also observed in the morphology of bainitic ferrite with multi-oriented packets (Fig. 6.8).

Similar Bain arrangements were seen by Takayama *et al.* [17] and Furuhashi *et al.* [21] in upper bainite transformed at high temperatures of 540°C and 450°C, respectively. Although the Bain arrangement in BF was similar to the Bain arrangement for high transformation temperature of bainite studied by Takayama *et al.* [17], the CP arrangements differed (see previous section), so the crystallography of the BF formed in both the base and Nb-Ti steels differed from the one described by Takayama *et al.* [17].

Both Bain groups arrangements occurred in the bainite with a mixed morphology in one prior austenite grain, with that arrangement being the dominant one which corresponds to the dominant bainite morphology present in this area. For example in Fig. 6.7 (for more example refer to Fig. C.2, C.4 and C.6 in appendix-C) GB had a higher area fraction in the mixed morphology (of GB and BF) thus the Bain arrangement was mostly similar to the one for irregular-shaped ferrite in GB. In contrast, if the BF is the dominant phase, then the arrangements will be similar to the Bain arrangement observed for the BF laths (for more example refer to Figs. C.1 and C.3d in appendix-C). Thus, it could be concluded that the close proximity of two bainite morphologies did not affect either the Bain group or the CP arrangements in the ferrite of these adjacent morphologies, and each of these bainite morphologies has a specific arrangement of Bain groups and CPs.

## 6.7 Variant Pairing

The formation of adjacent variants can easily be tracked using their misorientation angle. For instance, when two variants are formed adjacent to each other, such as V1/V2, they form a high angle boundary or twin related variant pairing of  $60^\circ$  (Table 6.6). The formation of V1/V4 led to the formation of low angle boundary of  $10.53^\circ$ . According to the theoretical KS ORs, 10 different misorientation angles ranging from  $10.53^\circ$  to  $60^\circ$  could be formed by different variant pairings, but the formation of variant pairs within one Bain group resulted in misorientation angles from only  $10.53^\circ$  to  $21.06^\circ$ . Although, the lowest boundary angle in KS OR was  $\sim 10^\circ$ , experimentally, it can be as low as  $5^\circ$  [17, 81]. Theoretical misorientation angle distribution shows that all the variant pairs had the same probability of forming [169]. The analysis of variant pairing based on the misorientation angle distribution could be misleading because several of the variant pairs had a similar misorientation angle, in which case the misorientation axis should also be used [17]. Moreover, some variant pairings have a very close misorientation angle and axis and cannot be distinguished via misorientation angle distribution such as V1/V3 and V1/V5, as found by Takayama *et al.* [17]. The variant histograms, which show the volume fraction of variants, can be misleading because it shows that the high frequency of some variants had a low angle boundary relationship while they were not formed adjacently. This was clear from the absence of a low angle boundary and the distribution of Bain groups in the ferrite in GB. Therefore, this method of variant analysis was not used in this study. Instead, an exact observation of variant pairs was implemented because the formation of a specific variant pair is important in analysing the transformation strain accommodation [169].

In this study, ferrite in GB was characterised by the dominance of an inter-variant relationship with a high angle boundary or twin-related boundary. However, each GB has its different ferrite variant pairs, for instance the dominant variant pair was V2/V6 ( $60^\circ$ ) in Fig. 6.5, V1/V6 ( $49.5^\circ$ ) and V6/V16 ( $51.7^\circ$ ) in Fig. 6.9 (also Fig. A.1 in appendix-A), V1/V5 ( $60^\circ$ ) and V4/V2 ( $60^\circ$ ) were also observed in other examples (for more examples refer to Fig. A.2 and Fig. A.3 in appendix-A). Less than 5% of the pairs had low angle boundaries in the GB, as the detailed analysis of the variant pairs and misorientation histogram reveals in Figs. 6.9a and b.

Lambert-Perlade *et al.* [94] found that the formation of specific variant pairs such as V1/V2 ( $60^\circ$ ) accommodated the transformation strain more efficiently than V1/V4 ( $10.5^\circ$ ) or V1/V8 ( $10.5^\circ$ ). However, the formation of all six variants belonging to the same CP can

accommodate transformation even better than V1/V2 [178]. Takayama *et al.* [17] showed the dominance of the twin-related V1/V2 pair in the low transformation temperature bainite, which diminished as the bainite formation temperature increased. At a high transformation temperature, the high angle boundaries disappeared and only low angle boundary pairs dominated. A similar observation was made by Furuhashi *et al.* [21] where the high angle boundary pairs disappeared as the transformation temperature increased. However, there was no preference for a particular variant pair with a low angle boundary to form because some research showed the formation of V1/V4 pairs [17], whereas others showed that the variant from the same Bain group and different CP, such as V1/V8 [21, 179] was a dominant pairing. This suggested that a variant pair at high temperature could be formed with any combination satisfying a low angle boundary [20]. The difference in Gibbs free energy between austenite and ferrite in bainite was utilised to prove that the driving force influenced the variant pairing in different materials [17], and the formation of twin-related variant pairing in the ferrite in GB, can be explained by the need for the self-accommodation of strain during the transformation of austenite to bainite [17, 175]. Thus, the dominant formation of V1/V2 pair occurred because it is more efficient in accommodating the transformation strain than the other pairs [17, 178].

In BF, the formation of predominantly single variant laths separated by the RA was observed, which meant that a limited number of pairs could be analysed. A tiny amounts of V1/V11 and V1/V24 pairs with 21° misorientation were observed (refer to Fig. B.1 in appendix-B), while a small amount of 60° boundaries can be observed due to the formation of V13/V14 and V17/V18 pairs as shown in the boundary map in Fig. 6.10a (for more example refer to Fig. B.2 in appendix-B). The appearance of V5/V7 pair with 14.8° misorientation was also observed (refer to Fig. B.3 in appendix-B), while high angle boundaries were formed by V3/V4 (60°) and V14/V4 (49.5 °) pairs (refer to Fig. B.4 in appendix-B) and by V1/V2 and V3/V4 pairs in Fig. 6.8. However, all these variant pairs in the BF constituted less than 10% in each map, which was not statistically enough to draw conclusion about the variant pairs of ferrite in the BF compared to GB. However, due to the presence of RA interlayers, the pairing of BF laths differed from the ones discussed by Takayama *et al.* [17] and Furuhashi *et al.* [21], whereas the pair arrangement in GB correlated well with their view on variant pairing. In the prior austenite grain with mixed bainite morphology (Figs. 6.7, see appendix-C for more examples), the dominance of high angle boundaries in the GB area, such as V4/V6 and V5/V6 were observed (refer to Fig. C.5

in appendix-C), whereas the BF area had a small fraction of pairs such as V17/V23, as shown in boundary map in the Fig. 6.10b.

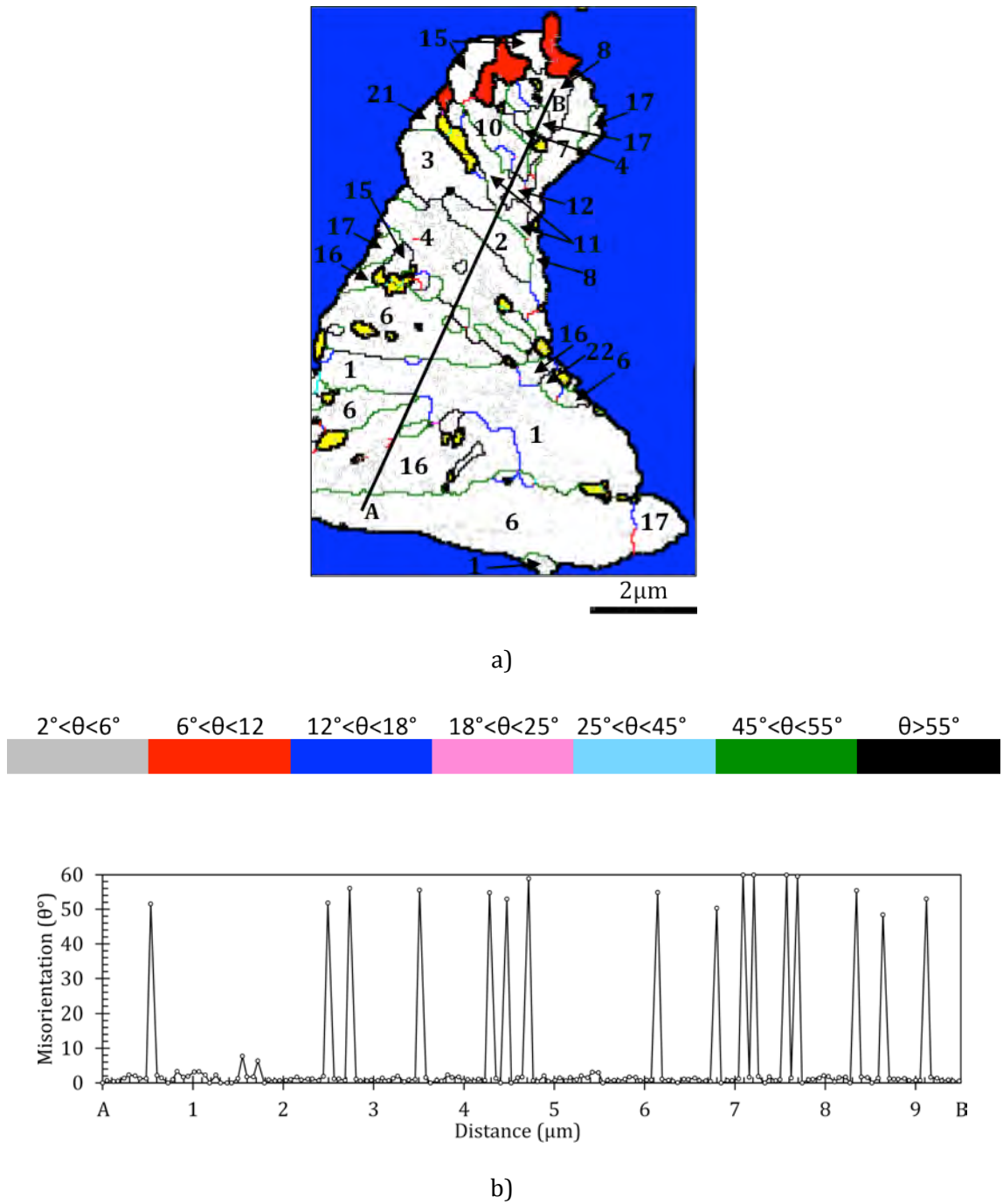
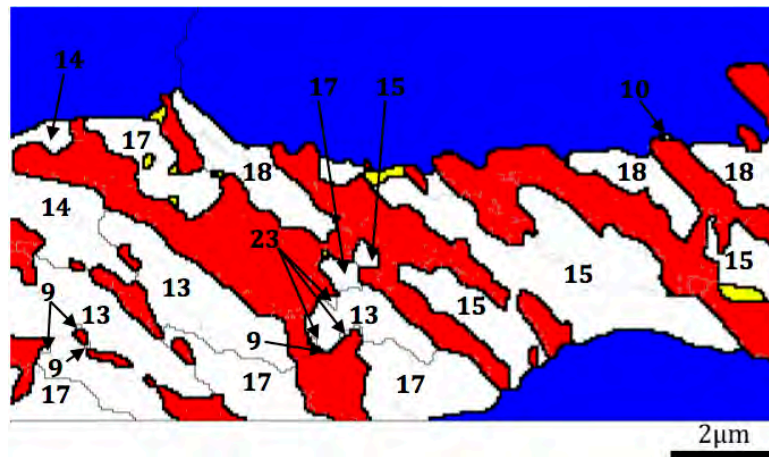
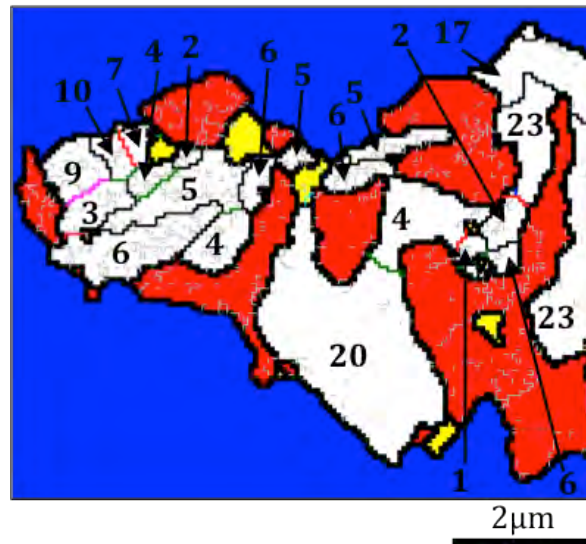


Figure 6.9: Boundary map of a) GB in the base steel with the variants numbers and b) misorientation along the line (A-B) in the map a). PF is blue, martensite is yellow, RA is red and white area is bainite. Thick black lines represent phase boundary.



a)



b)

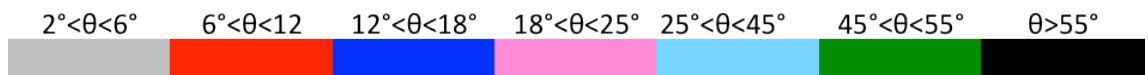


Figure 6.10: Boundary maps of a) the BF and b) mixed grain in the base steel with the variants numbers. PF is blue, martensite is yellow, RA is red and white area is bainite. Thick black lines represent phase boundary.

## 6.8 Variant Selection

In each prior austenite grain there was equal probability that each of the 24 variants (in KS OR) of ferrite in bainite or martensite crystals could form [86], but under certain conditions, some variants do not form. The absence of some variants is called “variant selection” [180]. However, others use the term “variant selection” when some variants do not form with the same probability/frequency [14, 79, 84, 92], whereas some consider variant selection only for a particular CP without considering all 24 variants [17].

In this study only the disappearance of some variants is described as variant selection. Typically, variant selection is pronounced when transformation takes place under applied stress/strain [15]. However, variant selection has been also reported in the bainite/martensite formed without any external load [17]. This type of variant selection was called “naturally absent variants” by S. Kundu *et al.* [14]. However, some reported that prior plastic deformation of austenite could also lead to variant selection [16, 92, 181] while others did not observe this effect on variant selection [90]. In the current study, the steels were subjected to compressive deformation in austenite, so some effect of this on bainite variant selection could be expected.

During the transformation of austenite to bainite or martensite, the transformation strain must be accommodated because the formation of all variants can easily accommodate the transformation strain. This type of accommodation is called self-accommodation (SA), and it usually happens when the driving force for transformation is high at a low transformation temperature and the remaining austenite cannot accommodate the transformation strain. At a high temperature, the low strength of austenite makes the austenite able to accommodate the transformation strain instead of SA. This accommodation of the transformation strain by the deformation of austenite is called plastic accommodation (PA) [20].

During PA, only preferable variants are formed and variant selection appears, but this variant selection can be strong or weak depending on the number of variants that are not formed. However, some researchers refer the variant selection to space limitation [14, 91] or the small size of the prior austenite grain [91], while others believe that the refining of the bainite structure is the cause of the limitation of variants [174], or simply explain variant selection during transformation as the natural absence of variants because the nucleation of bainite is a stochastic process [14]. These contradictions in the cause of

variant selection are also associated with an unclear definition of variant selection, because some also consider the dominance of specific variants as a variant selection.

There are different ways used in literature to demonstrate variant selection, such as pole figures, orientation distribution functions (ODF) [80], and misorientation angle distributions [17]. In this study the exact number of variants realised in each prior austenite grain are reported. The realisation of the variants in each map is presented as vertical dotted lines in all variant histograms (Figs. 6.5g-6.8g), regardless of their volume fraction, while the frequency of each variant is shown by black bar. Although some variants are present, no black bar is visible for them because their frequency was very low.

In this study it was clear that mostly all variants were observed in the ferrite of GB. In Fig. 6.5, all 24 variants were observed, whereas three variants are absent in other examples (refer to Fig. A.1 in appendix -A). On the other hand, the BF laths show a very high variant selection because only some variants were formed. For example, only 5 or 8 variants are realised in the BF as seen in Fig. 6.6 (also refer to Fig. B.4 in Appendix B). In Fig. 6.6, the BF has a parallel arrangement of laths whereas the BF in Fig. 6.8 has finer laths with non-parallel orientations. This non-parallel orientations restricted the growth of laths leading to refinement, but resulted in less variant selection (only 8 variants out of 24 were absent) compared to the BF with a parallel lath arrangement. This could be explained by the need of more variants to accommodate the transformation strain when they have random orientation [14].

When both GB and BF formed (mixed bainite morphology) from the same prior austenite grain (Figures 6.7, see appendix-C for more examples), similar variant selection in the BF is observed, as in the case when only the BF formed from one austenite grain. However, the variant appearance differed in the GB located in such a mixed microstructure because there was a clear selection of CP in such GBs, as discussed previously (section 6.5), which led to a more pronounced variant selection than when GB formed separately from the BF. Thus, those variants that belonged to non-realised CPs were absent, but all the variants were realised in the present CPs.

In the literature, variant selection was related to the high transformation temperature [17, 21]. The ferrite variant selection in GB can be attributed to the transformation temperature. Since we assumed that the GB was formed during the cooling from 680°C to 470°C, it can be speculated that the GB which have 3 variants missing (refer to Fig. A.1 in

appendix-A), were transformed at a higher temperature compared with the GB that have only one variant missing (Fig. 6.5).

According to Takayama *et al.* [17], the lower transformation temperature of the BF compared to GB should lead to less variant selection in the BF, which contradicts the observation of a strong variant selection of the BF in this study. However, the BF transformed during isothermal holding similar to the Ref. [17] whereas the GB formed during continuous cooling which might be the reason for this contradiction. Therefore, other differences between the formation of ferrite in BF and GB, such as size, morphology, and the amount of other phases, might lead to a high variant selection in the BF.

### 6.8.1 *The effect of the retained austenite on the variant selection*

The amount of retained austenite in the BF can reach up to 40% of the whole volume fraction compared to less than 10% RA in the GB. Although the effect of RA in the variant selection was not discussed in the literature, a high amount of the RA was always found to be associated with high variant selection [20, 78, 80, 91, 169, 171-174, 176, 182, 183]. Although some papers report the total amount of RA present, the authors did not consider the exact local arrangement of RA in each prior austenite grain, which might have an influence on the variant selection [174].

This study clearly shows that GB had less amount of the RA and weak ferrite variant selection, whereas BF had a significantly higher volume fraction of the RA and strong variant selection. Therefore, the high amount of RA that remained after the BF transformation in this study was suggested as the reason for the high variant selection. Thus in the case of BF, there was no need for self-accommodation by the formation of all variants in BF due to plastic accommodation of transformation strain by RA.

When both GB and BF formed in the same grain, the formation of GB was interrupted by the formation of BF which led to a strong ferrite variant selection in the BF as well as GB. In this situation it was suggested that both mechanisms of transformation strain accommodation can occur with dominance of the plastic accommodation by the retained austenite, which led to the strong variant selection in the BF.

A similar morphology of a parallel lath-like bainite with a high amount of RA was investigated by Suikkanen *et al.* [169]. The isothermal transformation of bainite (0.2C-2.0Mn-1.5Si-0.6Cr) at 450°C led to the formation of more than 14% of RA and a strong variant selection that was indicated by the absence of one third of variants in one CP.

Nevertheless, it has been stated that the reason for variant selection is not clear. In addition, their study does not show the RA between the BF laths or all variants, it only provides a local analysis of the variants in each CP [169]. Similar to the current steels, an indication of variant selection based on an analysis of orientation distribution functions (ODF) was also found in two inter-critically annealed TRIP steels with different amounts of Si and Al [80]. Although, these steels had a high amount of the RA (>13%), the authors did not discuss the reasons for the variant selection or consider the role that RA could play in this. In another study, the development of variant selection was investigated by high-temperature laser scanning confocal microscopy (LSCM). The high amount of RA in the low-carbon 13Cr-9Ni steel [20] and Fe-0.1C-1Ni-0.8Mn-0.4Mo (mass %) steel [176] led to the high variant selection in martensite structure. This was related to the plastic accommodation (PA) which took place due to the high amount of RA. The increase in the number of variants during transformation by forming other blocks was associated with a reduction in the amount of RA.

Moreover, the variant selection was analysed locally in nanostructured bainite 0.79C-1.5Si-1.98Mn-0.98Cr-0.24Mo-1.06Al-1.58Co (wt.%) after isothermal transformation at two different temperatures of 350°C and 200°C [174]. The microstructure of the steel after both heat treatments was characterised by a high volume fraction of the RA and variant selection. However, less variant selection was observed after the transformation temperature was decreased from 350°C to 200°C, which was associated with a reduction of the temperature and refining of the microstructure. However, this reduction in the transformation temperature was also accompanied by a reduction in the RA content, which might be the main influence on variant selection.

A variant selection analysis of 0.22C-1.56Si- 1.50Mn-0.031Al-0.045Nb-0.017Ti (mass %) steel showed there was a variant selection in the undeformed prior austenite grains compared to the deformed austenite [91]. The cause of this variant selection was not understood and simply referred to space limitations. The presence of ~5% of RA in the microstructure and subsequent lack of information on its distribution precluded the use of the RA as an explanation for the observed phenomenon [91].

On another hand, Malet *et al.* [92] investigated the effect of the prior deformation of the austenite on the variant selection in TRIP steel with 20% RA, and found that an increase in reduction during austenite rolling led to a more pronounced variant selection. This variant selection has been linked to the development of dislocation substructure by ausforming,

which influences the bainite morphology and nucleation sites. It has been stated that the planar dislocations remaining in the active slip planes leads to high variant selection because this kind of dislocation helps in the transformation of bainite [16, 181].

In the current work, both steels were subjected to the same amount of deformation at 850-875°C, after which they were slowly cooled at 1 Ks<sup>-1</sup> to 680-690°C. During this slow cooling the recovery of deformation substructure takes place, thus their role in variant selection is minimised [184], unlike when steel is cooled rapidly after deformation [84]. In addition, if the deformation of austenite influences variant selection in the current bainite microstructure, the same influence should be seen in both BF and GB because GB forms earlier. Consequently, it could be concluded that in the current study the prior deformation of austenite did not have a pronounced effect on variant selection in the GB or BF. However, the prior deformation of austenite has influence on its texture which might have influenced the formation of preferred variant pairs.

To understand the effect of the RA on the variant selection, the nucleation and growth of the variants of the bainitic ferrite laths has to be discussed. Since most research on variant selection was conducted at room temperature, post-mortem, and does not provide a direct insight into the sequence of variants formation. A limited number of works have reported in-situ observations of bainite formation with a focus on variant selection [20, 176]. It has been shown that during the first stage, a preferred variant (from the energy viewpoint) nucleates at the austenite grain boundary, while simultaneously, another variant belonging to the same Bain group and different CP nucleates, which also satisfies the energy conditions at different boundary locations. Typically, one Bain group is dominant and different variants from the same Bain group are formed. The growth of the second variant in the same Bain group can be retarded by the growth of the first variant or by the micro-sized particles due to high local stresses formed around them [185], which also leads to the formation of another variant from the same Bain group. Next, another variant from the third CP belonging to same Bain group nucleates. At this stage of transformation, there is only one dominant Bain group because the transformation strain is accommodated by the austenite (Plastic Accommodation, PA). At the second stage, the self-accommodation (SA) becomes dominant, which is associated with a reduction in the amount of RA available for PA.

This can suggest that when a high amount of RA is remained in the microstructure, the SA will not be initiated and variant selection will be observed regardless of the transformation temperature. However, if there is a limited amount of the RA remained in

the microstructure, the role of transformation temperature becomes more important for the variant selection. At high temperature, the ease of the RA rotation and plastic deformation reduces the need for multiple variants formation, whereas at low temperatures the rigidity of the RA increases and in combination with its low amount, this leads to the need for the self-accommodation of transformation strain by different ferrite variants in bainite.

As highlighted previously, the irregular-shaped ferrite in GB had a very limited variant selection, unlike the BF laths which formed at a lower isothermal temperature. The GB was formed predominantly during cooling [161], thus fast continuous undercooling assists nucleation at different locations but restricts the growth rate. A further reduction in temperature increased the driving force for bainite formation [46] which in turn increased the nucleation rate [186]. As a result, the microstructure of GB was characterised by finer plates in comparison to the BF and by less amount of the remaining RA. The dominant nucleation process during GB formation and the formation of only a small fraction of retained austenite, which is insufficient to provide plastic accommodation of the transformation strain, resulted in the self-accommodation of the transformation strain and the absence of variant selection in the ferrite of GB. The influence of a fast cooling rate on the realisation of all six variants in a CP was also reported by Gourgues *et al.* [179]. Their finding confirmed the influence of transformation mechanism of GB on the realisation of all variants.

Suikkanen *et al.* [169] observed that the cooling method (isothermal vs. continuous cooling) affected the variant selection, where they stated that a clear variant selection occurred on the bainite that transformed isothermally. However, the amount of RA effect was not considered in their study. Takaki *et al.* [187] suggested that a single variant transformation (similar to BF) would increase the stability of the RA compared to a multi-variant transformation (similar to GB). However, in this study, it was believed that the transformation mechanism (GB or BF) would influence the stability of RA which in turn would influence the variant formation.

The less amount of RA in the GB can be explained by the carbon diffusion to the dislocation due to fast continuous undercooling. This carbon acts as obstacles to the dislocation movement [188] during isothermal holding and leads to the formation of a high density substructure in the GB. This mechanism stopped the RA from being enriched with carbon which negatively affected its stability. On the other hand, the depletion of carbon in the parent austenite or a decrease in temperature increased the driving force for

transformation which could be why all the variants in GB were realised. In the case of BF, the higher carbon content in the interlayers of retained austenite due to the rejection of carbon: (i) from the previously formed polygonal ferrite and granular bainite, and (ii) during the growth of the bainitic ferrite laths themselves, could be also a reason for the variant selection in this morphology.

It also should be pointed out, that it could also be suggested that the phase transformation has reached a later stage in the case of the GB formation, whereas it is more incomplete in the case of the BF. Again, this could be due to the different transformation temperatures and their effect on the growth of bainite. It could be speculated that if the transformation progressed any further in BF, the amount of RA would be reduced, which would trigger the need for BF to self-accommodation resulting in the increase in the number of the realised variants.

## 6.9 Conclusions

A crystallography analysis was conducted on the irregular-shaped ferrite in granular bainite and bainitic ferrite laths that had formed in the carbide-free bainite after thermo-mechanical processing. The following conclusions can be drawn from this study:

1. The relationship between austenite and ferrite in bainite was close to Kurdjumov-Sachs orientation relationship with a deviation of  $5^\circ$  in 90% of the grains.
2. The quantitative analysis conducted in this study via calculating the volume fraction of each variant provided a statistical analysis of the variant realisation in each prior austenite grain. This approach led to a detailed analysis of the crystallographic differences between different ferrite morphologies in bainite.
3. Although the GB and BF that formed as a result of the same thermo-mechanical processing, the difference in their transformational behaviour led to a distinctive difference in their crystallography.
4. GB was formed during continuous cooling from  $680^\circ\text{C}$  to  $470^\circ\text{C}$  where the self-accommodation of the transformation strain was dominant. The small amount of retained austenite fraction remained as well as the dominant role of nucleation during cooling, which explained the absence of variant selection in this morphology and a realisation of most of the 24 possible variants of the Kurdjumov-Sachs orientation relationship.
5. The realisation of all variants in the ferrite in GB showed that in present study, hot deformation of austenite well before the bainitic transformation had no influence on variant selection. However, this behaviour can be related to the recovery of the austenite during relatively slow continuous cooling after deformation.
6. Bainitic ferrite was formed during isothermal holding at  $470^\circ\text{C}$  and showed a high fraction of retained austenite. This morphology was characterised by significant variant selection of BF laths, which was attributed to the plastic accommodation of the transformation strain in the remaining retained austenite, and less volume available for its formation. Consequently, variants pairing cannot be evaluated in BF due to the high amount of RA that separated between the BF laths.
7. The BF morphology with non-parallel CPs showed less variant selection of BF laths compared to many other examples of BF with parallel laths. The large area available for the bainite transformation could also explain this difference in the crystallography.

8. In most cases, the GB and BF were formed together in the same prior austenite grain, even though variant selection was still pronounced in the BF where only one or two variants formed in each CP. Although the GB displayed some reduction in the number of the CPs in the mixed microstructure, most of the variants were realised in each formed CP.

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## 7 CONCLUSIONS AND RECOMMENDATIONS

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This chapter summarises the main conclusions and the contribution of this study to the knowledge. It also addresses future work at the end of this chapter.

### 7.1 General Conclusions

The microstructure-property relationships in a base low Si, high Al TRIP steel with and without 0.03Nb and 0.02Ti (wt.%) additions were analysed after various thermo-mechanical processing schedules. The base steel with a short coiling time of 125 s at 470°C resulted in the highest amount of the retained austenite phase and the best combination of mechanical strength and elongation. All the thermo-mechanically processed base steel samples recorded continuous yielding behavior. However, the addition of Nb and Ti refined the final microstructure after thermo-mechanical processing and led to an increase in the time required for a high volume fraction of the stable retained austenite phase to develop. Unlike the base steel, the strength-ductility balance in the Nb-Ti steel improved slightly due to a combination of microstructure refinement, a higher volume fraction of RA, and precipitation strengthening. The Nb-Ti steel exhibited discontinuous yielding after thermo-mechanical processing when a long coiling time of 1200 s was undertaken.

The intercritically annealed samples of the base and Nb-Ti steels showed discontinuous yielding with a long Lüders elongation, as well as a steep reduction in the instantaneous  $n$ -value from its maximum at a small value of tensile strain. This was explained by the refinement of the polygonal ferrite grains and the ageing processes that occur during galvanising. The modified C-J analysis showed three stages of work hardening in both steels, where Stage 2 was associated with different rates of RA transformation.

A multi-condition phase segmentation procedure was developed and successfully applied on two thermo-mechanically processed TRIP steels using EBSD data. Based on grain orientation spread (GOS) and grain size criterion, this method was able to distinguish

between polygonal ferrite, bainite, and martensite in the low Si TRIP steels. Being able to separate these constituents makes a further detailed analysis of this steel possible.

The variations in the amount of RA at different tensile strains were measured by EBSD. The transformation rate of RA in the Nb-Ti steel was higher than that in the base steel, which indicated a higher stability of RA in the base steel. This was related to the dominance of granular bainite in the base steel, unlike the dominance of bainitic ferrite in the Nb-Ti steel. However, a high initial amount of RA was found in the BF morphology.

A local misorientation analysis was conducted on each phase in both steels. The changing rate of local misorientation in the bainite with tensile deformation was higher in the Nb-Ti steel than in the base steel. This behaviour was related to the dominance of BF morphology in the Nb-Ti steel and deemed to be responsible for the lower stability of RA in BF compared to GB. The stability of large and small RA grains was investigated in this study, and it was found that the partial transformation of RA grains can lead to a misleading conclusion regarding the high stability of small RA grains, because the partial transformation of large RA grain introduces small RA grains. The stability of RA at different locations was discussed in this study. Most of the RA was formed between the bainitic ferrite laths, but a small amount was formed at the PF/B interface. The stability of RA at the interface was much less than its stability in the bainite, but higher than its stability in the PF.

The partial transformation of RA grains at the interphase was related to the carbon enrichment of RA, and therefore the part of RA that close to the PF was less stable than the part of RA which was adjacent to the bainite. However, it was believed that the RA transformation was more complex and influenced by factors such as the segregation of Mn and the grain orientation which led to the non-anticipated transformation behaviour in some RA grains.

A crystallography analysis was conducted on carbide-free bainite and it highlighted the differences between ferrite in granular bainite and bainitic ferrite. The orientation relationship between austenite and ferrite in bainite was close to the Kurdjumov-Sachs orientation relationship with a deviation of  $5^\circ$  in 90% of the grains. Although the GB and BF were formed as a result of the same thermo-mechanical processing parameters, the difference in their transformation mechanism led to a distinct difference in their crystallography. GB consisting of irregular-shaped and/or plate-like ferrite with a small amount of martensite/retained austenite constituent was formed during continuous

cooling from 680°C to 470°C where the self-accommodation of transformation strain was dominant. The small amount of retained austenite fraction that remained, as well as the dominant role of nucleation during continuous cooling, can explain the absence of variant selection in this morphology and the realisation of most of the 24 variants of the Kurdjumov-Sachs orientation relationship. The realisation of all variants in the GB showed that the deformation of austenite prior to the bainitic transformation did not influence the variant selection. However, this behaviour can be related to the recovery of austenite during continuous cooling after deformation.

Bainitic ferrite consisting of relatively coarse (and sometimes parallel) ferrite laths with retained austenite between them was formed during isothermal holding at 470°C and showed a high fraction of retained austenite. This morphology was characterised by a significant variant selection, which was attributed to the plastic accommodation of the transformation strain in the remaining retained austenite. Consequently, variants pairing cannot be evaluated in BF due to the high amount of RA between the BF laths. A unique BF morphology consisting of differently oriented packets of finer parallel bainitic ferrite laths with fine interlayers of retained austenite was observed in a small area fraction, and showed less variant selection compared to BF with coarse parallel laths. The large area available for the transformation of bainite with multi-oriented laths can explain this difference in crystallography.

In most cases, the GB and BF were formed together in the same prior austenite grain, even though a variant selection was still pronounced in the BF where only one variant formed in each CP. Although the GB, formed in the same grain with BF, exhibited a reduction in the number of CPs, most of the variants were realised in each formed CP.

## 7.2 Contribution to the Knowledge

By utilising several techniques, particularly EBSD, this study provided a further understanding of the stability of RA and the microstructure/properties relationship in low Si TRIP steels with and without the addition of Nb and Ti. The following points summarise the contribution this study makes to the knowledge.

- This is one of the first studies to compare the mechanical properties and work hardening behaviour of low Si TRIP steel after TMP and IA. The work hardening behaviour of these steels was analysed using the modified C-J analysis and the work hardening curves were divided into three stages. The behaviour of the work hardening curves was linked to the RA transformation.
- For the first time, a multi-condition method for phase separation using EBSD only was developed and successfully applied for a thermo-mechanically processed low Si TRIP steels to separate between polygonal ferrite, ferrite in granular bainite, bainitic ferrite, and martensite. This unique procedure can be applied for a wide range of engineering alloys to separate between phases and constituents.
- This is one of the first studies to investigate the factors influencing the stability of the RA after a different strain using the EBSD technique.
- For the first time, EBSD was used to carry out a comprehensive investigation into the crystallography of the granular bainite and bainitic ferrite morphologies found in the carbide-free bainite. The variant selection was discussed for the first time from the point of view of the presence of RA and bainite morphology in each austenite grain.

### 7.3 Future Work

The effect of coiling time and subsequent galvanising heat treatment was investigated using a low Si TRIP steel. The work hardening rate and instantaneous  $n$ -value behaviour was discussed based on and linked to the initial fraction of RA determined by XRD and EBSD. Further discussion was possible based on the measurement of RA at UTS and fracture. However, in-situ analysis [101] using EBSD and/or neutron or synchrotron X-ray diffraction will provide further details and can analyse the same location at different strains, particularly at low strain where RA with low stability is expected to transform.

The EBSD-based segmentation method developed in this study was successfully applied to low Si TRIP steel at different strains. However, to confirm the applicability of this method on other steels, it should be applied to several steels in order to investigate its advantages and limitations. Additional criteria can be added to improve the separation method, particularly between GB and BF. One approach is to carry out the simultaneous EDS and EBSD, because a relative carbon variation is expected between these two morphologies. Moreover, three-dimensional EBSD studies [189] can confirm the current method of segmentation and verify any differences between the morphologies, as well as provide a better insight into the carbide-free morphologies of bainite.

All the discussion of the mechanical stability of RA was based on a two-dimensional analysis of the EBSD maps. A three-dimensional analysis based on EBSD can provide further understanding of the factors influencing the mechanical stability of RA in TRIP steel. Other factors should be also considered when studying the stability of RA, such as the grain orientation with respect to the deformation axis. Further investigation of the Mn variation in each austenite grain using electron probe microanalysis (EPMA)[165] is also recommended as one of the factors that can influence the stability of the RA, and which was not considered in this study.

A crystallographic analysis was conducted on the GB and BF of a local selected area of an EBSD map. A better understanding of the crystallography of these two morphologies could be achieved by applying the same analysis on more EBSD maps. This would improve our understanding of the crystallography of these two morphologies as well as the morphology observed in a small area fraction such as multi-oriented bainitic ferrite (or acicular ferrite). On the other hand, an in-situ investigation of the transformation of

carbide-free bainite by high-temperature laser scanning confocal microscopy (LSCM) [20] would also reveal further information on the variant selection in each morphology.

All the crystallographic analyses in this study were based on two-dimensional EBSD maps, so another three-dimensional EBSD analysis [189] of the variant selection could provide even better understanding.

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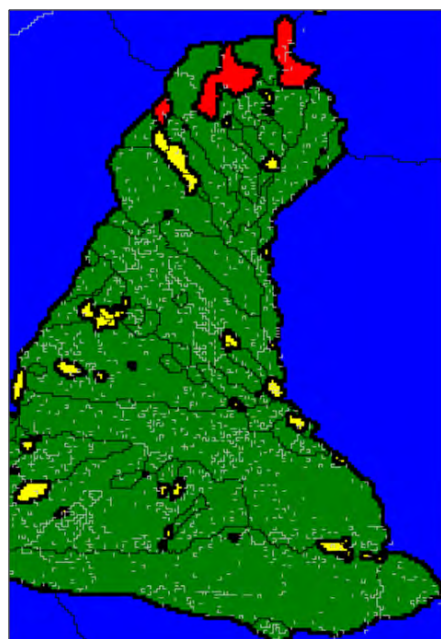
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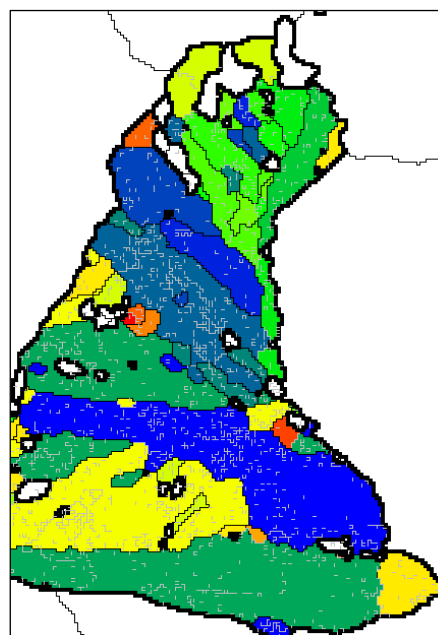
## **APPENDIX .A**

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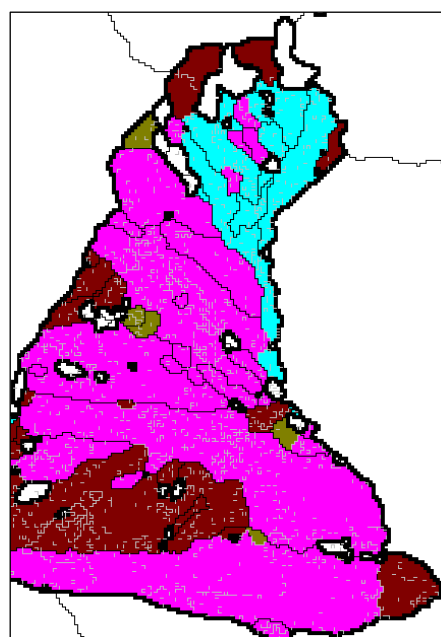


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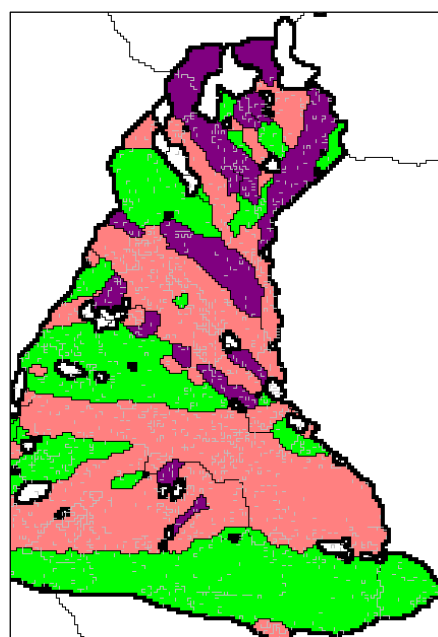
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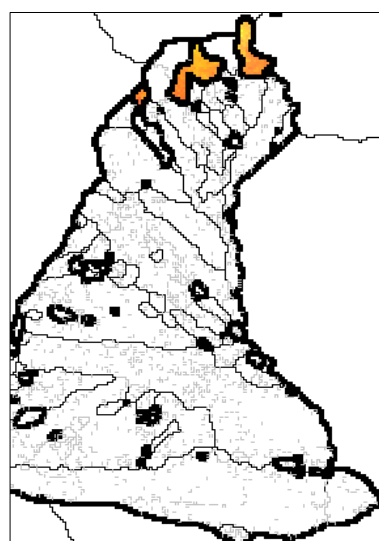
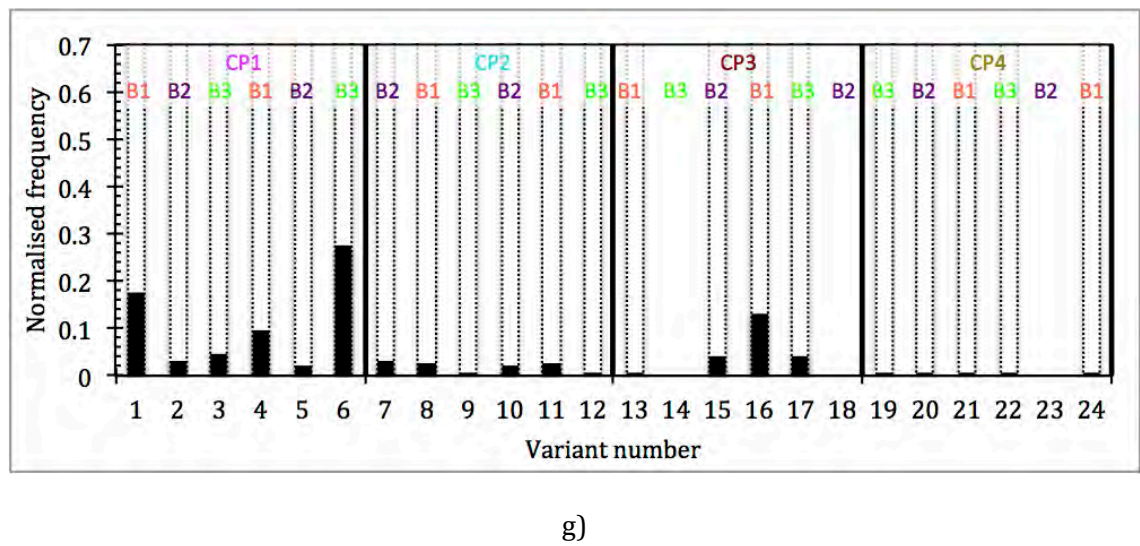
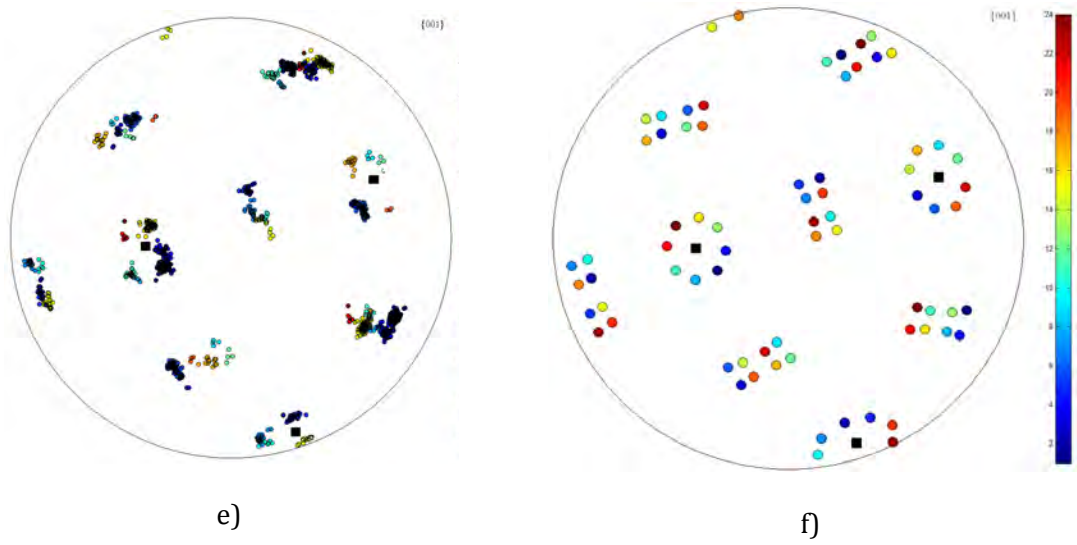


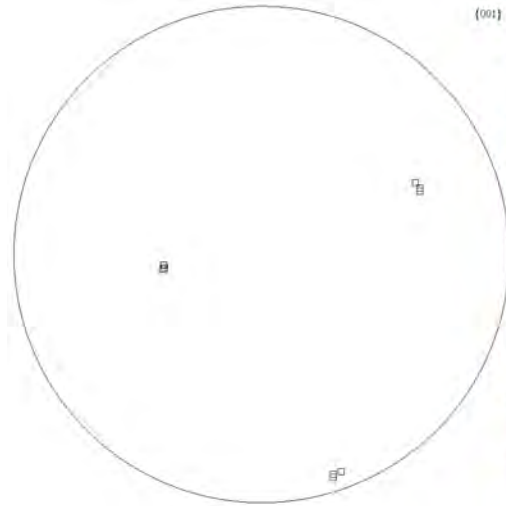
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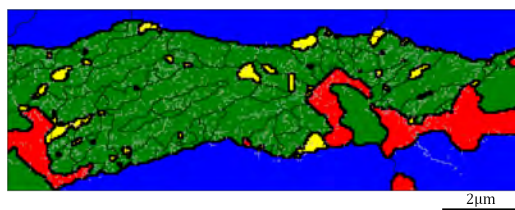




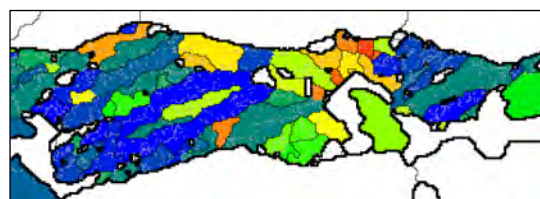


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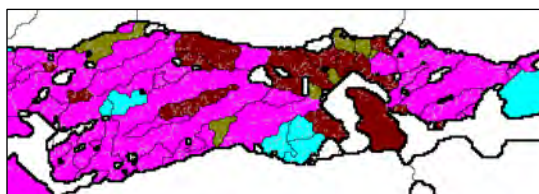
Figure A.1: EBSD maps of Granular Bainite (GB) in base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants and the average orientation of the RA grain is represented by black squares in e) and f). g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black lines on all the maps represent the interphase boundary and do not denote boundary misorientation.



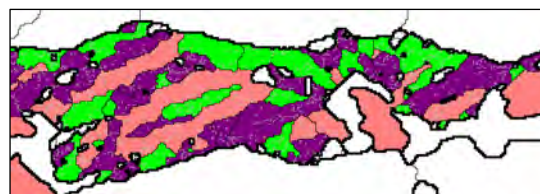
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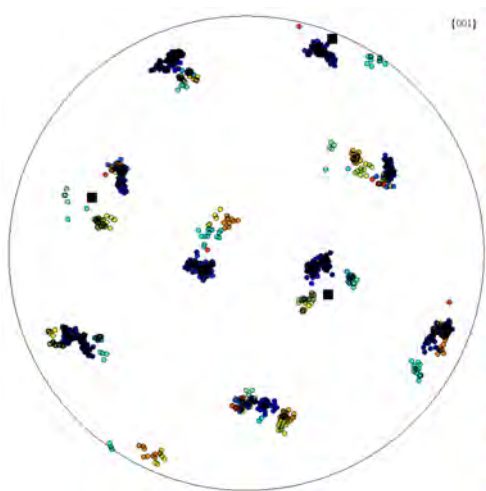
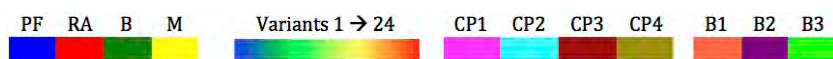
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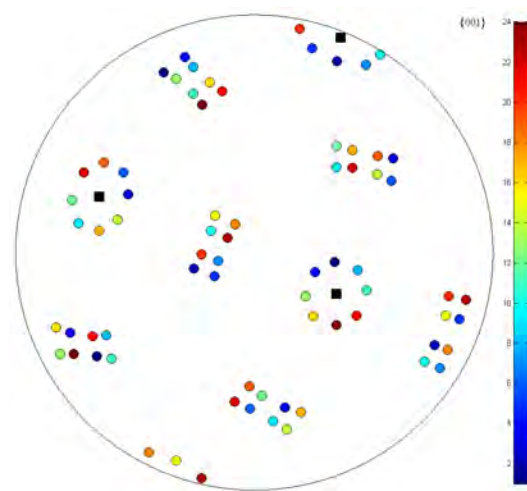
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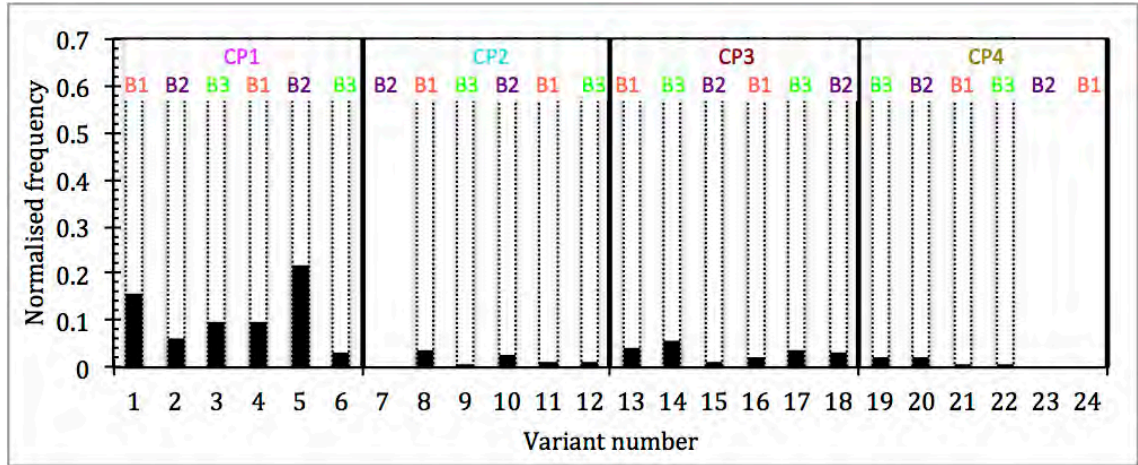
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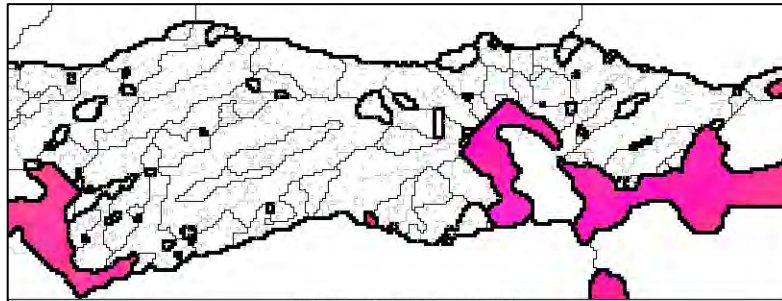
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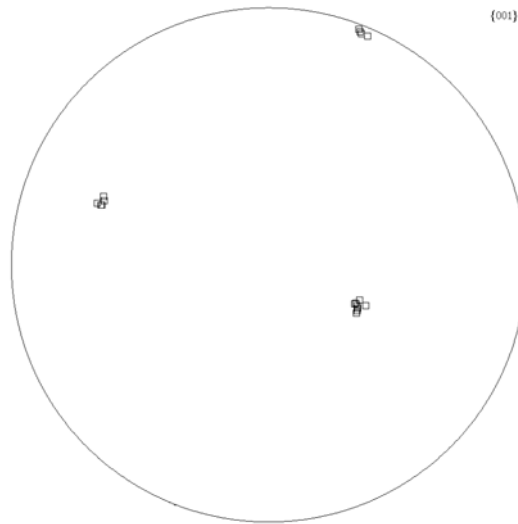
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g)



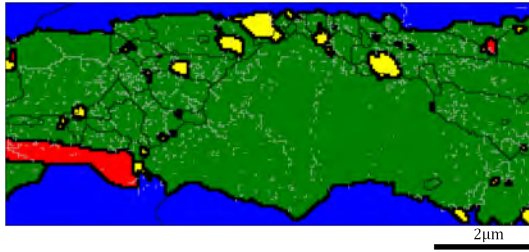
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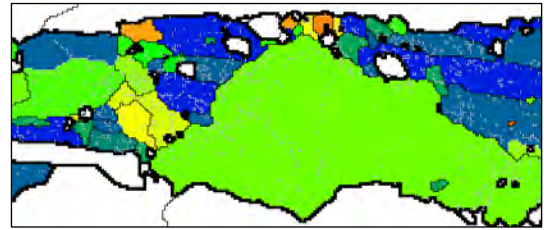
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Figure A.2: EBSD maps of predominantly Granular Bainite (GB) in base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical {001} pole figures of the variants with the average

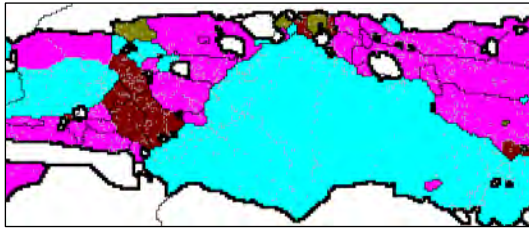
orientation of the RA grain represented by black squares in e) and f). g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i) {001} pole figure of the experimental orientation of the RA grains. LAGBs=2° to 15°; silver colour and HAGBs >15° black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.



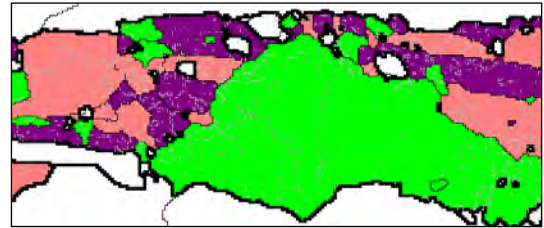
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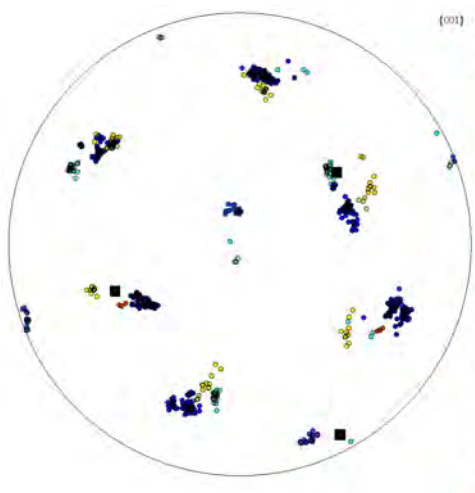
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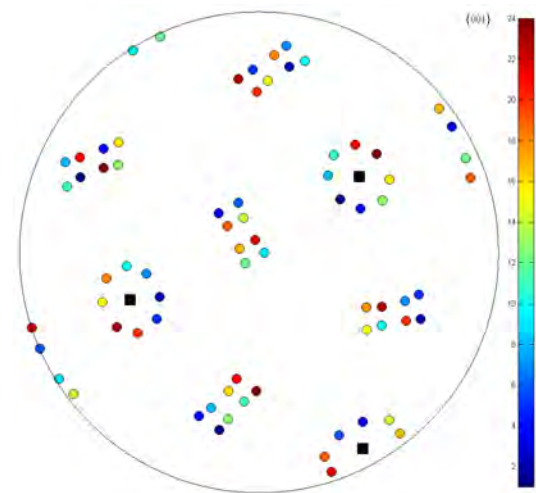
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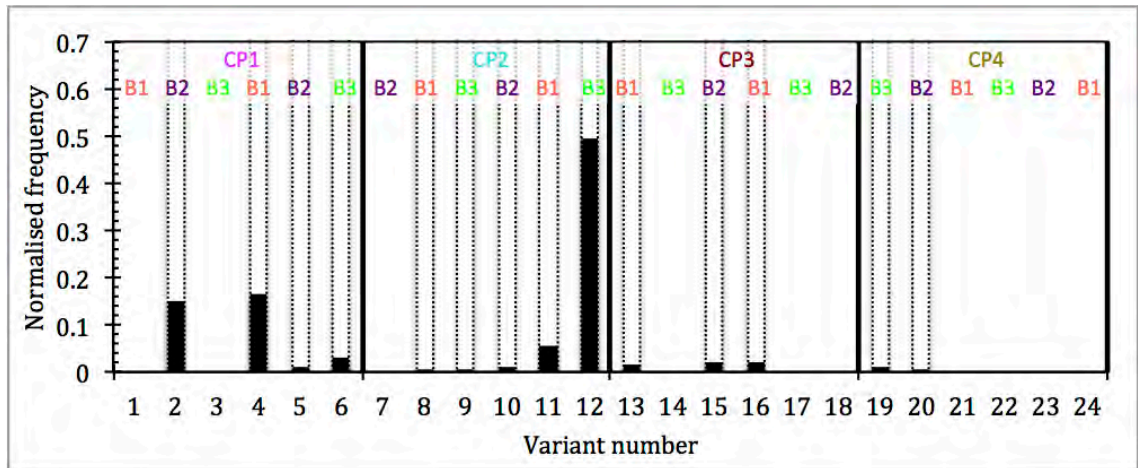
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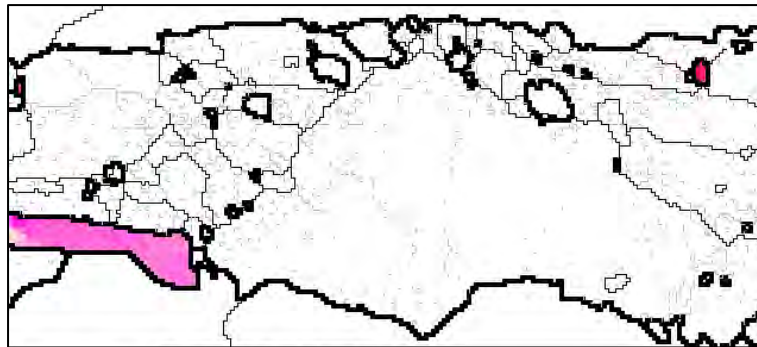
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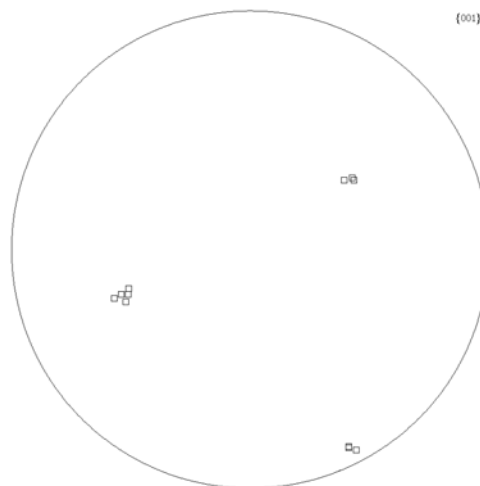
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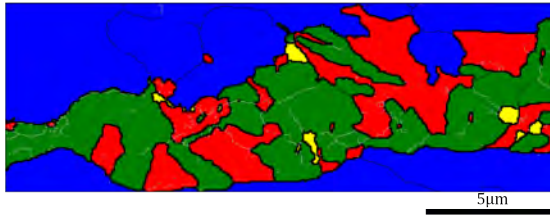
Figure A.3: EBSD maps of granular bainite (GB) in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The

experimental and f) theoretical {001} pole figures of the variants in which the average orientation of the RA grain is represented by black squares. g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i) {001} pole figure of the experimental orientation of the RA grains. LAGBs=2° to 15°; silver colour and HAGBs >15° black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.

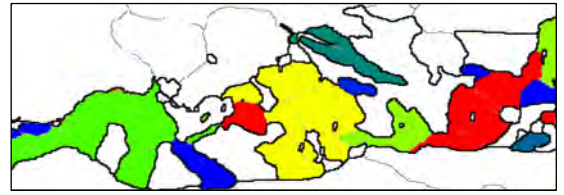
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## **APPENDIX .B**

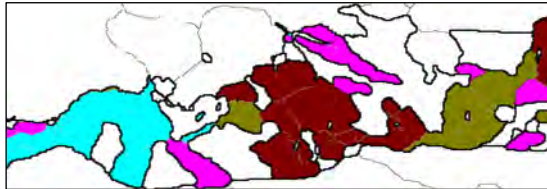
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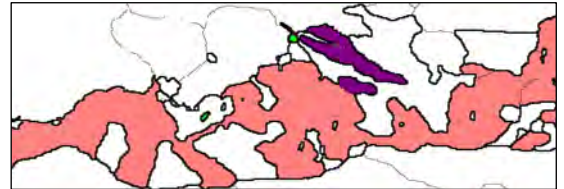
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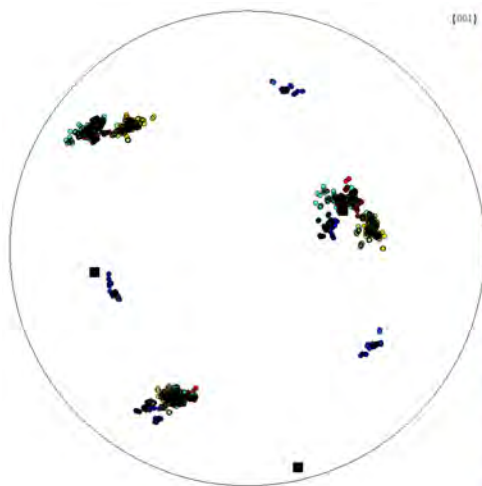
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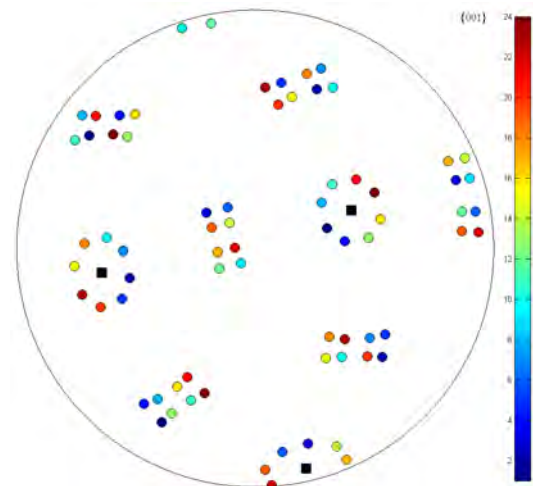
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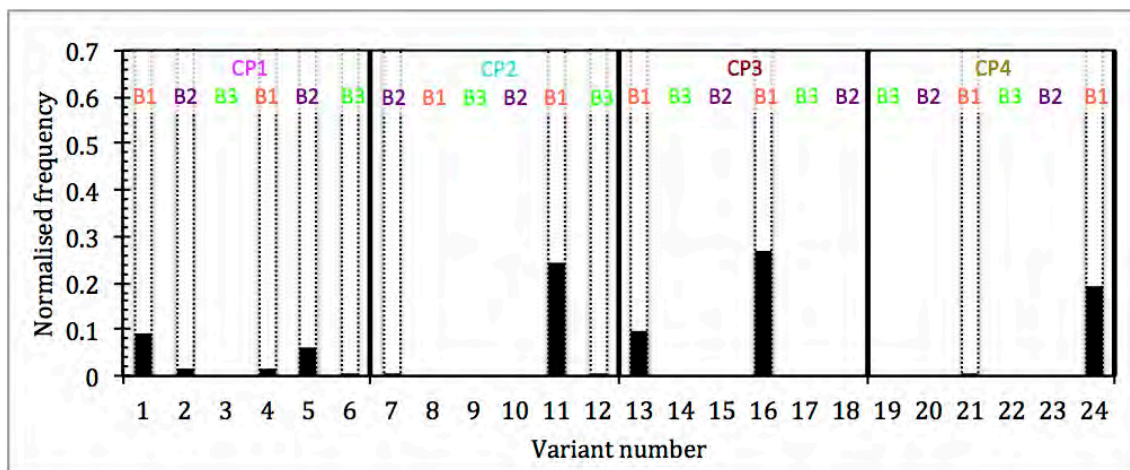
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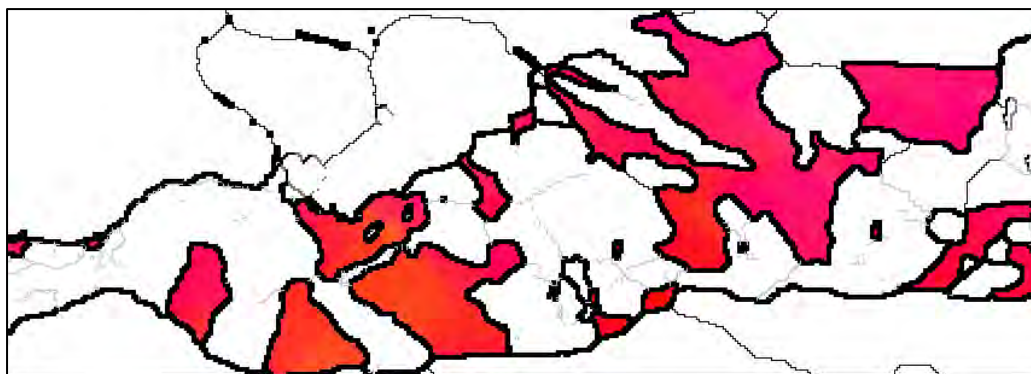
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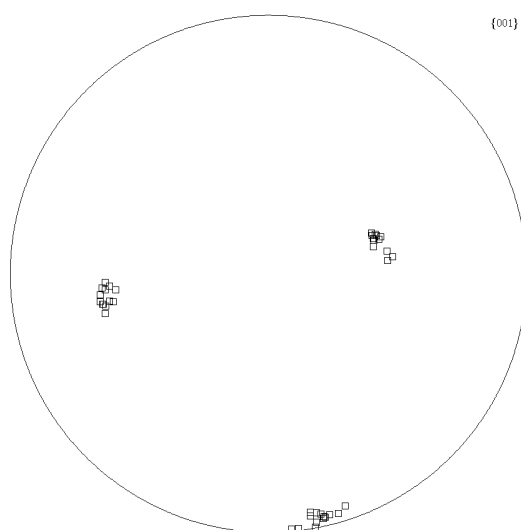
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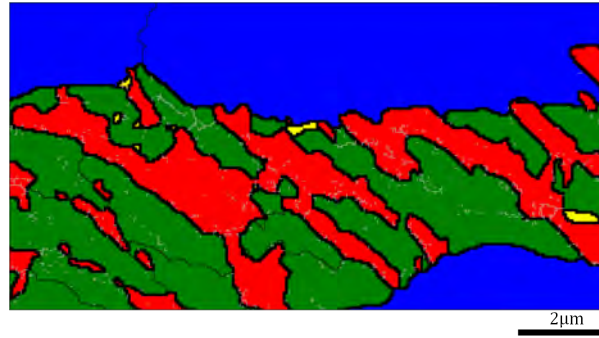


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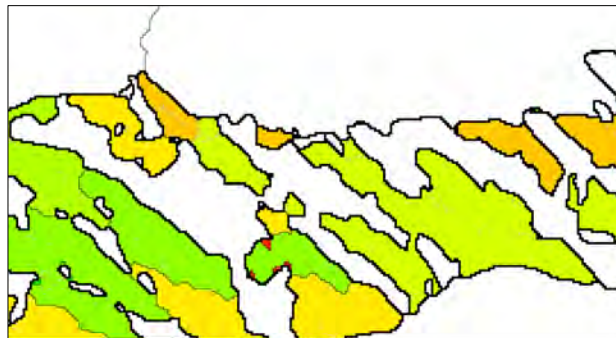


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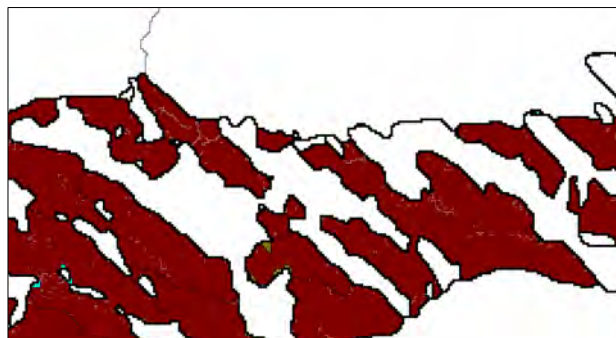
Figure B.1: EBSD maps of bainitic ferrite laths (BF) in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants, and the average orientation of the RA grain which is represented by black squares in e) and f). g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.



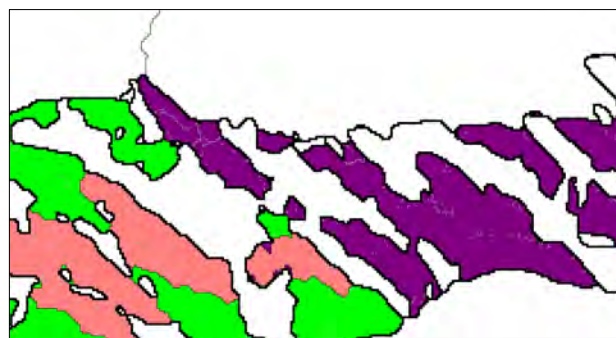
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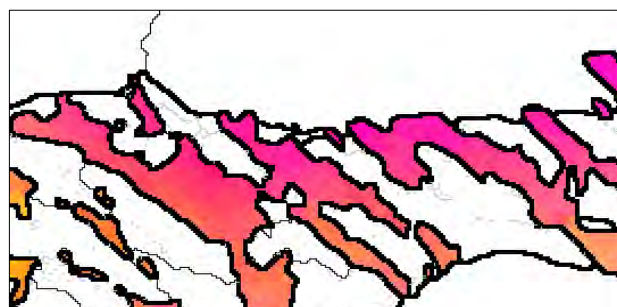
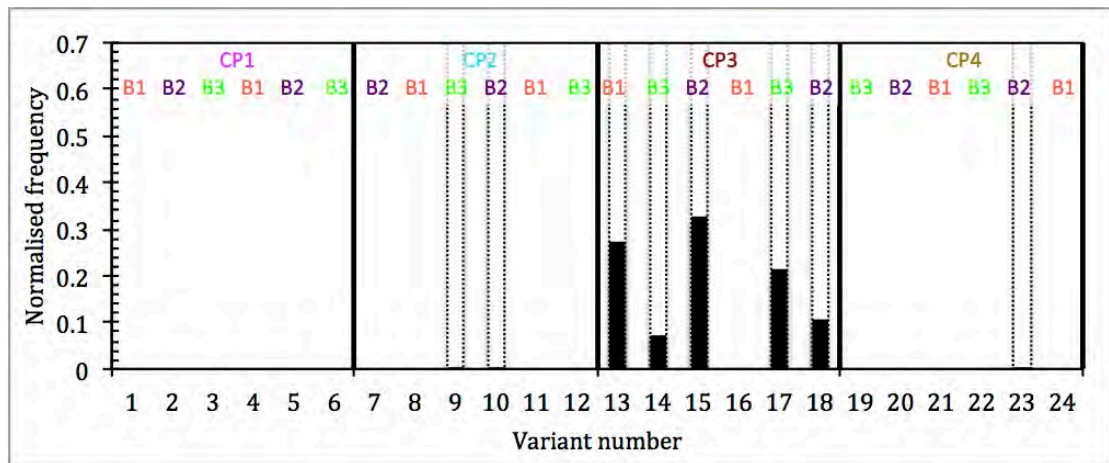
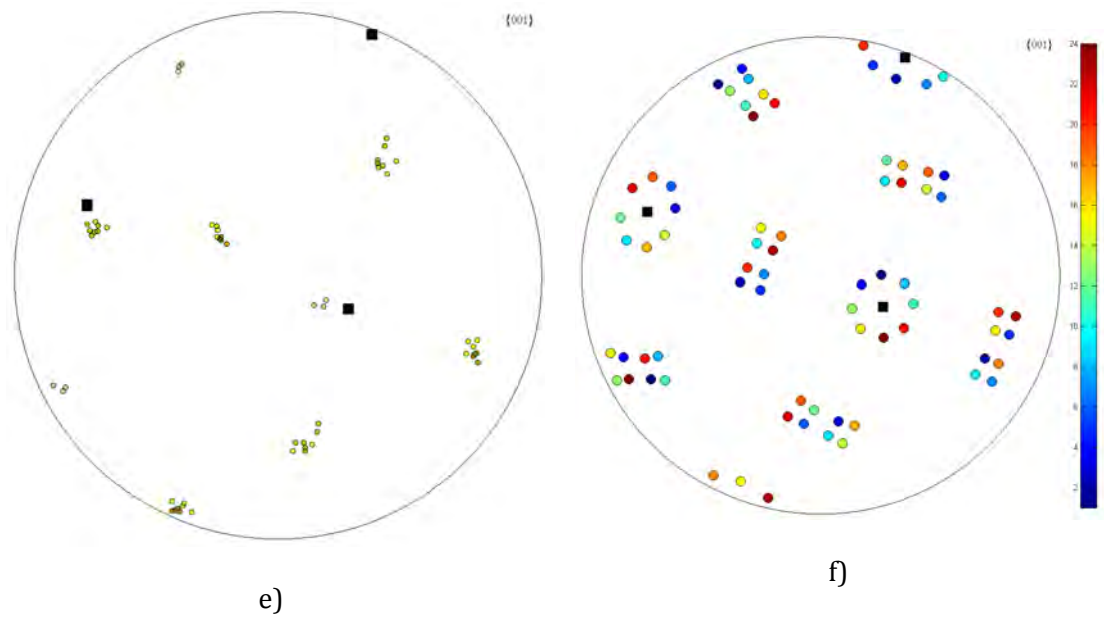


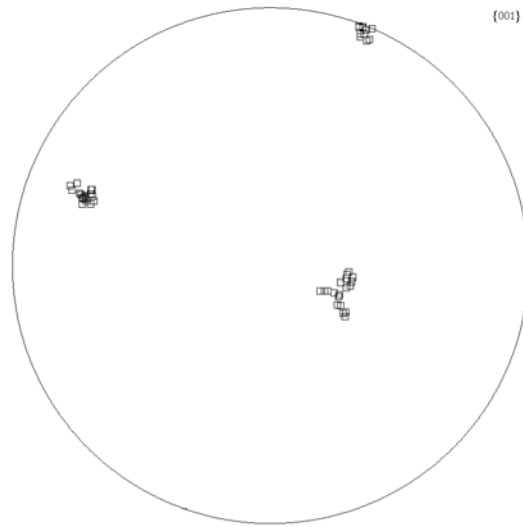
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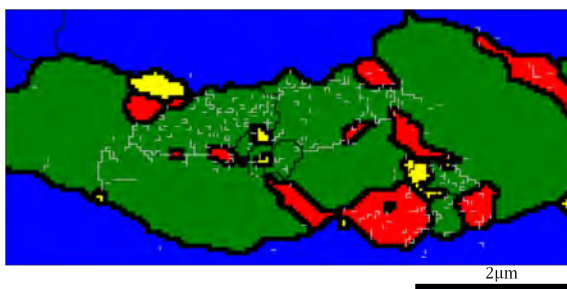




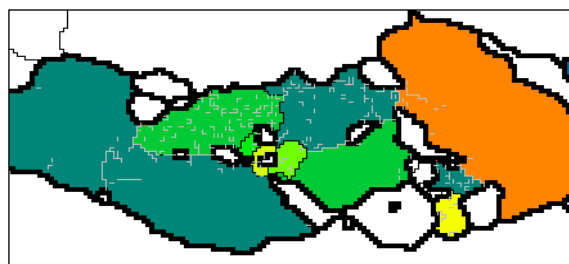


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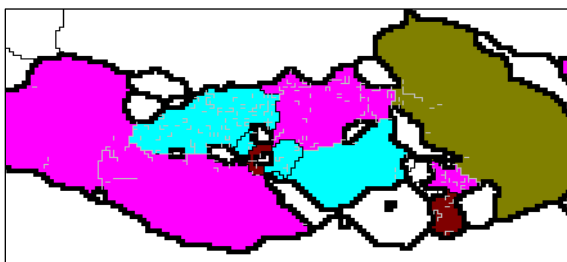
Figure B.2: EBSD maps of bainitic ferrite laths (BF) in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain represented by black squares. g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.



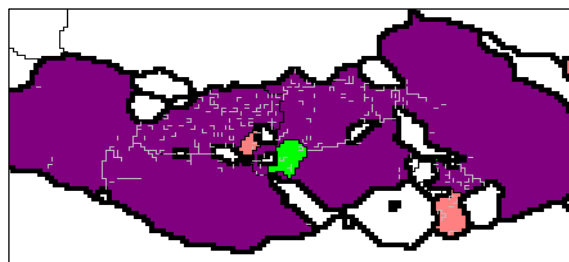
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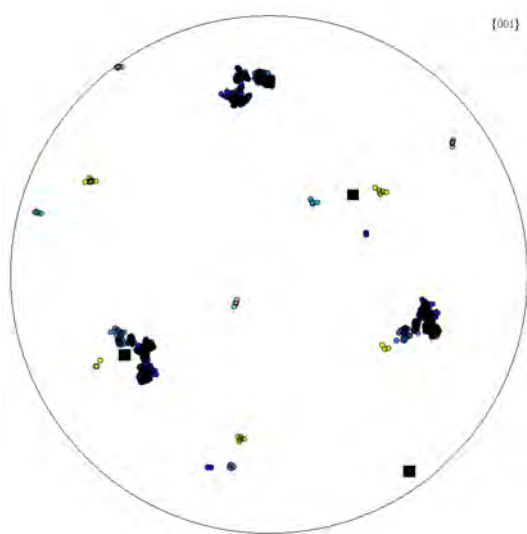
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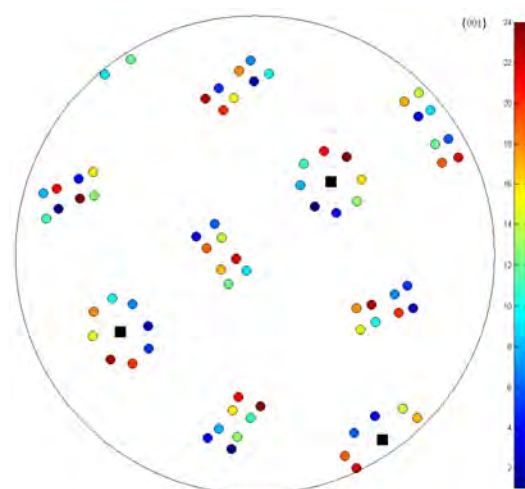
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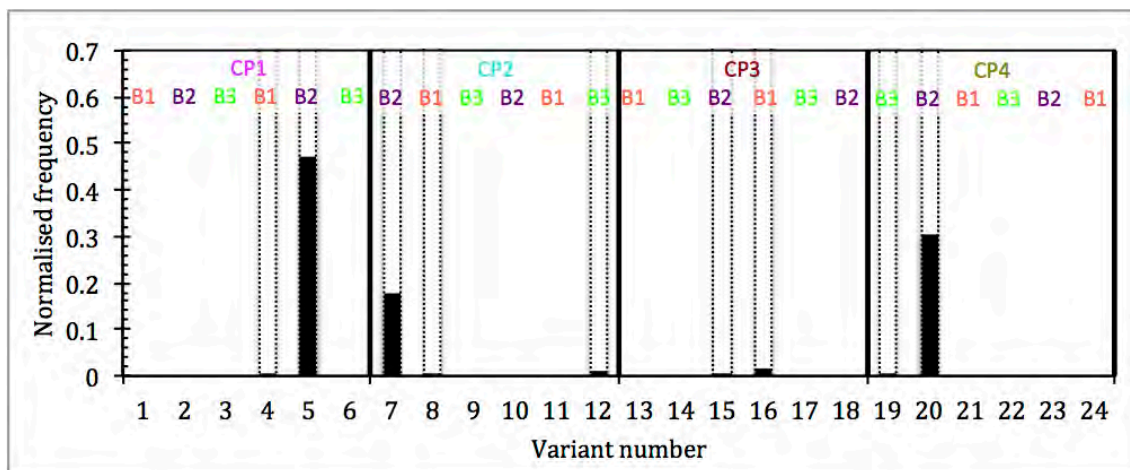
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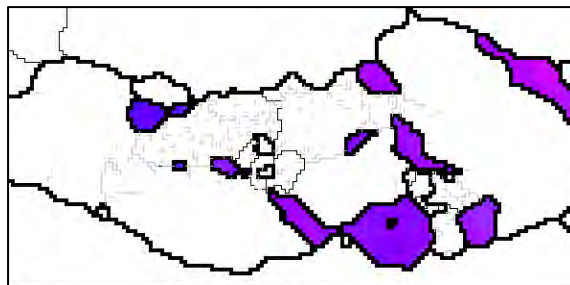
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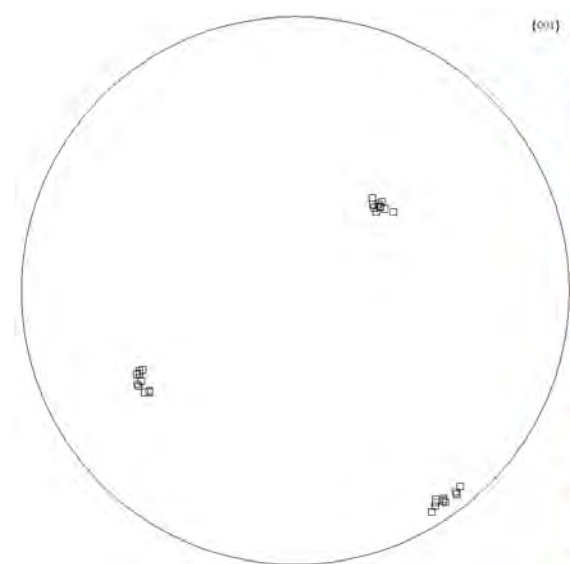
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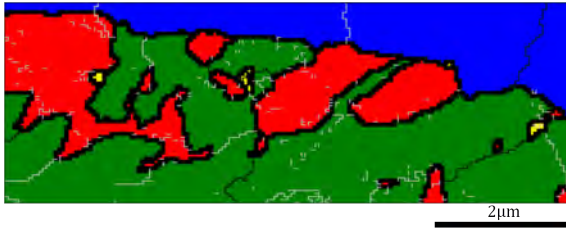


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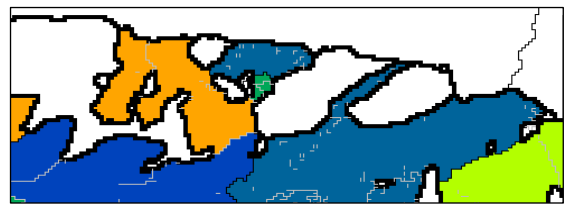


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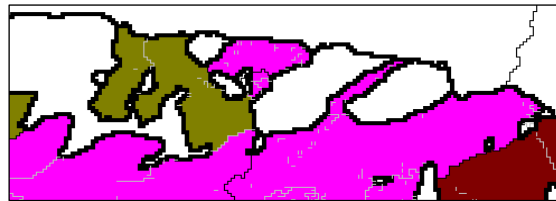
Figure B.3: EBSD maps of bainitic ferrite laths (BF) in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain represented by black squares. g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.



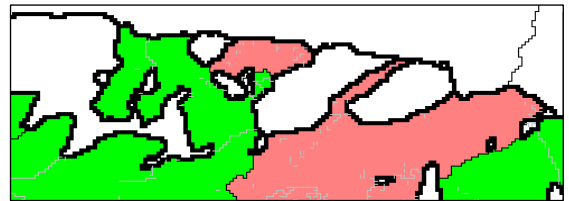
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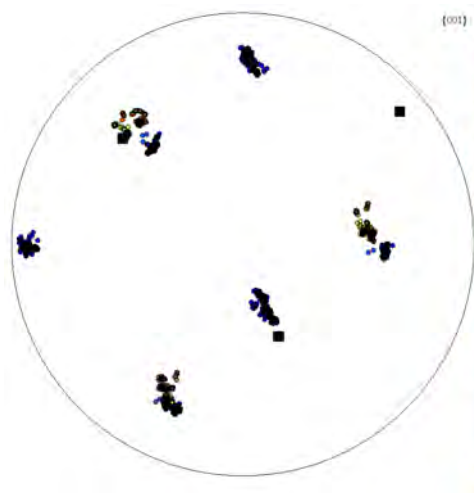
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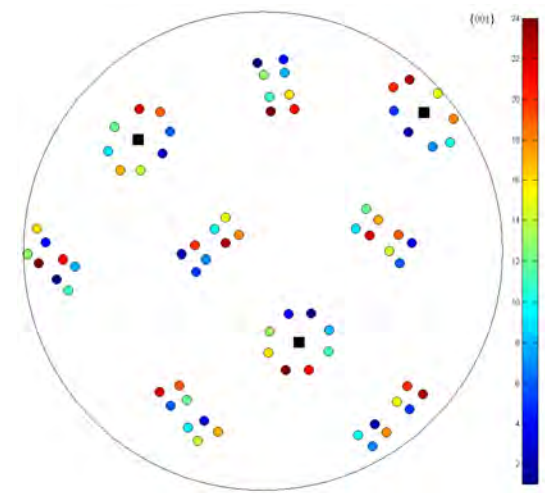
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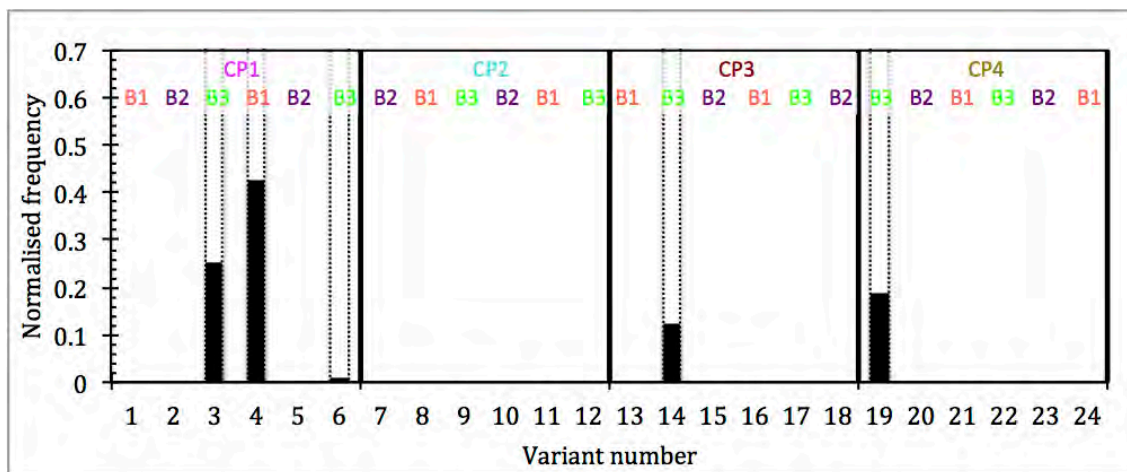
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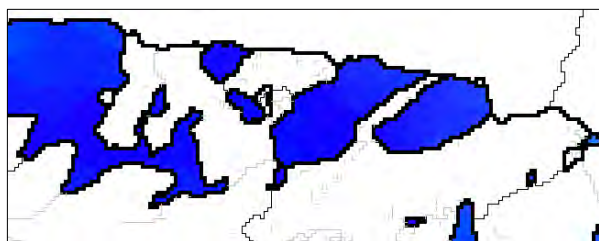
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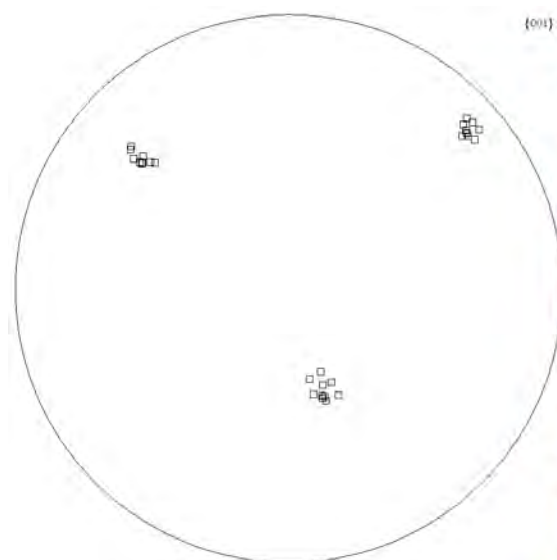
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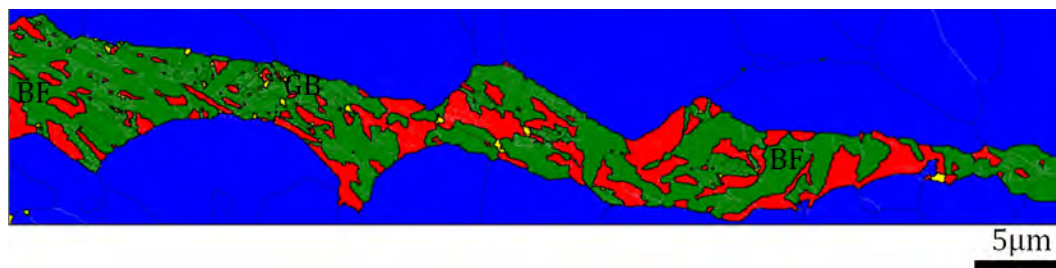
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Figure B.4: EBSD maps of bainitic ferrite laths (BF) in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain represented by black squares. g) Normalised frequency of the formed variants; dash lines represent the realisation of the variants even in a small amount. h) The inverse-pole figure map of the RA grain and i)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^{\circ}$  to  $15^{\circ}$ ; silver colour and HAGBs  $>15^{\circ}$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.

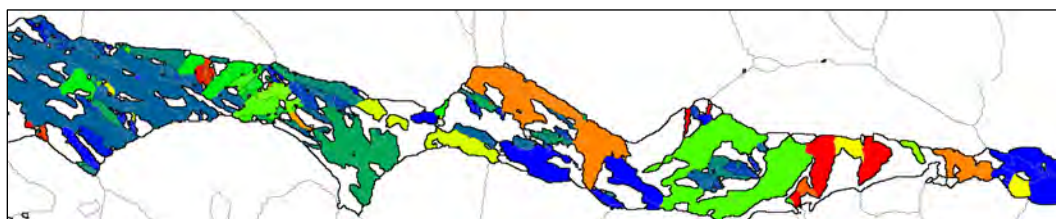
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## **APPENDIX .C**

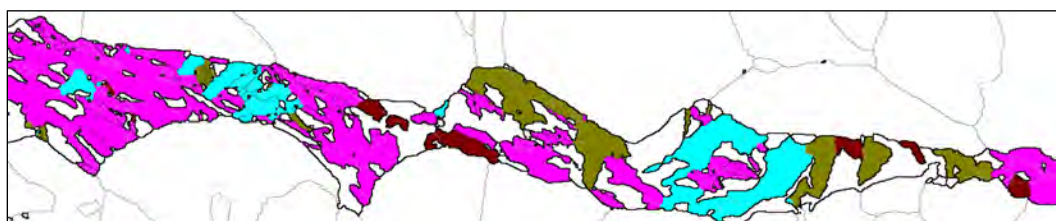
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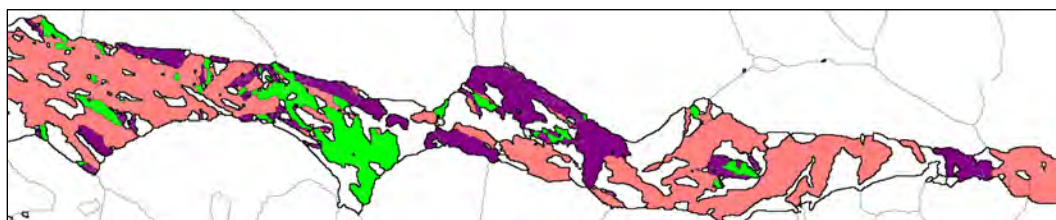
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b)

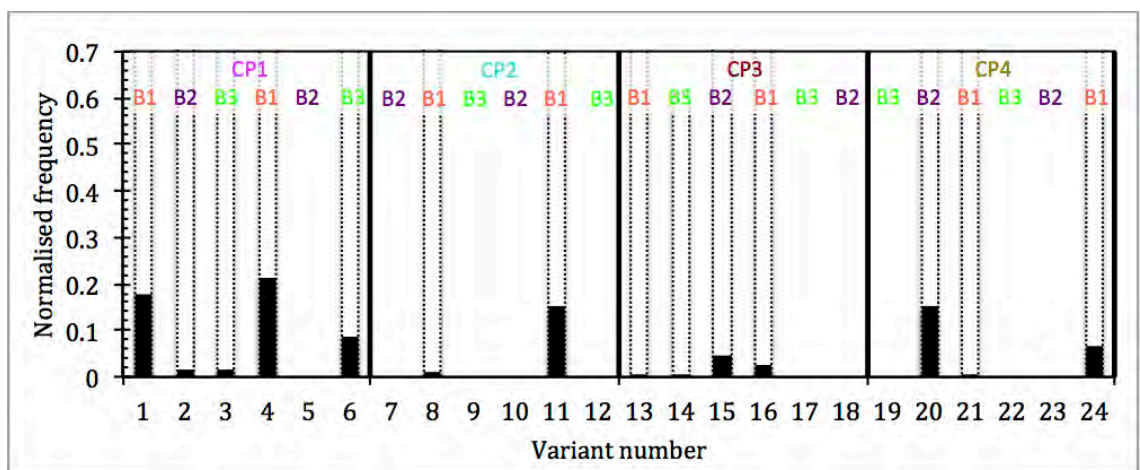
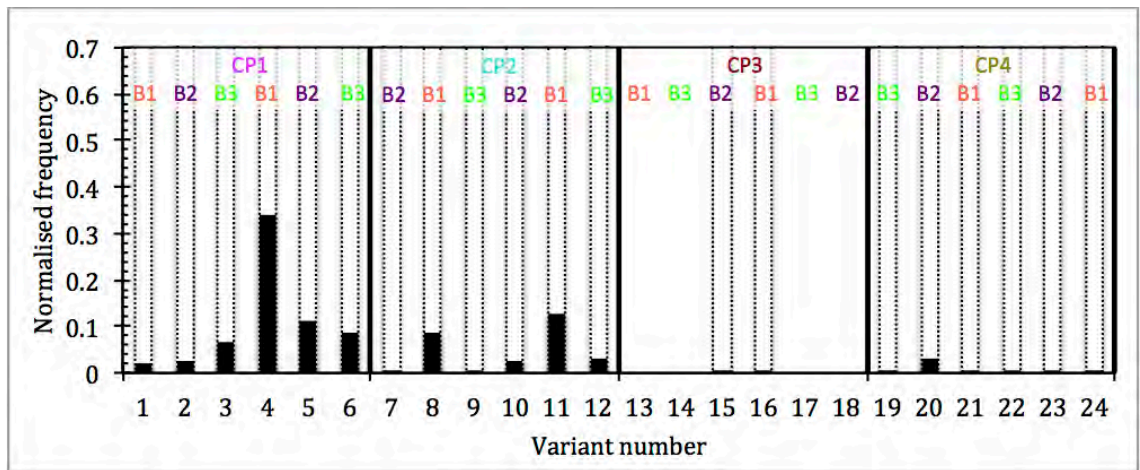
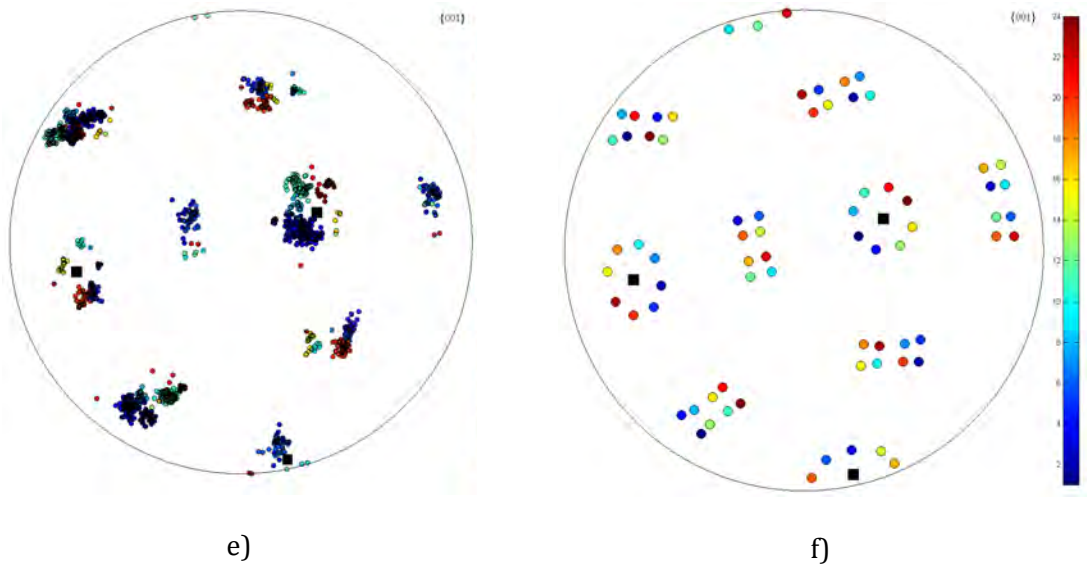


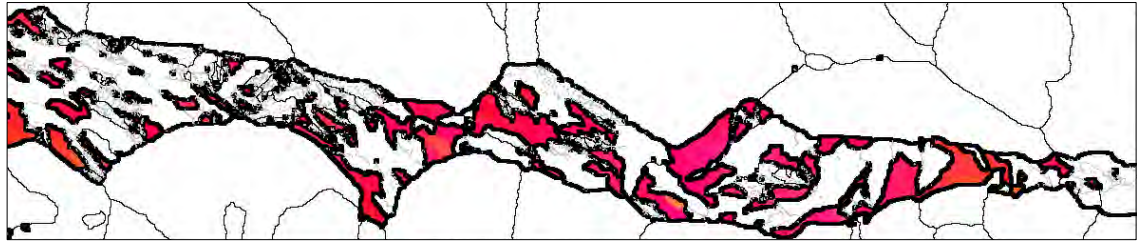
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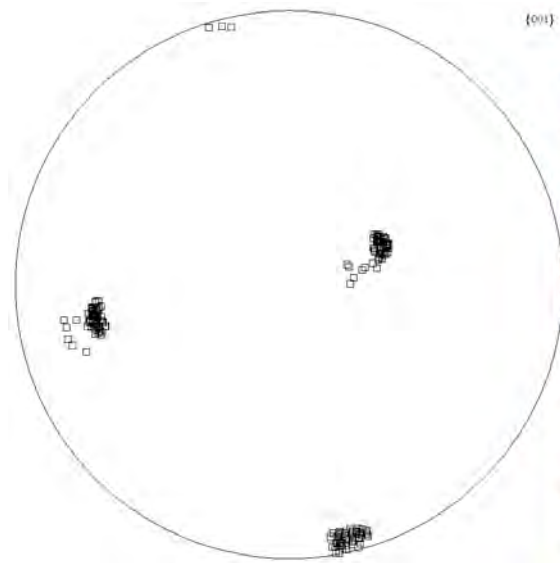
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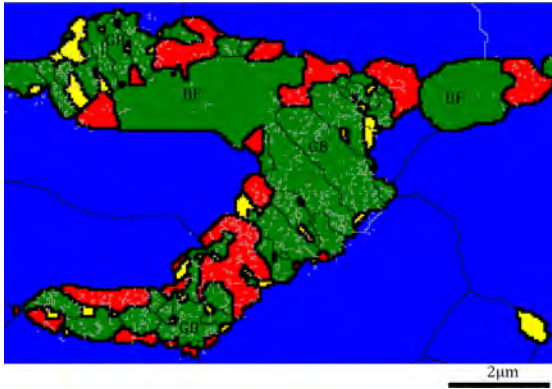


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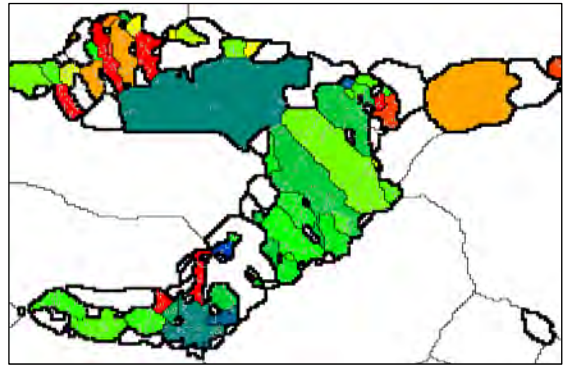


j)

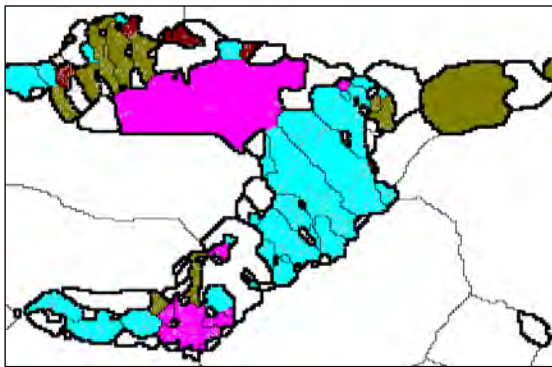
Figure C.1: EBSD maps of bainitic ferrite laths (BF) and GB in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain represented by black squares. Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.



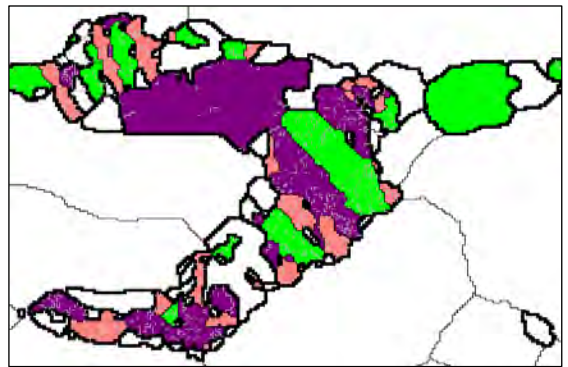
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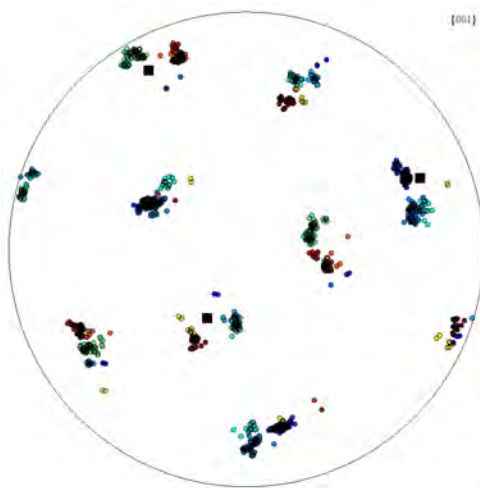
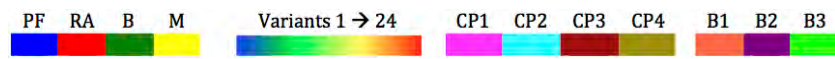
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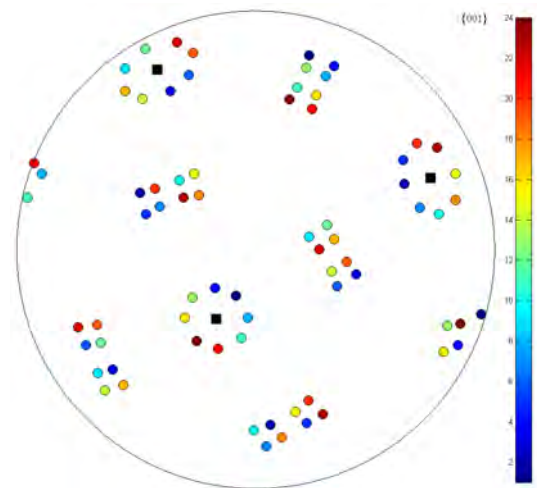
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e)



f)



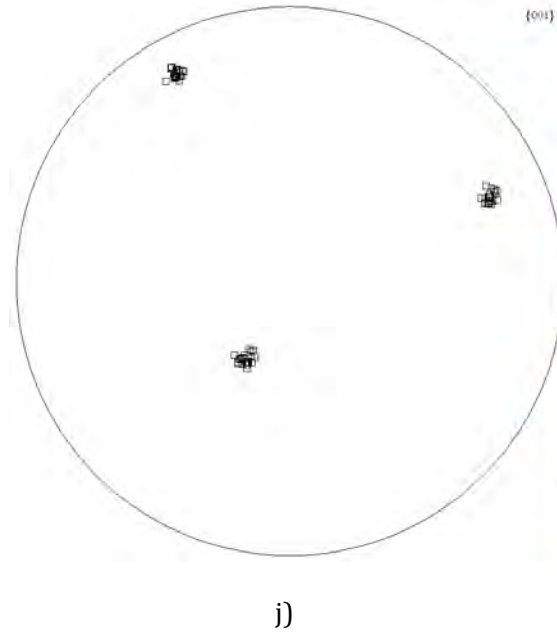
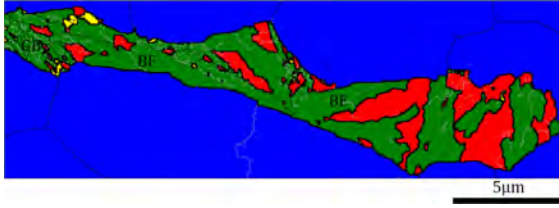
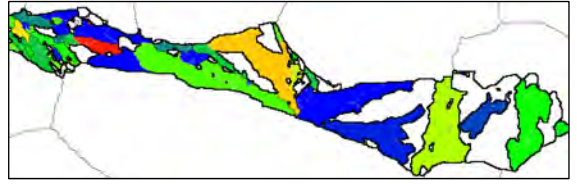


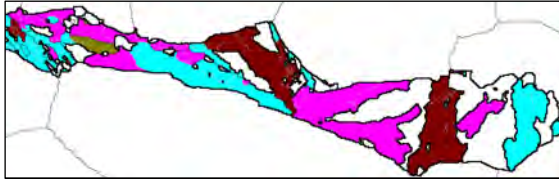
Figure C.2: EBSD maps of bainitic ferrite laths (BF) and GB in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain represented by black squares. Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^{\circ}$  to  $15^{\circ}$ ; silver colour and HAGBs  $>15^{\circ}$  black colour. The thick black lines on all the maps represent the interphase boundaries and do not denote boundary misorientation.



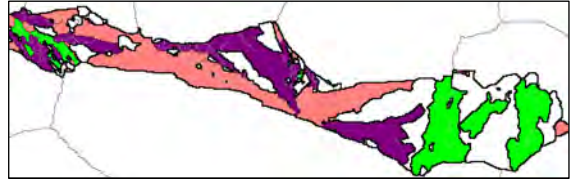
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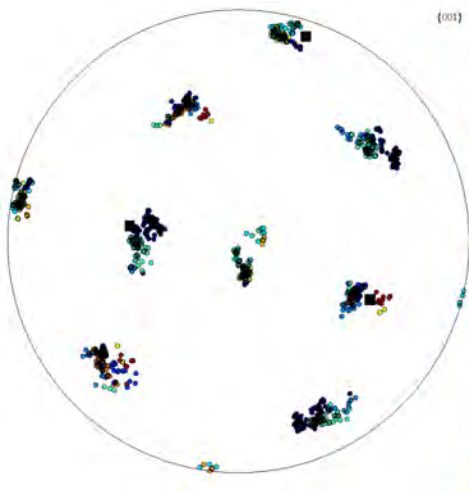
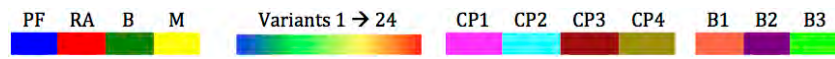
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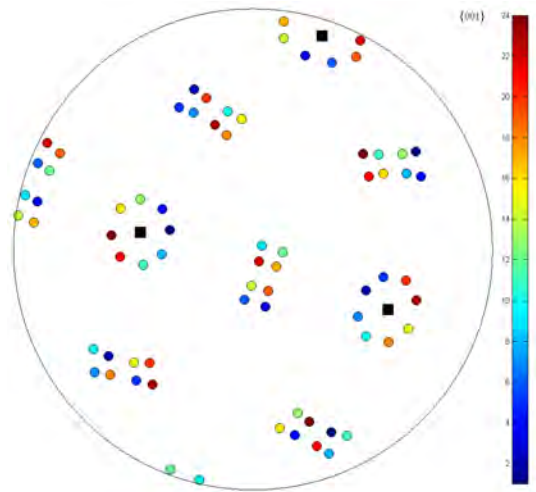
c)



d)



e)



f)



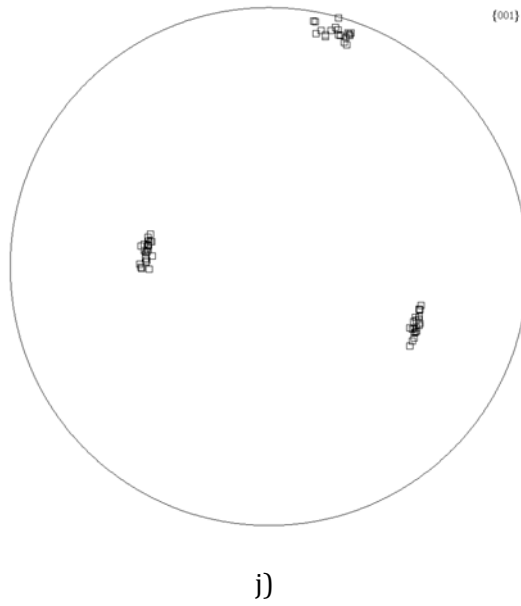
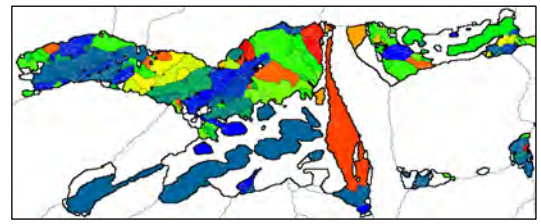


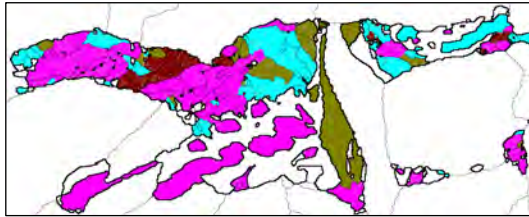
Figure C.3: EBSD maps of bainitic ferrite laths (BF) and GB in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain indicated by black squares . Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black lines on all the maps represents the interphase boundaries and do not denote boundary misorientation.



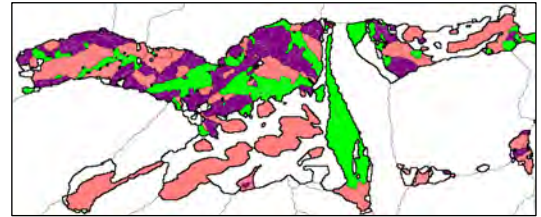
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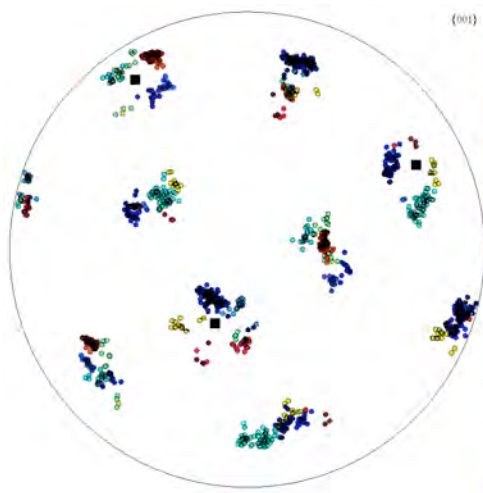
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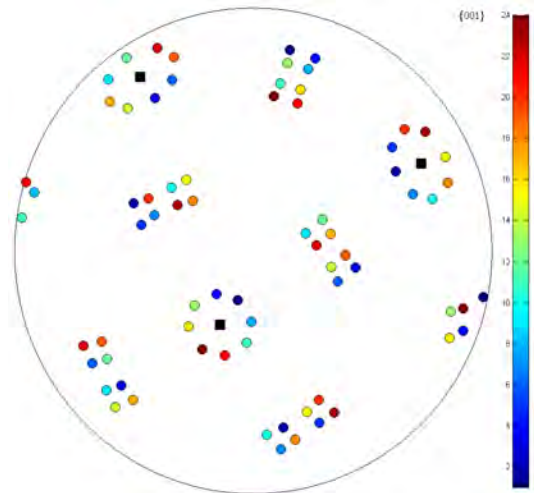
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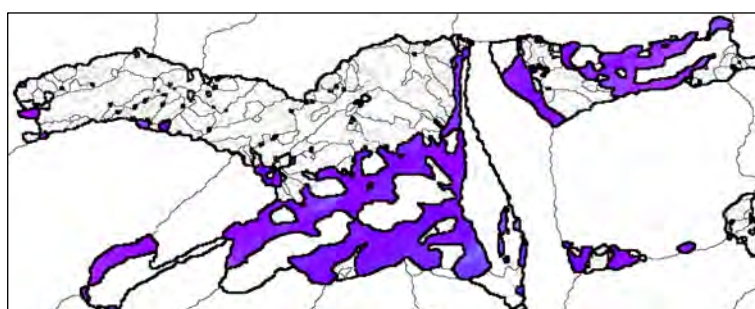
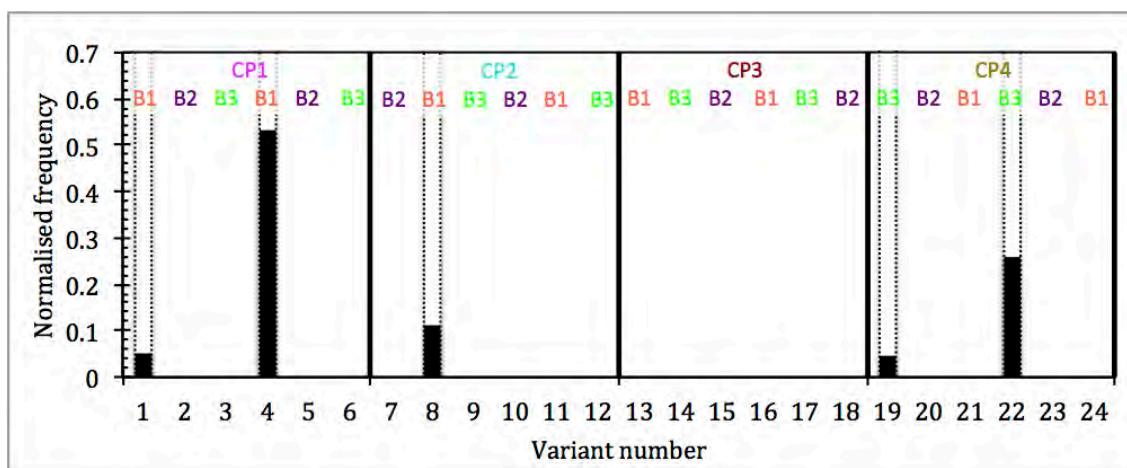
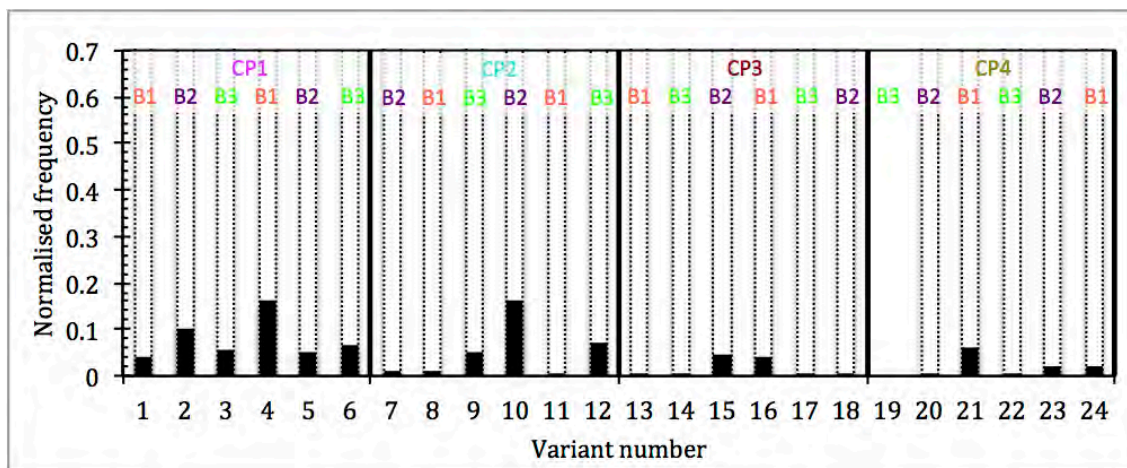
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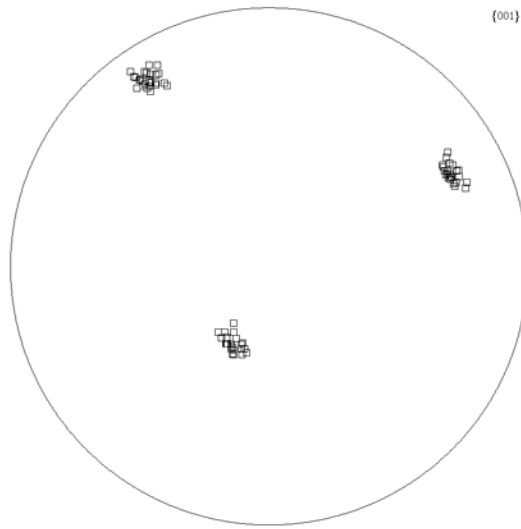


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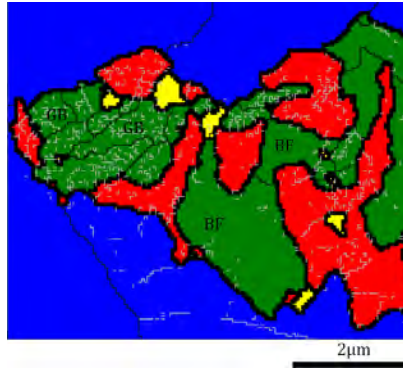
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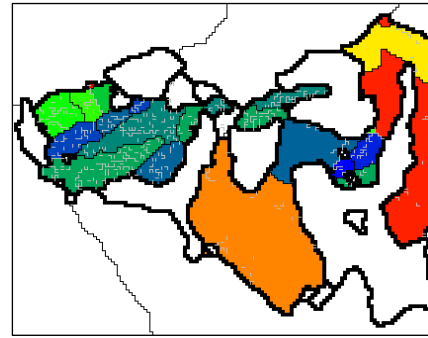


j)

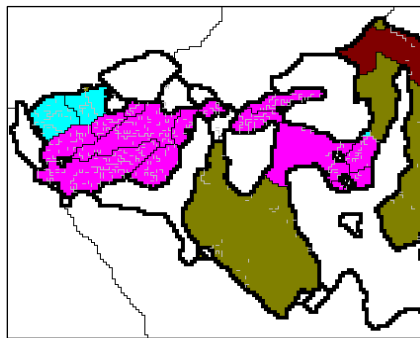
Figure C.4: EBSD maps of bainitic ferrite laths (BF) and GB in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain shown by black squares. Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs =  $2^\circ$  to  $15^\circ$ ; silver colour and HAGBs  $>15^\circ$  black colour. The thick black lines on all the maps represent the interphase boundaries and do not denote boundary misorientation.



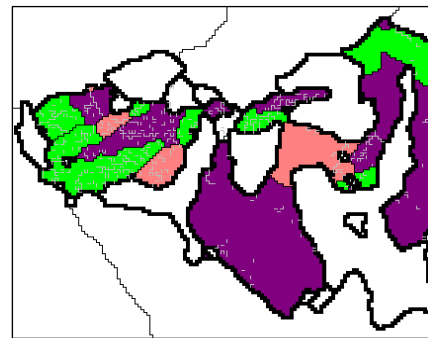
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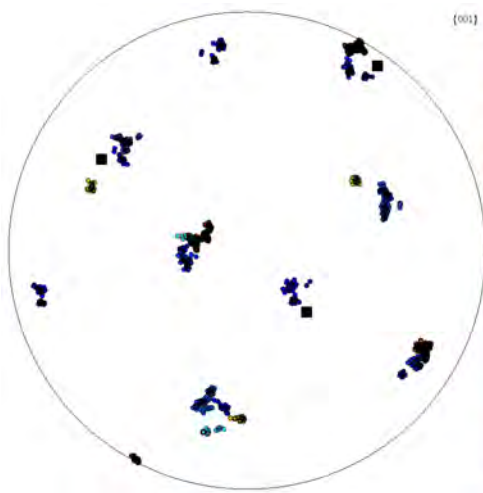
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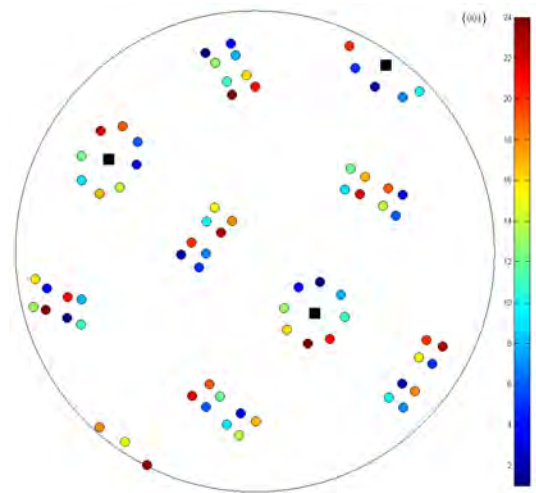
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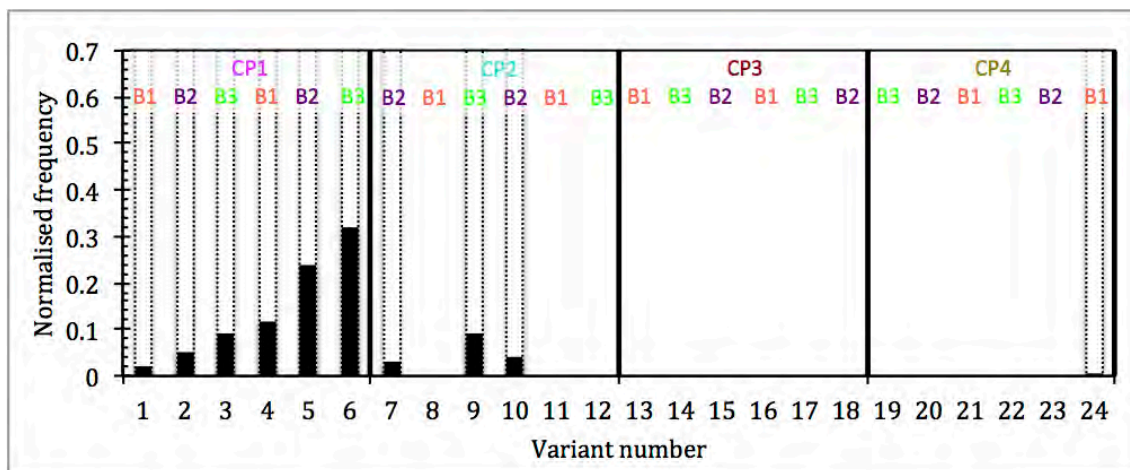
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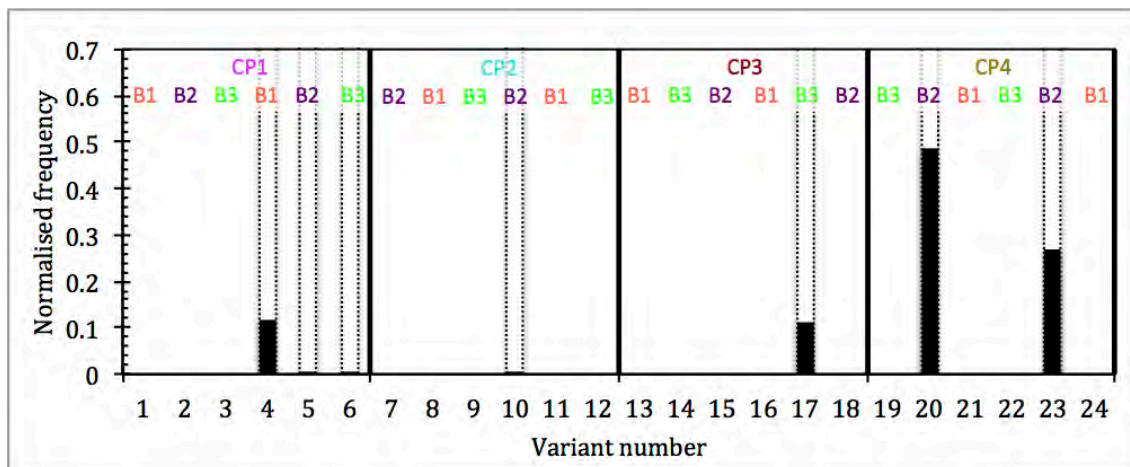
e)



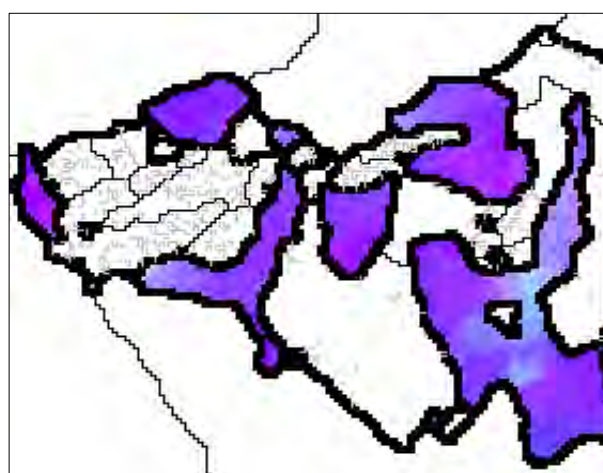
f)



g)



h)



i)

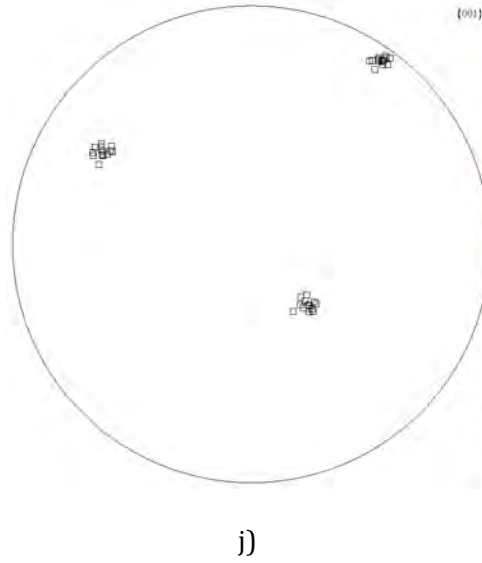
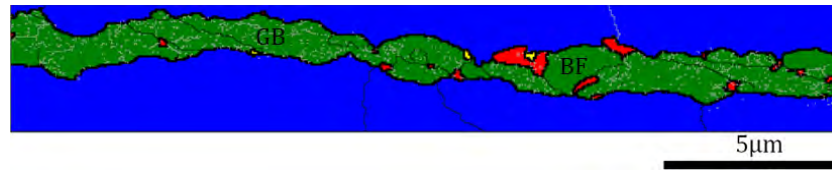
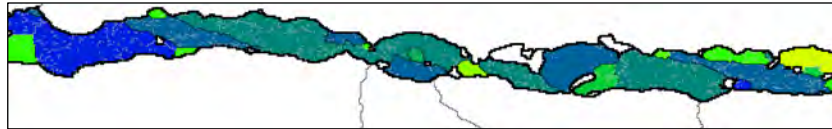


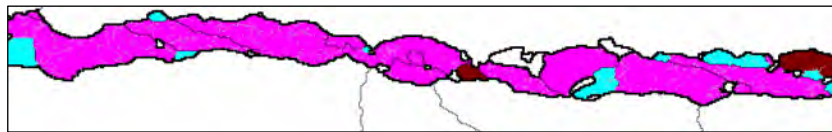
Figure C.5: EBSD maps of bainitic ferrite laths (BF) and GB in the base steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants, and the average orientation of the RA grain indicated by black squares in e) and f). Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^{\circ}$  to  $15^{\circ}$ ; silver colour and HAGBs  $>15^{\circ}$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.



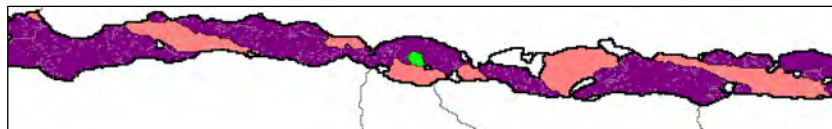
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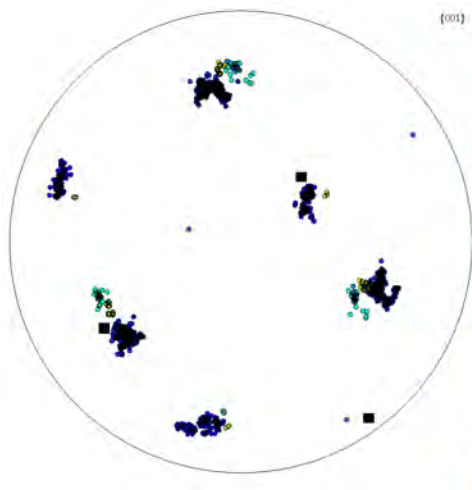
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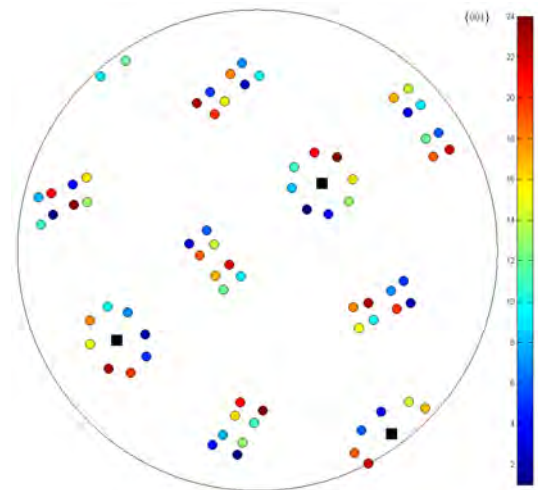
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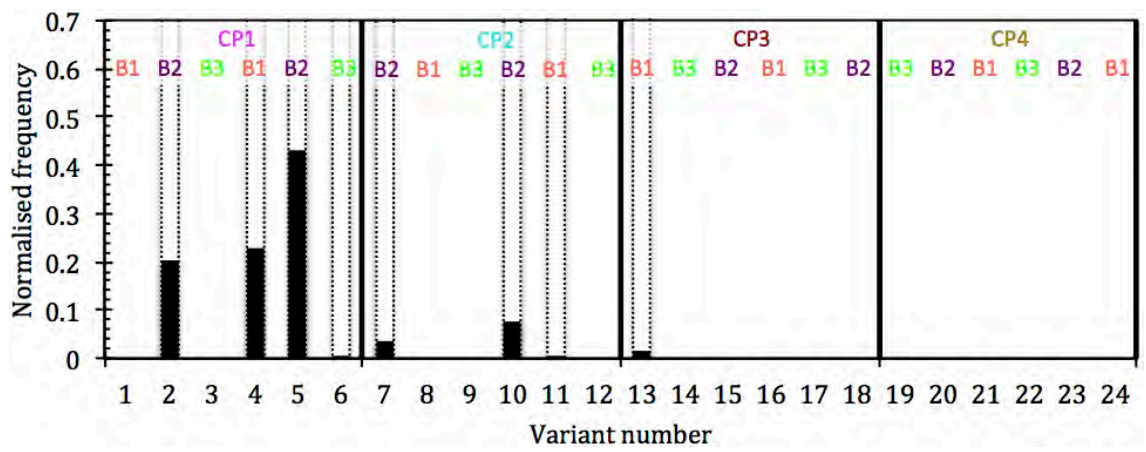
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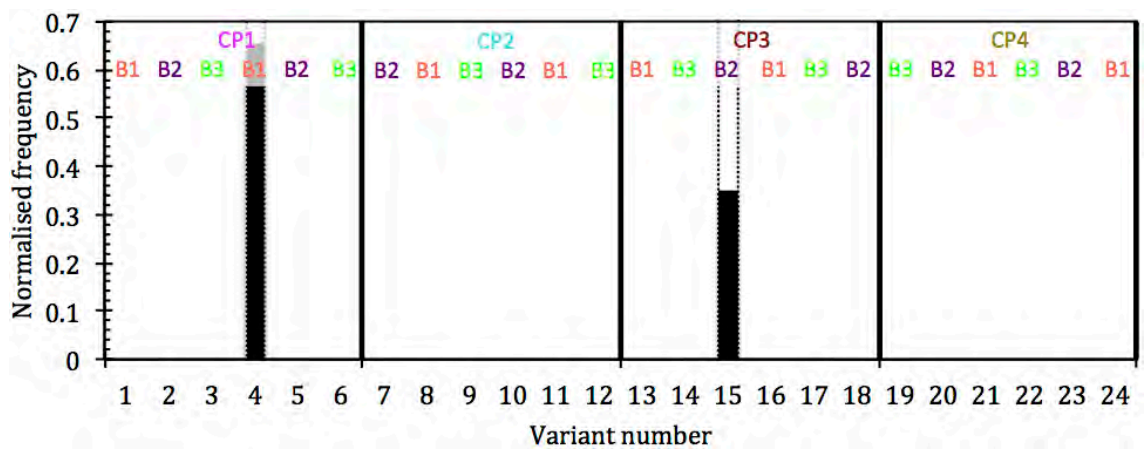
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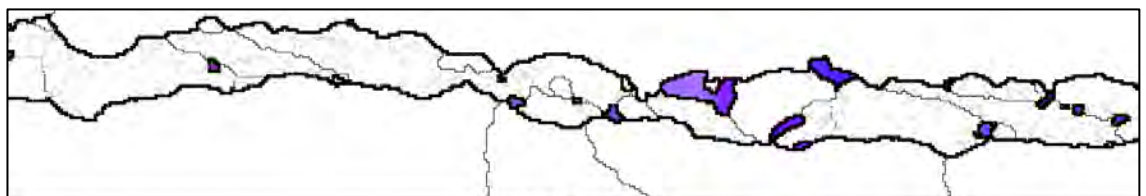
f)



g)



h)



i)

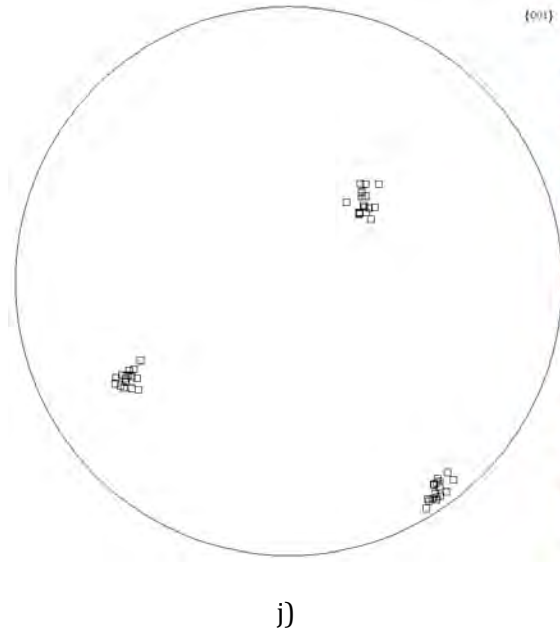


Figure C.6: EBSD maps of bainitic ferrite laths (BF) and GB in the Nb-Ti steel; a) phases map, b) ferrite variants map, c) crystallographic packets (CPs) and d) Bain groups (BGs). e) The experimental and f) theoretical  $\{001\}$  pole figures of the variants with the average orientation of the RA grain represented by black squares. Normalised frequency of the formed variants for g) GB and h) BF; dash lines represent the realisation of the variants even in a small amount. i) The inverse-pole figure map of the RA grain and j)  $\{001\}$  pole figure of the experimental orientation of the RA grains. LAGBs= $2^{\circ}$  to  $15^{\circ}$ ; silver colour and HAGBs  $>15^{\circ}$  black colour. The thick black line on all the maps represents the interphase boundary and does not denote boundary misorientation.