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Abstract
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Hemoglobin A1c as a Diagnostic Tool: Public Health Implications From an Actor—Network Perspective

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Public health arguments for collecting hemoglobin A1c (HbA1c) data, particularly in clinical settings, should be reframed to place more emphasis on nonmedical determinants of population health. We compare individual- with population-level interpretations of HbA1c titers. This comparison reveals that public health researchers need to pay close attention to diagnostic tests and their uses, including rhetorical uses. We also synthesize historical and current evidence to map out 2 possible scenarios for the future. In the first scenario, prevention efforts emphasize primary care and focus almost entirely downstream. The second scenario anticipates downstream interventions but also upstream interventions targeting environments. Our analysis adapts actor-network theory to strategic planning and forecasting in public health. (Am J Public Health. 2012;102:99–106. doi:10.2105/AJPH.2011.300329)

In the past few years, prominent international bodies have been agitating for hemoglobin A1c (HbA1c) levels to become the criterion for diagnosing type 2 diabetes mellitus. HbA1c is a glycoprotein formed by a direct reaction between blood glucose and hemoglobin. HbA1c titers are significantly higher in patients with prolonged periods of hyperglycemia. For this reason, HbA1c monitoring has been used routinely for many years in clinical research and in clinical practice to measure diabetes control in patients. This protein is present in all humans, and variation occurs within and between populations. Indeed, HbA1c has been used occasionally in social epidemiology as a measure of population health. How and the extent to which the increased use of the HbA1c test to measure individual health in clinical settings translates into increased use of aggregated HbA1c results to measure population health will eventually have consequences for health policy, resource allocation, and modes of intervention. We have adapted actor–network theory to explore the public health implications of reorganizing type 2 diabetes diagnosis around HbA1c assays. In particular, we examined how HbA1c levels in patients and populations are being used to promote particular views about physiology and behavior, without discussion of the potential significance of HbA1c data as a measure of population health and an indicator of upstream causes of morbidity and mortality.

The move toward HbA1c-based diagnosis has generated controversy. Proponents have offered several justifications for this substantial shift in clinical practice: (1) the failure of current diagnostic standards to halt the progression of secondary macrovascular disease, (2) the stability of the HbA1c molecule as a measure of average blood glucose levels over a period of weeks, and (3) an increasing trust in the reliability of HbA1c measurements. Proponents believe the HbA1c test has tremendous potential to identify prediabetic individuals before the onset of irreversible damage. Yet changing the criteria by which type 2 diabetes is diagnosed is not only a move to prevent the onset of disease and disability. Knowledge about the properties and significance of the HbA1c molecule is framed by other information about at-risk individuals, early targeted treatments, and comparable diabetic and nondiabetic populations. Changing the way prediabetic individuals are identified and classified will likely reorient the way patients, care providers, and institutions understand and respond to the escalating incidence and risks of diabetes in many different populations.

STRESSORS AND SOCIAL ENVIROMENTS

Stressors (i.e., negative events, chronic strains, and traumas) have consistently been shown to negatively affect human health across the life span when measured comprehensively and cumulatively. Furthermore, a key way that gender, race/ethnicity, and socioeconomic disparities contribute to health inequalities appears to be through differential environmental exposure to stressors. The social dimensions of an environment influence health not only by influencing behavior, but also by mechanisms that are still poorly understood.

Nevertheless, long-running debate has focused on the extent to which popular notions and some scientific operationalizations of stress reflect middle-class, adult, and male experiences. Reviews of research involving animals, in both laboratory and field settings, temper such critiques. Animal studies provide support for the view that negative experiences affect biological processes and are associated with poorer health across the life span. The importance of bonding and supportive relationships for resiliency is also suggested by animal studies. Although many questions remain about the significance of stressors for the health of human populations, current conceptions revolve around a social gradient of disease. Researchers posit that biological responses to stressors, which arise to varying degrees in different social environments, ultimately influence health outcomes.

Because HbA1c provides an index of a person’s exposure to hyperglycemia, and because glycemic levels are implicated in the biological response to stressors, social epidemiologists have turned to HbA1c as a potential biological marker of exposure to stressors in different social environments. Studies of HbA1c found that modest increases in the level of this glycoprotein correlated with psychological...
such data sets would contain information on the mechanisms are not well characterized, an independent and inverse association with HbA1c levels was associated with employment grade in the Whitehall Study. A large body of cross-sectional data held by the National Health and Nutrition Examination Survey in the United States showed that HbA1c levels were significantly associated with key measures of socioeconomic status and stress in both men and women. Nevertheless, important limitations still exist in knowledge about HbA1c, stressors, social environments, and the health of human populations. One important concern is that the existing research evidence is almost entirely derived from cross-sectional studies.

The use of a biological measure such as HbA1c to assess relative health and exposure to stressors in different populations has important implications in health policy formation and the allocation of scarce resources. It is increasingly clear that human behavior and individual genetic inheritance account for only some of the individual variation in health status; biological measures such as HbA1c levels might therefore usefully serve as a means to identify and monitor populations at risk of increased burdens of disease. In the aggregate, databases containing HbA1c measures could be used in research on stressors, social environments, and the health of human populations. For example, a research group recently used HbA1c measures in an administrative database in a cross-sectional study of whether neighborhood characteristics were associated with type 2 diabetes control. Black veterans who had been previously diagnosed with type 2 diabetes and who lived in neighborhoods with high scores on a “working together to improve the neighborhood” survey item tended to have lower HbA1c levels.

More research on links between stressors, social environments, and HbA1c levels is warranted, but it will heavily depend on the inclusion of HbA1c measures in surveys and in administrative databases. Should HbA1c levels become a routine screening and diagnostic tool for type 2 diabetes, the potential value of administrative databases in social epidemiology and intervention research would expand. Such data sets would contain information on more people over longer periods, and the impact on HbA1c levels from, for example, community interventions to increase social cohesion, could be studied. Large administrative databases with longitudinal HbA1c data could assist in moving beyond the limitations of cross-sectional studies in providing research evidence for translation into policy and programming initiatives.

HEMOGLOBIN A1C AND TYPE 2 DIABETES MELLITUS

Although the apparent association between social stress and HbA1c is of increasing interest to population health researchers, elevations in this glycoprotein also occur in individuals with diabetes, of which the most prevalent form is type 2. This condition is characterized by hyperglycemia and disturbed lipid, protein, and carbohydrate metabolism. Unlike type 1 diabetes, type 2 is considered to be preventable. Because high blood glucose is the metabolic hallmark of a diabetic state, past efforts to identify the condition have focused on glucose determination—usually through a standardized protocol of blood glucose measurements.

The validation of the HbA1c test in diabetes-related discourse is a relatively recent event. Endocrinologists and pharmaceutical companies were looking for a new hyperglycemic biomarker to track during drug safety and efficacy evaluations in the 1970s. The HbA1c glycoprotein was attractive because it reliably indexes the level of blood glucose in an individual over 6 weeks, unlike snapshot glucose measurements.

In the 1990s, the 2 most influential large-scale clinical trials of diabetic therapeutic regimens—the Diabetes Control and Complications Trial and the United Kingdom Prospective Diabetes Study—established changes in the titer of HbA1c molecules as an effective means to monitor glucose control in individual diabetic patients. These studies promoted wholesale changes in the treatment of diabetic patients because they also confirmed that the HbA1c glycoprotein is a reliable index of the risk of both microvascular and macrovascular complications. Many health care providers changed their clinical routines in response to these findings. They continued to definitively diagnose diabetic individuals through snapshot blood glucose determinations, but they began to use HbA1c rather than fasting plasma glucose levels to monitor diabetes control and plan treatments. HbA1c has thus become a crucial tool for health professionals to measure and address the adherence of individuals to prescribed therapies (diet, exercise, and medication), as well as to prescribe additional therapies, notably medication. People with diabetes are advised to strive for diabetes control, partly by regularly measuring plasma glucose values (i.e., self-managed blood glucose monitoring), but also through vigilance about eating and exercising. This emphasis on self-control predates the use of HbA1c in diabetes treatment; the widespread adoption of HbA1c as a measure of diabetic control reinforced and amplified a familiar way of thinking, by means of a novel technology.

Yet the practice of attaining tight glucose control through self-monitoring is slowly falling out of favor. In follow-up studies, aggressive glucose-specific measures have been found to be inadequate for achieving the stated goals of reducing the incidence of macrovascular fatalities in people diagnosed with type 2 diabetes. Although these results are contested, the weight of opinion is that disciplined glucose regulation is insufficient to halt the development of diabetic complications. When the diagnosis of type 2 diabetes is delayed—no matter how tight the subsequent glucose control—onset of other chronic diseases seems to be inevitable. Because existing systems of diagnosis fail to detect a significant proportion of people who subsequently develop type 2 diabetes, many diabetologists now believe that earlier intervention is necessary. Because diabetes onset is subtle and gradual—and it is often difficult to pinpoint when someone with type 2 diabetes has truly become diabetic—a concerted effort is being mounted to base diabetes diagnosis as well as diabetes treatment on HbA1c measurement. Because HbA1c accurately predicts both microvascular and macrovascular disease, proponents propose that an HbA1c blood level greater than 6.5 millimoles per liter should become the diagnostic gold standard for all forms of diabetes and that 6 millimoles per liter be accepted provisionally as a positive screen for type 2.

Clearly, the evidentiary basis of type 2 diabetes diagnosis, care, and prevention is in transition. Many authors have noted that changes in how medical evidence, such as
diagnostic tests, epidemiological databases, and clinical trials, is produced and appraised can have many and varied political, personal, and structural effects.\textsuperscript{35,36} Not all of these are anticipated by the proponents of change. Attempts to standardize clinical practice around the best available evidence may not only direct individual treatments but also mandate changes in public policy and agreed-upon evidentiary forms, thereby reformulating the legitimacy of claims to authority.\textsuperscript{37}

**ACTOR–NETWORK INSIGHTS**

Our analysis of potential public health implications of changing the evidentiary status of HbA1c and recommendations is informed by scholarship in the interdisciplinary field of science and technology studies. Actor–network theory is a theoretical resource that provides a comprehensive and empirically grounded framework for understanding innovations within systems. Actor–network scholarship has generated important insights about links as well as gaps between clinical practice, epidemiological research, and programs within and outside the health care sector that can influence health and disease in entire populations.\textsuperscript{38,39} Nevertheless, this scholarship has yet to be applied extensively in public health.\textsuperscript{40–42}

Actor–network theorists emphasize that ideas and things, the semiotic and material elements that compose any system, inevitably and continually shape one another. Research informed by this theoretical tradition has, for example, highlighted that physical dimensions of environments, such as automobiles and traffic patterns, are socially mediated and entwined with the history of medicine in unexpected ways.\textsuperscript{43} As expertly demonstrated by Young et al. in their analysis, informed by actor–network theory, of the history of smoke-free public spaces,\textsuperscript{41} when ideas and things are part of highly complex systems, they are typically limited by a repertoire of established responses. Changing the evidentiary status of HbA1c from a useful measure of control and risk to a diagnostic criterion and screening technology is therefore a significant step that will reshape the network of concepts, values, practices, and actors that drives efforts to prevent, diagnose, and effectively treat type 2 diabetes. Young et al. illustrate in a diagram how an established network responds to fundamental change and reform where heterogeneous elements (links and knots) such as people, research evidence, technologies, and financial resources interact and consolidate to realize a goal—such as diabetes prevention—that in turn ends up restructuring many aspects of everyday reality (Figure 1).

Representing the relationships between people, institutions, technologies, and evidence through an actor–network approach illuminates how problems emerge in a system and how a system is reshuffled in response to bring about a solution. Young et al. describe 4 loops that reach out from a central reorganizing network (Figure 1) and that represent activities designed to link the core with 4 interdependent sources of power: scientific evidence and the technologies or techniques that depend on it, professional or political authority, allies, and public opinion.\textsuperscript{40–42}

These loops then provide the means for network stabilization, such as the mobilization of science, technology, and theory to frame the issue; alliance building to solidify and defend the new network vis-à-vis alternative concepts and technologies; processes and interests that shape the social acceptance of the new system; and institutionalization or authoritative systematic acceptance of the new equilibrium. These loops or steps do not necessarily occur in sequence, nor are they determined by the weight of verified evidence. The institutionalization and social acceptance of the new system equilibrium is shaped, at least in part, by the relative success of the innovators in marshaling resources, evidence, and allies to support and manage the new network.

**CURRENT SITUATION**

Because diagnostic tests also provide the underlying structure to diagnostic categories, test results propel patients down systematized clinical pathways and into new social groups and, potentially, motivate them and their close kin to reorient themselves within a new way of living.\textsuperscript{30,44} Diagnostic tests give biomarkers, cell lines, genes, infectious agents, and other entities their prognostic and social significance. Changing what is perceived as the definitive test for a specific condition, therefore, can ultimately change disease definitions and classifications and, indeed, patient identity, social support, and

![FIGURE 1—Innovations in systems according to actor–network theory.](source: Young et al.)
status. Past studies indicated that the choice of diagnostic criterion for diabetes is also implicitly a choice of which particular phenomenon associated with the disease—sugar or glycoprotein, patient or population—will be the central articulation around which efforts will be made to characterize, identify, and manage its effects.6,29

Adapting the Young et al. actor–network analysis of evidence-based innovation41 to the current debate about using HbA1c to screen for and to diagnose type 2 diabetes shows how the accumulation of epidemiological data can translate into treating type 2 diabetes as a pressing social and public health problem in clinical settings and in primary care policymaking (Figure 2). These loops represent 4 key processes: (1) HbA1c assays and population health data are being mobilized to establish the harms associated with chronic hyperglycemia; (2) alliances are being formed and repositioned between professional groups to organize a coordinated response to the growing significance of current and future burdens of chronic diseases, notably type 2 diabetes, microvascular disease, and macrovascular disease; (3) institutionalization is occurring as more actors accept the significance of the HbA1c molecule as evidence of populations at elevated risk for cardiometabolic disease and as an index of health risks for individuals; and (4) expert opinion is beginning to cohere around a perceived need to use HbA1c data to respond to the social implications and future disease burdens associated with higher rates of type 2 diabetes in healthy, at-risk, and clinically treated populations, and such an approach appears to be socially acceptable. Once the importance of this issue is established, the problem facing all those in the network is deciding on the most appropriate response: the best target and the most effective method or strategy of intervention.

**DOWNSTREAM AND UPSTREAM APPROACHES TO PREVENTION**

Of increasing importance is whether to continue to direct almost all available resources toward individual cases or to invest in interventions targeting entire populations as well. A focus on individual cases emphasizes downstream, or proximal, causes, particularly individual behavior (following recommendations for diet, exercise, and medication); a focus on populations emphasizes upstream, environmental causes. The likely consequences of both strategies for the US health care system were simulated by Jones et al. at the National Center for Chronic Disease Prevention, Centers for Disease Control and Prevention, and were published in this journal.45 Their complex population dynamics model predicts that a mixture of individual- and population-focused measures that address type 2 diabetes as a heterogeneous system is the most appropriate long-term strategy.

The use of actor–network scholarship in formulating policy prescriptions with the intent of promoting a favored outcome has faced long-standing resistance.46,47 Nonetheless, tentative steps are being taken toward drawing on actor–network scholarship to map out the implications of current events and, more significantly, provide action-oriented evidence.48,49

We do not oppose the use of HbA1c data to manage type 2 diabetes or to identify prediabetic individuals. The advantages of reorganizing clinical practices around the blood level of this molecule are compelling. The HbA1c test represents the level of blood glucose over months rather than minutes and provides an index to determine differences in morbid risks between patients. It produces a continuum from the hyperglycemic past to future health, replacing freeze-frame diagnosis and surveillance. Because the HbA1c test is thought to have greater sensitivity for marginally hyperglycemic individuals, and therefore identifies a larger cohort of patients who have the early subclinical stages of poor

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Note. GBT = glucose-based test; HbA1c = hemoglobin A1c; PHA = population health assessments; S&PE = social and physical environments; T2DM = type 2 diabetes mellitus.

**FIGURE 2**—Network mapping the rising prominence of HbA1c data and the coemergence of type 2 diabetes mellitus complications as a pressing public health issue.
glucose regulation, it is possible that over time, diabetes—like hypertension and high cholesterol—will be mainly perceived as a risk factor for other chronic diseases and premature death. As evidence of the cardiovascular impact of this metabolic problem mounts, momentum within this new network is building around the notion that “the comprehensive care of diabetes involves the treatment of all vascular risk factors—not just hyperglycemia.”

Exposure to stressors in social environments are known to have adverse health effects; therefore, steps that promote the reduction of disparities within and between populations—such as facilitating healthy diets, regular exercise, and safer neighborhoods—could have a profound impact on diabetes incidence, HbA1c levels, and associated human suffering. The current situation (Figure 2) could evolve such that stressors, which vary across environments and which seem to influence HbA1c in populations, remain overlooked and consequently ignored.

**TWO POSSIBLE FUTURES AND THEIR PUBLIC HEALTH IMPLICATIONS**

In much the same way as Jones et al. compared the future consequences of individual versus mixed type 2 diabetes intervention strategies, we anticipated 2 future configurations by applying an adaptation of actor-network theory prospectively to the current focus on reducing the burdens of diabetes complications through self-management and clinical interventions hinging on HbA1c (Figure 2). Both scenarios presume that HbA1c would be used to screen for and diagnose type 2 diabetes, but aggregated HbA1c data would be mobilized in distinct ways. In the first scenario, the focus would remain almost entirely on primary care and individual self-management, with a view to averting or at least delaying the downstream consequences of type 2 diabetes mellitus (Figure 3). In the second scenario, a focus on overall population health and upstream interventions would parallel treatment efforts (Figure 4).

In our first projected network (Figure 3), the evidentiary significance of HbA1c data would become completely enmeshed with the concerns of primary care. As a consequence, HbA1c titers would only be construed as a measure of lifestyle risks, diabetic control, and quality of individuals’ treatment. The potential relevance of HbA1c measurements to population health as a tool to monitor and better target population-level interventions would be subsumed by efforts to identify and ameliorate type 2 diabetes in particular people before they head further downstream.

**DOWNSTREAM AND UPSTREAM INTERVENTIONS FOR OBESITY**

Evidence suggests that interventions focused on weight loss—whether through lifestyle changes, new medications, or bariatric surgery—are the most effective early treatment and preventive measures for type 2 diabetes. Although the rising incidence of weight-related health problems in many populations worldwide stems, in all likelihood, from the interplay of social, cultural, demographic, and economic trends, intervention research to address the root causes has not found much favor among diabetes experts. When issues relating to the increasing incidence of health risks in populations are framed alongside the positive attributes of the HbA1c test, professional opinion leaders are comfortable claiming that now is the time to evaluate “the public health, economic and practical implications of redefining the diagnostic criteria for diabetes.”

However, despite the acknowledgment that diabetes is a public health issue related to interactions between food, activity levels, and environment, the HbA1c test is being used strategically to frame this population-wide problem as amenable to clinical management and, ultimately, self-management through adherence to professional advice regarding diet, exercise, and medication.

Some practitioners and researchers, looking beyond the scientific evidence, also hope that...
deploying HbA1c as a diagnostic screen will have an explicit rhetorical impact, drawing the focus of people, their caregivers, regulators, and planners to preventive actions. Yet despite the evident potential for a broader public health focus, high HbA1c levels in distinct groups of people are not stimulating recognition of the need for population-level interventions. Instead, the HbA1c test is only being used as an explicit link between asymptomatic states in individuals and their risk of future disease, thereby permitting earlier interventions and a coherent flow of clinically mediated actions and future evaluations. With the widespread adoption of HbA1c-based diagnosis, it is likely that a larger proportion of the population will find themselves among the ranks of prediabetic patients who are encouraged to regulate their lives and lifestyles—as individuals. The success of these activities—and patients’ adherence—will be measured against their HbA1c levels, if current trends continue, under the direct supervision of primary health care providers.

HbA1c entered mainstream diabetic discourse through pharmaceutical trials and not through social epidemiology or population health interventions. Although it is not construed this way, the HbA1c test offers not only a record of patients’ hyperglycemia and behavioral history, but also a précis of their exposure to stressors. Although the promotion of the HbA1c test implicitly acknowledges that conditions that promote hyperglycemia are widespread, the link between elevated levels of this molecule and different populations’ environments remains underemphasized. At this time, the HbA1c test is only being promoted as a means to enable population-level change through small increments in individuals, often to be achieved only by resisting trends in physical and social environments. It is arguable that when positioned alongside the current emphasis on weight and the health risks of obesity, the HbA1c test is being deployed as a rhetorical resource to try to monitor and intervene in the individual lives and lifestyles of a larger proportion of the population. This approach ignores the potential for improving population health through primary prevention via interventions in sectors other than health care, such as the food industry, transportation, and urban planning.

**MOVING UPSTREAM TO AMELIORATE ENVIRONMENTAL STRESSORS**

Other configurations of knowledge and practice are possible. For example, HbA1c data collected in the course of identifying and treating individual patients with type 2 diabetes can also tell us about the average levels of HbA1c in distinct populations. Secondary analysis could create further evidence that could encourage population-based interventions for obesity and other socially mediated health risks and diseases. As Young et al. point out, the credibility of this alternative network of evidence, theories, and values depends not only on its internal coherence, but also on its generalizability and ability to engage diverse sectors.

As diabetes and its morbid complications are increasingly recognized to be a pressing and significant population health problem, the collation of HbA1c data as a measure of the diabetic risk of specific populations could highlight—and possibly reverse—the lack of attention to upstream causes of this condition. In our second prospective network, HbA1c titers would be not only a measure of the health, diabetic risks, and self-management by individuals, but also an index of underlying health disparities and a means to identify and monitor populations at risk of increased burdens of disease. Alliances between planners and population health researchers could broaden the agenda to gain public support for and ultimately institutionalize alternative modes of intervention focusing on physical and social dimensions of environments.

If epidemiological data from population-based testing is to be used to better manage morbid risks in individual patients, we need to pay closer attention to technologies of primary

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**Note.** HbA1c = hemoglobin A1c; PH = population health; S&PE = social and physical environments; T2DM = type 2 diabetes mellitus.

**FIGURE 4—Alternative network after mobilization of HbA1c as a resource for primary care and for promoting the physical and mental health of populations.**
CONCLUSIONS

The distribution of HbA1c in individuals and in populations is being proposed to serve as a resource that substantiates specific clinical practices. This is a narrow perspective that ignores many plausible venues for intervention and prevention of type 2 diabetes and many other chronic health problems. As illustrated by Figure 4, it is conceivable that HbA1c administrative data could be mobilized through research to assess the impact of different environments. Current practice, however, is indicative of how we are attempting to deal with weight-related dysfunctions without explicitly addressing the health inequities produced by obesogenic and stressful environments.16,56,61

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Contributors

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