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Leveraging SMOTE in A Two-Layer Model for Prediction of Protein-Protein Interactions

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Abstract
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Keywords
two-layer, interactions, protein-protein, prediction, model, smote, leveraging

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Leveraging SMOTE in A Two-Layer Model for Prediction of Protein-Protein Interactions

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Abstract—The research of the mechanisms of infectious diseases between host and pathogens remains a hot topic. It takes stock of the interactions data between host and pathogens, including proteins and genomes, to facilitate the discoveries and prediction of underlying mechanisms. However, the incomplete protein-protein interactions data impediment the advances in this exploration and solicit the wet-lab experiments to examine and verify the latent interactions. Although there have been numerous studies trying to leverage the computational models, especially machine learning models, the performances of these models were not good enough to produce high-fidelity candidates of interactions data due to the nature of the protein-protein interactions data. In this paper, we propose a two-layer model for prediction of host-pathogen protein-protein interactions tackling the challenges affiliated to the feature representation algorithms and the imbalanced data. The two-layer model consists of two essential modules, which are XGBoost to reduce the imbalanced ratio of the data and SVM to improve the performance. SMOTE technology is incorporated as a key component in our model to alleviate the bias of imbalanced ratio. In this study, we have carefully collected proteins interactions data from public databases and built a dataset following the protocol with consensus of literature. A variety of models, including traditional models, models in major literature and our model, are verified on the datasets. Results demonstrate that our model significantly improve the performance comparing with the other state-of-the-art models.

Keywords—two-layer model; XGBoost; SVM; protein-protein interactions; imbalanced data

I. INTRODUCTION

There is a continuously broad research topic targeting on the mechanisms of infectious diseases [1, 2, 3]. These researches generally utilise the interaction data between host and pathogens, including proteins and genomes, to understand the theory of infectious diseases and anticipate to give effective solutions. One of the research issues towards this goal is the incomplete protein-protein interaction data between host and pathogens [4]. The nature of interaction data between host and pathogen introduces a huge amount of potential interaction data for biologists to examine and verify whether the relationship is positive or negative. Positive indicates there is a physical and chemical interaction between different proteins and different genomes, while negative means there is not interactions. Although the wet-lab experiments could be further facilitated by high-throughput technologies to generate the interaction data, it is still considered as a cost sensitive approach. Time and resources consumption are exponentially increased when the candidates of interaction data become a scale of millions.

One of the major alternatives is to build computational models to learn from the known interactions data. There have been several studies trying to allocate computational resources to facilitate the progress and generate high-fidelity candidates for biologists to examine by subsequent wet-lab experiments. These studies indicate that machine learning model in combination with proper feature representation algorithm will benefit the success of computational models [5] [6]. However, there remains a research gap concerning the datasets and model performance. Two general questions are raised for HP-PPIs task. The first is how to build a golden dataset for HP-PPIs prediction task and the other is how to improve the model performance by incorporating different feature representation algorithms and various machine learning models. A major scheme behind this study is to build a novel model based on protein sequence information, which helps us to keep as much HP-PPIs data as possible.

In our research, we take the insight of the host-pathogen protein-protein interactions (HP-PPIs) data by considering the relevant feature representation algorithm and the imbalanced ratio between the positive and negative data, to build a machine learning model for prediction of high-fidelity HP-PPIs. A two-layer model is proposed in this paper, which consists of XGBoost [7] and support vector machine (SVM) [8, 9] as the main modules. XGBoost is the first layer to take the raw input, as it generalizes well in a large scale of datasets considering different imbalanced ratios. To further alleviate imbalanced ratio of the HP-PPIs data, SMOTE technology [10] is employed to generate a balanced data which is subsequently dealt with SVM model. Given the excellent capability of SVM in handling continuous dataset, SVM model serves as the second layer to boost our prediction result and enhance the overall performance comparing with other state-of-the-art models and traditional models.

In the remainder of this paper, the related work is introduced in section II, while the two-layer model of our work is presented in section III. We then discuss the comparison protocol and performance of metrics in section IV. The details of our curated dataset and the performance comparison discussion are reported in section V. Section VI concludes our work.

II. RELATED WORK

Considering HP-PPIs data as one of the major data sources towards the research of infectious diseases, there have been several studies proposing both statistical and machine learning based models for prediction of HP-PPIs. Being accumulated in a large volume and fast speed, the HP-PPIs data have driven the recent research taking more consideration with the machine learning model as it has proven to be successful in many real-world scenario applications, such as images, videos and language.

To build machine learning based models for HP-PPIs prediction tasks, the information of protein data is largely
involved, including the structure information, domain information, network properties and sequence information. Several studies utilized some of these information to build the computational models [11, 12, 13], however most of the original interaction data are discarded during the dataset curation process. Missing data for different protein information is one of the main causes.

For sequence information, most of the protein have been determined by the sequencing technology and the information is hosted in The Universal Protein Resource (UniProt), which has been actively updated and maintained for decade [14]. Given amino acid triplets as the feature representation algorithms for protein sequence information, [5] introduced random forests as the ensemble learning method to learn from the collected host-parasite protein interactions data. Support vector machine is employed in [6] to predict protein-protein interactions between viruses and human, especially for human papillomaviruses (HPV) and hepatitis C virus (HCV). However, these two models could not be well generalized to our HP-PPIs prediction tasks, as they have not taken consideration of the imbalanced characteristics of the HP-PPIs data.

In our work, there are two major parts, one is to build a golden dataset for HP-PPIs prediction task and the other is to build novel models to improve the prediction performance. Following the studies from [5, 6, 11, 12], a dataset for HP-PPIs is built from 11 databases. The details of the dataset will be given in section V. As the databases only contain positive interaction data, the negative interaction data are subsequently generated in three different imbalanced ratios, which are 1:25, 1:50 and 1:100. The hypothesis behind this setting is that, the number of truly interacting pairs of human-pathogen proteins is likely to be far less than the total set of protein pairs [11]. Meanwhile, we limit the study by utilizing protein sequence information as to keep the most of interactions data. Thus, local descriptor algorithm [15] is introduced in our model to map the protein sequence into vectors of same dimension.

III. TWO-LAYER MODEL

In this section, a two-layer model is presented, which includes XGBoost as the first layer to reduce the imbalanced ratio and SVM as the second layer to enhance the prediction result. An overview of the two-layer model is presented in Figure 1.

A. XGBoost

XGBoost is a scalable tree boosting system, which has proved to provide a powerful and efficient gradient boosting framework library in many applications. Benefitting from the tree boosting algorithms, XGBoost further extend the gradient boosting decision tree (GBDT) into a parallel approach to achieve a fast and accurate result.

Since XGBoost is an “extreme gradient boosting” implementation for tree ensemble models, it serves as our first layer to classify the imbalanced dataset. The predicted negative interaction data from XGBoost is considered as true negative data and we will be subsequently dealt with the rest predicted positive data. A random sampling after the first layer prediction will be conducted to generate a sampling negative interaction data. The output of the first layer will be a sampling interaction data and it will be input into the SMOTE module to generate a balanced dataset.

B. Support Vector Machine

Support vector machine is a powerful machine learning model which has demonstrated a strong generalization ability in tasks including classification, regression and distribution estimation. Given a dataset of HP-PPIs denoted as \( \{ x_i, y_i \}, i = 1, 2, ..., N \), SVM model outputs the prediction results according to Eq. (1):

\[
y(x) = \text{sign} \left( \sum_{i=1}^{N} y_i \alpha_i * K(x_i, x) + b \right)
\] (1)

Here, \( x \in \mathbb{R}^n \) and \( y_i \in \{ +1, -1 \} \). \( K(x_i, x_j) \) stands for the kernel function in SVM, i.e. for Radial Basis Functions (RBF) kernel, \( K(x_i, x_j) = \exp \left( -\gamma \| x_i - x_j \|^2 \right) \). \( \alpha_i \) is the hyper parameters.

![Figure 1 The Overview of Our Model](image-url)
In our two-layer model, SVM serves as the second layer taking the balanced dataset as the input. Its ability to mapping data into higher dimensions space helps the two-layer model to enhance the prediction result and finally achieve a better performance.

C. SMOTE

In most cases, the real-world datasets are imbalanced with regard to the “relevant” examples and the “irrelevant” examples. The imbalanced ratio between different classes may cause machine learning model failing to yield expected prediction, especially when the ratio becomes 1:50 or even 1:100 in binary classification tasks. Thus, several algorithms have been proposed to either down-sampling the majority class [16, 17] or over-sampling the minority class [10, 18].

In our two-layer model, SMOTE is introduced to alleviate the imbalanced ratio between positive interaction data and negative interaction data. SMOTE is an over-sampling approach, which over-sample the minority class by creating “synthetic” examples [10]. The “synthetic” examples give extra training data of the minority class by operating in “feature space”, which approves to be a better option than original over-sampling approach with replacement data in “data space”.

D. Overall algorithms

Overall, our two-layer model combines XGBoost, SVM and SMOTE algorithm to train the model and generate better prediction results. The complete algorithm is given in Algorithm 1.

In this section, we discussed the experiment protocol and the performance metrics.

<table>
<thead>
<tr>
<th>Taxonomy ID</th>
<th>Bacterium Pathogens</th>
<th>Total number After Cleansing</th>
<th>Ratio 1:25</th>
<th>Ratio 1:50</th>
<th>Ratio 1:100</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Training</td>
<td>Independent Testing</td>
<td>Training</td>
</tr>
<tr>
<td>623</td>
<td>Shigella paratyphi</td>
<td>105</td>
<td>2184</td>
<td>546</td>
<td>4284</td>
</tr>
</tbody>
</table>

A. Experiment Protocol

In light of the imbalanced ratio for HP-PPIs dataset [11], the negative interaction data are as critical as the positive interaction data in building the final dataset. To collect the positive interaction data, a thorough investigation has been done for 11 public archival databases, including the Database of Interacting Proteins (DIP) [16], Reactome [16], the Agile Protein Interaction DataAnalyzer (APID) [18], the Molecular Interaction Database (MINT) [19], the Pathosystems Resource Integration Center (PATRIC) [20] and so on. These databases share a same important characteristic, which is the source of the interaction data is highly trustable by verification of literature or domain experts. We carefully processed the collected data to remove the redundant interactions data and the highly homologous sequence. The goal of this step was to reduce the redundancy of the dataset, so as to reduce the bias in the training models. Once the positive interaction data is collected, we applied the ratios of 1:25, 1:50 and 1:100 on positive interactions data to build the negative interaction data, following the procedure from [11, 12].

It is required to build the training dataset as well the independent test dataset for comparison of models. Briefly, the diagram in Figure 2 illustrates our protocol. We randomly select one-fifth protein interaction data from both positive and negative data to be the independent test dataset. These data are hold till the model is trained and are unseen until the model outputs all the predicted results. The rest of the data will be the training dataset. To avoid the bias causing by random sampling method, the datasets are built five times. All the five built datasets will be used for training and testing by the models and the performance will be compared with the standard and deviation values regarding different performance metrics.

B. Performance Metrics

For an imbalanced dataset, usually accuracy is not sufficient to compare models in a full scale. Especially for an imbalanced dataset with a ratio of 1:100, the accuracy would still be very high and the difference between different models would be negligible in the worst case when giving all predictions to be the majority class. Thus, we further include other performance metrics, including precision, recall, F1-score and Matthew’s correlation coefficient (MCC) score. The metrics are listed as following Equa. (2):

\[
\text{Precision} = \frac{TP}{TP + FP} \\
\text{Recall} = \frac{TP}{TP + FN}
\]
\[ F1 = \frac{2 \times \text{Precision}}{\text{Precision} + \text{Recall}} \]
\[ \text{MCC} = \frac{(TP \times TN) - (FN \times FP)}{\sqrt{(TP + FN) \times (TN + FP) \times (TP + FP) \times (TN + FN)}} \]  

\[(2)\]

V. RESULT AND DISCUSSION

A. Datasets

The experimental HP-PPIs dataset consists of the protein interactions between homo sapiens (taxonomy ID 9606) as host species and Shigella parasytenteriae as the bacterium pathogen (taxonomy ID 623). TABLE I shows the statistics after the data cleansing and negative interaction data building, which results in a total number of 118 for positive interaction data, and a total number of 2184, 4284, 8484 for different ratios of 1:25, 1:50 and 1:100 for negative interaction data.

We applied local descriptor algorithm [15] as sequence information representation algorithm. Local descriptor algorithm considers the protein sequence in regions, which has a capability of keeping regional sequence order information. Ten regions are calculated, including dividing the sequence into four equal regions, dividing the sequence into two equal regions, taking the central 50% region, taking the first 75% region, taking the final 75% region and the central 75% region of the sequence. Within these regions, three types of descriptors are calculated, which are Composition (C), Transition (T) and Distribution (D). In details, the local descriptor algorithm applied the diploe and volume classification method to group the 20 basic amino acids into seven groups. This results in 7 composition values, 21 transition values and 35 distribution values for each protein sequence. In a HP-PPI pair, the local descriptor algorithm generates a vector of 1260 features [4] for each HP-PPI pair.

B. Discussion

In the experiments, the results were collected against five different HP-PPIs datasets randomly sampling for taxonomy ID ‘623’. Both standard and deviation values are recorded in terms of accuracy, precision, recall, F1 and MCC. We firstly briefly describe the methods from the literature, as well as the methods of traditional machine learning models.

Since the study limits the protein information as sequence information to retain a most portion of protein interaction data, [5] and [6] are selected as our most comparable methods from the literature.

[5] applied random forest as their ensemble learning method to train the computational model for host-parasite protein interactions. The protein sequence information was mapped as vectors of amino acid triplets, which also groups amino acids in 7 classes and obtain a total 7*7*7=343 possible amino acid triplets for a protein. These classes were further transferred as the frequency \( f_i,j = \{1, 2, 3, ..., 343\} \) by Equa. (3), \( n_j \) is the occurrence of amino acid triplets combination in protein and \( i \) is a combination over all 343 amino acid triplets combinations.

\[ f_i = \frac{n_i}{\sum_{i=1}^{343} n_i} \]  

(3)

In [6], both machine learning model and sequence representation algorithm were different. [6] considered amino acids types based on the biochemical similarity, which turns out to be six classes: {IVLM}, {FYW}, {HKR}, {DE}, {NTG} and {ACGS}. Totally, there will be 6*6*6*6=216 possible amino acid triplets. Given each amino acid triplets a frequency \( f_i,j = \{1, 2, ..., 216\} \), the corresponding feature \( d_i \) is calculated as below Equa. (4):

\[ d_i = \left( e^{\max(f_1,f_2...,f_{216}) - \min(f_1,f_2...,f_{216})} \right) - 1 \]  

(4)

Here, \( d_i \) ranges from 0 to 1.714. The machine learning model selected in [6] is support vector machine with radial basis function (RBF) kernel.

Since a different feature representation algorithm is introduced in this paper, which is local descriptor algorithm, we also test the traditional machine learning model, including support vector machine, random forest, logistic regression, naïve Bayes, gradient boosting machine and decision tree. The hyper parameters are all selected via 5-fold grid searching and the optimal settings are used in the models.
TABLE II. RESULTS OF ACCURACY, PRECISION AND RECALL.

<table>
<thead>
<tr>
<th>Model</th>
<th>Accuracy</th>
<th>Precision</th>
<th>Recall</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1:25</td>
<td>1:50</td>
<td>1:100</td>
</tr>
<tr>
<td>[5]</td>
<td>0.975092 (0.0897)</td>
<td>0.981326 (0.0)</td>
<td>0.991985 (0.0)</td>
</tr>
<tr>
<td>[6]</td>
<td>0.971795 (0.000897)</td>
<td>0.981326 (0.0)</td>
<td>0.992362 (0.00462)</td>
</tr>
<tr>
<td>RF</td>
<td>0.970330 (0.001371)</td>
<td>0.981139 (0.000373)</td>
<td>0.991985 (0.00298)</td>
</tr>
<tr>
<td>SVM</td>
<td>0.979121 (0.001465)</td>
<td>0.980952 (0.000457)</td>
<td>0.992268 (0.000971)</td>
</tr>
<tr>
<td>LR</td>
<td>0.971795 (0.002741)</td>
<td>0.980766 (0.000747)</td>
<td>0.991702 (0.00377)</td>
</tr>
<tr>
<td>Naive Bayes</td>
<td>0.677289 (0.015341)</td>
<td>0.694304 (0.011799)</td>
<td>0.680717 (0.009901)</td>
</tr>
<tr>
<td>GBM</td>
<td>0.971429 (0.004719)</td>
<td>0.978711 (0.001811)</td>
<td>0.982813 (0.002109)</td>
</tr>
<tr>
<td>DT</td>
<td>0.952381 (0.007141)</td>
<td>0.971242 (0.001712)</td>
<td>0.986865 (0.001606)</td>
</tr>
<tr>
<td>Ours</td>
<td>0.980586 (0.002484)</td>
<td>0.981513 (0.001089)</td>
<td>0.992834 (0.000693)</td>
</tr>
</tbody>
</table>

TABLE II includes the results of accuracy, precision and recall values. In TABLE II, the accuracy result between different models are very small due to the high imbalanced ratio of the HP-PPIs dataset. The best results from other models for accuracy is 0.979121±0.001465 of ratio 1:25 from SVM. 0.981326±0.0 of ratio 1:50 from [5, 6] and 0.992362±0.00462 of ratio 1:100 from [6]. However, our proposed two-layer model outperforms all of them by 0.980586±0.002484 for ratio 1:25, 0.981513±0.001089 for ratio 1:50, and 0.992834±0.000693 for ratio 1:100. Since the precision and recall values indicate a different ability for the models, and in TABLE II the results of precision and recall values give different trends for the model, we further combine precision and recall as F1-score to validate their performance. Furthermore, the F1-score and MCC values are listed in TABLE III. Both values in italic style are the second best results for each metric in TABLE II and III. All the results are given by the mean values with deviation values in brackets for the five independent tests experiments.

For F1-score and MCC value, the closer the value is to 1.0 indicates the better the trained model is. In Table III, the results show that for ratio 1:25 and ratio 1:100, our model achieve F1-score as 0.690496±0.032247 and 0.465441±0.038502 respectively. When the ratio is 1:50, the gradient boosting machine presents a better capability of F1-score as 0.219974±0.030379. For our model, the F1-score of the ratio 1:50 is 0.219316±0.05392, which is closer. Both these two results are better than the other models. Concerning MCC values, our proposed two-layer model delivers the best results for all three different imbalanced ratios.

Additionally, we collected the time cost for training models and Figure 3 shows the result. Undoubtedly, naive Bayes model obtains the fastest training speed, while random forests becomes less efficient when the ratio becomes higher. The time costs by our proposed model are gradually increased by the imbalanced ratios. Although our two-layer model is not fastest, we excel in trading off time cost and accuracy considering the accuracy is better than the other models.

VI. CONCLUSION

In this paper, we studied the ever-challenging HP-PPIs prediction problem, especially we targeted on the imbalanced dataset issue and proposed a two-layer model. A detailed two layered structure leveraging XGBoost model and SMOTE technology to ease the burden of imbalanced dataset and enhancing the model performance by SVM is presented. Results indicated a better performance comparing with other models reported in similar literature and most traditional models. However, the F1-score in TABLE II is still not considered as high enough to generate high-fidelity candidates of HP-PPIs. The future work will be to address the imbalanced datasets by focusing on not only the model aspect but also the feature aspect.
TABLE III. RESULTS OF F1-SCORE AND MCC

<table>
<thead>
<tr>
<th>Model</th>
<th>F1-score</th>
<th>MCC</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1:25</td>
<td>1:50</td>
</tr>
<tr>
<td>[5]</td>
<td>0.520690</td>
<td>0.025340</td>
</tr>
<tr>
<td>[6]</td>
<td>0.438959</td>
<td>0.007776</td>
</tr>
<tr>
<td>RF</td>
<td>0.515472</td>
<td>0.001581</td>
</tr>
<tr>
<td>SVM</td>
<td>0.654747</td>
<td>0.001531</td>
</tr>
<tr>
<td>LR</td>
<td>0.488988</td>
<td>0.008603</td>
</tr>
<tr>
<td>Naive Bayes</td>
<td>0.169922</td>
<td>0.004546</td>
</tr>
<tr>
<td>GBM</td>
<td>0.527137</td>
<td>0.005478</td>
</tr>
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<td>DT</td>
<td>0.401192</td>
<td>0.007632</td>
</tr>
<tr>
<td>Ours</td>
<td>0.690496</td>
<td>0.032247</td>
</tr>
</tbody>
</table>

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