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Consumers' responses to front-of-pack labels that vary by interpretive content

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Abstract

Previous research has shown that front-of-pack labels (FoPLs) can assist people to make healthier food choices if they are easy to understand and people are motivated to use them. There is some evidence that FoPLs providing an assessment of a food's health value (evaluative FoPLs) are easier to use than those providing only numerical information on nutrients (reductive FoPLs). Recently, a new evaluative FoPL (the Health Star Rating (HSR)) has been introduced to Australia and New Zealand. The HSR features a summary indicator, differentiating it from many other FoPLs being used around the world. The aim of this study was to understand how consumers of all ages use and make sense of reductive FoPLs and evaluative FoPLs including evaluative FoPLs with and without summary indicators. Ten focus groups were conducted in Perth, Western Australia with adults (n = 50) and children aged 10-17 years (n = 35) to explore reactions to one reductive FoPL (the Daily Intake Guide), an existing evaluative FoPL (multiple traffic lights), and a new evaluative FoPL (the HSR). Participants preferred the evaluative FoPLs over the reductive FoPL, with the strongest preference being for the FoPL with the summary indicator (HSR). Discussions revealed the cognitive strategies used when interpreting each FoPL (e.g., using cut offs, heuristics, and the process of elimination), which differed according to FoPL format. Most participants reported being motivated to use the evaluative FoPLs (particularly the HSR) to make choices about foods consumed as part of regular daily meals, but not for discretionary foods consumed as snacks or deserts. The findings provide further evidence of the potential utility of evaluative FoPLs in supporting healthy food choices and can assist policy makers in selecting between alternative FoPL formats.

Keywords

front, responses, labels, consumers, interpretive, content, pack, that, vary

Disciplines

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6 healthier food choices if they are easy to understand and people are motivated to use them.
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22 foods consumed as part of regular daily meals, but not for discretionary foods consumed as
23 snacks or deserts. The findings provide further evidence of the potential utility of evaluative
24 FoPLs in supporting healthy food choices and can assist policy makers in selecting between
25 alternative FoPL formats.

26

27 **Keywords:** Daily Intake Guide; Traffic light; Health Star; Nutrition label; Front of pack

28

29 Introduction

30 Front-of-pack labels (FoPLs) provide at-a-glance nutrition information through their
31 prominent placement on the front of food packages. The main aim of FoPLs is to clearly and
32 efficiently inform consumers of the nutritional quality and/or composition of products to help
33 them purchase and consume healthier foods (Wartella, Lichtenstein, & Boon, 2010).

34 Numerous FoPLs exist in the global marketplace. These range from simple (e.g. the Nordic
35 Green Keyhole and the Dutch Choices Logo) to more complex (e.g. the Nutrition Information
36 Initiative; Van Der Bend et al., 2014).

37

38 Unlike the nutrition information panel (also known as the nutrition facts label) that
39 comprehensively details the level of multiple nutrients within a product (and is often
40 mandated to appear on the back of food packages), FoPLs provide abbreviated nutrition
41 information. For example, the Daily Intake Guide (DIG: also known as the Guideline Daily
42 Amount) displays the recommended percentage daily intake of energy and nutrients (e.g.,
43 sugar, fat, saturated fat, and sodium) contained within one serve of a product. The DIG is
44 known as a reductive FoPL because it does not provide an assessment of a product's
45 nutritional quality (Hamlin, McNeill, & Moore, 2014; Newman, Howlett, & Burton, 2014).

46 Evaluative FoPLs, on the other hand, provide an interpretation of the levels of nutrients
47 within a product (e.g., through colour and text). The multiple traffic light system (MTL) is an
48 evaluative FoPL that has received considerable research attention. Although it was also
49 considered for adoption by the EU, the DIG was adopted instead (European Parliament and
50 the Council of the European Union, 2011; Howlett & Kennedy, 2011). The application of
51 colour to the DIG (to create MTLs) is currently recommended by the UK Health Minister as a
52 uniform, voluntary system (Food Standards Agency, 2013). This FoPL uses the colours red,
53 amber, and green to indicate high, medium, and low (respectively) values for specific

54 nutrients. The MTL and DIG systems are the most frequently studied evaluative and
55 reductive FoPLs, respectively (for reviews see Hawley et al., 2013; Hersey, Wohlgenant,
56 Arsenault, Kosa, & Muth, 2013).

57

58 Previous food labelling studies have used experimental designs, randomised control designs,
59 discrete choice tasks, and surveys of shoppers in supermarkets. The results of these studies
60 suggest that evaluative FoPLs (such as the MTL) generally lead consumers to more
61 accurately identify healthier food products compared to reductive FoPLs (such as the DIG:
62 Borgmeier & Westenhoefer, 2009; Gorton, Ni Mhurchu, Chen, & Dixon, 2008; Kelly et al.,
63 2009; Maubach, Hoek, & Mather, 2014; Murphy, Fallows, & Bonwick, 2008). This may be
64 due to the difficulties associated with processing numerical information (required when
65 interpreting reductive FoPLs) in a time-pressured context (van Herpen & van Trijp, 2011).
66 Equally important when considering the value of a FoPL system is whether consumers are
67 motivated to use these tools when making purchases. More favourable attitudes towards, and
68 greater motivation to use, an evaluative FoPL (such as the MTL) relative to reductive FoPL
69 (such as the DIG) have been reported in previous studies (Maubach & Hoek, 2010; Signal et
70 al., 2008).

71

72 Research outcomes relating to purchase and consumption choices are less clear. In one study
73 assessing willingness to pay, consumers indicated a preference for food packages with MTLs
74 over the DIG (Drichoutis, Lazaridis, & Nayga, 2009). Another study found that participants
75 were more likely to purchase foods containing any FoPL (including MTL and DIG)
76 compared to no FoPL. However, the type of FoPL, and more importantly the healthiness of
77 the food, did not predict purchase intentions (Hamlin et al., 2014). In terms of consumption,
78 McCann et al. (2013) found that participants consumed a greater amount of a product when

79 there were traffic lights showing low fat and low energy (compared to a baseline, no label
80 condition), but did not consume less when the traffic lights showed high fat and high energy.
81 These studies illustrate that although evaluative FoPL are generally preferred and may be
82 more useful in helping consumers identify healthier products, this does not always lead to
83 healthier purchase or consumption behaviours.

84

85 Evaluative FoPLs can be nutrient specific (e.g., MTLs) or they can carry a summary indicator
86 that provides an overall interpretation of the product's healthiness. The indicator can have
87 one level that indicates healthiness through its presence/absence (e.g., a logo), or multiple
88 levels with a rating scale that indicates healthiness (Wartella et al., 2010). Examples of multi-
89 level summary indicator systems include: the simple traffic light (which rates foods as red,
90 amber, or green), the US Institute of Medicine's Healthy Stars (a rating from 0–3 stars), the 5
91 colour nutrient label (a rating from A–E with corresponding colours), and the NuVal (a rating
92 from 0-100).

93

94 The few studies comparing multi-level summary indicator FoPLs to nutrient-specific FoPLs
95 have thus far produced mixed findings. Ducrot et al. (2015) found that participants were
96 better at ranking product healthiness using the 5 colour nutrient label than MTLs and the
97 DIG. FoPLs with 1–5 stars (Feunekes, Gortemaker, Willems, Lion, & van den Kommer,
98 2008), 1–3 stars (Newman et al., 2014), and 0–7 stars (Maubach et al., 2014) were found to
99 result in low to moderate increases in accuracy in food healthiness ratings. The NuVal system
100 led to more healthy choices in one study (Helfer & Shultz, 2014), but was reported by
101 participants as the least liked FoPL in another (Savoie, Barlow, Harvey, Binnie, & Pasut,
102 2013). Recently, Hersey and colleagues (2013) called for more research comparing MTLs to
103 summary indicator FoPLs as this area is relatively understudied and thus poorly understood.

104

105 The DIG has been in the Australian marketplace since 2006, but is in the process of being
106 replaced by the Health Star Rating system (HSR). Both of these FoPL systems have been
107 introduced on a voluntary basis. The DIG was an industry initiative while the HSR is an
108 Australian and New Zealand government endorsed initiative and developed as part of a
109 negotiated process between public health and the food industry (Australian Department of
110 Health, 2015). The primary feature of the HSR is a 10-point summary indicator that provides
111 a rating from 0.5 to 5 stars, increasing in 0.5 star increments. This star rating system is
112 different to the other star rating systems described in the previous paragraph. An optional
113 reductive nutrient information panel can be placed alongside the star rating. This panel lists
114 the grams of specific positive and negative nutrients, usually per 100g/mL or by packet size if
115 the product is less than 100g/mL (Australian Government Department of Health, 2012). It
116 may also display interpretive text. It is anticipated that there will be widespread voluntary
117 uptake of the HSR by the food industry because the Government has indicated that otherwise
118 it will become mandatory (Australian Government Department of Health, 2013).

119

120 The aim of the present study was to examine and compare consumer responses to (i) an
121 evaluative FoPL with a summary indicator (the HSR), (ii) an evaluative FoPL without a
122 summary indicator (MTL), and (iii) a reductive FoPL (DIG). A qualitative approach was
123 adopted to observe participants' immediate and spontaneous reactions to each FoPL.
124 Specifically, focus groups were used to create a collaborative setting in which participants
125 could build off each other's statements and indicate areas in which they held similar or
126 different views. This was useful for gaining an understanding of areas of consensus and
127 identifying multiple views (Wilkinson, 1998). Participants were grouped by gender, age, and

128 SES to assess whether certain themes were more prominent for a particular demographic
129 subsection of the sample (Stewart & Shamdasani, 2014).

130

131 Despite children's substantial role as both food buyers and consumers (Marshall, 2010), they
132 have rarely participated in FoPL research to date. To help address this short-coming, the
133 present study sample included individuals aged 10 years and over to assess the extent to
134 which FoPLs may be effective with consumers at varying stages of cognitive development
135 and consumer socialisation. Previous research has reported that children rarely read food
136 labels and generally express confusion when shown daily intake percentages (Neeley &
137 Petricone, 2006). However, children's attitudes to and understanding of evaluative FoPLs
138 have yet to be examined. Furthermore, in line with previous findings relating to children's
139 attentiveness towards colours and images on food packs (Brierley & Elliott, 2015), it was
140 expected that both adults and children would demonstrate greater understanding of and
141 preference for evaluative FoPLs.

142

143 Participants were expected to be familiar with the DIG as it has been in use in Australia for
144 the last decade. They were expected to be less familiar with the HSR (which has only
145 recently appeared on products) and the MTL system (which has been used to determine the
146 suitability of foods sold in Australian school canteens since 2008: Pettigrew, Pescud, &
147 Donovan, 2012). The comparison of these FoPLs provides further insight into the potential
148 effectiveness of labels featuring different information display approaches (reductive vs
149 evaluative vs evaluative with summary indicator).

150

151

Materials and methods

152

153 Participants

154 Ethics clearance was obtained from the (*blinded for review*) University Human Research
 155 Ethics Committee. A social research agency recruited 100 participants to take part in 10
 156 focus groups in Perth, Western Australia, using telephone random digit dialing, online
 157 advertising, snowball sampling and flyers. This number of groups permitted segmentation by
 158 age (10-13, 14-17, 18-25, 26-45, 46+ years) and gender (male, female). In accordance with
 159 recommendations for 8-12 focus group participants to optimise discussion quality (Stewart &
 160 Shamdasani, 2014), efforts were made to recruit 10 participants for each focus group.
 161 Potential participants were advised that the discussions would relate to food and nutrition.
 162 After no-shows, the final sample consisted of 85 participants, with 7 to 10 attending each
 163 group. Details of participants' demographic characteristics are shown in Table 1.

164

165 Table 1

166 *Participant demographics (n = 85)*

Demographics	N
Gender	
Males	45
Females	40
Age	
10-13	18
14-17	17
18-25	16
26-45	16
46+	18
Focus group location SES	
Low	26
Medium	15
High	44

167

168

169 To access those with varying levels of nutrition literacy, the sessions were conducted in
170 community centres in suburbs with SES classifications ranging from low to high (Australian
171 Bureau of Statistics, 2011). In this way, location acted as a proxy for SES.

172

173 Procedure

174 Upon arrival, participants were given information letters and provided signed consent
175 (including additional parental consent for the children) prior to the commencement of the
176 focus groups. Group discussions started with broad questions about food preferences,
177 shopping habits, and sources of nutrition information. Participants were then shown the three
178 FoPLs projected onscreen and distributed on large print outs in the order that reflected likely
179 levels of prior exposure: DIG, then MTLs, then HSR (FoPL formats shown in Fig. 1).

180 Participants were shown the most familiar FoPL first to facilitate discussion and to ensure
181 that when they were exposed to unfamiliar FoPLs they understood their purpose and were
182 able to actively compare them. Each FoPL was presented and discussed individually before
183 all FoPLs were subsequently shown together as one image and participants were asked to
184 discuss their preferences.

185

186 Towards the end of the sessions, participants were shown mock packages for six different
187 food products, with each featuring one of the three FoPLs. Rather than using the same
188 product to display the different FoPLs, a range of products were used to gain insight into
189 participants' reactions to varying product categories. All products shown featured a 2 star
190 rating or equivalent to minimise any bias in participants' interpretations of the FoPLs based
191 on the products themselves. The HSR was shown on the front of yoghurt and chicken
192 nuggets, MTLs were shown on cheese and muesli bars, and the DIG was shown on cereal and
193 potato crisps. Discussion prompts were kept very general (e.g., "What do you think about

194 this?") to elicit spontaneous reactions to the different FoPLs. Group discussions ranged from
195 70-110 minutes in duration, with an average of 88 minutes (adult groups 96 minutes, child
196 groups 76 minutes). All focus groups were conducted by the second author, with the first
197 author present to observe and assist.

198

199 Data analysis

200 The discussions were digitally audio-recorded and transcribed. Coding and analysis of the
201 transcripts was conducted using NVivo10. An inductive approach to thematic analysis was
202 used whereby a node hierarchy was created according to the topics discussed by participants
203 and progressively updated as new content emerged (Strauss & Corbin, 1990). This approach
204 permitted highly detailed and fine-grained coding of the data. The themes were then derived
205 by reading the transcripts in their entirety, interrogating specific nodes, and conducting
206 coding and matrix searches (as per NVivo's functionality) to further explore emerging
207 interpretations. The coding of the data was undertaken by the first author and reviewed by the
208 second author. The thematic interpretation was then developed through discussions among
209 the author team.

210

211 Results

212

213 The main themes to emerge from the focus group discussions related to differences in
214 participants' attitudes to, and processing of, each FoPL and their views on the relevance of
215 FoPLs on discretionary foods (i.e., foods that are not essential for a healthy diet). The
216 findings were remarkably consistent across participants regardless of age, gender, and SES,
217 indicating that FoPLs may be similarly processed by a broad range of consumers.

218

219 Overall, participants preferred the evaluative FoPLs (HSR and MTLs) to the reductive FoPL
220 (DIG) because they were considered easier to understand and more conducive to making fast
221 and effective product comparisons. The HSR was considered useful mainly because of the
222 summary indicator of product healthiness, and participants rarely discussed the nutrient icons.
223 Participants reported using different cognitive strategies (generally involving a threshold)
224 when using each FoPL to make decisions about product healthiness. Figure 1 graphically
225 illustrates how participants' reactions appeared to be influenced by the perceived ease of use
226 and level of detail of each FoPL. In the case of the HSR, the summary indicator and nutrient
227 icons of the HSR are classified separately because participants reacted to them differently.
228 Ease of use and level of detail uniquely contribute to consumer understanding. This can be
229 seen in the fact that the HSR summary indicator and the MTL are both intuitive, but the HSR
230 contains only one piece of information, whereas the MTL contains several. The intuitiveness
231 of the MTL cannot fully compensate for the fact that multiple pieces of information still need
232 to be integrated. Thus, the HSR summary indicator, which is holistic, is portrayed as slightly
233 higher on ease of use than the MTL. Finally, FoPLs in general were usually considered
234 inappropriate for use on very unhealthy foods, although a small number of participants stated
235 an intention to use the evaluative FoPL for all purchases, including for discretionary foods.
236 Each of these themes is described in detail below.

Level of detail

- Simple
- One cut-off used
- Facilitates multiple comparisons
- Evaluation based on overall health value of the product
- Detailed
- Many cut-offs used
- Requires more cognitive effort
- Evaluation based on predicted daily intake of nutrients

Holistic


Nutrient specific

Ease of use

- Understood at a glance
- Highly salient
- Easy to use
- Positive attitudes towards
- Resistance to use on discretionary foods

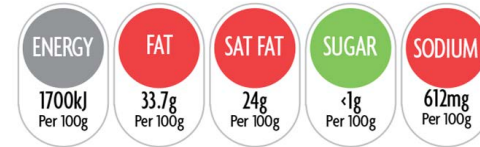
Intuitive

Health Star rating summary indicator



HEALTH STAR RATING

Traffic Lights



ENERGY	FAT	SAT FAT	SUGAR	SODIUM
1700kJ	33.7g	24g	<1g	612mg
Per 100g	Per 100g	Per 100g	Per 100g	Per 100g

Health Star rating nutrient icons

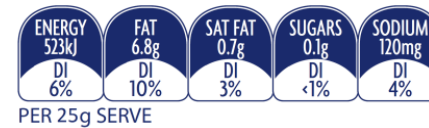


ENERGY	SAT FAT	SUGAR	SODIUM
426kJ	1g	13.5g	52mg
	LOW		LOW
PER 100g			

Complex

- Does not stand out
- Requires mental arithmetic
- Confusing
- Serving size not standardised
- Requires prior nutrition knowledge
- Acceptable to use on discretionary foods

Daily Intake Guide



ENERGY	FAT	SAT FAT	SUGARS	SODIUM
523kJ	6.8g	0.7g	0.1g	120mg
DI 6%	DI 10%	DI 3%	DI <1%	DI 4%
PER 25g SERVE				

Fig. 1. Interpretation matrix for three FoPLs: Health Star Rating, Traffic Lights, and Daily Intake Guide

148 Theme 1: Attitudes to the FoPL systems

149 Attitudes to each FoPL appeared to be primarily driven by how easy they were to understand. There
150 was a clear divide between the two evaluative systems (HSR and MTLs) and the reductive system
151 (DIG), with the former two strongly preferred. Although the DIG has appeared on Australian food
152 packages for the last decade and participants were familiar with the label, many adults and children
153 reported confusion about how to interpret it and some felt it was deliberately confusing.

154
155 *See, the problem I have with that thing [DIG] is I've got very little idea about whether it's high*
156 *or low when I look at that. That might be a relatively healthy product but I can't really tell*
157 *from that system. Male, 26-45.*

158
159 *Facilitator: Why is that one [HSR] your preference?*
160 *Female: Because with the other ones [DIG and MTL] I'd have to go "Oh, what's this? What's*
161 *the daily intake on that one? And what's the other one, the other one, and the other one?"*
162 *Female 10-13.*

163
164 Conversely, almost all participants were able to easily understand the information being conveyed by
165 the HSR and MTLs. Young children (10 – 13 years) voiced appreciation for how the evaluative FoPLs
166 simplified their evaluation of the individual food products under examination. Most adults and some
167 teenagers went a step further by indicating that this simplified information would help them when
168 comparing across different brands within product categories..

169
170 *With the nutrition one [DIG], I'd probably only compare maybe one or two, at most three,*
171 *brands because it's more time consuming. But this one [HSR] you could just scan through and*
172 *find the best - the one with the highest amount of stars. Female, 14-17.*

173
174 *But it's [MTL] good for [comparing] like to like. If you lined up all the muesli bars and they all*
175 *had this traffic light you could, quite easily, have a look and go, "Right, these ones are better*
176 *because they have one red, these ones are worse because they have three reds", so we'll get rid*
177 *of those. You could look through all the muesli bars and pick the best one. Female, 26-45.*

178
179 Adults reported that they often found it difficult to make comparisons across different products using
180 the DIG because of its reliance on manufacturers' nominated serving sizes. This approach to the
181 presentation of nutrition information was strongly disliked and distrusted because recommended
182 serving sizes were seen to be typically unrealistic, and it was noted that different products within the
183 same category can vary in their serving sizes. By comparison, the HSR and MTL systems used in the
184 study were based on per 100g servings, which was generally considered to be a more user-friendly and
185 informative approach for the provision of nutrition information.

186
187 *On one two litre package it might say there's eight servings in that and in another it might say*
188 *only five. What is a serving? Male, 46+*

189
190 *I go for 100 grams because it's the same for every product. Whereas per serve, serves are*
191 *different sizes, and it can make it difficult to judge. Female, 26-45.*

192
193 In terms of attention paid to each FoPL, many participants reported not using the DIG when shopping.
194 By comparison, they indicated they would be more likely to notice and use the evaluative systems
195 because they are more salient (especially MTLs), and it was believed that the colours and the stars
196 would be processed automatically and therefore be harder to ignore.

197

198 *It shows the nutrition [DIG] and everything up the top, but I don't think it could catch your eye*
 199 *as much as the other ones [FoPLs]. Female 10-13.*

200
 201 *Male 1: You don't really notice it [DIG] because it's just this drab thing. That's why I reckon*
 202 *the traffic lights one would actually catch you straight away. You'd recognise the colours*
 203 *before you even looked at any percentages or anything.*

204 *Male 2: It competes with the marketing; that's a good point. Yeah it competes with the razzle*
 205 *dazzle. Males, 26-45.*

206
 207 *Yeah. It's almost subliminal. If you see that red, "Oh, it's pretty bad for you". Male, 18-25.*

208
 209 *I like the stars because I could tell at a glance. Female, 46+*

210
 211 Appreciation of each evaluative system's unique benefits led to the frequent suggestion to combine the
 212 two systems such that a star rating and traffic light colours were both present. It was thought that
 213 combining the two systems would reduce, rather than increase, the complexity of information being
 214 conveyed.

215
 216 *I'd like the star rating on the left, but I'd like the four things [nutrients] to the right of that to be*
 217 *in the colours; either red, green, orange, or grey. Male, 46+*

218
 219 Despite their frequently stated positive attitudes to MTLs and a similarly high level of understanding
 220 for both the MTL and HSR, when asked to choose their most preferred FoPL the majority of
 221 participants chose the HSR. This was typically attributed to the HSR providing an overall and
 222 unambiguous measure of a product's nutritional value, along with most of the same information

223 conveyed by MTLs. By comparison, MTLs were seen to require additional cognitive work to make an
224 overall assessment to inform the purchase decision.

225

226 *I'd like to see it [HSR] on all packaged food frankly.* Female, 18-25

227

228 *The health star sort of averages it all out and just makes it easier for me. Yeah, it's 4.5, that's*
229 *easy.* Female, 10-13.

230

231 Participants predicted that the presence of either the HSR or MTL FoPLs would influence their
232 purchase decisions, with this response being more common among those who described themselves as
233 health-conscious and those reporting that they did not usually actively seek out nutrition information.
234 The ability of these two FoPLs to facilitate comparisons across more brands was considered useful in
235 assisting participants to switch to healthier products.

236

237 *It's a good idea...I don't look at the back of the thing when I buy my things. I would look at that*
238 *[HSR].* Female, 14-17.

239

240 *That would be just be straight off my shopping list. I'm seeing red, red, red and I'd think "God,*
241 *there's got to be something better than that". So I would consciously look for another product.*

242 Male, 46+

243

244 Theme 2: Cognitive processing strategies

245 Throughout the discussions, it became clear that participants had different techniques for making use
246 of the information contained in each FoPL. The minority of participants who reported already using
247 the DIG in their food choices mostly talked about using it to check product sugar levels, although

248 some males also made reference to monitoring saturated fat. Some applied a cut-off value as a rule of
249 thumb for one or more nutrients shown in the DIG, while others used the percentage of a nutrient or
250 total energy to calculate how many servings of the product would take them to a day's recommended
251 intake. If the cut-off was exceeded or the estimated number of servings was too high, the product was
252 classified as unhealthy.

253

254 *I normally judge if something's over five grams of saturated fat per 100 grams, it's getting*
255 *unhealthy. Male, 18-25.*

256

257 *So maybe if you were basing it around sugars, that is one-tenth of what your daily intake guide*
258 *would be. So I guess it's all right if you're only going to have nine other things similar to that.*

259 *Female, 10-13.*

260

261 When presented with the MTL labels, participants talked about applying the heuristic of “green means
262 go and red means stop” in relation to specific nutrients. However, few discussed how they would
263 integrate the colours across the nutrients. Those who did were unsure how they would determine a
264 product's overall healthiness if it didn't have a majority of red or green lights.

265

266 *When you've got reds and greens and a lot on one label, it's not clear cut. Female, 26-45.*

267

268 When it came to determining the healthiness of a product according to its HSR, the heuristic used was
269 far simpler. Products with a rating of 2 or fewer stars were generally considered unhealthy, whereas
270 those with 3 or more stars were seen as healthier options.

271

272 *It's got a two health star rating so I'm guessing that's not going to be healthy for you. Males*
273 *10-13.*

274

275 *Two [stars] sounds pretty low and three sounds pretty good. Male, 26-45.*

276

277 *You could tell your kids, "Look, don't bother asking me for anything below three stars"...this*
278 *immediately, I think, knocks out a lot of products straight away, so that you can just focus on*
279 *the best of the best. Female 26-45.*

280

281 When commenting on the healthiness of the foods presented with the HSR, participants placed most
282 emphasis on the overall star rating, making only occasional mention of the specific nutrients included
283 in the label. Products with the HSR seemed to be evaluated more in terms of 'all or nothing', with
284 participants indicating that they would eliminate certain products within product categories based on
285 their star rating. A similar strategy was applied to specific nutrients when using MTLs (e.g., eliminate
286 any product with a red light for sugar). By comparison, when using the DIG they tended to attempt to
287 evaluate the healthiness of a product in the context of what else they were eating that day.

288

289 *[The HSR is] an easy way to eliminate things. So anything under this many stars, we can't look*
290 *at. Female, 26-45.*

291

292 *You see that overall summary [star rating], but then if you looked to the right [at the nutrient*
293 *icons] you see 645mg and 1.1g and 4g, but you don't know, is that good or bad? Male, 18-25.*

294

295 *A diabetic, for example, would be looking at the sugar in particular and would want to see a*
296 *green [traffic light] on the sugar. So I think that would be very helpful for people with certain*
297 *dietary ideas. Male, 26-45.*

298
299 *That is one tenth of what your daily intake guide [for sugar] would be. So I guess it's all right if*
300 *you're only going to have nine other things that are similar to that. Female 10-13.*

301
302 The focus group participants appeared to use different forms of cognitive processing when exposed to
303 different forms of FoPLs. While the HSR (and to a lesser extent MTLs) encouraged participants to
304 focus on the nutritional content of each product, the DIG seemed to encourage the small number who
305 reported using it to think more in terms of how to balance their nutritional needs over the day. The
306 issue with the latter approach is the need to remember what has been consumed in previous meals and
307 actively balance past meals with future ones. When this strategy was discussed, very few participants
308 reported having the motivation to apply it.

309
310 *Facilitator: Let's say you do a shop and you buy some of this stuff and you look at it and it's got*
311 *one-tenth of your days' worth of sugar. Do you remember that and then when you eat some of*
312 *it at home think "Right, I've done 10 per cent or 11 per cent of my sugar for today, I've got to*
313 *factor in how much else sugar I have." Do you do the maths?*

314 *Male 1: No [laughing]*

315 *Male 2: I'm too lazy for that. I just go and write it off and then just eat what I've got to eat...It's*
316 *too hard. Males, 18-25.*

317

318 Theme 3: FoPLs on discretionary foods

319 A topic that arose spontaneously in a number of adult and teenager focus groups (but not the younger
320 children's groups) was the appropriateness of FoPLs on discretionary foods (e.g., ice-cream,
321 chocolate, and chips). Most participants stated that they would avoid looking at any nutrition
322 information on these foods because they were purchased for non-health-related reasons. These
323 participants believed that the unhealthy nature of these food categories meant that it is pointless to
324 search for healthy alternatives and/or that it is acceptable to eat an unhealthy treat once in a while or in
325 small portions. This view was expressed even among participants who reported frequently checking
326 nutrition information on other foods.

327
328 *If I'm getting chocolate or something, I don't really bother about how healthy it is because it's*
329 *going to be bad no matter what. Female, 14-17.*

330
331 *I think for the bulk of your meals, like breakfast, lunch, and dinner, the star rating would be*
332 *important. But then for very discretionary food, you probably wouldn't take much notice of it*
333 *because you know it's only a little treat. Male, 46+.*

334
335 An occasionally expressed belief relating to discretionary foods was that the healthy alternative (e.g.,
336 low-fat ice cream) would taste inferior to the regular, more unhealthy version of the product.
337 Therefore, for some participants there was the unintended negative consequence of FoPL on
338 discretionary foods being used to gauge the tastiness of the product due to the assumption that a lower
339 healthiness rating equated to a better-tasting product.

340
341 *So you're walking down the chip aisle and it's like five stars, five stars, one star – well, that's*
342 *going to be the nice one. Male, 18-25.*

343
344 While most participants saw little value in placing FoPL on unhealthy foods, a small number of males
345 reported that they would find this useful in terms of facilitating healthier decisions. Given that the
346 discretionary foods category contains some of the least healthy products, these participants felt that
347 FoPLs could assist by alerting them to relatively healthier alternatives or simply reminding them of
348 just how unhealthy the product was so they wouldn't overindulge.

349
350 *I think you do expect chips to be really high fat, but being able to compare it against say Grain*
351 *Waves, or something like that, would be handy. So if Grain Waves were chips but low fat, then*
352 *you could use them [HSR and MTLs] that way. Male, 46+*

353
354 *Male 1: I like it [MTLs] because the reason I don't eat chips as much anymore is because I*
355 *looked at the back. So if there's more [nutrition information] on the front I think more people*
356 *wouldn't eat it as often.*

357 ...

358 *Male 2: I think some people would have a reaction to it like having plain packaged cigarettes,*
359 *because it's right there in their face seeing all the nutritional content that they might not eat it*
360 *nearly as much. Males, 18-25.*

361

362 Discussion

363
364 Focus group discussions with adults and children in the current study offered insights into how
365 consumers may perceive, interpret, and apply different FoPLs. While the reductive (e.g. the DIG) and
366 evaluative (e.g. MTL) FoPLs have been studied in the past (Hawley et al., 2013; Hersey et al., 2013),
367 there is little research on FoPLs featuring summary indicators. The recent development and

368 implementation of the Health Star Rating system in Australia provided the opportunity to undertake a
369 comparison of all three types of labels. Given the current implementation of this system in Australia
370 and New Zealand, it is critical to understand how it is perceived and interpreted. Using a sample of
371 Western Australians stratified by age, sex and location, the current study explored reactions to this
372 new system and compared the HSR with two pre-existing FoPLs: a reductive FoPL that has been used
373 in Australia for the last decade (DIG) and an evaluative FoPL that has been used in the marketplace
374 overseas and applied in school food policies in Australia (MTLs).

375
376 Despite its current proliferation in the marketplace, few participants reported using the DIG for
377 purchase decisions. Across adults and children, the main reasons provided were that it is confusing,
378 requires substantial cognitive effort, and is obscured by other packaging elements. Thus there appear
379 to be issues with attention, ability and motivation to process the DIG for all age groups. These results
380 are consistent with previous studies showing that adults dislike reductive FoPLs (Lando & Labiner-
381 Wolfe, 2007; van Kleef, van Trijp, Paeps, & Fernández-Celemín, 2007) and that children (Elliott &
382 Brierley, 2012; Neeley & Petricone, 2006) and adults have difficulty interpreting them (Hawley et al.,
383 2013; Hersey et al., 2013). The few participants who reported using the DIG indicated that they would
384 usually select products by imposing a cut-off on particular nutrients or by attempting to take into
385 account the other foods (and nutrients) they would consume across the day. The former strategy relies
386 on nutrition knowledge to inform where the cut-off should be and the latter relies on remembering
387 foods consumed across the day, as well as the motivation and ability to calculate nutrient and energy
388 consumption on an ongoing basis. The present study supports previous research indicating that few
389 people are willing to regularly perform these calculations (Lando & Labiner-Wolfe, 2007). Even
390 among those motivated to do so, this strategy is cognitively taxing and prone to failure (Levy & Fein,
391 1998).

392

393 In contrast, participants were overwhelmingly positive about the MTLs and HSR (the evaluative
394 FoPLs) and provided insight into how these labels could reduce the cognitive processing burden. This
395 is particularly important for low SES consumers for whom cognitively taxing decision making
396 imposes a heavier burden (Mullainathan & Shafir, 2013). Adults and teenagers could see themselves
397 using the MTLs to quickly compare levels of certain nutrients across products, but found it somewhat
398 difficult to interpret a product's overall healthiness. While the literature is generally positive about
399 MTL labels (Feunekes et al., 2008; Méjean et al., 2014), there is a lack of understanding of how
400 consumers integrate information across several nutrients to make an overall assessment. The present
401 findings suggest that the format of the MTLs may not be as conducive to a holistic assessment of
402 product healthiness as FoPLs with a summary indicator.

403
404 The HSR system was especially valued for its utility in facilitating rapid assessments of products
405 individually and comparatively. While children used the HSR to evaluate individual products, adults
406 and teenagers noted that it enabled them to quickly reduce the size of the choice set, thereby
407 decreasing the time and effort involved in the decision-making process. This is known as a non-
408 compensatory strategy (Edland & Svenson, 1993) and differs from compensatory strategies that
409 involve evaluating each product on all attributes and averaging the positives and negatives to give an
410 overall judgement. Non-compensatory strategies can save time and cognitive effort, although the
411 trade-off can be lower levels of accuracy (Chu & Spire, 2003). For food choices, where little time is
412 typically dedicated to decision-making (Signal et al., 2008), the ability to employ non-compensatory
413 strategies is a distinct benefit, as reflected in participants' frequent mentions of using such strategies
414 when evaluating product healthiness. Minimising decision time is especially important given that
415 healthiness is only one of the several dimensions on which a product can be evaluated and is often
416 considered less important than other attributes such as price and taste (Glanz, Basil, Maibach,
417 Goldberg, & Snyder, 1998; Pettigrew & Pescud, 2013; Sanlier & Karakus, 2010). However, a

418 potential downside of a summary indicator is that it may result in binary thinking (i.e., a product is
419 assessed as either ‘good’ or ‘bad’), which may prevent people from achieving a balanced diet
420 (Shamdasani, Stewart, & Palascha, 2015). In the case of the HSR, this may be alleviated to some
421 extent by the inclusion of the nutrient icons that provide more detailed information for those who
422 choose to read them.

423
424 An emergent theme in the present study was the existence of polarised views on the appropriateness of
425 applying FoPLs to discretionary foods. Of note is that despite participants discussing these foods as
426 being infrequently consumed, a recent national survey found that 35% of Australians’ total daily
427 energy intake comes from discretionary foods (Australian Bureau of Statistics, 2014). There thus
428 appears to be an important role for nutrition information in influencing demand for discretionary foods
429 given their high and increasing contribution to daily energy intake (Jahns, Siega-Riz, & Popkin, 2001;
430 Piernas & Popkin, 2010; Zizza, Siega-Riz, & Popkin, 2001), and empirical evidence suggests that
431 FoPLs can assist consumers to identify healthier alternatives in unhealthy food categories (e.g., ice
432 cream; Feunekes et al. (2008) and biscuits; Hodgkins et al. (2015)). However, data are lacking as to
433 whether they would actually use this information when purchasing such products, with some previous
434 studies indicating consumers would (Hassan, Shiu, & Michaelidou, 2010) and others suggesting
435 consumers would not (Directorate General for Health and Consumer Protection, 2015; McIntyre &
436 Baid, 2009).

437
438 The findings of the present study go some way to explaining these divergent results by revealing the
439 existence of different segments of consumers who use FoPLs in varying ways according to product
440 category. Some consumers may apply healthiness as a selection criterion across the full spectrum of
441 products, and hence find FoPLs useful when purchasing discretionary foods. This strategy was only
442 raised among males. However, since this strategy was not discussed in every focus group, further

443 research is needed to better understand which types of consumers would be most likely to take this
444 more holistic approach. Other consumers (probably the majority) exclude the consideration of
445 healthiness from the decision-making process for these purchases and thus find FoPLs irrelevant in
446 this choice context. A further group may use FoPLs to infer tastiness using the commonly held
447 assumption that tastiness and healthiness are inversely correlated (Raghunathan, Naylor, & Hoyer,
448 2006). Further research is needed to assess the relative size and composition of these segments to
449 identify the conditions under which FoPLs are best used.

450
451 A qualitative approach was used for its ability to elicit spontaneous and wide-ranging responses from
452 participants. This approach provided insight into the different cognitive strategies used when
453 interpreting each FoPL and permitted the emergence of participants' attitudes to FoPLs on
454 discretionary foods. However, this approach has limitations in terms of generalisability to the broader
455 population of consumers and to real-world shopping contexts where there is less time to contemplate
456 products and their labels and less potential influence from peers. Furthermore, although the current
457 sample exhibited wide coverage of age, gender, and SES groupings, self-selection effects were likely
458 given that participants were advised that the discussion would relate to food and nutrition. As a result,
459 it is possible that these individuals had a greater interest in nutrition-related issues than the average
460 consumer. There is thus a need for larger-scale quantitative studies and in-situ observational studies to
461 determine the extent to which the identified themes are applicable to Australian consumers and other
462 populations.

463

464 Conclusions

465 The current study is among the first to examine reactions to the Health Star Rating currently being
466 implemented in the Australian and New Zealand marketplaces. It is also novel in the inclusion of
467 children to assess whether they can understand and apply different forms of front-of-pack nutrition

468 labels. The study participants, regardless of age, gender, and SES, expressed a preference for HSR
469 over MTLs and DIG labels due to the ease of assessing overall product healthiness. This outcome adds
470 to the limited research on summary indicators by showing they can potentially reduce cognitive load
471 and increase the number of products compared during product selection.

472

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476

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