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EDGE ARTICLE

Structure determination of an amorphous compound $\text{AlB}_4\text{H}_{11}$ †Xuenian Chen,^{ab} Yongsheng Zhang,^c Yongli Wang,^c Wei Zhou,^{de} Douglas A. Knight,^f Teshome B. Yisgedu,^a Zhenguo Huang,^a Hima K. Lingam,^a Beau Billet,^a Terrence J. Udovic,^{*d} Gilbert M. Brown,^{*g} Sheldon G. Shore,^{*b} Christopher Wolverton^{*c} and Ji-Cheng Zhao^{*a}

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The structure of the amorphous aluminoborane compound $\text{AlB}_4\text{H}_{11}$ was identified through a collaborative study closely coupling a first-principles density functional based approach with experimental measurements using IR, NMR, and neutron vibrational spectroscopy (NVS). The $\text{AlB}_4\text{H}_{11}$ structure was found to contain distinct $[\text{BH}_4]$ and $[\text{B}_3\text{H}_7]$ units without any $[\text{AlH}_4]$ units. It forms a $-\text{[B}_3\text{H}_7]-\text{Al}(\text{BH}_4)-$ polymer chain with the $[\text{BH}_4]$ units twisted relative to each other perpendicular to the chain direction and bonded to Al, and a chain backbone consists of $[\text{B}_3\text{H}_7]$ and Al where the $[\text{B}_3\text{H}_7]$ unit exhibits a triangular boron configuration. The computed lowest energy structure shows good agreement with results of IR, NVS and NMR spectra; this agreement demonstrates the extended applicability of the structure prediction approach to the prediction of even amorphous compounds.

1 Introduction

The aluminoborane compound $\text{AlB}_4\text{H}_{11}$ was first prepared in 1981 by Himpsl and Bond from a reaction between $\text{Al}(\text{BH}_4)_3$ and B_2H_6 at 100 °C.¹ This synthesis was reproduced about a quarter of a century later by Zhao *et al.* in search of high-capacity hydrogen storage materials.² $\text{AlB}_4\text{H}_{11}$ is an amorphous white solid at ambient temperature with several properties that are attractive for hydrogen storage: (1) a high hydrogen content of 13.5 mass %, (2) moderate stability with a decomposition temperature around 125 °C, (3) release of predominantly hydrogen in the desorbed gas, (4) endothermic desorption which is thermodynamically essential for rehydrogenation, and (5) partial rehydrogenation at moderate conditions (which is

relatively rare for borane compounds).² These properties of $\text{AlB}_4\text{H}_{11}$, in contrast to those of other borane compounds, seem to suggest a completely unique structure.² A structure proposed by Himpsl and Bond based on the analogue to pentaborane (B_5H_{11}) is inconsistent with the IR and ¹¹B NMR spectra of $\text{AlB}_4\text{H}_{11}$.^{1,2} Hence, the determination of the $\text{AlB}_4\text{H}_{11}$ structure is significant for the understanding of its stability and hydrogenation/dehydrogenation properties.

The amorphous nature of $\text{AlB}_4\text{H}_{11}$ and its insolubility in organic solvents prevent us from determining its structure using XRD, neutron diffraction, solution NMR, or mass spectrometry techniques. Solid-state NMR and vibrational spectra were found to be insufficient for even speculating on its structure. Instead, a novel combination of experimental measurements (NMR, IR, and neutron vibrational spectroscopy (NVS)) with a theoretical prediction method (the Monte-Carlo based prototype electrostatic ground state search (PEGS) with density functional theory (DFT) calculations) are used to identify local structures of this amorphous $\text{AlB}_4\text{H}_{11}$ phase as schematically depicted in Fig. 1.

We measured the vibrational spectra of $\text{AlB}_4\text{H}_{11}$ and in parallel used the PEGS + DFT method to predict the preliminary $\text{AlB}_4\text{H}_{11}$ structures and computed their phonon density of states (pDOS) from DFT. For each theoretical structure, we compared the calculated pDOS with the measured vibration spectra to determine the atomic arrangements represented in the amorphous structure. Based on these theoretical predictions, additional experiments were performed to confirm these atomic arrangements. The PEGS + DFT method was employed again to refine the low-energy structures, using experimentally and theoretically confirmed anion groups as input. This closely coupled, iterative experimental/theoretical procedure terminates when

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† Electronic supplementary information (ESI) available: For details of the crystal structure information for structures Str-0, Str-86, Str-108, Str-260, Str-400, and Str-640, ¹¹B NMR spectra information. See DOI: 10.1039/c2sc21100a

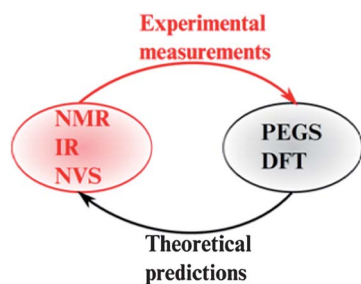


Fig. 1 Schematic of an iterative procedure integrating experimental measurements with theoretical predictions to identify the structure of $\text{AlB}_4\text{H}_{11}$.

there is good agreement between experimental measurements and theoretical predictions (Fig. 1).

The structure prediction methodology utilized here, PEGS + DFT, has previously successfully predicted crystal structures of complex hydrides with anion groups like $[\text{BH}_4]^-$, $[\text{B}_2\text{H}_n]^{2-}$, $[\text{B}_3\text{H}_n]^{3-}$, and $[\text{B}_{12}\text{H}_{12}]^{2-}$.³⁻⁶ However, the structural determination of $\text{AlB}_4\text{H}_{11}$ is significantly more challenging than these previous cases in two crucial aspects: (1) in these previously predicted structures, the cation and anion groups were known. For $\text{AlB}_4\text{H}_{11}$, we know only its stoichiometry and nothing of local geometries or the cationic and anionic units. (2) In all previous structural predictions, the compounds were perfectly crystalline. In order to overcome these barriers and predict low-energy $\text{AlB}_4\text{H}_{11}$ structures using PEGS + DFT, we first hypothetically split the $\text{AlB}_4\text{H}_{11}$ stoichiometry into small fragments to make a fragment pool: Al, $[\text{AlH}_4]$, $[\text{BH}_4]$, $[\text{BH}_3]$, $[\text{BH}_2]$, and $[\text{BH}]$. In this pool, we chose different combinations (like Groups 1 and 2 in Fig. 2) as inputs to PEGS to build $\text{AlB}_4\text{H}_{11}$ and predicted its preliminary low-energy structures. By comparing the theoretically predicted local geometry with the structural information from experimental observations, we then refined our inputs for further PEGS predictions. Additional experimental measurements were performed to further define the structure. Our closely coupled theoretical and experimental approach gives an example of how to solve difficult structures such as $\text{AlB}_4\text{H}_{11}$. Our work also extends the application of the PEGS + DFT predictions to

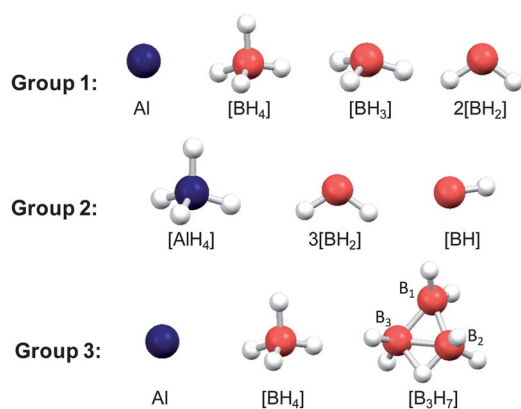


Fig. 2 The $\text{AlB}_4\text{H}_{11}$ stoichiometry was split into Al + $[\text{BH}_4]$ + $[\text{BH}_3]$ + 2 $[\text{BH}]$ (Group 1), $[\text{AlH}_4]$ + 3 $[\text{BH}_2]$ + $[\text{BH}]$ (Group 2), and Al + $[\text{BH}_4]$ + $[\text{B}_3\text{H}_7]$ (Group 3), respectively. Al = blue, B = orange, H = white gray.

amorphous structures when certain structural fragments can be guessed or deduced from experimental information or chemical intuition.

2 Experimental and computational methodology

2.1 General comments

All manipulations were carried out on a standard high vacuum line, in a drybox or air bag under an atmosphere of nitrogen or argon. Ammonia (Matheson),⁷ sodium borohydride (GFS, Chemicals), iodine (GFS Chemicals), and anhydrous aluminum chloride (Aldrich) were used as received. Benzene and 1,2-dimethoxyethane were dried over sodium–benzophenone and freshly distilled prior to use. NVS of $\text{AlB}_4\text{H}_{11}$ was performed at 4 K with the Filter Analyzer Neutron Spectrometer⁸ at NIST with 60' and 40' horizontal collimations before and after the Cu(2 2 0) monochromator, respectively. ^{11}B and $^{11}\text{B}\{^1\text{H}\}$ NMR spectra were recorded on a Bruker AM-400 spectrometer at 128.4 MHz, and externally referenced to $\text{BF}_3 \cdot \text{OEt}_2$ in C_6D_6 ($\delta = 0.00$ ppm). ^{27}Al NMR spectra were obtained at 104.3 MHz for ^{27}Al nuclei using $[\text{Al}(\text{H}_2\text{O})_6]^{3+}$ as reference ($\delta = 0.00$ ppm). Infrared spectra were recorded on a Mattson-Polaris FT-IR spectrometer with 2 cm^{-1} resolution.

2.2 Reaction of $\text{Al}(\text{BH}_4)_3$ with B_2H_6 monitored by ^{11}B and $^{11}\text{B}\{^1\text{H}\}$ NMR

In order to investigate the formation mechanism of $\text{AlB}_4\text{H}_{11}$, the reaction between $\text{Al}(\text{BH}_4)_3$ and B_2H_6 was monitored using ^{11}B and $^{11}\text{B}\{^1\text{H}\}$ NMR. Because the reaction must be performed at $100\text{ }^\circ\text{C}$ under a positive diborane pressure (about 1.5 atm),¹ a special apparatus was designed and connected to the top of a reactor to avoid diborane from escaping when samples were withdrawn (Fig. S1,† note stoppers 1 and 2 on the apparatus). In the reactor, 7.5 mmol $\text{Al}(\text{BH}_4)_3$ and 15.0 mmol B_2H_6 , both freshly prepared using literature methods,^{9,10} were introduced. A sample of the reaction solution was withdrawn at three hour intervals for analysis. To withdraw a sample, the reactor was removed from an oil bath to an air bag. Stopper 2 was turned to an open position and the reactor was turned upside down to allow the reaction solution to fully fill the small space between stoppers 1 and 2. Then stopper 2 was closed and stopper 1 was turned open, and the sample was pipetted into an NMR tube. After the sample collection, stopper 1 was closed and the reactor was restored to initial reaction conditions. All collected samples were examined using ^{11}B and $^{11}\text{B}\{^1\text{H}\}$ NMR.

2.3 Density-functional theory calculations

DFT calculations were performed using the Vienna Ab Initio Simulation Package (VASP) code with the projector augmented wave (PAW) scheme,¹¹ and the generalized gradient approximation of Perdew and Wang¹² (GGA-PW91) for the electronic exchange-correlation functional. We used an energy cutoff for the plane wave expansion of 875 eV. We sampled Brillouin zones using Monkhorst–Pack¹³ k -point meshes for all compounds with meshes chosen to give a roughly constant density of k -points (30 \AA^{-3}) for all compounds. Tests show that our k -point meshes yield energies that are converged to within 0.01 eV per formula

unit (fu). Atomic positions and the unit cell were both relaxed until all the forces and components of the stress tensor were below 0.01 eV Å⁻¹ and 0.2 kbar, respectively. Phonons were calculated using the supercell force constant method as implemented in the program described by Wolverton *et al.*¹⁴ and the vibrational entropies and enthalpies were obtained by directly summing over the calculated phonon frequencies.

2.4 Structure prediction method

While DFT calculations are typically quite accurate for hydride systems,¹⁴ a direct prediction of unknown crystal structures from DFT is difficult due to the large configuration space which must be explored. For our crystal structure prediction task, we turned to the prototype electrostatic ground state (PEGS) search method¹⁵ in which the hydride system is described by a combination of electrostatic potential and soft sphere repulsion:

$$E_{\text{tot}}^{\text{PEGS}} = \begin{cases} \sum_{i>j} \frac{Q_i Q_j}{d_{ij}} + \sum_{i>j} \frac{1}{d_{ij}^{12}} & d_{ij} < (R_i + R_j) \\ \sum_{i>j} \frac{Q_i Q_j}{d_{ij}} & d_{ij} \geq (R_i + R_j) \end{cases}$$

where each atom i is represented by a radius (R_i) and a charge (Q_i), and d_{ij} is the separation distance between atoms i and j . The first term is the point charge electrostatic energy, while the second term is a repulsive soft-sphere potential. The Coulomb interactions are calculated for all pairs of atoms, regardless of distance, while the soft-sphere interactions are only non-zero when atomic spheres (R) overlap. The PEGS method requires the division of the solid into cationic and anionic units (which are treated as rigid units during Monte Carlo simulations, described below). In order to obtain preliminary structures of the amorphous AlB₄H₁₁ phase, we arbitrarily chose two groups of cation and anion units to form AlB₄H₁₁: Al + [BH₄] + [BH₃] + 2[BH₂] (Group 1 in Fig. 2) and [AlH₄] + 3[BH₂] + [BH] (Group 2 in Fig. 2). We obtained the cation ionic radii of Al from standard sources ($R = 0.5$ Å),¹⁶ and its ionic charge was given a nominal value of +3e. The ionic radii and ionic charges of B, Al and H for anion groups [BH₄]⁻ and [AlH₄]⁻ as well as the ionic radii of B and H for the [BH₃], [BH₂], and [BH] units were all taken from the literature.^{3,15} The anionic group charges for [BH₃], [BH₂], [BH] were unknown, and we used our chemical intuition to assign charges to B and H in the anion units such that the charges of [BH₃]⁰ and [BH₂]⁻ in Group 1 and [BH₂]⁺ and [BH]²⁻ in Group 2 could balance the well-known charged units ([BH₄]⁻ and Al³⁺ in Group 1, and [AlH₄]⁻ in Group 2). For Group 3 (Fig. 2), the ionic radii of B and H in [B₃H₇] were taken from the [B₂H₆]²⁻ unit in our previously published paper.⁴ The charges distributed on atoms in the [B₃H₇]²⁻ group ([B₃H₇] was set to -2 to balance the charges of Al³⁺ and [BH₄]⁻) were computed by the GAMESS cluster code.¹⁷ All anion group parameters are given in Table 1.

After setting up the PEGS input parameters, this computationally inexpensive electrostatic and repulsive potential was used in Monte Carlo (MC) simulations. We applied 30 PEGS annealing simulations with different initial random seeds (Fig. 2) of varying formula units (fu) for each group. During PEGS simulations, we kept each anion group as a rigid unit but allowed

Table 1 Cation and anion radii (R) and charges (Q) in PEGS simulations. In the [B₃H₇]²⁻ unit, the B charges are in the sequence of B₁/B₂/B₃ in Fig. 2, and the H charges are in the sequence of bonding with B₁/B₂/B₃, and a bridging H

	[BH ₄] ⁻	[BH ₃] ⁰	[BH ₂] ⁻	[BH ₂] ⁺	[BH] ²⁻	[B ₃ H ₇] ²⁻	[AlH ₄] ⁻
$R_{\text{B/Al}}$	0.93	0.93	0.93	0.93	0.93	1.8	0.5
R_{H}	1.3	1.3	1.3	1.3	1.3	1.52	1.5
$Q_{\text{B/Al}}$	-0.7	-3	-3	-1	-3	0.08/-0.19/ -0.19	1.67
Q_{H}	-0.075	1	1	1	1	-0.33/-0.247/ -0.247/-0.06	-0.6675

it to rotate and translate. In the MC simulations, the MC movements included cation atom displacements, anion group displacements, anion group rotations, cation/anion swaps, and unit cell vector distortions and volume changes.

Although PEGS MC simulations provide many candidate structures, the calculated electrostatic potential is too crude to be used alone to predict quantitatively accurate crystal structures. Hence, accurate methods like DFT calculations are needed to carry out a full relaxation of the PEGS output structures. We performed DFT calculations on all structures that resulted from our PEGS outputs, and selected the compounds with the low DFT energies as candidates for the stable structure. We note that during the course of the DFT relaxation, energetically unfavorable anion groups can rearrange into more favorable groups, thus giving us information about preferred anionic units.

2.5 Simulation of vibration spectra based upon the predicted structure of AlB₄H₁₁

For comparison with NVS measurements, the phonon densities of states (pDOS) were calculated from the DFT-optimized structures using the supercell method ($2 \times 2 \times 1$ cell size) with finite displacements¹⁸ and were appropriately weighted to take into account the H, B, and Al total neutron scattering cross sections.

2.6 Simulation of ¹¹B NMR spectra based upon the predicted structure of AlB₄H₁₁

The ¹¹B NMR shifts were calculated using the GIPAW method as implemented in the Quantum ESPRESSO package.¹⁹ The ¹¹B NMR GIPAW chemical shifts were referenced to B₂H₆ (by ensuring that the theoretical ¹¹B chemical shift of B₂H₆ coincided with its experimental value, δ 16.6 ppm referenced to BF₃·OEt₂ in C₆D₆ ($\delta = 0.00$ ppm)).

3 Results and discussion

3.1 Prediction of preliminary AlB₄H₁₁ structures using two arbitrary groups

From PEGS + DFT predictions, Str-400 and Str-640 (Fig. 3) are the lowest-energy, one-formula-unit (fu) AlB₄H₁₁ crystal structures derived from Groups 1 and 2 (Fig. 2), respectively. (The number in the nomenclature is the energy difference in meV relative to the theoretically predicted lowest-energy AlB₄H₁₁ structure, *e.g.*, Str-400 is 400 meV per formula unit higher in

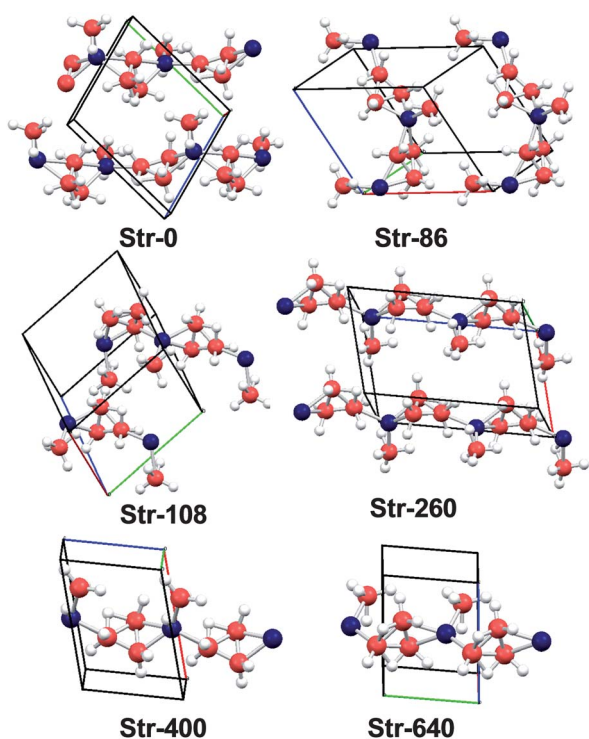


Fig. 3 PEGS + DFT theoretically predicted $\text{AlB}_4\text{H}_{11}$ crystal structures using the fragment groups in Fig. 2. The number in the nomenclature is the energy difference relative to the theoretically predicted lowest-energy $\text{AlB}_4\text{H}_{11}$ structure. Str-640 and Str-400 were obtained using Group 1 and Group 2 inputs in Fig. 2, respectively, and Str-0, Str-86, Str-108 and Str-260 are obtained using Group 3 inputs in Fig. 2. All bonds between bridge hydrogen and aluminum were omitted for clarity. Al = blue, B = orange, H = white gray. (The crystal structure information for these compounds is given in Table S1 in the ESI†).

energy than the lowest-energy predicted structure.) It can be seen that the small fragments in Fig. 2 ($[\text{BH}]$, $[\text{BH}_2]$ and $[\text{BH}_3]$) initially input into PEGS combined themselves to form a larger B_3 unit (Fig. 3) after DFT relaxation/optimization. The $[\text{AlH}_4]^-$ unit was an input to PEGS predictions in Group 2; it was found to be unstable during the DFT relaxation in all PEGS structures, and the Al–H bonds were broken and the related hydrogen atoms were attracted by B units: in the lower-energy Str-400, $[\text{BH}_4]^-$ and $[\text{B}_3\text{H}_7]^{2-}$ units were formed (Str-400 in Fig. 3). This $[\text{AlH}_4]^-$ dissociation suggests that the $\text{AlB}_4\text{H}_{11}$ compound does not prefer to contain the $[\text{AlH}_4]^-$ unit. Both Str-640 and Str-400 form a $-\text{[B}_3\text{H}_7\text{]}\text{--Al}(\text{BH}_4)\text{--}$ chain (Fig. 3). This kind of polymer chain structure is likely to be the base of an amorphous phase, in agreement with the experimental observations.

The B_3H_7 unit exhibits two types of geometries in borane compounds. One is a π -borallyl anion ligand $[\text{B}_3\text{H}_7]^{2-}$ with a V-shaped geometry, an analogue of a π -allyl moiety $\text{C}_3\text{H}_9^{2-}$, which is often coordinated to a metal to form a coordination compound.^{20,21} Another geometry is a Lewis acid neutral ligand in a triangular shape when it is coordinated to a Lewis base to form Lewis acid–base complexes.^{22,23} The B_3H_7 unit in both Str-640 and Str-400 appeared to be triangular in shape but its formal oxidation state should be -2 to be consistent with the typical oxidation state of the Al^{3+} cation and BH_4^- anion in the $\text{AlB}_4\text{H}_{11}$

($\text{Al}(\text{BH}_4)(\text{B}_3\text{H}_7)$) formula. The $[\text{B}_3\text{H}_7]^{2-}$ unit in Str-640 contains two bridging H atoms while that in Str-400 contains only one bridging H atom (Fig. 3), which can be viewed as removing one bridging H atom from the $[\text{B}_3\text{H}_8]^-$ unit.²⁴ The triangular $[\text{B}_3\text{H}_7]$ geometry in Str-400 is the same as that in the $\text{NH}_3\text{B}_3\text{H}_7$ compound.^{23a} The total energy of Str-400 is ~ 240 meV per fu lower than that of the Str-640 structure, indicating that the $[\text{B}_3\text{H}_7]^{2-}$ geometry in Str-400 is more favorable than that in Str-640.

3.2 Chemical composition information obtained from the reaction of $\text{AlB}_4\text{H}_{11}$ with liquid ammonia

Having obtained initial predictions of structure from theory, we turn to experimental measurement to further refine the structural information. Since the polymeric $\text{AlB}_4\text{H}_{11}$ compound did not dissolve in any organic solvent we tested and it completely decomposed in water, no spectroscopic information could be obtained from solution-based measurements. However, we found that when liquid ammonia was condensed onto the solid powder of $\text{AlB}_4\text{H}_{11}$, a clear solution emerged without any obvious bubble formation (no gaseous species). The ^{11}B NMR spectrum of $\text{AlB}_4\text{H}_{11}$ in liquid ammonia showed two sets of boron signals that are identified as $[\text{BH}_4]^-$ and $[\text{B}_3\text{H}_8]^-$ based on their chemical shifts and coupling with hydrogen (Fig. 4a).^{24b,25} The observation of these species is consistent with the predicted structures and with the known reactions of aluminum borohydrides and aluminum hydrides with ammonia. The absence of hydrogen as a product and the absence of borane ammonia adducts are significant observations. The products indicate $\text{AlB}_4\text{H}_{11}$ reacted with liquid ammonia rather than simply dissolving in it. The aluminum borohydride $\text{Al}(\text{BH}_4)_3$ reacts with stoichiometric NH_3 to form $\text{Al}(\text{BH}_4)_3(\text{NH}_3)_2$ but with excess ammonia forms $[\text{Al}(\text{NH}_3)_6](\text{BH}_4)_3$ which is soluble in liquid ammonia.²⁶ The hexaminealuminum and borohydride ions are both chemically stable in liquid ammonia. In contrast, AlH_3 reacts with liquid ammonia to evolve hydrogen (reaction eqn (1)).²⁷ The amido species, $\text{Al}(\text{NH}_2)_3$, rearranges to form imido and nitride species, $\text{Al}(\text{NH})(\text{NH}_3)$ and AlN .²⁷

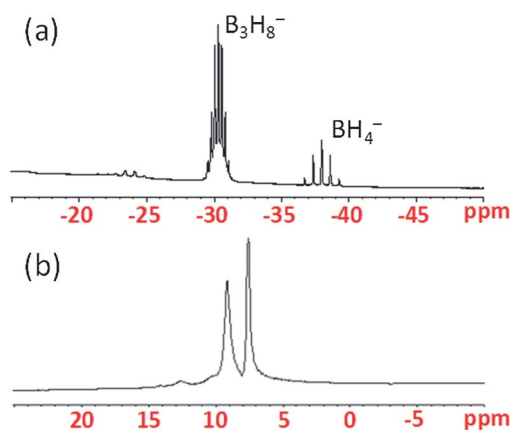
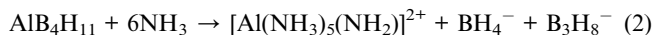


Fig. 4 (a) ^{11}B and (b) ^{27}Al NMR spectra of $\text{AlB}_4\text{H}_{11}$ in liquid ammonia.

The absence of molecular hydrogen suggests $\text{AlB}_4\text{H}_{11}$ does not contain a terminal Al–H bond, and the $[\text{B}_3\text{H}_8]^-$ ion could arise from H^+ donation from NH_3 coordinated to Al(III) to coordinated $\text{B}_3\text{H}_7^{2-}$ (reaction eqn (2)).



The ^{27}Al NMR spectrum also showed two signals δ 9.2 and 7.6 ppm, indicating that two kinds of Al environments, a six-coordinated aluminum and other various coordinated environments,^{26a,28} were present after $\text{AlB}_4\text{H}_{11}$ reacted with liquid ammonia (Fig. 4b). One explanation is that the amide complexes of aluminum in liquid ammonia begin to oligomerize and separate signals arise from monomer and dimer (amide bridged). An alternative explanation is that there are different types of Al-coordinated environments in $\text{AlB}_4\text{H}_{11}$, which when reacted with liquid ammonia produced two types of Al species. Although the analysis of ^{27}Al MAS NMR spectra primarily indicated that Al was considered as a single site in $\text{AlB}_4\text{H}_{11}$,² the existence of Al with multiple chemical environments in this amorphous compound is possible considering the very broad Al signal in the solid ^{27}Al NMR spectra of $\text{AlB}_4\text{H}_{11}$ in contrast to the sharp Al signals in the solid ^{27}Al NMR spectra of other amorphous compounds such as aluminate gels, glasses, or other non-crystalline components in mineral or ceramic systems.²⁸

3.3 Prediction of $\text{AlB}_4\text{H}_{11}$ structures using $[\text{BH}_4]^-$ and $[\text{B}_3\text{H}_7]^{2-}$ units

Based on the structural information obtained from the NMR experiments, we subsequently further refined the theoretically predicted structures. Structures such as Str-400 and Str-640 predicted from PEGS + DFT using one formula unit have only one type of Al chemical environment because there is only a single Al in the formula unit (fu). When the PEGS + DFT calculations were extended to larger cells with two formula units (now using the experimentally confirmed $[\text{BH}_4]^-$ and $[\text{B}_3\text{H}_7]^{2-}$ anionic units), the predicted 2-fu structures of $\text{AlB}_4\text{H}_{11}$ indeed exhibited two distinct Al environments (the neighboring $[\text{BH}_4]^-$ and $[\text{B}_3\text{H}_7]^{2-}$ units had different orientations). Furthermore, these 2-fu structures have much lower energy than that of the 1-fu structures. Fig. 3 shows four predicted low-energy $\text{AlB}_4\text{H}_{11}$ structures: Str-0, Str-86, Str-108 and Str-260, each of which contains 2-fu. Among them, Str-0 is the lowest-energy structure, which is 86, 108, and 260, 400, and 640 meV per fu lower than Str-86, Str-108, Str-260, and the previously predicted 1-fu Str-400 and Str-640, respectively. The low-energy 2-fu $\text{AlB}_4\text{H}_{11}$ structures (Str-0, Str-86, Str-108 and Str-260 in Fig. 3) still maintain the same $-\text{[B}_3\text{H}_7\text{]-Al(BH}_4\text{)-}$ polymer chain as in both Str-640 and Str-400, but the two BH_4 units are now twisted relative to each other perpendicular to the chain direction, thus requiring a longer repeating unit: $-\text{[B}_3\text{H}_7\text{]-Al(BH}_4\text{)-[B}_3\text{H}_7\text{]-Al(BH}_4\text{)-}$.

3.4 Comparison of pDOS with experimental spectra

In order to assess the correctness of predicted structures, we compare the calculated phonon density of states (pDOS) of the predicted structures of Str-0, Str-86, Str-108, Str-260 and Str-400 from PEGS + DFT with the experimental spectra, NVS from 250

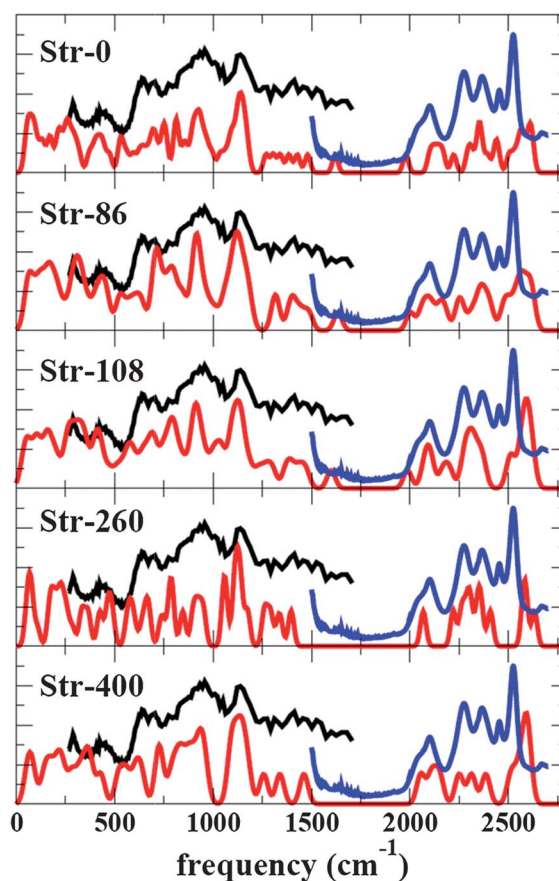


Fig. 5 Comparison of theoretical phonon density of states (red lines) of Str-0, Str-86, Str-108, Str-260, and Str-400 (Fig. 3) with the experimental neutron (black lines) and IR (blue lines) vibrational spectra.

to 1750 cm^{-1} and IR spectra from 1500 to 2750 cm^{-1} (Fig. 5). For the calculated pDOS of Str-400, there is a discrepancy below 750 cm^{-1} in the NVS where the peak positions of the computed pDOS are $\sim 100\text{ cm}^{-1}$ lower than experimental measurements. The peak positions in this region are dominated by the vibration of the heavy atom (Al). Hence, we find that the pDOS of the predicted 2-fu low-energy $\text{AlB}_4\text{H}_{11}$ structures (especially Str-0, Str-86, Str-108 in Fig. 3) with two Al environments is in better agreement with the experimental results in the low-frequency region ($<500\text{ cm}^{-1}$) than Str-400 that contains only one Al environment (Fig. 5).

All predicted structures possess vibrational modes associated with B–H bonds in the region between 2000 and 2750 cm^{-1} , which is in general agreement with the experimental IR spectra. The comparison of the pDOS of the 2-fu Str-0, Str-86 and Str-108 with 2-fu Str-260 and 1-fu Str-400 shows a difference in the region of 1500 to 1750 cm^{-1} : the pDOS of Str-260 and Str-400 do not have a peak at $\sim 1610\text{ cm}^{-1}$ that is present in the pDOS of other 2-fu $\text{AlB}_4\text{H}_{11}$ structures. Str-0, Str-86 and Str-108 contain two $[\text{B}_3\text{H}_7]^{2-}$ units and the B–H bond lengths involving bridging H are 1.29 and 1.44 \AA in one unit and 1.32 and 1.34 \AA in the other. These B–H bond lengths agree well with those in the $[\text{B}_3\text{H}_7]^{2-}$ cluster of an experimentally determined $\text{NH}_3\text{B}_3\text{H}_7$ compound:^{23a} the bond lengths of the bridging H with nearby B are 1.324 and 1.324 \AA in a monomer and are changed to 1.294 and 1.362 \AA in a dimer. The eigenvectors/eigenvalues obtained *via*

direct diagonalization of the dynamical matrix of the $\text{AlB}_4\text{H}_{11}$ structures (Str-0, Str-86 and Str-108) show that the bridging H vibrations in the first $[\text{B}_3\text{H}_7]$ unit contribute to modes with frequencies of ~ 1267 and $\sim 2143 \text{ cm}^{-1}$ while the other unit vibrates at a frequency in the region from 1500 to 1750 cm^{-1} ($\sim 1618 \text{ cm}^{-1}$) which is in good agreement with the $\text{NH}_3\text{B}_3\text{H}_7$ IR measurement (1599 cm^{-1}).^{23a} Note that the 2-fu Str-260 (ref. 29) has two $[\text{B}_3\text{H}_7]^{2-}$ units, but the B–H bond lengths involving bridging H in the two units are the same: 1.29 and 1.44 \AA ; thus, it produces no peak in the region from 1500 to 1750 cm^{-1} that is associated with the 1.32 and 1.34 \AA values in Str-0, Str-86, and Str-108).

Some H atoms from the $[\text{BH}_4]$ and $[\text{B}_3\text{H}_7]$ units are located very close to the Al atoms or bridged with B and Al. Although they lead to the Al–H (bridging) stretching that is characteristic of Al–H vibrational frequencies around 1500 cm^{-1} as observed in $\text{Al}(\text{BH}_4)_3$,³⁰ they do not lead to the formation of $[\text{AlH}_4]$ units. If $\text{AlB}_4\text{H}_{11}$ contained an $[\text{AlH}_4]$ cluster, which has short Al–H bond lengths, the stretching of the Al–H bond would exhibit frequencies at $\sim 1780 \text{ cm}^{-1}$.³¹ The absence of a peak at $\sim 1780 \text{ cm}^{-1}$ in both experimental measurements and theoretical pDOS calculations further corroborates our previous conclusion that there is no terminal Al–H in $\text{AlB}_4\text{H}_{11}$, which is consistent with the observation of no hydrogen release when $\text{AlB}_4\text{H}_{11}$ reacted with liquid ammonia.

Although overall good agreement is observed between the pDOS of the theoretically predicted low-energy $\text{AlB}_4\text{H}_{11}$ structures (Str-0, Str-86 and Str-108) and the experimental vibrational measurements (NVS and IR), some small discrepancies in peak positions and intensities exist (Fig. 5), which may be related to several factors. The experimental IR and NVS spectra were obtained from the amorphous $\text{AlB}_4\text{H}_{11}$ phase, while the theoretical pDOS were calculated using crystalline $\text{AlB}_4\text{H}_{11}$ structures.³² The $-\text{[B}_3\text{H}_7]-\text{Al}(\text{BH}_4)-$ polymer chain in the amorphous $\text{AlB}_4\text{H}_{11}$ may be twisted or reoriented like the different orientations in Str-0, Str-86, and Str-108, thus shifting the frequencies. It is also important to note that the degree of agreement between experimental NVS and simulated spectra is compound-dependent and is based on the ability to accurately model the various types of bonding interactions that are present. As such, agreement for any given compound, even if the crystal structure is known, can be less than perfect, especially in the low-frequency region, where significant shifts have been reported.³³ Nonetheless, the overall good agreement between theoretical and experimental vibrational modes in the present case suggests that DFT describes the bonding interactions fairly well, and is consistent with an $\text{AlB}_4\text{H}_{11}$ amorphous phase containing distinct $[\text{BH}_4]^-$ and $[\text{B}_3\text{H}_7]^{2-}$ units within a $-\text{[B}_3\text{H}_7]-\text{Al}(\text{BH}_4)-$ polymer chain structure.

3.5 Comparison between simulated and experimental ^{11}B NMR spectra

To further evaluate the predicted structures, the ^{11}B NMR chemical shifts were simulated using the GIPAW method as implemented in the Quantum ESPRESSO package.¹⁹ In the simulated ^{11}B NMR, two sets of signals are separately located at higher and lower fields, which is consistent with the solid ^{11}B NMR spectrum of $\text{AlB}_4\text{H}_{11}$ (Table S2†). The simulated chemical

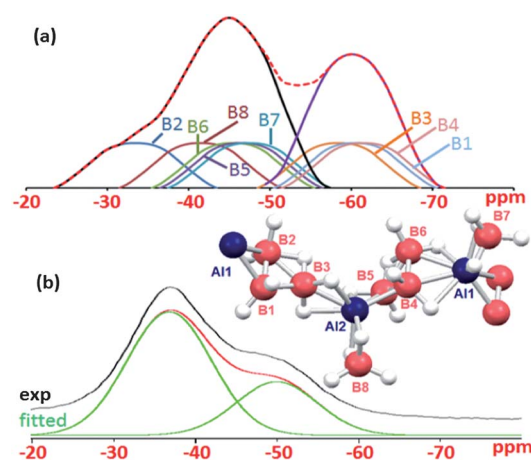


Fig. 6 ^{11}B NMR spectra of $\text{AlB}_4\text{H}_{11}$: (a) simulated based on the predicted Str-0 and (b) experiment.²

shifts vary from structure to structure but are generally comparable with the experimental $\text{AlB}_4\text{H}_{11}$ solid-state spectrum that has two broad signals located at around $\delta -38.8$ and -51.0 ppm at a roughly 2 : 1 respective ratio.² Three boron signals for the lowest-energy structure (Str-0) are located at higher field ($\delta -58.48$, -60.96 , and -61.82 ppm) and five boron signals at lower field ($\delta -33.45$, -41.29 , -46.50 , -46.61 , and -47.61 ppm). The ratio of the two sets of signals (5 : 3) is close to the experimental value (2 : 1). Two broad peaks created by stacking together the two sets of simulated NMR signals closely resemble the experimental solid-state ^{11}B NMR spectrum of $\text{AlB}_4\text{H}_{11}$ with the peaks positions only differing by about $8\sim 10$ ppm (higher field) (Fig. 6).

Two sets of boron signals are predicted for Str-86 with one set (two signals) located at $\delta -59.41$ and -70.40 ppm and the other set (six boron signals) at $\delta -30.5$ to -44.13 ppm. The intensity ratio of the two sets is 3 : 1. Both Str-108 and Str-260 have four boron signals at higher field and four boron signals at lower field with the integrated peak intensity ratio of 1 : 1 (see ESI† for details). A comparison of the simulated ^{11}B NMR spectra of these structures with the solid-state ^{11}B NMR spectrum of $\text{AlB}_4\text{H}_{11}$ indicates that the lowest-energy structure (Str-0) has the best merit in terms of both peak shapes and the peak intensity ratio. Thus we believe Str-0 is the best representation of the $\text{AlB}_4\text{H}_{11}$ structure.

Both simulated and experimental spectra show the chemical shifts of all boron atoms at a range from $\delta -30$ to -70 ppm, which supports the triangle-shaped B_3 unit rather than a V-shaped π -borallyl anion ligand $[\text{B}_3\text{H}_7]^{2-}$ in which the two terminal boron signals would appear at about $\delta +8$ ppm and the central boron at about $\delta +20$ ppm.²¹ The ^{11}B chemical shift of the Lewis acid B_3H_7 is very dependent on the coordinated Lewis base, and the observed ^{11}B NMR shift, ranging from at least $\delta +8$ to -53 ppm,²³ is consistent with the triangular boron unit.

3.6 Formation mechanism of $\text{AlB}_4\text{H}_{11}$

Based on the identified structure of $\text{AlB}_4\text{H}_{11}$, we performed a preliminary study of its formation mechanism. The reaction of $\text{Al}(\text{BH}_4)_3$ and diborane was monitored by ^{11}B and $^{11}\text{B}\{^1\text{H}\}$

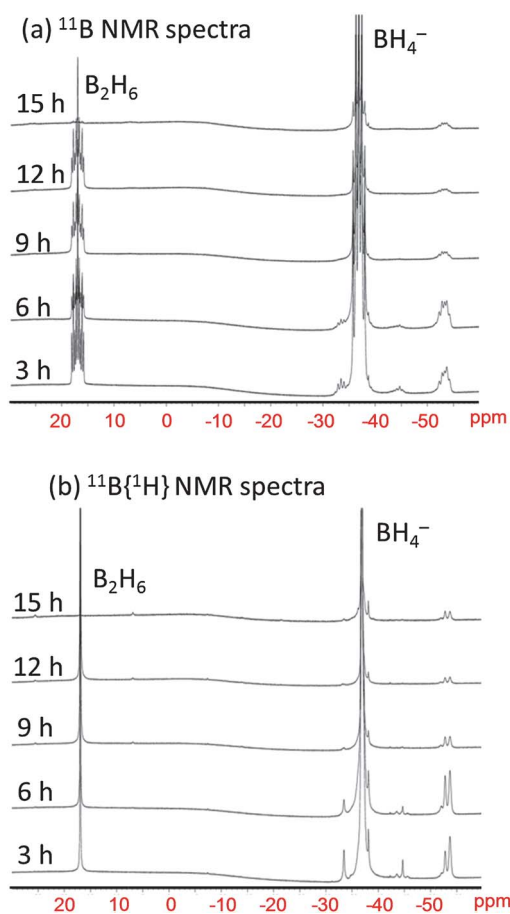
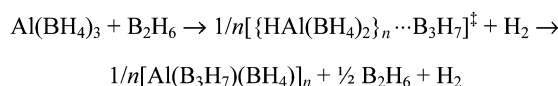


Fig. 7 (a) ^{11}B and (b) $^{11}\text{B}\{^1\text{H}\}$ NMR spectra of the reaction of $\text{Al}(\text{BH}_4)_3$ with B_2H_6 in benzene at 100°C . Samples were extracted at three-hour intervals.

NMR. Each of the starting materials alone, $\text{Al}(\text{BH}_4)_3$ or B_2H_6 , are found to be stable at 100°C in benzene solution. The ^{11}B and $^{11}\text{B}\{^1\text{H}\}$ NMR spectra of the mixture of $\text{Al}(\text{BH}_4)_3$ and B_2H_6 show that when the reaction started, two sets of small peaks simultaneously appeared at $\delta -33.4$, -36.89 , -38.1 , -43.6 , and -44.7 ppm and at $\delta -52.6$ and 53.8 ppm (Fig. 7). These peak positions are close to, but not identical to, the two broad peaks observed in the solid ^{11}B NMR spectra of $\text{AlB}_4\text{H}_{11}$.² The low-field peaks at around $\delta -33.4$ to -44.7 ppm are likely related to an intermediate $[\text{HAl}(\text{BH}_4)_2]_n$ with different states (n) of aggregation,³⁴ and the high-field peaks at $\delta -52.6$ and -53.8 ppm might be related to a boron hydride species such as B_3H_7 that does not exist alone but interacts with $[\text{HAl}(\text{BH}_4)_2]_n$ or $\text{Al}(\text{BH}_4)_3$. Nöth showed that diborane dissociates in THF solution to form $\text{THF}\cdot\text{BH}_3$, and the equilibrium among $\text{Al}(\text{BH}_4)_3$, $\text{HAl}(\text{BH}_4)_2$ and $\text{THF}\cdot\text{BH}_3$ is dynamic.^{34b} Maybury and Larrabee measured the kinetics of deuterium and boron exchange between $\text{Al}(\text{BH}_4)_3$ and B_2D_6 (and $^{10}\text{B}_2\text{D}_6$) in the gas phase,³⁵ and proposed a mechanism involving thermal dissociation of both $\text{Al}(\text{BH}_4)_3$ and B_2D_6 followed by a rate-limiting reaction between BH_3 (formed from $\text{Al}(\text{BH}_4)_3$ dissociation) and B_2D_6 . These experimental results led us to propose a formation mechanism of $\text{AlB}_4\text{H}_{11}$ as: (1) reaction of B_2H_6 with a BH_3 unit from $\text{Al}(\text{BH}_4)_3$ formed $[\text{HAl}(\text{BH}_4)_2]$ and B_3H_7 with one H_2 being eliminated; (2) two intermediates of $[\text{HAl}(\text{BH}_4)_2]$ and B_3H_7 interacted once they

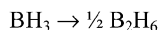
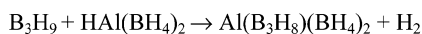
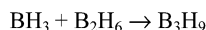


Scheme 1 The formation mechanism of $\text{AlB}_4\text{H}_{11}$.

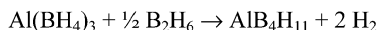
formed; and (3) a $-\text{B}_3\text{H}_7-\text{Al}(\text{BH}_4)-$ polymer chain was formed as shown in Scheme 1.

The mechanism is supported by the observation that $\text{Al}(\text{BH}_4)_3$ reacts with CO at ambient temperature to form $[\text{HAl}(\text{BH}_4)_2]_n$; the CO molecule pulls a BH_3 moiety from $\text{Al}(\text{BH}_4)_3$ to produce $\text{CO}\cdot\text{BH}_3$.^{34a} It is reasonable to assume that B_2H_6 performs the same function as CO to react with a BH_3 group of $\text{Al}(\text{BH}_4)_3$ to form a B_3H_9 unit and $[\text{HAl}(\text{BH}_4)_2]$. For the room-temperature reaction of $\text{Al}(\text{BH}_4)_3$ with CO, two signals of BH_4^- were detected at $\delta -38.0$ and -43.3 ppm probably due to the formation of two states of aggregation of $[\text{HAl}(\text{BH}_4)_2]_n$ ($n = 1$ and 2). This explanation is supported by the formation of both monomer and dimer compounds, $[\text{HGa}(\text{BH}_4)_2]$ and $[\text{HGa}(\text{BH}_4)_2]_2$, in a similar reaction of $\text{Ga}(\text{BH}_4)_3$ with CO.³⁶ Thus, at an elevated temperature, reaction of $\text{Al}(\text{BH}_4)_3$ with B_2H_6 might have led to higher oligomers of $[\text{HAl}(\text{BH}_4)_2]_n$, and the small peaks observed at $\delta -33.4$ to -44.7 ppm are likely representing polymeric $[\text{HAl}(\text{BH}_4)_2]_n$ with more than two different states of oligomers. The initially formed polymer species probably had limited solubility in the reaction solution, so these peaks in their ^{11}B NMR spectra gradually diminished as the reaction proceeded (Fig. 7).

While one set of signals at lower field from $\delta -33.4$ to -44.7 ppm is reasonably assigned to the intermediate of $\text{HAl}(\text{BH}_4)_2$, another set of signals at high field from $\delta -52.6$ and -53.8 ppm is considered to be related to one of the boron atoms in the B_3H_7 unit which is produced from the reaction of B_2H_6 with a BH_3 followed by eliminating an H_2 molecule. This reaction has been investigated extensively both theoretically and experimentally.³⁸ The boron signals appearing at such a high field region of ^{11}B NMR spectra is unusual – only when boron atoms are located at unique environments, especially in an open-skeleton structure.³⁷ One boron signal in several Lewis-acid–base adducts of triborane, $\text{L}\cdot\text{B}_3\text{H}_7$, appeared at this high field region.²³ The three B atoms in B_3H_7 display two NMR signals over a wide range. The chemical shifts depend heavily on the properties of the coordinated Lewis base. The coordinated B is distinguishable from the other two in the adduct, $\text{L}\cdot\text{B}_3\text{H}_7$.²³ In $\text{THF}\cdot\text{B}_3\text{H}_7$, the coordinated boron signal was located at $\delta +12.8$ and the other two B at $+8.4$ ppm.^{23e} In contrast, the coordinated boron signal in the Lewis adduct $\text{PH}_3\cdot\text{B}_3\text{H}_7$ appeared at $\delta -51.3$ ppm, which is close to the small ^{11}B NMR peaks observed in the current experiment, and the other two B signals appeared at $\delta -10.2$ ppm.^{23d} The exact state of B_3H_7 in the reaction system is unknown and seems to be interacting with $[\text{HAl}(\text{BH}_4)_2]_n$, as indicated in the predicted structures where each B_3H_7 unit is connected to an Al atom through a bridge hydrogen. Thus, we assumed the small peaks at $\delta -52.6$ and -51.3 ppm were associated with a B_3H_7 group that was interacting with $[\text{HAl}(\text{BH}_4)_2]_n$ in some way. At an initial stage, these species had some solubility in the solution so they could be detected in ^{11}B NMR spectra. This explanation is consistent with the simulated ^{11}B NMR spectra in which the chemical shifts of B in the B_3H_7 group in Str-0 are distributed over a wide range from $\delta -33.45$ to -61.82 ppm.



The sequence of reactions in Scheme 2 adds up to the net reaction for the formation of $\text{AlB}_4\text{H}_{11}$.



Scheme 2 An alternative formation mechanism for $\text{AlB}_4\text{H}_{11}$.

An alternative mechanism involved B_2H_6 reacting with BH_3 from $\text{Al}(\text{BH}_4)_3$ dissociation to form B_3H_9 . This species reacts with $\text{HAl}(\text{BH}_4)_2$ with the elimination of H_2 to form a coordinated B_3H_8^- anion. A second mole of H_2 is eliminated as a second BH_3 (from coordinated BH_4^-) evolves from the intermediate in a reaction of coordinated B_3H_8^- with coordinated BH_4^- . The proposed reaction sequence is shown in Scheme 2.

This alternative mechanism is supported by reports reviewed by Beall *et al.* in which the formation of a stable B_3H_8^- anion from a reaction of diborane with a metal borohydride was observed.³⁹ It was suggested that $\text{B}_3\text{H}_7^{2-}$ is a possible intermediate. Gaines *et al.* noted that the triborohydride ion can be prepared by reaction of metal borohydrides with diborane in ether solutions at 100 °C.⁴⁰ These authors note that the preparation of B_3H_8^- from B_2H_6 and a metal borohydride requires a temperature of about 100 °C to proceed at a reasonable rate. The elimination of H_2 , either before the B_3 species is formed or after, is most likely the rate-limiting step. In this alternative reaction sequence, this intermediate may account for the unusually high field resonances at $\delta -52.6$ and -53.8 ppm in which both a monomer and bridged dimer monohydride are initially formed.

We are confident to conclude from the overall good agreement between theoretical and experimental vibrational modes that the $\text{AlB}_4\text{H}_{11}$ amorphous phase contains distinct $[\text{BH}_4]$ and $[\text{B}_3\text{H}_7]$ units and also likely two Al environments, and it forms a $-\text{[B}_3\text{H}_7]-\text{Al}(\text{BH}_4)-$ polymer chain. The lowest-energy structure (Str-0) has the best merit based not only on the computed ground-state energy, but also on the best observed agreement with NVS, IR, and NMR. The slight discrepancy found between the predicted properties of Str-0 and the experimental observations may be due to some twisting or reorientation of the $-\text{[B}_3\text{H}_7]-\text{Al}(\text{BH}_4)-$ polymer chain as the chain gets longer.

4. Conclusions

The structure of amorphous $\text{AlB}_4\text{H}_{11}$ was predicted theoretically and then assessed experimentally, and the formation mechanism of $\text{AlB}_4\text{H}_{11}$ was also proposed. The predicted structures explicitly show a $-\text{[B}_3\text{H}_7]-\text{Al}(\text{BH}_4)-$ polymer chain in which B_3H_7 exists in a triangular shape rather than as a V-shaped π -borallyl anion ligand. The BH_4 and B_3H_7 moieties in $\text{AlB}_4\text{H}_{11}$ were converted to BH_4^- and B_3H_8^- in liquid ammonia, which was identified using ^{11}B NMR spectra. Two Al signals were also observed in ^{27}Al NMR of the $\text{AlB}_4\text{H}_{11}$ in liquid ammonia, which is consistent with the predicted lowest-energy structures (Str-0

and Str-86). The computed phonon densities of states of the predicted structures are in good agreement with the experimental vibrational measurements over a wide range of frequencies. The calculated ^{11}B NMR chemical shifts for the predicted structures fall within the range of the experimentally measured values, especially for Str-0, whose simulated NMR peaks agree well with the experimental result. Preliminary study of the formation mechanism of $\text{AlB}_4\text{H}_{11}$ using ^{11}B NMR spectroscopy provides two possible pathways for the formation of $\text{AlB}_4\text{H}_{11}$.

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