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Characterising poly (vinyl chloride)/Aliquat 336 polymer inclusion membranes: Evidence of phase separation and its role in metal extraction

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Characterising poly (vinyl chloride)/Aliquat 336 polymer inclusion membranes: Evidence of phase separation and its role in metal extraction

Abstract

The miscibility of the base polymer poly (vinyl chloride) (PVC) and the extractant Aliquat 336 in polymer inclusion membranes (PIMs) was investigated by characterisation of thermal transitions using differential scanning calorimetry (DSC) and dynamic mechanical analysis (DMA). The extractions of Cd (II) and Zn (II) using PVC/Aliquat 336 PIMs with different base polymer/extractant composition and different extraction temperature were also investigated. Changes in the PIM's heat capacity measured by DSC were small, thus, could only be used to determine the glass transition temperature (T_g) of PIMs with low Aliquat 336 content. On the other hand, DMA results clearly identify the (T_g) and melting temperature (T_m) of separate PVC and Aliquat 336 rich phases in the PIMs. Results reported here indicate that the PVC/Aliquat 336 PIMs are phase separated. This phase separation has important implications to the extraction of target metallic ions by PIMs. Extraction studies showed that the extraction of metallic ions occurred only when the proportion of Aliquat 336 in PIMs was about 30 wt.% or higher. The extraction rate could be improved by increasing the temperature and thus the target ion transport in the Aliquat 336 phase.

Keywords

its, role, metal, extraction, vinyl, chloride, aliquat, 336, characterising, polymer, poly, inclusion, membranes, evidence, phase, separation

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1 **Characterising poly (vinyl chloride)/Aliquat 336 polymer**
2 **inclusion membranes: evidence of phase separation and its**
3 **role in metal extraction**

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15 **Abstract**

16 The miscibility of the base polymer poly (vinyl chloride) (PVC) and the extractant Aliquat
17 336 in polymer inclusion membranes (PIMs) was investigated by characterisation of thermal
18 transitions using differential scanning calorimetry (DSC) and dynamic mechanical analysis
19 (DMA). The extractions of Cd (II) and Zn (II) using PVC/Aliquat 336 PIMs with different
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23 content. On the other hand, DMA results clearly identify the (T_g) and melting temperature
24 (T_m) of separate PVC and Aliquat 336 rich phases in the PIMs. Results reported here indicate
25 that the PVC/Aliquat 336 PIMs are phase separated. This phase separation has important
26 implications to the extraction of target metallic ions by PIMs. Extraction studies showed that
27 the extraction of metallic ions occurred only when the proportion of Aliquat 336 in PIMs was
28 about 30 wt.% or higher. The extraction rate could be improved by increasing the temperature
29 and thus the target ion transport in the Aliquat 336 phase.

30 **Keywords:** Polymer inclusion membranes (PIMs); Metal extraction; Poly (vinyl chloride)
31 (PVC); Aliquat 336; Phase separation.

32

33 **1. Introduction**

34 The development of polymer inclusion membranes (PIMs) has increased rapidly over the last
35 two decades as a potential alternative to the conventional solvent-solvent extraction process
36 for metal ion recovery [1, 2]. PIM film consists of a polymer, an extractant and if necessary a
37 plasticizer. Extractant is an essential component which function as a guest host specific
38 molecule that provides selective membrane permeability for target species [3]. PIMs
39 consisting of poly-vinyl chloride (PVC) and Aliquat 336 were first applied by James et al. [4]
40 for the construction of ion selective electrodes more than four decades ago. Since then,
41 PVC/Aliquat 336 PIMs have been one of the most studied PIM systems for the extraction of
42 metallic ions from the aqueous phase. Previous studies have shown successful extraction of
43 metal ions and small organic molecules using PVC based PIMs containing Aliquat 336 [5-
44 10]. However, it is not yet clear whether the PVC/Aliquat 336 PIM is a solid homogenous
45 solution or a two phase heterogeneous mixture.

46 The mechanism of facilitated transport in PIMs is still open to speculation given the lack of
47 understanding about the nature of their homogeneity. For a solid solution, the metal ion with
48 the aid of an extractant is transported through a polymer matrix. For a heterogeneous solid,
49 the metal ion with the aid of extractant is transported through continuous channels within a
50 polymer matrix. In some instances, a combination of these two extremes may occur.

51 In recent years, several studies have been conducted to investigate the homogeneity of PIMs.
52 Through scanning electron microscopy analysis, Xu et al. [11] speculated that at above 30
53 wt.% Aliquat 336, the interior structure of PVC/Aliquat 336 PIMs contained micro channels
54 filled with Aliquat 336. They also showed that there exists a critical Aliquat 336 content in
55 PIMs of 30 to 40 wt.% for the transport of Cd (II) to occur. Although the critical Aliquat 336
56 content has been confirmed by several other studies [11, 12], their speculation about the
57 existence of micro channel in PIMs has not been substantiated. In fact, it has been
58 contradicted by a recent study by St John et al. [13] who employed high resolution
59 synchrotron-based fourier-transform infrared (FTIR) spectroscopy and proton-induced X-ray
60 emission microspectrometry (μ -PIXE) to study the homogeneity of PVC/Aliquat 336 PIMs.
61 μ -PIXE results reported by them showed that PVC based PIMs containing 10 to 40 wt.%
62 Aliquat 336 are homogenous at the micro-scale which is comparable to the scale investigated
63 by Xu et al. [11].

64 In this paper, PVC based PIMs were prepared with different Aliquat 336 concentrations. This
65 work aims to determine whether the PIMs produced are a solid solution or mixture by

66 application of differential scanning calorimetry (DSC) and dynamic mechanical analysis
67 (DMA) techniques to characterise the thermal transitions. This approach clarifies the
68 miscibility of PVC and Aliquat 336. Extraction of Cd (II) and Zn (II) were also investigated
69 in order to observe any correlation between solid structure, thermal analysis and membrane
70 function.

71 **2. Materials and Methods**

72 *2.1 Reagents*

73 All reagents were obtained from Sigma Aldrich, Australia. High molecular weight poly (vinyl
74 chloride) (PVC) and Aliquat 336 (tricaprylmethylammonium chloride) were used as the
75 base polymer and extractant respectively. The weight-average molecular weight of this PVC
76 is 80,000 g/mol. Aliquat 336 is a mixture of tri-alkyl methyl ammonium chloride salts
77 produced from the methylation of Alamine 336, with the substituent alkyl chain length
78 containing between 6 and 12 carbon atoms. HPLC grade tetrahydrofuran (THF) was used
79 without any further purification. Cadmium (II) and zinc (II) solutions used in the membrane
80 extraction experiments and for calibration purposes were prepared from Cd(NO₃)₂ and
81 Zn(NO₃)₂ (analytical grade). Milli-Q grade water (Milipore, Australia) was used for the
82 preparation of all aqueous solutions.

83 *2.2 Preparation of PVC/Aliquat 336 PIMs*

84 PIMs at different Aliquat 336 concentrations were prepared by dissolving Aliquat 336 and
85 PVC in THF. Each mixture contains a combined Aliquat 336 and PVC weight of 600 mg.
86 **The volume of THF used was between 5 to 10 mL depending on the weight fraction of PVC.**
87 The mixtures were stirred vigorously for 1 hour resulting in a clear solution. The solution
88 was then poured into a **Petri** dish with a diameter of 70 mm and covered with filter paper
89 (0.45 µm). The THF solvent was allowed to evaporate over about 48 hours forming a
90 membrane. The membranes were peeled from the **Petri** dish and stored in the dry condition
91 for further experiments. **PVC films were prepared using the same protocol but without the**
92 **addition of Aliquat 336.**

93 *2.3 Extraction protocol*

94 Extraction experiments were conducted in bath mode [5, 6, 14]. Membranes were cut into
95 small pieces of about 1 cm² in area. **The membrane pieces with an individual weight of**
96 **approximately 0.55 g were placed in beakers containing 100 mL of extraction solution. The**
97 **extraction solution contained 50 mg/L of either Cd (II) or Zn (II) in 1 M hydrochloric acid**
98 **(HCl) and was placed in a temperature controlled water bath (Neslab RTE 7, Thermo**

99 Scientific Inc., Waltham, MA, USA). The solution was stirred continuously and 1 mL of
100 aliquot was taken at a specific time intervals for metal ion analysis using Atomic Adsorption
101 Spectrometry analysis (Varian SpectrAA 300 AAS, Australia). Calibration using standard Cd
102 (II) and Zn (II) solutions was conducted prior to each batch of analysis. The linear regression
103 coefficient for all calibration curves were greater than 0.98.

104 *2.4 Differential scanning calorimetry (DSC) analysis*

105 DSC analysis for PVC/Aliquat 336 PIMs was carried out using a DSC Q-100 (TA
106 Instrument, USA). The experiment was conducted at a heating rate of 10 °C/min in the
107 temperature range of –50 to 110 °C. Approximately 10 mg of PVC/Aliquat 336 PIM sample
108 was used and encapsulated in standard aluminium pans while a hermetic pan was used for
109 pure Aliquat 336 sample. Melting temperatures (T_m) were reported based on the onset value.

110 *2.5 Dynamic mechanical analysis (DMA)*

111 DMA Q 800 (TA Instrument, USA) was used to characterise the thermal transitions of
112 PVC/Aliquat 336 PIMs. A film-clamp was used with a heating rate of 4 °C/min over the
113 temperature range of –100 to 180 °C at a frequency of 1 Hz. The temperatures associated
114 with transitions were identified by the peak in tan delta curve. For DMA, the thermal
115 transitions were labelled in order from highest to lowest temperature.

116 **3. Results and discussion**

117 *3.1 Membrane characterization*

118 *3.1.1 Thermal analysis*

119 DSC analysis of the PVC as supplied showed a glass transition temperature (T_g) of 85 °C
120 (Figure 1) which is consistent with literature values [15, 16]. In contrast, DSC analysis of the
121 PVC cast from THF solution exhibited a T_g of 63 °C (Figure 1). PIMs containing 10 to 40
122 wt.% Aliquat 336 exhibited a T_g in the range of 55 to 63 °C (Figure 2). PIMs containing 50
123 to 70 wt.% Aliquat 336 exhibited a T_g that was too subtle for designation using the described
124 experimental procedure. DSC analysis of the supplied neat Aliquat 336 did not exhibit a T_g
125 but exhibited a T_m of –19 °C (Figure 1), which is also consistent with the report value of –20
126 °C [17].

127 The DMA is another thermal analysis technique that is frequently employed to characterise
128 thermal transitions of polymers. The DMA isolates thermal transitions as substantial changes
129 in the storage modulus and a corresponding peak in the dissipation of energy ($\tan \delta$).

130 DMA results revealed that the PVC/Aliquat 336 PIMs contained one or two thermal
131 transitions with the number dependent on the fraction of Aliquat 336 (Figures 3-4). An α
132 transition was observed at 71 ± 8 °C for all Aliquat 336 concentrations studied here (Figure 2).
133 A β transition was observed at -18 ± 1 °C for PIMs samples containing 40 to 70 wt.% Aliquat
134 336 (Figure 2). In addition, PIMs containing 10 wt.% Aliquat 336 or more started to undergo
135 degradation at about 100 °C as evidenced in an increase in the storage modulus (Figure 3).

136 [FIGURE 1]

137 [FIGURE 2]

138 [FIGURE 3]

139 [FIGURE 4]

140 3.1.1.1 α transition

141 The α transition is assigned to the T_g of the PVC as it occurred in PVC cast from THF
142 without Aliquat 336 and it was consistent with DSC T_g measurements (Figure 1). For the
143 PIMs membranes, the T_g value coincides with the reduction in storage modulus from about
144 10^3 MPa to less than 10 MPa during heating (Figure 3). The PVC samples cast from THF
145 without Aliquat 336 were semi-crystalline at temperatures higher than the α transition. The
146 semi-crystalline nature of PVC the film (without any Aliquat 336) is evidenced by the
147 observation of a storage modulus higher than 1 MPa at 100 °C (Figure 3).

148 For many plasticised polymers, the T_g is typically a function of the plasticizer content
149 predicted by the Fox equation [18]:

$$150 \frac{1}{T_g} = \frac{w_1}{T_{g,1}} + \frac{w_2}{T_{g,2}}$$

151 where w_1 and w_2 are weight fractions of components 1 and 2. $T_{g,1}$ and $T_{g,2}$ are the T_g 's of
152 components 1 and 2, and, T_g is the single T_g of the plasticized polymer.

153 This is because the plasticizer molecules form a solid solution with the polymer and enhance
154 segmental mobility. For PVC based PIMs, Aliquat 336 has been widely reported to behave as
155 a plasticizer [2, 10, 19]. For the PIMs observed here, the T_g was independent of the Aliquat
156 336 concentration over the range of 0 to 70 wt.%. In contrast, by using the Fox equation [18],
157 the T_g for a PIMs containing 70 wt.% Aliquat 336 was predicted to be ≤ 4 °C by assuming
158 that the T_g of the Aliquat 336 is about ≤ -20 °C [17], the T_g of PVC is about 85 °C and all of

159 the Aliquat 336 is plasticizing the PVC. Hence, the Aliquat 336 is not solvating or depressing
160 the T_g of a substantial fraction of the PVC, and, is likely to be present in a separate phase.

161 Aliquat 336 is classified as a plasticiser for PVC as its incorporation transforms brittle PVC
162 into a plastic (a material capable of exhibiting substantial plastic deformation). Furthermore,
163 the addition of Aliquat 336 also reduces the Young's modulus of PVC (also referred to as
164 softening). The results presented here indicate that Aliquat 336 does not achieve the
165 plasticization by forming a solid solution with PVC and depressing its T_g . The mechanism of
166 plasticization may be the formation of a sponge like structure of PVC containing Aliquat 336
167 in the sponge pores.

168 The T_g of the PVC cast from THF as determined by DMA and DSC (68 °C and 63 °C
169 respectively) was well below that observed for PVC in the supplied condition determined by
170 DSC (85 °C). This difference occurred in the absence of Aliquat 336, but after dissolution
171 and drying from THF. It is likely that the T_g depression observed after exposure to THF,
172 results from a small amount of residual THF in the PVC. For example, 1 wt.% residual THF
173 is sufficient to achieve a depression of 30 °C [20].

174 3.1.1.2 β transition

175 The β transition is assigned to the melting temperature (T_m) of the Aliquat 336 rich phase, as
176 it corresponds to the T_m of neat Aliquat 336 observed by DSC (Figure 1). The
177 correspondence was confirmed where a piece of cloth soaked in Aliquat 336 subjected to
178 DMA exhibited a thermal transition over the same temperature range. The β transition was
179 independent of Aliquat 336 concentration for concentrations of 40 to 70 wt.% as verified by a
180 peak in the $\tan \delta$ indicating it's composition is constant (Figures 2 and 4). The storage
181 modulus also shows a decrease at about -22 °C for Aliquat 336 concentrations of 20 and 30
182 wt.% indicating that they also contain an Aliquat 336 rich phase (Figure 3). There is no
183 indication of an Aliquat 336 rich phase for 10 wt.% Aliquat 336 by DMA. Although the
184 temperature where the β transition occurred is independent of Aliquat 336 concentration, the
185 storage modulus change associated with the β transition increases with Aliquat 336
186 concentration.

187 3.1.2 Membrane structure

188 The thermal analysis data demonstrate that the PIMs membranes containing 20 to 70 wt.%
189 Aliquat 336 are a two phase structure containing a discrete Aliquat 336 rich phase, and a
190 discrete PVC rich phase. However, thermal analysis provides no insights into whether the

191 Aliquat 336 rich phase is continuous or in closed pores and it provides no insight into the
192 spatial dimensions of the phases. As the membrane structure is heterogeneous, and previous
193 reports have shown a threshold where heavy metals extraction begins, it is most likely that
194 the extraction are dominated by transport via a continuous or semi-continuous Aliquat 336
195 rich phase.

196 As Aliquat 336 and PVC form a solution with an appropriate concentration of THF, phase
197 separation may occur during the evaporation of THF when the membrane is formed.
198 Consequently, the phase morphology is determined by both the composition and fabrication
199 conditions of the PIMs. In other words, the shape, size and distribution of the second phase
200 are a function of the solvent evaporation rate and PIM thickness. Therefore, the structure of
201 the PIMs studied by St John et al. [13] and Xu et al. [11] may be different to that observed
202 here.

203 3.2 Extraction experiments

204 3.2.1 Effect of Aliquat 336 content on metal ion extraction

205 The extractions of Cd (II) and Zn (II) into PVC/Aliquat 336 PIMs are shown in Figures 5 and
206 6, respectively. In general, the extraction rate increased as the Aliquat 336 content in PIMs
207 increased. When the extraction experiment was performed using PVC film that had been cast
208 from THF without Aliquat 336, the metal ions extraction was found to be negligible,
209 suggesting that the transport of both Cd (II) and Zn (II) were fulfilled by the extractant.
210 Previously, PVC/Aliquat 336 PIMs have separated into two individual phases with one phase
211 being rich in PVC (α transition) and the other phase being rich in Aliquat 336 (β transition).
212 For a heterogeneous PIM, the transport of ions across requires a continuous phase. Therefore,
213 sufficient amount of extractant is essential to form continuous channels across the membrane
214 for the extraction to occur. The threshold of Aliquat 336 content was observed to be 30 wt.%
215 where a major change was observed in both ion metals extractions (Figures 5 and 6). Below
216 the percolation threshold, the extraction of PVC/Aliquat 336 PIMs was insignificant. Even
217 though, the percolation threshold was attained at 30 wt.% of Aliquat 336, the extraction
218 process was not completed even after 240 minutes. This result is in good agreement with the
219 data reported by Xu et al. [11] that the extraction is not viable for PIMs containing less than
220 30 wt.% of Aliquat 336. However, as Aliquat 336 content reaches 40 wt.%, the extraction of
221 Cd (II) and Zn (II) were almost completed (Figures 5 and 6) after 240 minutes.

222

[FIGURE 5]

223

[FIGURE 6]

224 3.2.2 Effect of temperature on metal ions extraction

225 The influence of extraction temperature on the metal ions extraction was investigated for
226 PIMs containing 30, 40 and 50 wt.% of Aliquat 336. The extraction temperature of Cd (II)
227 and Zn (II) from hydrochloric solution was varied at 10, 20, 35 and 50 °C and the results are
228 plotted in Figures 7 and 8, respectively. The results show that extraction temperature has a
229 significant effect on the extraction rate. At higher temperature, the extraction of Cd (II) and
230 Zn (II) was 95% completed, even though the Aliquat 336 content was only 30 wt.%. **The**
231 **transport of metal ions through PIMs requires the diffusion of the metallic species itself or the**
232 **metal-extractant complex through the membrane. An increase in temperature can increase not**
233 **only the diffusion rate of metal-Aliquat 336 complex and Aliquat 336 molecules but also the**
234 **rate of ion-exchange reaction between metal-Aliquat complexes. In either case, an increase in**
235 **temperature will enhance the transport of the target metal ions in PIMs.**

236

[FIGURE 7]

237

[FIGURE 8]

238 4. Conclusion

239 In the present study, the miscibility of the PVC/Aliquat 336 PIMs at various Aliquat 336
240 concentrations was investigated by measuring the T_g . The DMA has provided a distinct result
241 for T_g of PVC/Aliquat 336 PIMs and a T_m of Aliquat 336. Results reported here indicate that
242 PVC/Aliquat 336 PIMs were phase separated with two distinctive phases observed by DMA,
243 that is an α transition at 71 ± 8 °C and β transition at -18 ± 1 °C where the later was not
244 detected by DSC. Besides, the DMA results also suggest that Aliquat 336 is not a plasticizer
245 since the T_g did not decrease to below room temperature and is independent of Aliquat 336
246 content. However, an addition of Aliquat 336 has successfully produced transparent
247 membranes with a flexible structure. It is noteworthy that, when more Aliquat 336 content
248 was added, the PIMs become more flexible. **Furthermore, both cadmium and zinc extraction**
249 **occurred when Aliquat 336 ≥ 30 wt.% which coincided with the appearance of a defined β**
250 **transition. The β transition is assigned to the T_m of an Aliquat 336 rich phase. In order to**
251 **form this phase, Aliquat 336 content needs to be more than 30 wt.%. The extraction rate also**
252 **increases, as diffusivities in the Aliquat 336 phase increased which can be achieved by using**
253 **higher extraction temperature.**

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