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# Decreased 5-HT<sub>2c</sub>R and GHSR1a interaction in antipsychotic drug-induced obesity

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# Decreased 5-HT<sub>2c</sub>R and GHSR1a interaction in antipsychotic drug-induced obesity

## **Abstract**

Second generation antipsychotics (SGAs), notably atypical antipsychotics including olanzapine, clozapine and risperidone, can cause weight gain and obesity side effects. Antagonism of serotonin 2c receptors (5-HT<sub>2c</sub>R) and activation of ghrelin receptor type 1a (GHSR1a) signalling have been identified as a main cause of SGA-induced obesity. Here we review the pivotal regulatory role of the 5-HT<sub>2c</sub>R in ghrelin-mediated appetite signalling. The 5-HT<sub>2c</sub>R dimerizes with GHSR1a to inhibit orexigenic signalling, while 5-HT<sub>2c</sub>R antagonism reduces dimerization and increases GHSR1a-induced food intake. Dimerization is specific to the unedited 5-HT<sub>2c</sub>R isoform. 5-HT<sub>2c</sub>R antagonism by SGAs may disrupt the normal inhibitory tone on the GHSR1a, increasing orexigenic signalling. The 5-HT<sub>2c</sub>R and its interaction with the GHSR1a could serve as the basis for discovering novel approaches to preventing and treating SGA-induced obesity.

## **Disciplines**

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1 **Review:**

2 **Decreased 5-HT<sub>2c</sub>R and GHSR1a interaction in antipsychotic drug-induced obesity**

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13 **Running title:** 5-HT<sub>2c</sub>R and GHSR1a interaction in antipsychotic drug-induced obesity

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25 **Abstract:**

26 Second generation antipsychotics (SGAs), notably atypical antipsychotics including olanzapine,  
27 clozapine and risperidone, can cause weight gain and obesity side effects. Antagonism of serotonin  
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31 inhibit orexigenic signalling, while 5-HT<sub>2c</sub>R antagonism reduces dimerization and increases  
32 GHSR1a-induced food intake. Dimerization is specific to the unedited 5-HT<sub>2c</sub>R isoform. 5-HT<sub>2c</sub>R  
33 antagonism by SGAs may disrupt the normal inhibitory tone on the GHSR1a, increasing orexigenic  
34 signalling. The 5-HT<sub>2c</sub>R and its interaction with the GHSR1a could serve as the basis for  
35 discovering novel approaches to preventing and treating SGA-induced obesity.

36 **Keywords:** serotonin 2c receptors (5-HT<sub>2c</sub>R), ghrelin receptor type 1a (GHSR1a), heterodimer,  
37 antipsychotic drug-induced obesity

38

39

40

## 41        **1. Atypical antipsychotic-induced obesity**

42    Antipsychotic medication is commonly used in the clinic to treat schizophrenia, bipolar disorder  
43    and other psychotic disorders. Despite more than a decade of scientific research, the side-effect of  
44    obesity caused by current second generation antipsychotics (SGAs) remains unresolved. Indeed, the  
45    prevalence of weight gain side-effects caused by SGAs range from 42 – 64% of treated patients [1-  
46    3]. In long-term ( $\geq 48$  weeks) studies of olanzapine, the mean weight gain is 5.6 kg [1].  
47    Understandably, weight gain side-effects are strong predictors of medication non-compliance,  
48    which is a primary barrier to the effective treatment of these serious psychiatric illnesses [4, 5].  
49    Medication non-compliance is particularly problematic due to the astounding 5-fold increased risk  
50    of symptom relapse, hospitalisation and negative long-term outcomes [6, 7]. In addition, the  
51    relationship between obesity and antipsychotic drug use has been reported in the adult population  
52    through a longitudinal, retrospective claim database study [8]. The Clinical Antipsychotic Trials of  
53    Intervention Effectiveness (CATIE) trial revealed average body mass indexes ( $\text{kg}/\text{m}^2$ ) of  $33.0 \pm 8.1$   
54    in female and  $28.5 \pm 6.2$  in male schizophrenia patients with a history of chronic antipsychotic drug  
55    treatment [3]. Furthermore, the prevalence of metabolic syndrome is reportedly 52% and 36% of  
56    female and male schizophrenia patients, respectively [3]. Obesity and metabolic syndrome are  
57    serious risk factors for further chronic illnesses, such as dyslipidaemia, type 2 diabetes mellitus,  
58    cardiovascular disease and stroke, which are major global health concerns. These health issues  
59    highlight the urgency of understanding the mechanisms underlying SGA-induced body weight gain  
60    and obesity.

61

62    SGA-induced obesity is caused by over-eating, decreased energy expenditure and altered energy  
63    metabolism [9-11]. For example, a randomized double-blind study reported increased food craving  
64    with olanzapine and clozapine treatment [9], and a low resting energy expenditure was identified in  
65    SGA-treated male patients [10]. Furthermore, in female animal studies, olanzapine increases food  
66    intake and reduces temperature and thermogenesis in brown adipose tissue (BAT) [12, 13].  
67    Numerous factors in the brain and peripheral tissues that regulate appetite, metabolism and body

68 weight can be dysregulated by SGA treatment. SGAs directly interact with a range of  
69 neurotransmitter receptors that are involved in energy homeostasis, such as 5-HT subtypes 2C  
70 (5HT-2cR) and 2A, histaminergic H1 receptor, adrenergic  $\alpha$ , muscarinic M3 and dopaminergic D2  
71 receptors. In clinical and rodent studies, SGAs increase circulating ghrelin levels [14]. Moreover,  
72 rats treated with olanzapine have significantly elevated expression of the hypothalamic ghrelin  
73 receptor (also called growth hormone secretagogue receptor 1a; GHS-R1a) [14, 15]. There is a  
74 growing body of evidence to suggest a key regulatory role for the serotonin 5-HT2cR in GHSR1a  
75 signalling. It was recently identified that the 5-HT2cR interacts with the GHSR1a, forming a  
76 heterodimer that inhibits ghrelin signalling [16, 17]. This review explores the role of central 5-  
77 HT2cR antagonism and GHSR1a molecular pathways in SGA-induced obesity.

78

## 79 **2. Hypothalamic Ghrelin-GHSR1a-NPY/AgRP Pathway in Body Weight Control and** 80 **Obesity**

81 **2.1 Ghrelin-GHSR1a-NPY/AgRP Pathway:** Ghrelin is primarily produced by endocrine cells  
82 (X/A-like cells) in the gastric mucosa of humans and rodents [18]. It is the only known peripheral  
83 orexigenic hormone that increases food intake and body weight through actions on the  
84 hypothalamus. Central administration of ghrelin increases food intake, up-regulates lipogenic  
85 enzyme expression in adipocytes, and decreases thermogenesis-related mitochondrial uncoupling  
86 proteins in brown adipose tissue through activation of GHSR1a pathways in the hypothalamus [19,  
87 20]. For example, the intracerebroventricular (i.c.v.) administration of ghrelin rapidly increases  
88 feeding and this orexigenic effect is sustained for 24 hours in rats [19]. Ghrelin (i.c.v.) markedly up-  
89 regulates lipogenic enzyme expression and mRNA levels of the fat storage-promoting enzymes  
90 lipoprotein lipase (LPL), acetyl-CoA carboxylase  $\alpha$  (ACC), fatty acid synthase (FAS), and stearyl-  
91 CoA desaturase-1 (SCD1) in white adipose tissue (WAT) of rats [20]. Ghrelin (i.c.v) also markedly  
92 decreases the expression of thermogenesis-related mitochondrial uncoupling protein 1 (UCP1) in  
93 BAT of rats during both *ad libitum* and pair-feeding dietary paradigms [20]. Concerning the

94 mechanisms by which central ghrelin infusion modulates peripheral adipocyte metabolism, several  
95 lines of evidence suggest a role for the autonomic nervous system, as WAT and BAT are mostly  
96 innervated by the sympathetic nervous system [21, 22], and ghrelin-induced changes in adipocyte  
97 metabolism fail to occur in TKO (triple  $\beta$ 1-,  $\beta$ 2-, and  $\beta$ 3-adrenoceptor knockout) mice lacking  
98 sympathetic nervous system signalling [20].

99

100 Endogenous ghrelin targets GHSR1a in the hypothalamic arcuate nucleus (Arc) through the blood  
101 brain barrier of the adjacent medial eminence [23]. In the Arc, more than 90% neuropeptide Y  
102 (NPY)/agouti-related peptide (AgRP) neurons express GHSR1a; however, only 8% pro-  
103 opiomelanocortin (POMC) neurons express GHSR1a [24]. Activation of the GHSR1a stimulates  
104 food intake and fat deposition primarily through intracellular signalling pathways that increase  
105 orexigenic NPY and AgRP, and suppress anorexigenic POMC signalling in the hypothalamus [23,  
106 25, 26]. Therefore, there is an important regulatory role for the hypothalamic GHSR1a in metabolic  
107 homeostasis through its effects on orexigenic NPY/AgRP, appetite and body weight. Interestingly,  
108 olanzapine upregulates GHSR1a, NPY and AgRP in the Arc, suggesting that GHSR1 signaling in  
109 hypothalamic NPY and AgRP neurons are involved in the SGA-induced elevation of food intake  
110 [27].

111

112 **2.2 The GHSR1a Intracellular Signalling Pathway (GHSR1a-AMPK-NPY/AgRP):** The  
113 GHSR1a stimulates several signalling pathways [14]; however, research has highlighted an  
114 important role for the 5' AMP-activated protein kinase (AMPK) pathway in ghrelin-induced food  
115 intake via NPY and AgRP up-regulation. For example, ghrelin increases hypothalamic AMPK in  
116 rodents *in-vivo* and in NPY neurons *in-vitro*, GHSR1a knock-out mice do not exhibit ghrelin-  
117 induced hypothalamic AMPK activation or hyperphagia, while AMPK inhibition decreases ghrelin-  
118 stimulated food intake [26]. The intracellular signalling pathway stimulated by the GHSR1a and  
119 AMPK is shown in Figure 1. Briefly, GHSR1a activation promotes mitochondrial  $\beta$ -oxidation  
120 through AMPK phosphorylation. AMPK activates carnitine palmitoyl transferase 1 (CPT1), which

121 transports fatty acids into the mitochondria. Inhibition of CPT1 prevents ghrelin-induced NPY and  
122 AgRP up-regulation [26]. Mitochondrial fatty acid oxidation stimulates uncoupling protein-2  
123 (UCP2) activity, which is an important contributor to the energy capacity of the neuron. GHSR1a-  
124 induced activation of the AMPK-CPT1-UCP2 pathway increases AgRP and NPY mRNA  
125 expression by modulating intracellular transcriptional factors, forkhead box O1 (FOXO1) and the  
126 phosphorylated cAMP-response element-binding protein (pCREB), which are translocated to the  
127 nucleus to initiate NPY and AgRP promoter activity, respectively [28-30]. Finally, the transcription  
128 factor, brain-specific homeobox (BSX), interacts with FOXO1 and pCREB to enhance NPY and  
129 AgRP expression [31]. In addition, the transcription factor FOXO1 also suppresses POMC  
130 expression, i.e.: five residues on FOXO1, Gln145, Arg147, Lys148, Arg153 and Arg154, are  
131 necessary to inhibit POMC promoter activity [32]. However, given the low abundance of GSHR1a  
132 on POMC neurons it is unlikely that direct effects of GHSR1a signalling on POMC neurons plays a  
133 significant role in appetite control [26]. On the other hand, suppression of anorexigenic POMC  
134 neurons through inhibitory GABA interactions from NPY and AgRP neurons has potent orexigenic  
135 effects [33]. Overall, GHSR1a stimulates the AMPK-CPT1-UCP2 axis to initiate gene expression  
136 of NPY and AgRP, which suppress anorexigenic POMC neurons through inhibitory GABA  
137 interactions to stimulate appetite [26, 33] (Figure 2).

138

139 **2.3 Hypothalamic Circuits Regulating Body Weight:** Hypothalamic NPY, AgRP and POMC  
140 neurons of the Arc send projections to second order neurons in several key regions: the  
141 paraventricular nucleus of hypothalamus (PVN), ventromedial nucleus of the hypothalamus  
142 (VMH), dorsomedial hypothalamic nucleus (DMH) and lateral hypothalamus (LH) [34, 35] (Figure  
143 2). For example, the PVN expresses high levels of melanocortin receptor sub-types, 3 and 4 (MC3R  
144 and MC4R) as well as NPY Y1 and Y5 receptors that are involved in appetite regulation by the  
145 diverse afferent inputs (eg: from NPY/AgRP and POMC neurons of the Arc) [36]. The thyrotropin-  
146 releasing hormone (TRH) and corticotrophin-releasing hormone (CRH) expressed in the PVN are  
147 also involved in the control of energy balance [37-39]. Elevated Arc NPY expression leads to a



148 marked reduction in tyrosine hydroxylase (TH) mRNA and protein expression in the PVN, an effect  
149 that is mediated by Y1 receptors [40]. In the VMH, steroidogenic factor 1 (SF1) and brain-derived  
150 neurotrophic factor (BDNF) play significant roles in the control of energy balance [41-43]. A  
151 number of neuropeptides involved in the control of appetite and energy balance (such as NPY and  
152 CRH) are expressed within the DMH [44]. **For example, there is a high level of NPY expression in  
153 the DMH of mice fed a high-fat diet, but this expression is not evident during a normal lab chow  
154 diet [45].** The LH is another region that receives inputs from Arc NPY/AgRP and POMC neurons,  
155 mediating orexigenic responses through orexin and melanin-concentrating hormone (MCH) [45]. In  
156 addition to the hypothalamic nuclei described above, Arc NPY/AgRP and POMC neurons project to  
157 extrahypothalamic areas, including discrete regions of the brainstem (such as the nucleus tractus  
158 solitaries (NTS) via sympathetic noradrenergic (A1 area) and adrenergic (C1 area) innervation, as  
159 well as the parabrachial nucleus (PBN)) to regulate energy intake, BAT thermogenesis, and WAT  
160 lipolysis and lipogenesis [35]. For example, inhibitory GABAergic signalling from NPY/AgRP  
161 neurons to the PBN is crucial in the control of feeding responses [46]. One study reported that acute  
162 ablation of NPY/AgRP/GABA neurons in adult mice using diphtheria toxin (DT) leads to severe  
163 anorexia [47]. Indeed, inactivation of GABA biosynthesis in the Arc or blockade of GABA  
164 receptors in the PBN of mice promotes anorexia [47]. Overall, hypothalamic NPY, AgRP and  
165 POMC neurons induce wide-spread effects on appetite signalling in the brain and metabolic  
166 homeostasis. Therefore, alterations to upstream factors that influence these signals, such as changes  
167 in GHSR1a activity, have significant impact on energy homeostasis and body weight.

168

169 **2.4 SGAs increase GHSR1a signalling independent of circulating ghrelin levels – a causal role**  
170 **in SGA-induced obesity:** Circulating ghrelin is increased after olanzapine, clozapine and  
171 risperidone treatment in some individuals with schizophrenia [48, 49]; a result echoed in pre-  
172 clinical rodent models [12, 50]. In rats, olanzapine increases plasma ghrelin levels across a range of  
173 dosages [51, 52]. However, the fact that SGA-induced obesity is not always associated with  
174 hyperghrelinemia in humans and rodent models cannot be ignored. Indeed, several studies report

175 restored ghrelin homeostasis after 2-weeks of olanzapine and clozapine treatment, even though  
176 weight gain associated with hyperphagia can continue during the first 12 to 16 months of treatment  
177 [53-55]. This result is also observed in the pre-clinical rat model, as plasma ghrelin is increased  
178 after 8 days of olanzapine treatment, but declines to normal levels after 16 days, despite the  
179 continued progression of hyperphagia and weight gain in these rats [12, 50]. Therefore, drug effects  
180 on ghrelin secretion, both directly from its origin in the stomach or indirectly via vagal efferent  
181 commands from the brain [14], cannot be the only mechanism by which SGAs increase  
182 hypothalamic ghrelin signalling and stimulate obesity. We recently reported that olanzapine  
183 increases hypothalamic GHSR1a protein (18-28%) and mRNA (64-92%) expression, independent  
184 of treatment duration [12]. Olanzapine increases hypothalamic GHSR1a expression, and causes  
185 hyperphagia and body weight gain throughout the early (1 day), middle (14 days) and late (36 days)  
186 stages of treatment in rats, even though hyperghrelinemia is only evident during the early  
187 treatment period [12]. Furthermore, olanzapine increases GHSR1a, phosphorylated AMPK and  
188 NPY, and decreases POMC expression in the hypothalamus [27, 51, 56]. The GHSR1a has an  
189 unusually high level of constitutive activity, ie: can be active in the absence of an agonist [57].  
190 Therefore, it is possible that up-regulated GHSR1a by olanzapine increases obesogenic signalling  
191 pathways in the hypothalamus independent of circulating ghrelin levels.

192

193 In order to determine whether the increased GHSR1a levels are secondary to the elevated food  
194 intake induced by olanzapine, GHSR1a levels were measured in a pair-feeding experiment, where  
195 food intake of the olanzapine-treated female rats was clamped at the same amount as control rats  
196 [12]. As expected, pair-fed rats did not exhibit body weight differences between olanzapine and  
197 control groups, but olanzapine still increased GHSR1a protein and mRNA expression, and up-  
198 regulated levels of NPY and AgRP, as well as their transcription factors FOXO1, pCREB and BSX,  
199 [12]. Therefore, increased GHSR1a and downstream signalling in pair-fed rats, a paradigm that  
200 removes excessive food intake and weight gain as confounding factors, demonstrates a causal role  
201 for GHSR1a upregulation in olanzapine-induced weight gain rather than a consequence of increased

202 food intake or obesity [12]. In addition, direct delivery of a GHSR1a antagonist (D-Lys3-GHRP-6)  
203 to the brain inhibited GHSR1a signalling and prevented olanzapine-induced hyperphagia in rats  
204 [12]. Overall, increased GHSR1a signalling may cause the initial disruption to the primary  
205 hypothalamic appetite signalling pathways (GHSR1a/pAMPK/NPY/AgRP/POMC, Figure 1) that  
206 leads to hyperphagia and obesity during long-term treatment.

207

### 208 **3. The 5-HT<sub>2c</sub>R and interaction of 5-HT<sub>2c</sub>R with GHSR1a in SGA-induced obesity**

209 A number of studies have examined the involvement of 5-HT<sub>2c</sub>R in feeding behaviour. Agonists of  
210 5-HT<sub>2c</sub>R, lorcaserin and mCPP, decrease food intake [58-60], while antagonists of 5-HT<sub>2c</sub>R,  
211 RS102221 and TMFPP (a 5-HT<sub>2c</sub>R and 5-HT<sub>1B</sub> receptor antagonist) significantly increase food  
212 intake [61]. In addition, obesity and over-eating behaviour has been observed in 5-HT<sub>2c</sub>R knock-  
213 out mice [62].

#### 214 **3.1 The 5-HT<sub>2c</sub>R is associated with SGA-induced obesity: pharmacogenetic and proteomic**

215 **evidence.** Evidence over the past decade has consistently identified an involvement of the 5-HT<sub>2c</sub>R  
216 in SGA-induced obesity [reviewed in 63]. Pharmacogenetic studies have revealed that a 5-HT<sub>2c</sub>R  
217 promoter polymorphism (-759 C/T) is associated with weight gain induced by antipsychotics. For  
218 example, in a sample of Chinese drug-naive schizophrenia patients 22% of subjects carrying the -  
219 759T allele had substantially lower weight gain than patients without the allele, following 10 weeks  
220 of treatment with SGAs [64]. Similarly, in a Caucasian group of first episode schizophrenia  
221 patients, the body weight gain in people with the genetic -759 C/T variant allele was also  
222 significantly lower after long-term (9 months) antipsychotic treatment [65]. Some negative reports  
223 have also emerged, in which body weight change after antipsychotic treatment was not related to 5-  
224 HT<sub>2c</sub>R-759C/T polymorphisms [66, 67]. This conflict in findings may be due to previous  
225 polypharmacy or differences in the ethnic origin of the participants, as subjects in one study  
226 included treatment-resistant individuals who had previously received high doses of traditional

227 antipsychotics [67], while the other report did not delineate ethnic origin within its sample  
228 population [66].

229

230 Olanzapine and clozapine have potent 5-HT<sub>2c</sub>R antagonist properties ( $K_d = 4.8$  and  $4.1$  nM)  
231 compared to other SGAs, based on the final concentration of radioligand [68] and the liability of  
232 clozapine and olanzapine-induced weight gain is higher than quetiapine (5-HT<sub>2c</sub>R  $K_d = 3500$  nM),  
233 risperidone (5-HT<sub>2c</sub>R  $K_d = 32$  nM) and aripiprazole (a 5-HT<sub>2c</sub>R partial agonist) [68, 69]. Another  
234 study reported that olanzapine and clozapine have higher 5-HT<sub>2c</sub>R binding affinities than  
235 haloperidol ( $K_i = 7.8, 10, >5000$  nM for olanzapine, clozapine and haloperidol, respectively) [70].  
236 5-HT<sub>2c</sub>R antagonism is correlated with an increased risk of weight gain ( $r_s=45\%$ ,  $p<0.05$ ) and the  
237 morbidity rate associated with Type 2 diabetes mellitus ( $r_s=90\%$ ,  $p<0.05$ ) [71]; a result echoed in  
238 another study reporting that 5-HT<sub>2c</sub>R affinities for 17 typical and SGA drugs were significantly  
239 correlated with weight gain ( $r_s=-0.49$ ;  $p<0.05$ ) [72]. We have previously shown that olanzapine  
240 significantly decreases 5-HT<sub>2c</sub>R binding density in the rat brain, demonstrating a strong effect of  
241 this drug on central 5-HT<sub>2c</sub>Rs [73]. The literature linking 5-HT<sub>2c</sub> to SGA obesity is vast, but the  
242 causal relationship between 5-HT<sub>2c</sub>R antagonism and the weight gain liability of SGAs requires  
243 further investigation. We posit that SGA antagonism of the 5-HT<sub>2c</sub>R disrupts the normal inhibitory  
244 action of this receptor on the GHSR1a, resulting in upregulated orexigenic GHSR1a signalling  
245 pathways (discussed in the section below).

246

### 247 **3.2 5-HT<sub>2c</sub>R interacts with GHSR1a to reduce GHSR1a signalling and food intake:**

248 Interactions between the serotonin and ghrelin signalling pathways in the brain have been reported  
249 in previous research. For example, GHSR1a activity is inhibited by serotonin, *in vivo* [17], and  
250 administration of a 5-HT<sub>2c</sub>R agonist inhibits ghrelin-induced food intake [17, 74]. Decreased 5-  
251 HT<sub>2c</sub>R mRNA expression is observed in GHSR1a knock-out mice, while the acute central  
252 administration of ghrelin increased 5-HT<sub>2c</sub>R mRNA expression in the amygdala and dorsal raphe

253 [75]. Moreover, the intra-hypothalamic administration of serotonin and a 5-HT<sub>2c</sub>R agonist (2,5-  
254 dimethoxy-4-iodoamphetamine) effectively blocked ghrelin's orexigenic effects in rats [17]. NPY  
255 (the GHSR1a downstream orexigenic signal) is also regulated by the 5-HT<sub>2c</sub>R, as administration of  
256 the selective 5-HT<sub>2c</sub>R agonist, WAY-629, suppressed NPY mRNA expression in mice [76].  
257 Another 5-HT<sub>2c/1B</sub> receptor agonist, mCPP, decreases NPY secretion in the hypothalamic PVN  
258 and subsequently induced suppression of food intake [77]. Therefore, there is an interaction  
259 between orexigenic GHSR1a, ghrelin, NPY and serotonin 5-HT<sub>2c</sub>R signalling in the brain, and a  
260 functional effect of 5-HT<sub>2c</sub>R blockade on appetite through ghrelin signalling. Interestingly, the 5-  
261 HT<sub>2c</sub>R can regulate GHSR1a signalling. The 5-HT<sub>2c</sub>R interacts with the GHSR1a to form a  
262 heterodimer that inhibits ghrelin signalling [74]. Schellekens and colleagues used flow cytometry  
263 fluorescence resonance energy transfer (fcFRET) to demonstrate the heterodimer between the  
264 GHSR1a and 5-HT<sub>2c</sub>R in human embryonic kidney (HEK293A) cells [16, 74]. 5-HT<sub>2c</sub>R and  
265 GHSR1a are also colocalized in rat hypothalamic and hippocampal neurons [74]. Furthermore, we  
266 have found that olanzapine reduces 5-HT<sub>2c</sub>R and GHSR1a dimerization in a dose-dependent  
267 manner in hypothalamic NPY neurons [78]. The GHSR1a is mainly localized in the plasma  
268 membrane under resting conditions [79]. When exposed to ghrelin, an increase in 5-HT<sub>2c</sub>R and  
269 GHSR1a dimer co-internalization can occur [16], preventing GHSR1a activity at the cell surface.  
270 Functionally, 5-HT<sub>2c</sub>R and GHSR1a dimerization decreases GHSR1a-induced intracellular Ca<sup>2+</sup>  
271 signalling, while 5-HT<sub>2c</sub>R antagonism increases Ca<sup>2+</sup> signalling [16]. Overall, the GHSR1a  
272 interaction with 5-HT<sub>2c</sub>R appears to reduce ghrelin signalling that would lead to reduced food  
273 intake. Therefore, 5-HT<sub>2c</sub>R antagonists (such as obesogenic SGAs) may block the inhibitory effect  
274 of the 5-HT<sub>2c</sub>R on the GHSR1a to increase orexigenic signalling. Considering the co-localisation  
275 of 5-HT<sub>2c</sub>R and GHSR1a receptors in multiple hypothalamic nuclei, alterations of the normal  
276 interaction between these receptors may have wide-spread effects in the brain to alter energy  
277 balance [74, 80-82].

278

279 Although the existence of a 5-HT<sub>2c</sub>R and GHSR1a heterodimer has been demonstrated, the exact  
280 molecular interaction between these receptor protein structures is unknown and requires further  
281 investigation. GHSR1a is a GPCR that contains in 15 structural fragments: 7  $\alpha$ -helix hydrophobic  
282 transmembrane (TM I-VII) domains, 6 loops (three intra- and extracellular), and 2 terminal  
283 segments. TM II and III are considered the ligand activation domains. Both endogenous and non-  
284 endogenous ligand binding causes a conformational change in the GHSR1a molecular structure,  
285 characterized by a reciprocal rearrangement of the  $\alpha$ -helices, with vertical seesaw movements of  
286 TM VI and TM VII around their central proline residues. This alteration can cause the intracellular  
287 ends of TM VI and TM VII to move away from the center of the receptor toward TM III, exposing  
288 the sites subsequently recognized by G-proteins and  $\beta$ -arrestin [83]. The constitutive activity of  
289 GHSR1a is affected by an aromatic cluster formed by three amino acid residues (Phe VI:16, Phe  
290 VII:06, and Phe VII:09) on the inner face of the extracellular ends of GHSR1a TM helices VI and  
291 VII, as reported in a cell-based mutagenesis study [83, 84]. It is the formation of the hydrophobic  
292 core between TM helices VI and VII that ensures proper docking of the extracellular end of TM  
293 helices VII into VI, mimicking agonist activation and stabilizing the receptor in active  
294 conformation. Specific residues in the vicinity of this cluster orchestrate microswitches that are  
295 critical for GHSR1a activation levels in the absence of a ligand (constitutive activity). The 5-  
296 HT<sub>2c</sub>R is also a GPCR with 7 TM helices. Alterations to the amino acid sequence in the editing site  
297 located in the second intracellular loop of the 5-HT<sub>2c</sub>R produce isoforms of this receptor (Figure 1)  
298 [85-87]. Interestingly, the GHSR1a dimerizes with the unedited 5-HT<sub>2c</sub>R(INI) isoform, but not  
299 with the partially edited 5-HT<sub>2c</sub>R (VSV) isoform [16]. Therefore, the 5-HT<sub>2c</sub>R second intracellular  
300 loop may interact with the GHSR1a transmembrane helices VI and VII to form a heterodimer that  
301 may inhibit the constitutive activity of GHSR1a and subsequent orexigenic signalling. Given that  
302 olanzapine consistently upregulates GHSR1a and is a 5-HT<sub>2c</sub>R antagonist, blockade of 5-HT<sub>2c</sub>R  
303 may decrease the normal inhibition of this receptor on the constitutive activity of GHSR1a. It is  
304 conceivable that novel pharmacological agents that target these transmembrane helices, or that

305 increase the affinity of the 5-HT<sub>2c</sub>R to the GSHR1a, may be useful therapies to prevent or attenuate  
306 SGA-induced weight gain side-effects.

307

### 308 **3.3 Use of a 5-HT<sub>2c</sub>R agonist to prevent / attenuate SGA-induced obesity**

309 The recent U.S. Food and Drug Administration (FDA) approval of the 5-HT<sub>2c</sub>R receptor agonist  
310 lorcaserin for the treatment of obesity represents a new therapeutic drug class available to the clinic.

311 A randomized, double-blind, placebo-controlled clinical trial over of 2,200 over-weight and obese  
312 subjects revealed 5-10% weight loss with lorcaserin sustained over 1-year [88]. Lorcaserin (1 -

313 2mg/kg SC b.i.d.) treatment for 28 days significantly reduced the percentage of body weight gain  
314 compared to vehicle-treated controls in a diet-induced obese rat model, attributed largely to a

315 reduction in body fat mass [89]. Lorcaserin is also effective at attenuating ghrelin-induced food  
316 intake in mice [74], demonstrating a potential interaction between lorcaserin and the ghrelin

317 signalling system. Furthermore, a case study reported weight loss with lorcaserin in a schizophrenia  
318 patient treated with olanzapine [90]; however, the mechanisms are unknown and further studies are

319 required. The potent 5-HT<sub>2c</sub>R antagonist property of olanzapine could be responsible for disrupting  
320 the normal inhibitory tone of the 5-HT<sub>2c</sub>R on the GHSR1a by reducing inhibitory 5-

321 HT<sub>2c</sub>R/GHSR1a interactions (Figure 2). Interestingly, another 5-HT<sub>2c</sub>R agonist, vabicaserin,  
322 recently demonstrated antipsychotic efficacy in a Phase II trial of schizophrenia patients, with no

323 weight gain and minimal extrapyramidal side-effects [91]. Therefore, co-treatment of olanzapine  
324 with a 5-HT<sub>2c</sub>R agonist (lorcaserin or vabicaserin) is a promising novel possibility for restoring 5-

325 HT<sub>2c</sub>R activity and preventing the initial disruption to GHSR1a-induced appetite signalling caused  
326 by olanzapine. In addition, POMC neurons also regulate food intake and express 5-HT<sub>2c</sub>R. Only

327 8% POMC neurons express GHSR1a suggesting that 5-HT<sub>2c</sub>R can regulate POMC independent of  
328 GHSR1a. The anti-obesity effect of 5-HT<sub>2c</sub>R agonist may involve both hypothalamic NPY and

329 POMC neurons, but via different mechanism. In addition, there is no literature reporting the effect

330 of obesogenic antipsychotic drugs on genetic mutant mouse models on 5-HT2cR and GHSR1a,  
331 which may help to verify the specificities of these receptors in SGA-induced obesity.

332

#### 333 **4. Concluding Remarks and Future Perspectives**

334 Both antagonism of serotonin 5-HT2cR and activation of GHSR1a signalling have been identified  
335 as the main causes of SGA-induced obesity. Activation of the GHSR1a plays an important role in  
336 SGA-induced obesity through intracellular signalling pathways (AMPK-CPT1-UCP2) that increase  
337 orexigenic NPY and AgRP, and suppress anorexigenic POMC signalling in the hypothalamus [23,  
338 25, 26]. The 5-HT2cR plays a pivotal regulatory role in ghrelin-mediated appetite signalling. The 5-  
339 HT2cR dimerizes with the GHSR1a to inhibit its orexigenic activity, while 5-HT2cR antagonism  
340 reduces the dimerization and increases GHSR1a-induced food intake [16, 74]. Obesogenic SGAs,  
341 including olanzapine, clozapine, and risperidone, possesses potent 5-HT2cR antagonist properties  
342 [92]. Therefore, 5-HT2cR antagonism by SGAs may disinhibit the GHSR1a to increase orexigenic  
343 signalling. Unfortunately, the molecular mechanisms linking 5-HT2cR antagonism and GHSR1a in  
344 SGA-induced obesity remain unclear. The constitutive activity of GHSR1a is highly influenced by  
345 an aromatic cluster on the inner face of the extracellular ends of TM helices VI and VII [83]. The  
346 residues of the 5-HT2cR in the editing cassette located in the second intracellular loop of 5-HT2cR  
347 [85] may interact with this cluster to inactivate its conformation. Further investigation into this  
348 mechanism is warranted. In addition, combined treatment of a 5-HT2cR agonist with SGAs may  
349 restore inhibitory control of GHSR1a by the 5-HT2cR. Lorcaserin, a FDA approved anti-obesity 5-  
350 HT2cR receptor agonist drug, attenuates ghrelin-induced food intake [74]. Therefore, co-treatment  
351 of SGAs with lorcaserin may prevent the disruption to GHSR1a-induced appetite signalling caused  
352 by SGAs. In summary, antagonism of the 5-HT2cR by SGAs may reduce GHSR1a interaction with  
353 the 5-HT2cR and activate ghrelin signalling to stimulate feeding behavior. The 5-HT2cR and its  
354 interaction with GHSR1a could be clinically relevant for the treatment of SGA-induced obesity and



355 a valuable target for the design of new compounds that remove 5-HT<sub>2c</sub>R antagonist properties of  
356 SGAs to prevent obesity.

357

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361

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594 **Figure legends:**

595

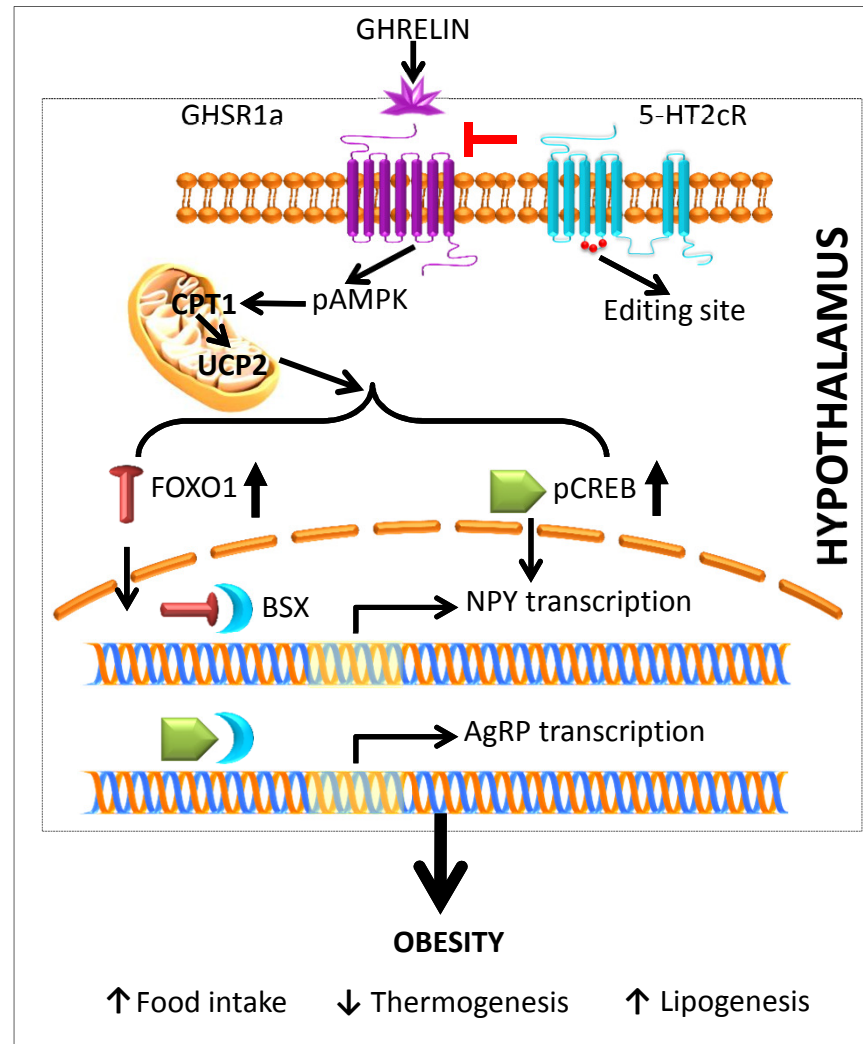
596 **Figure 1. Schematic drawing of ghrelin signalling pathway and interaction with 5-HT2cR in**  
597 **the hypothalamus.** Ghrelin binds to the ghrelin receptor (GHSR1a), triggering phosphorylation of  
598 5' AMP-activated protein kinase (AMPK) that activates carnitine palmitoyl transferase 1 (CPT1),  
599 subsequent fatty acid shuttling into the mitochondria and uncoupling protein-2 (UCP2) activity.  
600 Transcriptional factors, forkhead box O1 (FOXO1) and phospho-cAMP-response element binding  
601 protein (pCREB) translocate to the nucleus and bind to their response element on the DNA. Brain-  
602 specific homeobox (BSX) interacts with FOXO1 and pCREB to initiate expression of neuropeptide  
603 Y (NPY) and agouti-related peptide (AgRP). The serotonin 5-HT2c receptor (5-HT2cR) is a G  
604 protein-coupled receptor with seven transmembrane domains. The 2<sup>nd</sup> intracellular loop contains an  
605 editing site. At this site, three amino residues (shown as red dots) can exist in an unedited state (5-  
606 HT2cR-INI isoform) or a partially edited state (5-HT2cR-VSV isoform) that either enables or  
607 prevents heterodimer formation (for example with the GHSR1a), respectively. The unedited 5-  
608 HT2cR-INI isoform can form a heterodimer with the GHSR1a transmembrane helices VI and VII  
609 that inhibits this orexigenic signalling pathway.

610

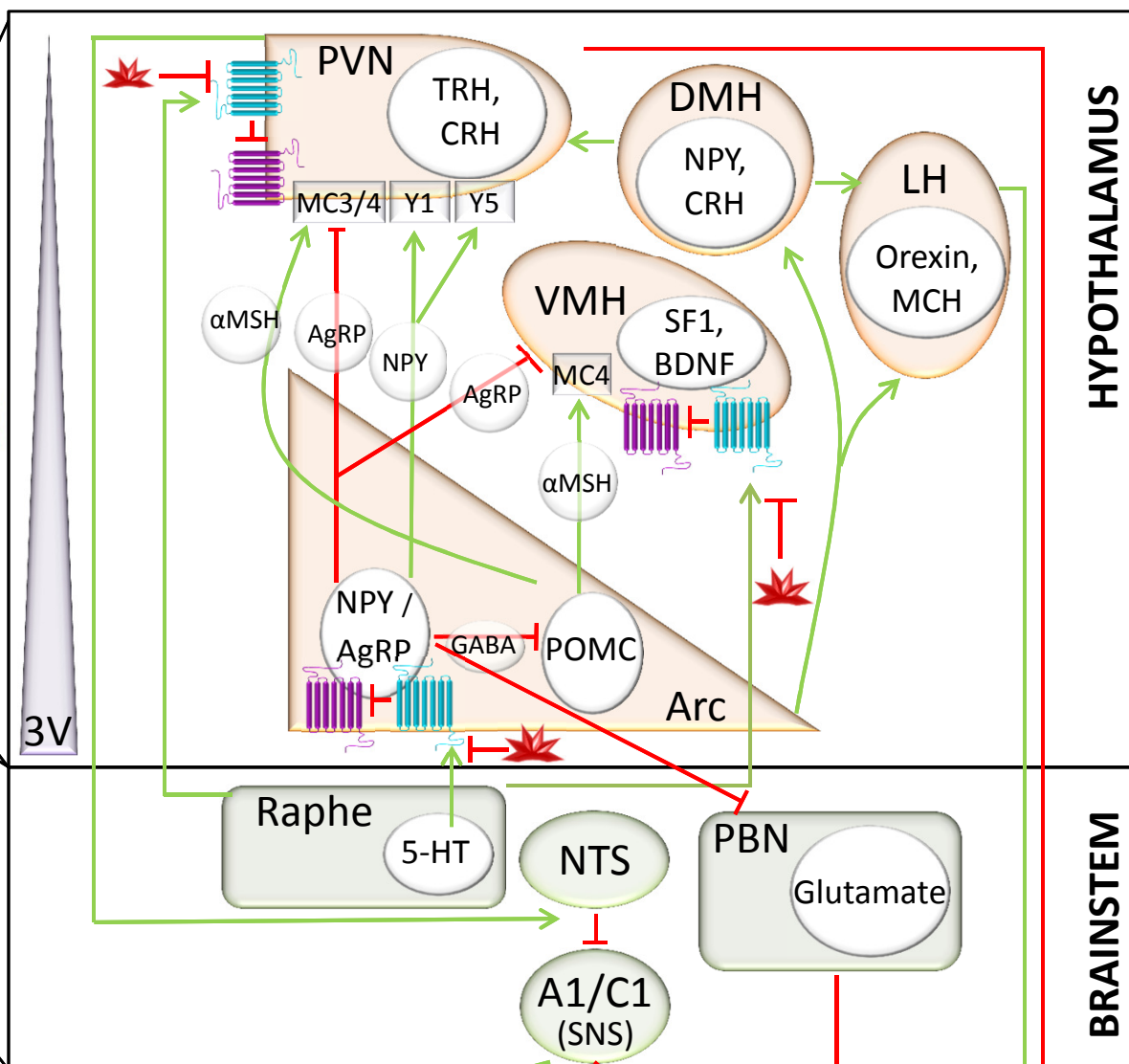
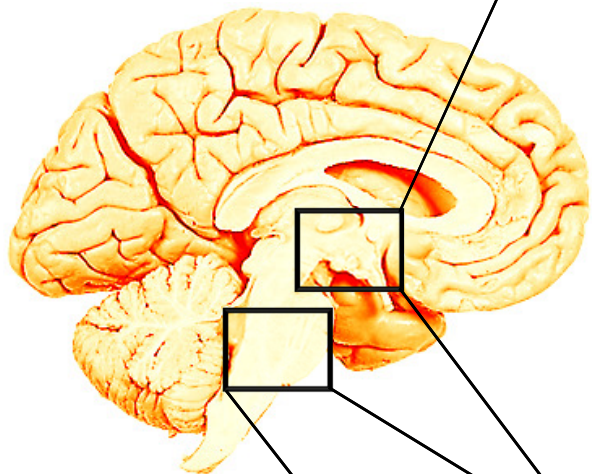
611 **Figure 2. The primary mechanism of antipsychotics-induced obesity.** This figure depicts how  
612 second generation antipsychotics (SGAs) affect the hypothalamic circuit in regulating body weight.  
613 The ghrelin receptor (GHSR1a) is expressed on NPY/AgRP neurons of the Arc, the PVN and  
614 VMH. GHSR1a orexigenic signalling is inhibited by serotonin 2c receptors (5-HT2cR) through  
615 heterodimerisation (described in Figure 1). SGAs are 5-HT2cR antagonists and blockade of this  
616 receptor may release inhibition of hypothalamic GHSR1a signalling. Given the complexity of  
617 hypothalamic appetite signalling, alterations in signalling in this region would have wide-spread  
618 effects on multiple systems and physiological outcomes leading to body weight gain and obesity  
619 side-effects. Abbreviations: neuropeptide Y (NPY), agouti-related peptide (AgRP), pro-  
620 opiomelanocortin (POMC), gamma-Aminobutyric acid (GABA), melanocortin 3 and 4 (MC3 and  
621 MC4),  $\alpha$  melanocortin-stimulating hormone ( $\alpha$  MSH), tryptophan- and corticotropin-releasing

622 hormones (TSH and CRH), steroidogenic factor 1 (SF1), brain-derived neurotrophic factor (BDNF),  
623 melanin-concentrating hormone (MCH). paraventricular nucleus (PVN), ventromedial  
624 hypothalamus (VMH), dorsomedial hypothalamic nucleus (DMH), lateral hypothalamus (LH), 3<sup>rd</sup>  
625 ventricle (3V), arcuate nucleus (Arc), nucleus tractus solitarius (NTS), parabrachial nucleus (PBN),  
626 sympathetic nervous system (SNS), brown adipose tissue (BAT), white adipose tissue (WAT).  
627  
628

Figure 1











HYPOTHALAMUS

BRAINSTEM

**Key:**

- GHSR1a 
- 5-HT2cR 
- Obesogenic SGA 

Thermogenesis  
BAT 

Lipogenesis  
WAT 

Food intake 