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Trace elements in the si furnace-part II: analysis of condensate in carbothermal reduction of quartz

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Abstract

Silicon feedstock for production of solar-grade silicon should be as pure as possible to decrease the cost of manufacturing of solar cells. Impurities in quartz, carbonaceous materials, electrodes, and refractories are mostly present in the form of oxides. These oxides can be reduced to volatile gaseous compounds in presence of SiO(g) and CO(g) atmosphere and potentially leave the furnace or stay in the condensed reaction products, metal, and slag. This work investigates the conditions under which volatile impurities report to the gas phase in laboratory experiments with lumpy and pelletized mixtures of SiO₂, SiC, and Si at 1923 K and 2123 K (1650 °C and 1850 °C), respectively, were carried out. The volatile compounds were generated by the reduction of quartz and collected in the form of condensate. The effects of the reaction temperature, quartz type, charge composition, pellets, and lumps on the composition of the condensate were studied. The trace elements in the charge input, reacting charge, and condensate were analyzed using inductively coupled plasma (ICP)-mass spectroscopy (MS) and X-ray diffraction (XRD). CO(g) and SiO(g), which are the major components in reduction reactions, formed four types of condensate: white, brown, green, and orange. The condensate constituents were amorphous SiO₂, 3C:SiC, Si, and α-quartz. Each impurity present in the quartz charge entered the gas phase during quartz reduction and was detected in the condensate. Al and Fe show limited volatility. The volatility of Mn, P, and B depends on the charge mix: a higher PCO enhances the concentration of these elements in the gas phase. Fluid inclusions, common in hydrothermal quartz, enhance the distribution of the contaminants to the gas phase. Industrial campaigns on Si and Fe-Si production confirm the experimental results.

Keywords

carbothermal, condensate, analysis, quartz, ii, trace, part, reduction, furnace, si, elements

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Trace Elements in the Si Furnace-Part II: Analysis of Condensate in Carbothermal Reduction of Quartz

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Silicon feedstock for production of solar-grade silicon should be as pure as possible to decrease the cost of manufacturing of solar cells. Impurities in quartz, carbonaceous materials, electrodes, and refractories are mostly present in the form of oxides. These oxides can be reduced to volatile gaseous compounds in presence of SiO(g) and CO(g) atmosphere and potentially leave the furnace or stay in the condensed reaction products, metal, and slag. This work investigates the conditions under which volatile impurities report to the gas phase in laboratory experiments with lumpy and pelletized mixtures of SiO_2 , SiC , and Si at 1923 K and 2123 K (1650 °C and 1850 °C), respectively, were carried out. The volatile compounds were generated by the reduction of quartz and collected in the form of condensate. The effects of the reaction temperature, quartz type, charge composition, pellets, and lumps on the composition of the condensate were studied. The trace elements in the charge input, reacting charge, and condensate were analyzed using inductively coupled plasma (ICP)-mass spectroscopy (MS) and X-ray diffraction (XRD). CO(g) and SiO(g) , which are the major components in reduction reactions, formed four types of condensate: white, brown, green, and orange. The condensate constituents were amorphous SiO_2 , 3C:SiC , Si , and α -quartz. Each impurity present in the quartz charge entered the gas phase during quartz reduction and was detected in the condensate. Al and Fe show limited volatility. The volatility of Mn, P, and B depends on the charge mix: a higher P_{CO} enhances the concentration of these elements in the gas phase. Fluid inclusions, common in hydrothermal quartz, enhance the distribution of the contaminants to the gas phase. Industrial campaigns on Si and Fe-Si production confirm the experimental results.

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I. INTRODUCTION

REDUCING agents in carbothermal reduction of silica include carbon (coke and/or char), SiO(g) , CO(g) , and SiC . In the process of silica reduction, impurity oxides present in the charge material are also reduced. Less stable oxides than silica, such as oxides of Ni, Co, Fe, Pb, Cu, Cr, Mn, Zn, Na, and K are easily reduced and partitioned between silicon, oxide, and gas phases, while stable oxides of Ca, Al, Ti, and Mg are not reduced. The reduced species can be removed from quartz in the form of volatile gaseous phases. Gaseous species comprise pure metals, as well as suboxides and

combination of metal oxides and hydroxides. The partial pressure of the gaseous species depends on the activities of elements in raw materials and reaction temperature.

Myrhaug and Tveit studied the distribution of trace elements present in the metal, “microsilica” (condensed silica fume) and off gases for a Fe-Si furnace^[1] and Si furnace.^[2,3] The trace elements found in the microsilica were mainly K, Zn, Na, Mg, and Pb, whereas the elements found in the Fe-Si alloy were primarily Cu, Cr, P, Mn, Al, Cr, Ni, Fe, B, and Ti. The behavior of P is dependent on the Fe content in the charge: In the Si furnace, 85 pct of P input reports to microsilica, while in the Fe-Si furnace, only 25 pct. Myrhaug^[4] described the behavior of trace elements in the silicon furnace with a model based on the boiling temperature of pure elements. The model assumes that an element evaporates when its boiling point is lower than the process temperature. The boiling point model refers to the case of partial pressure of the elements over the pure element P_{EL}^0 equals 1 atm, when activity of the elements a_{EL} equals to 1, which is not representative of the real case. Moreover, the model does not take into account the fact that gaseous compounds other than the pure elements may form. Impurities found in the microsilica and in the off gases do not necessarily represent the total amount

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of gaseous compounds formed in the furnace: Gaseous compounds may condense and circulate in the furnace, which is a process known in the manganese production (Zn(g) and K(g) react with CO(g) and $\text{CO}_2\text{(g)}$ forming ZnO(s) and $\text{K}_2\text{CO}_3\text{(l,s)}$, respectively^[5–7]).

The formation of gaseous species is concurrent and linked with the production of SiO(g) and CO(g) in an industrial reduction furnace. The main reactions leading to the formation of SiO(g) and CO(g) are shown by Eqs. [1] and [2] at 1873 K (1600 °C) and by Eqs. [3] through [5] at higher temperatures. In particular, SiO(g) is formed at the SiO_2 , SiC , and Si surfaces, while CO(g) is formed at the C and SiC surfaces.^[8]

The main condensation reactions occurring in the system are given by Eqs. [6] through [9]. The reaction [6] is found to occur in the temperature range of 1873 K to 1973 K (1600 °C to 1700 °C).^[9,10] The reactions [7] and [9] occur at temperatures lower than 1773 K (1500 °C).^[8,11,12] The reaction [6] produces a brown condensate,^[9,10,13] reaction [7] produces a white condensate, while reaction [8] produces a green condensate.^[12] Andersen *et al.* and Vangskåsen^[10,13] showed that the brown condensate consists of silicon spheres ranging in size from 100 to 1000 nm in a matrix of SiO_2 .

This article, which is the second of a series of two (see Reference 14), investigates the behavior of the impurities in quartz when quartz is heated in reducing atmosphere. The major focus is on the impurities that leave the quartz during reduction and enter the gas phase.

II. ANALYSIS OF CONDENSATE AND THERMODYNAMIC SIMULATION

The experimental matrix, equipment, and procedures were described elsewhere.^[14] The gaseous compounds formed during the experiments were collected in a form of condensate on the inner surface of the graphite

Fig. 1—Appearance of the condensates collected in the graphite tube [experimental condition “pellets $\text{SiO}_2 + \text{SiC}$ 1923 K (1650 °C)”. Three types of condensate (white, brown, and green) are sticking in the first 6 cm of the tube.

Fig. 2—Backscattering image of a mixture of white and brown condensate collected in a graphite tube. The condensate has reacted actively with the graphite tube forming a thin SiC layer, which is the region containing the two white lines.

Fig. 3—Condensate composition (at. pct) under eight different experimental conditions. The time required for the condensate to block the tube is shown below the graphs.

ducting tube. The different condensate phases were recovered from the inner surface of the tube using a tungsten carbide tool, mixed, and collected in one sample. The sample was crushed with an agate mortar of 99.91 pct to the microns size needed for the analysis.

Two analytical methods were used for the analysis of the condensate samples: inductively coupled plasma (ICP)-mass spectrometry (MS) and X-ray diffraction

Fig. 4—Volatilization of elements in mass pct shown for different charge types, mixes and temperatures. Top to bottom: thermodynamic calculations, quartzite, and hydrothermal quartz. The volatility of P and Zn are not shown for all the experimental conditions in hydrothermal quartz because of the high concentration of these elements in the blank used as analytical reference.

Fig. 5—Partial pressure P_{CO} and P_{SiO} formed at equilibrium conditions calculated with FactSage.

(XRD). The content of the impurity trace elements in the condensate was detected by ICP-MS. Details on the ICP-MS analysis are given in Reference 14. XRD was used to identify and quantify the phases present in the condensate. For the phase quantification, the Rietveld method was used.^[15] Because the condensate contains amorphous silica, 5 wt pct Al_2O_3 was added as a measurement standard to find the quantity of glassy phases in samples. First, the contents of all the crystalline phases were calculated. Then results were recalculated (normalized) to obtain a known concentration of alumina in the sample. The glassy phase content was calculated as the difference between the amount of crystalline phases and the total mass of the sample. This is a useful method to quantify amorphous phases with XRD; its disadvantage is that it is reliable only if the content of amorphous (glassy) phase is greater than 10 wt pct.

An electron probe microanalyzer (EPMA) was used to study the morphology of the condensate.

The formation of gas compounds at equilibrium conditions was simulated using the FactSage software package (École Polytechnique de Montréal, Montréal, Canada), and the thermodynamic calculations were compared to the measured results. Eight experimental conditions, as described in Part I, have been simulated: “lumps $\text{SiO}_2 + \text{SiC}$ 1923 K (1650 °C),” “lumps $\text{SiO}_2 + \text{SiC}$ 2123 K (1850 °C),” “lumps $\text{SiO}_2 + \text{Si}$ 1923 K (1650 °C),” “lumps $\text{SiO}_2 + \text{Si}$ 2123 K (1850 °C),” “pellets $\text{SiO}_2 + \text{SiC}$ 1923 K (1650 °C),” “pellets $\text{SiO}_2 + \text{SiC}$ 2123 K (1850 °C),” “pellets $\text{SiO}_2 + \text{Si}$ 1923 K (1650 °C),” and “pellets $\text{SiO}_2 + \text{Si}$ 2123 K (1850 °C).” Although two different quartzes were used in the experiments, only quartz with higher concentration of impurities was examined. The thermodynamic calculations are based on the following assumptions:

- Reactions between input materials have reached equilibrium at 1 atm total pressure.
- Carbon content in the binder of the pellet is the only difference in the simulation of pellets compared to lumps.

- Impurities are present only in the quartz, and they are treated as oxides in a mechanical mixture with quartz (*i.e.*, activity = 1); the elements considered are B, P, K, Al, Fe, Mn, Zn, and Pb with the same amount as in the quartzite.
- Oxides are reduced in the presence of SiO(g) , CO(g) , C, and SiC.

The FactSage (Version 6.3) pure substance database has been used to simulate the equilibrium. One hundred percent condensation is always assumed in the equilibrium calculations.

III. RESULTS AND DISCUSSION

A. Condensate Description

The gaseous compounds were collected in the form of condensate. Four different types of condensate (based on color) were identified: white, green, brown, and orange. The white, green, and brown condensates were found adhered in the lower part (first 6 cm) of the tube, near the crucible, and were difficult to remove. The orange condensate was found as loose, flaky powder in the upper part of the tube and was easy to collect. While the white, brown, and green condensates were found in all the experiments, the presence of the orange condensate varied from very high amounts in some experiments to very small amounts in others. Figure 1 shows the appearance of the condensates found in the lower part of the tube. The microstructure of the condensate in Figure 1 is illustrated in Figure 2. The condensate is characterized by an assembly of tiny spherical particles (diameters $\sim 5 \mu\text{m}$). Three main complexes were found: single Si particles in SiO_2 matrix (50 at. pct Si and 50 at. pct O), and mixtures of Si, C, and O without specific composition. The condensate has reacted with the graphite tube, forming a transitional SiC layer of 50 μm , which was found at the interface condensate tube. Mapping of the condensate for Mg, P, Ca, Fe, K, and Al reveals that impurities generally are in too low concentrations to get reliable results from the EPMA. However, there is an indication that Ca and Al may partially report to the thin SiC layer formed on the tube surface. The SiC layer at the interface between the condensate and the graphite tube was difficult to remove with the WC filament and was therefore not possible to sample all of it. Between 2 g and 6 g of condensate were collected in each experiment; the exact amount varied from sample to sample.

The condensates produced when quartzite was used as the source of SiO_2 , were analyzed by XRD. The phases detected in the condensate were: amorphous SiO_2 , $\alpha\text{-SiO}_2$, Si, and $\beta\text{-SiC}$ (products of reactions [2], and [6] through [9]). It should be noted that XRD quantitative analysis of a system with a high amount of glassy (amorphous) phase can only be semiquantitative. However, the relative proportions of the crystalline phases are considered accurate. The condensate composition (in mole percent) for the eight experimental conditions and the time required for the condensate to block the tube are shown in Figure 3. Crystalline quartz, amorphous silica,

Fig. 6—Volatility of elements (mass pct) for different experimental conditions. Note the difference in volatility scale between the individual graphs.

SiC, and Si were found in the condensate. The presence of crystalline α -quartz may be due to the recrystallization of the amorphous silica during long-term exposure at relatively high temperatures in the tube.

The experiments stopped when the condensate derived from SiO(g) and CO(g) blocked the tube. Figure 3 shows that less time was required to block the tube when pellets rather than lumps were used. Since the condensate phase composition does not show a significant difference between experiments with lumps and pellets, it is possible to conclude that the rate of silica reduction is faster in pellets than in lump quartz. The property of pellets to produce more SiO(g) was stated by the same author in previous publication.^[16]

B. Impurity Volatility: Effect of Temperature and Charge Mix

During the heating and reduction of quartz, impurities oxides in quartz were reduced to gaseous compounds that were collected in the condensate. The degree of volatilization is defined as the ability of the impurity, denoted el , present in the quartz to report to the gas phase,

$$\text{volatilization} = m_{el, \text{gas phase}} / m_{el, \text{charge mix}} \quad [10]$$

where " $m_{el, \text{charge mix}}$ " is the impurity content by mass in the charged quartz and " $m_{el, \text{gas phase}}$ " is the impurity content by mass in the condensate.

Fig. 7—Effect of chlorine on volatilization of impurities oxides in quartz during quartz reduction calculated using FactSage.

The impurity volatilization during quartz reduction was studied both experimentally and by thermodynamic simulations and summarized in Figure 4. The experimental results refer to quartzite and hydrothermal quartz, while thermodynamic analysis refers to a quartz material having the same impurity content as the quartzite used in the experiments. The experimental conditions with pellets were simulated adding the amount of carbon present in the binder.

Figure 5 shows the partial pressure of SiO and CO formed at equilibrium conditions, as calculated using FactSage. The atmosphere created during the experiments varies with the temperature and the charge mix. In general, by decreasing the temperature and by increasing the amount of free carbon (*i.e.*, by using pellets instead of lumpy charge or by using the charge mix $\text{SiO}_2 + \text{SiC}$ instead of $\text{SiO}_2 + \text{Si}$), the equilibrium partial pressure of SiO (P_{SiO}) decreases in favor of P_{CO} . It should be mentioned that the experiments were carried out in argon, which was the main gas component in the reduction experiments ($P_{\text{CO}} + P_{\text{SiO}} < 1$).

Figure 4 shows that all the elements, which were found in the quartz charged, entered the gaseous phase. The volatility depends on the quartz type and the experimental conditions. The measured volatility of B for the experimental condition “quartzite-lumps $\text{SiO}_2 + \text{SiC}$ 2123 K (1850 °C)” was significantly higher than 100 pct, which is probably a result of an analytical error.

In general, oxides more stable than SiO_2 remain in the mixed oxide/carbide/Si phase, while oxides less stable than SiO_2 are expected to reduce to pure elements or suboxides during the reduction of quartz. If these reduced compounds have a high partial pressure, they will leave the quartz in form of gaseous compounds. Thermodynamic calculations volatilities depend on both the temperature and the charge mix: A higher volatilization is predicted at higher temperatures and higher P_{CO} .

The volatility of Fe, K, Mn, and Pb for “lumps of hydrothermal quartz” lie on higher values than quartzite and pellets. *Lumps of quartzite* show a volatility profile similar to that thermodynamically predicted: higher volatility in correspondence of higher P_{CO} . *Pellets* of hydrothermal quartz and quartzite show a less

pronounced dependence on P_{CO} . In general, the volatility of the elements in pellets is lower than in lumps.

Experimental results show that Al and Fe have very low volatilities regardless the temperature, the partial pressure of CO (P_{CO}), and the quartz used. The average volatility of Al and Fe is 1 pct and 4 pct, respectively. The low volatility of Al during quartz reduction is reflected in an increase in concentration of Al in quartz after reduction. This was confirmed in our previous article,^[14] which studied the impurities in quartz after reduction. Figure 4 shows that Fe is more volatile in lumps than in pellets. This behavior is particularly evident when hydrothermal quartz is used. This finding is in agreement with Part I, which studied the impurity content of quartz after reduction: Fe was more easily removed from lumpy quartz than from pellets charge, in particular when hydrothermal quartz was used. According to thermodynamic calculations, the main Fe- and Al-based gaseous compounds that form are Al(g) (b.p. 2792 K [2519 °C]), $\text{Al}_2\text{O(g)}$ (b.p. room temperature), and Fe(g) (b.p. 3134 K [2861 °C]).

The average measured volatility for K is 26 pct. The two quartzes show the same trend when pellets are used [maximum volatility for the experimental condition “pellets $\text{SiO}_2 + \text{Si}$ 1923 K (1650 °C)”] and a different trend when lumps are used. Quartzite lumps feature a volatility in agreement with the thermodynamic prediction. The main gaseous compound predicted by calculations is K(g) (b.p. 1032 K [759 °C]).

Experimental and thermodynamic results disagree on the behavior of Zn and Pb. According to thermodynamic calculations, the volatility of Zn and Pb should be 100 pct Zn and Pb oxides are less stable than quartz and are easily reduced to Zn(g) (b.p. 1180 K [907 °C]) and Pb(g) (b.p. 2021 K [1748 °C]). However, experimental results show that the average volatility of Zn is only 10 pct and of Pb 36 pct. A possible explanation for this behavior might be that Zn and Pb formed suboxides not predicted by the thermodynamic calculations.

The volatility of Mn, P, and B depends strongly on the charge mix: At high P_{CO} pressure, corresponding to the charge mix “ $\text{SiO}_2 + \text{SiC}$,” the volatility of Mn, P and B increases. Mn, P, and B are less stable oxides than quartz and are, hence, reduced to pure elements or suboxides having a relatively low boiling point. The main gaseous species that form according to thermodynamics are P(g) b.p. 553 K (280 °C), $\text{P}_2\text{(g)}$, PO(g) b.p. room temperature, and Mn(g) b.p. 2335 K (2062 °C). The average measured volatility of Mn, P, and B is 38 pct, 25 pct, and 11 pct, respectively.

Figure 4 shows that boron has lower volatility than Mn for all the experimental conditions, and the volatility is lower than Pb for most of the experimental conditions. B is generally less extracted from the charge than Mn and Pb, the reason might be that B is a more stable oxide than Pb and Mn oxides or has lower diffusivity.

C. Impurity Volatility: Effect of Fluid Inclusions

Figure 6, as another way of presenting the results in Figure 4, shows the volatility of each element under

Fig. 8—Distribution of trace elements to microsilica from a Si furnace^[2] (light blue bars), compared to distribution of elements to the gas phase collected as condensate (dark blue bars when hydrothermal quartz was charged) in these experiments (dark yellow bars when quartzite was considered). Lumpy charge is here considered. All numbers are in wt pct. Measurements in Zn, Fe, and Al are partly available.

different experimental conditions and the quartz type (hydrothermal quartz in blue bars and quartzite in yellow bars).

Figure 6 shows that Pb, Fe, Mn, and K have higher volatility in hydrothermal quartz than in quartzite when lumps are used. This trend can be attributed to fluid inclusions present in hydrothermal quartz. Fluid inclusions are only present in lumps of hydrothermal quartz; they are removed during quartz milling to the micrometers size in preparation of pellets. Fluid inclusions comprise water in solution with Na^+ , K^+ , F^- , Cl^- , CO_2 , CH_4 , and other contaminants. Fluid inclusions may have enhanced the volatilization by increasing the surface available to reduction of the quartz or by enhancing the formation of volatile Cl-based compounds.

During heating of quartz, the internal pressure of the fluid inclusions increases and they decrepitate; *i.e.*, fissures run through the inclusions enabling removal of water vapor and CO_2 from the inclusions. Decrepitation occurs from 473 K to 873 K (200 °C to 600 °C) depending on the fluid composition. Fluid inclusion decrepitation leaves cracks in quartz.^[17] The cracks increase the surface available to reduction, reduce the diffusion distance to the surface, and therefore increase the volatilization.

Fe, K, Pb, and Mn in the quartz lattice or mineral impurities may react with H_2O and Cl^- ions in the fluid inclusions and form volatile compounds, which are subsequently liberated during reduction of the quartz. According to Larsen *et al.*,^[18] elements like Ca, Fe, Mg, and Mn are likely to be concentrated in submicroscopic fluid inclusions. The affinity of Fe with Cl^- was studied in other works.^[19]

FactSage equilibrium calculations also indicate that addition of Cl to quartz improves the evaporation of the impurities. Calculations with the addition of 100 ppmw Cl to the system showed that main gaseous compounds formed are $\text{AlCl}(\text{g})$, $\text{BCl}(\text{g})$, $\text{FeCl}_2(\text{g})$, $\text{KCl}(\text{g})$, $\text{MnCl}_2(\text{g})$,

$\text{PbCl}(\text{g})$, $\text{PbCl}(\text{g})$, and $\text{ZnCl}_2(\text{g})$. The results of the thermodynamic calculations are shown in Figure 7. Two experimental conditions are studied: “lumps $\text{SiO}_2 + \text{SiC}$ 1923 K (1650 °C)” and “lumps $\text{SiO}_2 + \text{SiC}$ 2123 K (1850 °C).” In both cases, the volatility increases in presence of Cl.

D. Impurity Volatility: Comparison with Industrial Measurements

Myrhaug and Garcia^[2,4] studied the distribution of contaminants between a condensed phase (metal and slag), off-gases and microsilica for a Fe-Si and a Si furnace.

Figure 8 compares the distribution of the impurities in the industrial arc furnace to microsilica (Myrhaug and Garcia^[2]) and to condensate in reduction of hydrothermal quartz and quartzite (this experimental work). Hydrothermal quartz was used for the Si industrial production.

In the industrial case, the condensation occurs between 1973 K and 1273 K (1700 °C and 1000 °C),^[8] while in the experimental work discussed in this article, the condensate was produced down to far lower temperatures. Contrary to expectations, Figure 8 shows that the distribution of the elements to the condensate in these experiments is generally lower than the distribution of the elements to the microsilica in industrial experiments, although trends are the same. The difference in absolute numbers may be explained by different conditions in laboratory and industry experiments.

IV. CONCLUSIONS

The major findings of this work are as follows:

- Carbothermal reduction of silica generates $\text{CO}(\text{g})$ and $\text{SiO}(\text{g})$ that form four types of condensate (based on

color). The major constituents of the condensate phase are amorphous and crystalline SiO₂, β-SiC, and Si.

- All the impurities that are present in the quartz charge enter the gas phase during quartz reduction to a varying degree.
- Al and Fe have a very low volatility in comparison with other elements.
- The average measured volatilities of B, P, K, Fe, Al, Mn, Zn, and Pb determined experimentally are, respectively, 11 pct, 25 pct, 26 pct, 4 pct, 1 pct, 38 pct, 10 pct, and 36 pct.
- The volatility of Mn, P, and B depends on the charge mix: A higher P_{CO} enhances the distribution of these elements to the gas phase.
- Liquid inclusions, common in hydrothermal quartz, enhance the distribution of the contaminants to the gas phase.

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